

## 108 **Preface**

109

### 110 **Motivation and Guidance for Using Synthesis and Assessment Product 3.2**

111

112 **Authors:** Hiram Levy II, GFDL/NOAA; Drew T. Shindell, GISS/NASA; Alice  
113 Gilliland, ARL/NOAA; M. Daniel Schwarzkopf, GFDL/NOAA; Larry W. Horowitz,  
114 GFDL/NOAA

115

116 **Contributing Author:** Anne Waple, STG, Inc. at NCDC/NOAA

117

### 118 **Introduction**

119 The U.S. Climate Change Science Program (CCSP) was established in 2002 to coordinate  
120 climate and global change research conducted in the United States. Building upon and  
121 incorporating the U.S. Global Change Research Program of the previous decade, the  
122 program integrates federal research on climate and global change, as sponsored by 13  
123 federal agencies and overseen by the Office of Science and Technology Policy, the  
124 Council on Environmental Quality, the National Economic Council, and the Office of  
125 Management and Budget.

126

127 “A primary objective of the U. S. Climate Change Science Program (CCSP) is to provide  
128 the best possible scientific information to support public discussion and government and  
129 private sector decision-making on key climate-related issues. To help meet this objective,  
130 the CCSP has identified an initial set of 21 synthesis and assessment products that  
131 address its highest priority research, observation, and decision-support needs.”

132

133 The CCSP is conducting 21 such activities, covering topics such as the North American  
134 carbon budget and implications for the global carbon cycle, coastal elevation and  
135 sensitivity to sea-level rise, trends in emissions of ozone-depleting substances and ozone  
136 recovery and implications for ultraviolet radiation exposure, and use of observational and  
137 model data in decision support and decision making. The stated purpose for this report,  
138 Synthesis and Assessment Product (SAP) 3.2, is to provide information to those who use  
139 climate model outputs to assess the potential effects of human activities on climate, air  
140 quality and ecosystem behavior.

141

142 In an examination of the U.S. CCSP Strategic Plan, the National Research Council  
143 (NRC) recommended that synthesis and assessment products should be produced with  
144 independent oversight and review from the wider scientific and stakeholder communities.  
145 To meet this goal, NOAA requested an independent review of SAP 3.2 by the NRC. The  
146 NRC appointed an ad hoc committee composed of eight members who provided their  
147 review findings, and recommendations, suggestions, and options for the authors to  
148 consider in revising the first draft SAP 3.2. This second draft is in response to that  
149 review.

150

### 151 **Background and Goals**

152 The initial mandate for Synthesis and Assessment Product 3.2 (SAP 3.2), which is still  
153 listed on the official CCSP website [[http://www.climate-science.gov/Library/sap/sap-](http://www.climate-science.gov/Library/sap/sap-summary.php)  
154 [summary.php](http://www.climate-science.gov/Library/sap/sap-summary.php)], was to provide “*Climate Projections for Research and Assessment Based*  
155 *on Emissions Scenarios Developed Through the Climate Change Technology Program*”.

156 With the development of long-lived greenhouse gas scenarios by another Synthesis and  
157 Assessment Product (SAP 2.1a) our mandate evolved to “*Climate Projections for SAP*  
158 *2.1a Emissions Scenarios of Greenhouse Gases*”. These emission scenarios<sup>1</sup> were for the  
159 long-lived<sup>2</sup> and therefore globally well-mixed greenhouse gases and were constrained by  
160 the requirement that their atmospheric concentrations stabilize within 100 – 200 years at  
161 specified levels more-or-less equivalent to 450, 550, 650, and 750 parts per million (ppm)  
162 of carbon dioxide. See the Box for additional details.

---

<sup>1</sup> Emission scenarios represent the future emissions based on a coherent and internally consistent set of assumptions about the driving forces (*e.g.* population change, socio-economic development, technological change) and their key relationships.

<sup>2</sup> Long-lived radiative species of interest have atmospheric lifetimes that range from 10 years for methane to more than 100 years for nitrous oxide. While carbon dioxide’s lifetime is more complex, we think of it as being more than 100 years in the climate system. Due to their long atmospheric lifetime, they are well-mixed and evenly distributed throughout. Global atmospheric lifetime is the mass of a species in the atmosphere divided by the mass that is removed from the atmosphere each year.

**Box P1: Stabilization Scenarios and Background from CCSP SAP 2.1a**

Synthesis and Assessment Product 2.1 is an important precursor to this report. It explores different scenarios that lead to greenhouse gas emissions stabilizing at different (higher) levels in the future. Scenario analysis is a widely used tool for decision making in complex and uncertain situations. Scenarios are “what ifs”—sketches of future conditions (or alternative sets of future conditions), used as inputs to exercises of decision making or analysis. Scenarios have been applied extensively in the climate change context. Examples include greenhouse gas emissions scenarios, climate scenarios, and technology scenarios.

The scenarios in SAP 2.1a are called “stabilization emission scenarios” because they are constrained so that the atmospheric concentrations of the long-lived greenhouse gases level off, or stabilize, at pre-determined levels by the end of the 21<sup>st</sup> century. They explicitly treat the economic and technological drivers needed to generate each level of greenhouse gases.

Pre-industrial levels of carbon dioxide were approximately 280 part per million (ppm), and are currently around 380 ppm – a third higher than prior to the industrial era and higher than at any other time in at least the last 420,000 years (CCSP SAP 2.2). The four stabilization levels for 2.1a were constructed so that the carbon dioxide concentrations resulting from stabilization are roughly 450, 550, 650, and 750 ppm. While the Intergovernmental Panel on Climate Change (IPCC) has also examined greenhouse gas emission scenarios and those provided by SAP 2.1a are generally within the envelope of the IPCC scenarios, 2.1a is an alternative approach to developing a consistent set of long-lived greenhouse gas concentrations.

This report (3.2) explores the climate implications of such greenhouse gas “stabilization scenarios” via several different computer simulations. The results of these projections are presented in Chapter 2 of this report.

164 The SAP 2.1a scenarios did not explicitly address the direct influence of short-lived<sup>3</sup>  
165 drivers of climate: carbon and sulfate particles and lower atmospheric ozone. Therefore  
166 we expanded our mandate to include “*Future Climate Impacts of Short-lived Radiatively*  
167 *Active<sup>4</sup> Gases and Aerosols*”. These short-lived species are largely of human-caused  
168 origin, important contributors to large-scale changes in atmospheric temperature and  
169 climate in general and primarily controlled for reasons of local and regional air quality.  
170 Therefore, this added portion of the report is a critical first step in examining the climate  
171 impact of future actions taken to reduce air pollution.

172

173 The Prospectus for Synthesis and Assessment Product 3.2 contained two charges to the  
174 authors of this report:

175

176 1. Develop climate projections for a series of emission scenarios for long-lived  
177 greenhouse gases provided by Synthesis and Assessment Product 2.1 “Scenarios  
178 of Greenhouse Gas Emissions and Atmospheric Concentrations and Review of  
179 Integrated Scenario Development and Application“;

180

181 2. Investigates the contributions of four short-lived pollutants in the lower  
182 atmosphere – ozone and three types of particles (soot/elemental carbon, organic  
183 carbon and sulfate) usually identified in scientific terms as aerosols<sup>5</sup>.

---

<sup>3</sup> Short-lived radiative species of interest have atmospheric lifetimes that range in the lower atmosphere from a day for nitrogen oxides, from a day to a week for most particles, and from a week to a month for ozone. Their concentrations are highly variable and concentrated in the lowest part of the atmosphere, primarily near their sources.

<sup>4</sup> Radiatively active species absorb and re-emit energy, thus changing the temperature of the atmosphere.

<sup>5</sup> Aerosols are very small airborne solid or liquid particles that reside in the atmosphere for at least several hour with the smallest remaining airborne for days.

184

185 Short-lived greenhouse gases and particles have received less attention than long-lived  
186 greenhouse gases in previous international assessments and were not explicitly treated in  
187 Synthesis and Assessment Product 2.1, but, as this report describes, they may affect the  
188 future climate in a substantial manner. Although sources of these pollutants tend to be  
189 localized, their impact is felt globally. This is of direct relevance to policy decisions  
190 regarding pollution, air quality and climate change.

191

## 192 **Readers Guide to Synthesis and Assessment Product 3.2**

193 The report includes an Executive Summary and four Chapters.

194

195 **Executive Summary** presents the key results and findings and recommends four critical  
196 areas of future research. It is written in non-technical language and is intended to be  
197 accessible to all audiences.

198

199 **Chapter 1** provides an Introduction to this study and is intended to provide all audiences  
200 with a general overview. It is written in non-technical language, which should be  
201 accessible to all readers with an interest in climate change. It includes background  
202 material, discusses the scope of and motivation for this study, addresses its goals and  
203 objectives, and identifies the issues that are not addressed. It also contains two Boxes,  
204 one providing non-technical definitions of important terms and the other containing a  
205 clear concise description of the computer models employed in this study.

206

207 **Chapter 2** focuses on the long-lived greenhouse gases and a set of emission scenarios  
208 provided by Synthesis and Assessment Product 2.1. The Statement of Findings and the  
209 Introductory section 2.1 are written in non-technical language and intended for the  
210 general reader. The remainder of the Chapter 2 provides detailed technical information  
211 about specific computer models, the resulting climate simulations and a detailed  
212 interpretation of the results. It is intended primarily for the scientific community.

213

214 A simplified global climate model, MAGICC<sup>6</sup>, is used to simulate globally-averaged  
215 surface temperature increases for the stabilization scenarios and the results are assessed in  
216 the context of the most recent Intergovernmental Panel on Climate Change (IPCC) report  
217 (4<sup>th</sup> Assessment Report, Working Group 1). These comparisons are used to answer the  
218 first four questions posed in our Prospectus:

219

- 220 1. Do SAP 2.1a emission scenarios differ significantly from IPCC emission  
221 scenarios?
- 222 2. If the SAP 2.1a emission scenarios do fall within the envelope of emission  
223 scenarios previously considered by the IPCC, can the existing IPCC climate  
224 simulations be used to estimate 50 -100 year climate responses for the CCSP 2.1  
225 carbon dioxide emission scenarios?
- 226 3. What would be the changes to the climate system under the scenarios being put  
227 forward by SAP 2.1a?

---

<sup>6</sup> MAGICC is a two component numerical model consisting of a highly simplified representation of a climate model coupled to an equally simplified representation of the atmospheric composition of radiatively active species. This model is adjusted, based on the results of more complex climate models, to make representative predictions of global mean surface temperature and sea-level rise.

228 4. For the next 50 to 100 years can the climate projections using the emissions from  
229 SAP 2.1a be distinguished from one another or from the scenarios recently  
230 studied by the IPCC?  
231

232 **Chapter 3** attempts to assess the direction, magnitude and duration of future climate  
233 impacts due to changing levels of short-lived radiative active species of human-caused  
234 origin. This is an area of research that is still at the initial stages of exploration and which  
235 4<sup>th</sup> Assessment Report, as well as previous IPCC reports, investigated only superficially.  
236

237 First the stabilization emission scenarios and models used to generate them are discussed.  
238 Next the chemical composition models<sup>7</sup> used to produce the global distributions of short-  
239 lived species that help to drive the climate models are introduced. 21<sup>st</sup> century climate is  
240 then simulated with three state-of-the-art comprehensive climate models<sup>8</sup>, and the results  
241 are then used to address the four questions raised in the second section of our Prospectus:  
242

- 243 1. What are the impacts of the radiatively active short-lived species not explicitly the  
244 subject of SAP 2.1a?
- 245 2. How do the impacts of short-lived species compare with those of the well-mixed  
246 green house gases as a function of the time horizon examined?

---

<sup>7</sup> Chemical composition models are state-of-the-art numerical models that use the emission of gases and particles as inputs and simulate their chemical interactions, global transport by the winds, and removal by rain, snow and deposition to the earth's surface. The resulting outputs are global three-dimensional distributions of the initial gases and particles and their products.

<sup>8</sup> Comprehensive climate models are a numerical representation of the climate based on the physical properties of its components, their interactions and feedback processes. Coupled atmosphere/ocean/sea-ice General Circulation Models (AOGCMs) represent our current state-of-the-art.

247 3. How do the regional impacts of short-lived species compare with those of long-  
248 lived gases in or near polluted areas?

249 4. What might be the climate impacts of mitigation actions taken to reduce the  
250 atmospheric levels of short-lived species to address air quality issues?

251

252 The Statement of Findings and the Introductory section 3.1 are written in non-technical  
253 language and intended for the general reader. The remainder of the chapter provides  
254 detailed technical information about the models, the resulting climate simulations and our  
255 interpretation of the results. It is intended primarily for the scientific community.

256

257 **Chapter 4** provides a summary of the major findings, identifies a number of scientific  
258 issues and questions that arise from our study, and identifies new opportunities for future  
259 research. The four most critical areas identified by this study are:

260

- 261 1. The projection of future human-caused emissions for the short-lived species;
- 262 2. The of indirect and direct effects of particulates and mixing between particulate  
263 types;
- 264 3. Transport, deposition, and chemistry of the short lived species.
- 265 4. Regional climate forcing vs. regional climate response.

266

267 We have written Chapter 4, as much as is possible, in non-technical language and it is  
268 intended for all audiences.