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CCSP Synthesis and Assessment Product 1.2

Past Climate Variability and Change in the Arctic and at High Latitudes

Chapter 1 — Executive Summary

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13 **1.1 Introduction**

14

15 **Paleoclimate records** play a key role in our understanding of Earth’s past and present
16 climate system and in our confidence in predicting future climate changes. Paleoclimate data
17 help to elucidate past and present active mechanisms of climate change by placing the short
18 instrumental record into a longer term context and by permitting **models** to be tested beyond the
19 limited time that instrumental measurements have been available.

20 Recent observations in the Arctic have identified large ongoing changes and important
21 **climate feedback mechanisms** that multiply the effects of global-scale climate changes. Ice is
22 especially important in these “Arctic amplification” processes, which also involve the ocean, the
23 atmosphere, and the land surface (vegetation, soils, and water). As discussed in this report,
24 paleoclimate data show that land and sea ice have grown with cooling temperatures and have
25 shrunk with warming ones, amplifying temperature changes while causing and responding to
26 ecosystem shifts and sea-level changes.

27

28 **1.2 Major Questions and Related Findings**

29

30 *How have temperature and precipitation changed in the Arctic in the past? What does this tell*
31 *us about Arctic climate that can inform projections of future changes?*

32 The Arctic has undergone dramatic changes in temperature and precipitation during the
33 past 65 million years (m.y.) (the Cenozoic Era) of Earth history. Arctic temperature changes
34 during this time exceeded global average temperature changes during both warm times and cold
35 times, supporting the concept of Arctic amplification.

36 At the beginning of the Cenozoic Era, 65 million years ago (**Ma**), there was no sea ice on
37 the Arctic Ocean, and neither Greenland nor Antarctica supported an ice sheet. General cooling
38 since that time is attributed mainly to a slow decrease in **greenhouse gases**, especially carbon
39 dioxide, in the atmosphere. Ice developed during this slow, “bumpy” cooling, first as mountain
40 glaciers and as seasonal sea ice with the first continental ice sheet forming over Antarctica as
41 early as 33 Ma ago. Following a global warm period about 3.5 Ma in the middle Pliocene, when
42 extensive deciduous forests grew in Arctic regions now occupied by **tundra**, further cooling
43 crossed a threshold about 2.6 Ma, allowing extensive ice to develop on Arctic land areas and thus
44 initiating the Quaternary ice ages. This ice has responded to persistent features of Earth’s orbit
45 over tens of thousands of years, growing when sunshine shifted away from the Northern
46 Hemisphere and melting when northern sunshine returned. These changes were amplified by
47 feedbacks such as **greenhouse-gas** concentrations that rose and fell as the ice shrank and grew,
48 and by the greater reflection of sunshine caused by more-extensive ice. Human civilization has
49 developed during the most recent of the relatively warm **interglacials**, the Holocene (about 11.5
50 thousand years ago (**ka**) to the present). The penultimate warm interval, about 130–120 ka,
51 received somewhat more Northern-Hemisphere summer sunshine than the Holocene owing to
52 differences in Earth’s orbital configuration. Because this more abundant summer sunshine
53 warmed the Arctic summer about 5°C above recent temperatures, the Greenland Ice Sheet was
54 substantially smaller than its current size and almost all glaciers melted completely at that time.

55 The last glacial maximum peaked at about 20 ka when the Arctic was about 20°C colder
56 than at present. Ice recession was well underway by 16 ka, and most of the Northern Hemisphere
57 ice sheets melted by 7 ka. Summer sunshine rose steadily from 20 ka to a maximum (10% higher
58 than at present due to the Earth’s orbit) about 11 ka ago, and has been decreasing since then. The

59 extra energy received in summer in the early Holocene resulted in warmer summers throughout
60 the Arctic. Summer temperatures were 1°–3°C above 20th century averages, enough to
61 completely melt many small glaciers in the Arctic and to slightly shrink the ice sheet on
62 Greenland. Summer sea-ice limits were significantly less than their 20th century average. As
63 summer sunshine decreased in the second half of the Holocene, glaciers re-established or
64 advanced, and sea ice became more extensive. Late Holocene cooling reached its nadir during
65 the Little Ice Age (about 1250–1850 AD), when most Arctic glaciers reached their maximum
66 Holocene extent. The Little Ice Age temperature minimum may also have been augmented by
67 multiple large volcanic eruptions that lofted a reflective aerosol layer into the stratosphere at that
68 time. Subsequent warming during the 19th and 20th centuries has resulted in Arctic-wide glacier
69 recession, the northward advance of terrestrial ecosystems, and the reduction of perennial (year-
70 round) sea ice in the Arctic Ocean. These trends will continue if greenhouse gas concentrations
71 continue to increase into the future.

72 **Paleoclimate reconstructions** of Arctic temperatures compared with global temperature
73 changes during four key intervals during the past 4 m.y. allow a quantitative estimate of Arctic
74 amplification. These data suggest that Arctic temperature change is 3 to 4 times the global
75 average temperature change during both cold and warm departures.

76

77 *How rapidly have temperature and precipitation changed in the Arctic in the past? What do*
78 *these past rates of change tell us about Arctic climate that can inform projections of future*
79 *changes?*

80 As discussed with the previous question, climate changes on numerous time scales for various
81 reasons, and it has always done so. In general, longer-lived changes are somewhat larger but
82 much slower than shorter-lived changes.

83

84 Processes linked to **continental drift (plate tectonics)** have affected atmospheric and oceanic
85 currents and the composition of the atmosphere over tens of millions of years; in the Arctic, a
86 global cooling trend has switched conditions from being ice-free year-round near sea level to icy
87 conditions more recently. Within the icy times, variations in Arctic sunshine in response to
88 features of Earth's orbit have caused regular cycles of warming and cooling over tens of
89 thousands of years that were roughly half the size of the continental-drift-linked changes. This
90 "glacial-interglacial" cycling was amplified by colder times bringing reduced greenhouse gases
91 and greater reflection of sunlight, especially from expanded ice-covered regions. This glacial-
92 interglacial cycling has been punctuated by sharp-onset, sharp-end (in as little as 1–10 years)
93 **millennial oscillations**, which near the North Atlantic were roughly half as large as the glacial-
94 interglacial cycling but which were much smaller Arctic-wide and beyond. The current warm
95 period of the glacial-interglacial cycling has been influenced by cooling events from single
96 volcanic eruptions, slower but longer lasting changes from random fluctuations in frequency of
97 volcanic eruptions and from weak solar variability, and perhaps by other classes of events. Very
98 recently, human effects have become evident, not yet showing both size and duration that exceed
99 peak values of natural fluctuations further in the past, but with projections indicating that human
100 influences could become anomalous in size and duration and, hence, in speed.

101

102 *What does the paleoclimate record tell us about the past size of the Greenland Ice Sheet and*
103 *its implications for sea level changes?*

104 The paleo-record shows that the *Greenland Ice Sheet* has consistently lost mass and
105 contributed to sea-level rise when the climate warmed, and has grown and contributed to sea-
106 level fall when the climate cooled. This occurred even at times when offsetting effects from
107 elsewhere in the climate system caused the net sea-level change around Greenland to be
108 negligible, and so these changes in the ice sheet cannot have been caused primarily by sea-level
109 change. In contrast, no changes in the ice sheet have been documented independent of
110 temperature changes. Moreover, snowfall has increased with major climate warmings, but the ice
111 sheet lost mass nonetheless; increased accumulation in the ice sheet center was not sufficient to
112 counteract increased melt and flow near the edges. Most of the documented changes (of both ice
113 sheet and **forcings**) spanned multi-millennial periods, but limited data show rapid responses to
114 rapid forcings have also occurred. In particular, regions near the ice margin have been observed
115 to respond within a few decades or less. However, major changes of the ice sheet are thought to
116 take centuries to millennia, and this is supported by the limited data.

117 The paleo-record does not yet give any strong constraints on how rapidly a near-complete loss of
118 the ice sheet could occur, although the paleo-data indicate that onset of shrinkage will be
119 essentially immediate after forcings begin. The available evidence suggests such a loss requires
120 a sustained warming of at least 2-7°C above mean 20th century values, but this threshold is
121 poorly defined. The paleo-archives are sufficiently sketchy that temporary ice sheet growth in
122 response to warming, or changes induced by factors other than temperature, could have occurred
123 without being recorded.

124

125 *What does the paleoclimate record tell us about past changes in Arctic sea ice cover, and what*
126 *implications does this have for consideration of recent and potential future changes?*

127 Although incomplete, existing data outline the development of Arctic sea-ice cover from
128 the ice-free conditions of the early Cenozoic. Some data indicate that sea ice has covered at least
129 part of the Arctic Ocean for the last 13–14 million years, and it has been most extensive during
130 the last several million years in relationship with Earth’s overall cooler climate. Other data argue
131 against the development of perennial (year-round) sea ice until the most recent 2 – 3 million
132 years. Nevertheless, episodes of considerably reduced ice cover, or even a seasonally ice-free
133 Arctic Ocean, probably punctuated even this latter period. Warmer climates associated with the
134 **orbitally-paced** interglacials promoted these episodes of diminished ice. Ice cover in the Arctic
135 began to diminish in the late 19th century and this shrinkage has accelerated during the last
136 several decades. Shrinkages that were both similarly large and rapid have not been documented
137 over at least the last few thousand years, although the paleoclimatic record is sufficiently sparse
138 that similar events might have been missed. Orbital changes have made ice melting less likely
139 than during the previous millennia since the end of the last ice age, making the recent changes
140 especially anomalous. Improved reconstructions of sea-ice history would help clarify just how
141 anomalous these recent changes are.

142

143 **1.3 Recommendations**

144

145 Paleoclimatic data on the Arctic are generated by numerous international investigators
146 who study a great range of archives throughout the vast reaches of the Arctic. The value of this
147 diversity is evident in this report. Many of the key results of this report rest especially on the

148 outcomes of community-based syntheses, including the CAPE Project, and multiply replicated,
149 heavily sampled archives such as the central Greenland deep ice cores. Results from the ACEX
150 deep coring in Arctic Ocean sediments were appearing as this report was being written. These
151 results are quite valuable and will become more so with synthesis and replication, including
152 comparison with land-based and marine records. The number of questions answered, and raised,
153 by this one new data set shows how sparse the data are on many aspects of Arctic paleoclimatic
154 change. *Future research should maintain and expand the diversity of investigators,*
155 *techniques, archives, and geographic locations, while promoting development of community-*
156 *based syntheses and multiply replicated, heavily sampled archives. Only through breadth and*
157 *depth can the remaining uncertainties be reduced while confidence in the results is improved.*

158

159 The questions asked of this study by the CCSP are relevant to public policy and require
160 answers. The answers provided here are, we hope, useful and informative. However, we
161 recognize that despite the contributions of many community members to this report, in many
162 cases a basis was not available in the refereed scientific literature to provide answers with the
163 accuracy and precision desired by policymakers. *Future research activities in Arctic*
164 *paleoclimate should address in greater detail the policy-relevant questions motivating this*
165 *report.*

166

167 Paleoclimatic data provide very clear evidence of past changes in important aspects of the
168 Arctic climate system. The ice of the *Greenland Ice Sheet*, smaller glaciers and ice caps, the
169 Arctic Ocean, and in soils is shown to be vulnerable to warming, and Arctic ecosystems are
170 strongly affected by changing ice and climate. National and international studies generally

171 project rapid warming in the future. If this warming occurs, the paleoclimatic data indicate that
172 ice will melt and associated impacts will follow, with implications for ecosystems and
173 economies. *The results presented here should be utilized by science managers in the design of*
174 *monitoring, process, and model-projection studies of Arctic change and linked global*
175 *responses.*

1 **CCSP Synthesis and Assessment Product 1.2**
2 **Past Climate Variability and Change in the Arctic and at High Latitudes**

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4 **Chapter 2 — Preface: Why and How to Use This Synthesis and Assessment**
5 **Report**

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16 **2.1 Introduction**

17

18 The U.S. Climate Change Science Program (CCSP), a consortium of Federal agencies
19 that investigate climate, has established a Synthesis and Assessment Program as part of its
20 Strategic Plan. A primary objective of the CCSP is to provide the best science-based knowledge
21 possible to support public discussion and government- and private-sector decisions about the
22 risks and opportunities associated with changes in climate and in related environmental systems
23 (U.S. Climate Change Science Program, 2007). The CCSP has identified an initial set of 21
24 Synthesis and Assessment Products (SAPs) that address the highest-priority research,
25 observation, and information needed to support decisions about issues related to climate change.
26 This assessment, SAP 1.2, focuses on the evidence for and record of past climate change in the
27 Arctic. This SAP is one of 3 reports that address the climate-variability-and-change research
28 element and Goal 1 of the CCSP Strategic Plan to improve knowledge of the Earth’s past and
29 present climate and environment, including its natural variability, and improve understanding of
30 the causes of observed variability and change.

31

32 The development of an improved understanding of natural, long-term cycles in climate
33 is one of the primary goals of the climate research element and Goal 1 of the CCSP. The Arctic
34 region of Earth, by virtue of its sensitivity to the effects of climate change through strong climate
35 feedback mechanisms, has a particularly informative paleoclimate record. Because mechanisms
36 operating in the Arctic and at high northern latitudes are also linked to global climate
37 mechanisms, an examination of how Arctic climate has changed in the past is also globally
38 informative.

39

40 **2.2 Motivation for this Report**

41

42 **2.2.1 Why Does the Past Matter?**

43 Paleoclimate records play a key role in our understanding of Earth’s past and present
44 climate system and in predicting future climate changes. Paleoclimate data help to elucidate past
45 and present active mechanisms by permitting computer-based models to be tested beyond the
46 short period (less than 250 years) of instrumental records. Paleo-records also provide quantitative
47 estimates of the magnitude of the polar amplification of (more intense response to) climate
48 change. These estimates can also be used to evaluate polar amplification derived from model
49 simulations of past and future climate changes.

50 This important role of paleoclimate records is recognized, for example, by inclusion of
51 paleoclimate as Chapter 6 of the 11-chapter Fourth Assessment Report of Working Group I
52 (AR4-I) of the Intergovernmental Panel on Climate Change (IPCC), and by the extensive
53 references to paleoclimatic data in climate change reports of the U.S. National Research Council,
54 such as *Climate Change Science: An Analysis of Some Key Questions* (Cicerone et al., 2001).

55 The pre-instrumental context of Earth’s climate system provided by paleodata strengthens
56 the interlocking web of evidence that supports scientific results regarding climate change. For
57 example, in considering whether fossil-fuel burning is an important contributor to the recent rise
58 in atmospheric carbon-dioxide concentrations, researchers must determine and quantify global
59 sources and sinks of carbon in Earth’s overall carbon budget. But one can also ask whether the
60 change of atmospheric carbon-dioxide concentrations observed in the instrumental record for the
61 past 100 years falls inside or outside the range of natural variability as revealed in the paleo-

62 record and, if inside, whether the timing of changes in carbon dioxide levels matches any known
63 natural cycles that can explain them. Answers to such questions must come from paleoclimate
64 data, because the instrumental record is much too short to characterize the full range of natural
65 fluctuations.

66 Testing and validation of climate models requires the use of several techniques, as
67 described in Chapter 8 of IPCC AR4-I (2007) The specific role of paleoclimate information is
68 described there: “Simulations of climate states from the more distant past allow models to be
69 evaluated in regimes that are significantly different from the present. Such tests complement the
70 ‘present climate’ and ‘instrumental period climate’ evaluations, because 20th century climate
71 variations have been small compared with the anticipated future changes under forcing scenarios
72 derived from the IPCC *Special Report on Emission Scenarios* (SRES).”

73

74 **2.2.2 Why the Arctic?**

75 During the past century the planet has warmed, overall, by 0.74°C (0.56°–0.92°C)
76 (IPCC, 2007). Above land areas in the Arctic, air temperatures have warmed as much as 3°C
77 (exceeding 4°C in winter; Serreze and Francis, 2006) during the same period of time.
78 Instrumental records indicate that in the past 30 years, average temperatures in the Arctic have
79 increased at almost twice the rate of the planet as a whole. Attendant changes include reduced
80 sea ice, reduced glacier extent, increased coastal erosion, changes in vegetation and wildlife
81 habitats, and permafrost degradation. Global climate models incorporating the current trend of
82 increasing greenhouse gases project continued warming in the near future and a continued
83 amplification of global signals in the Arctic. . The sensitivity of the Arctic to changed forcing is

84 due to powerful positive feedbacks in the Arctic climate system. These feedbacks produce large
85 effects on Arctic climate while also having significant impacts on the global climate system.

86 This high degree of sensitivity makes the paleoclimate history of the Arctic especially
87 informative when one considers the issue of modern climate change. Summaries of recent

88 changes in the Arctic environment (e.g., ACIA, 2005; Richter-Menge et al., 2006) are based
89 primarily on observations and instrumental records. This report uses paleoclimate records to

90 provide a longer-term context for recent Arctic warming; that context allows us to better
91 understand the potential for future climate changes. Paleoclimate records provide a way to

- 92 • define the range of past natural variability in the Arctic and the magnitude of polar
93 amplification,
- 94 • evaluate the past rates of Arctic climate change (and thereby provide a long-term context for
95 current rates of change),
- 96 • identify past Arctic warm states that are potential analogs of future conditions,
- 97 • quantify the effects of abrupt perturbations (such as large injections of volcanic ash into the
98 atmosphere) and threshold behaviors, and
- 99 • gain insights into how the Arctic has behaved during past warm times by identifying critical
100 feedbacks and their mechanisms.

101

102 **2.3 Focus and Scope of this Synthesis Report (Geographic and Temporal)**

103

104 The content of this report follows from the prospectus developed early in its planning
105 (this prospectus is available at the CCSP website, <http://www.climatescience.gov>), and it is
106 focused on four topical areas in which the paleo-record can most strongly inform discussions of

107 climate change. These topics, each addressed in a separate chapter of this synthesis report, are:

- 108 • The history of past changes in Arctic temperature and precipitation,
- 109 • Past rates of change in the Arctic,
- 110 • The paleo-history of the Greenland Ice Sheet, and
- 111 • The paleo-history of sea ice in the Arctic.

112 In general, the temporal scope of this report covers the past 65 million years (m.y.) from the
113 early Cenozoic (65 Ma, million years ago) to the recent Holocene (today). Each chapter presents
114 information in chronological sequence from oldest to youngest. The degree of detail in the report
115 generally increases as one moves forward in time because the amount and detail of the available
116 information increases as one approaches the present. The geographic scope of this report,
117 although focused on the Arctic, includes some sub-Arctic areas especially in and near the North
118 Atlantic Ocean in order to make use of many relevant paleo-records from these regions.

119

120 The specific questions posed in the report are as listed below:

121 *1) How have temperature and precipitation changed in the Arctic in the past? What does this*
122 *tell us about Arctic climate that can inform projections of future changes?*

123 This report documents what is known of high-latitude temperature and precipitation
124 during the past 65 million years at a variety of time scales, using sedimentary, biological, and
125 geochemical **proxies**—indirect recorders—obtained largely from ice cores, lake sediment, and
126 marine sediment but also from sediment found in river and coastal bluffs and elsewhere.
127 Sedimentary deposits do not record climate data in the same way that a modern scientific
128 observer does, but climatic conditions control characteristics of many sediments, so these
129 sedimentary characteristics can serve as proxies for the climate that produced them (e.g.,

130 Bradley, 1999). (See Chapter 3 for a discussion of proxies.) Some of the many proxies routinely
131 used are :

- 132 • the character of organic matter,
- 133 • the isotopic geochemistry of minerals or ice,
- 134 • the abundance and types of macrofossils and microfossils, and
- 135 • the occurrence and character of specific chemicals (biomarkers) that record the
136 presence or absence of certain species and of the conditions under which those
137 species grew.

138 Historical records taken from diaries, notebooks, and logbooks are also commonly used to link
139 modern data with paleoclimate reconstructions.

140 The proxy records document large changes in the Arctic. As described in Chapter 4,
141 comparison of Arctic paleoclimatic data with records from lower latitude sites for the same time
142 period shows that temperature changes in the Arctic were greater than temperature changes
143 elsewhere (changes were “amplified”). This Arctic amplification occurred for climate changes
144 with different causes. Physical understanding shows that this amplification is a natural
145 consequence of features of the Arctic climate system.

146

147 ***2) How rapidly have temperature and precipitation changed in the Arctic in the past? What do***
148 ***these past rates of change tell us about Arctic climate that can inform projections of future***
149 ***changes?***

150 The climate record of Earth shows changes that operate on many time scales—tens of
151 millions of years for continents to rearrange themselves, to weeks during which particles from a
152 major volcanic eruption spread in the stratosphere and block the sun. This report summarizes

153 paleoclimate data on past rates of change in the Arctic and subarctic on all relevant time scales,
154 and it characterizes in particular detail the records of past abrupt changes that have had
155 widespread effects. This section of the report has been coordinated with CCSP Synthesis and
156 Assessment Product 3.4, the complete focus of which is on global aspects of abrupt climate
157 change.

158 The data used to assess rates of change in Chapter 5 are primarily the same as those used
159 to assess the magnitudes of change in Chapter 4. However, as discussed in Chapter 4, the
160 existence of high-time-resolution records that cannot always be synchronized exactly to other
161 records, and additional features of the paleoclimatic record, motivate separate treatment of these
162 closely related features of Arctic climate history.

163 Faster or less expected changes have larger effects on natural and human systems than do
164 slower, better anticipated changes (e.g., National Research Council, 2002). Comparison of
165 projected rates of change for the future (IPCC, 2007) with those experienced in the past can thus
166 provide insights to the level of impacts that may occur.. Chapter 5 summarizes rates of Arctic
167 change in the past, compares these with recent Arctic changes and to non-Arctic changes, and
168 assesses processes that contribute to the rapidity of some Arctic changes.

169

170 ***3) What does the paleoclimate record tell us about the past size of the Greenland Ice Sheet and***
171 ***its implications for sea level changes?***

172 Paleoclimate data allow us to reconstruct the size of the Greenland Ice Sheet at various
173 times in the past, and they provide insight to the climatic conditions that produced those changes.
174 This report summarizes those paleoclimate data and what they suggest about the mechanisms
175 that caused past changes and might contribute to future changes.

176 An ice sheet leaves tracks—evidence of its passage—on land and in the ocean; those
177 tracks show how far it extended and when it reached that extent, (e.g., Denton et al., 2005). On
178 land, moraines (primarily rock material), which were deposited in contact with the edges of the
179 ice, document past ice extents especially well. Beaches now raised out of the ocean following
180 retreat of ice that previously depressed the land surface, and other geomorphic indicators, also
181 preserve important information. Moraines and other ice-contact deposits in the ocean record
182 evidence of extended ice; isotopic ratios of shells that grew in the ocean may reveal input of
183 meltwater, and iceberg-rafted debris identified in sediment cores can be traced to source regions
184 supplying the icebergs (e.g., Hemming, 2004). The history of ice thickness can be traced by use
185 of moraines or other features on rock that projected above the level of the ice sheet, by the
186 history of land rebound following removal of ice weight, and by indications (especially total gas
187 content) in ice cores (Raynaud et al., 1997). Models can also be used to assimilate data from
188 coastal sites and help constrain inland conditions. This report integrates these and other sources
189 of information that describe past changes in the Greenland Ice Sheet.

190 Changes in glaciers and ice sheets, especially the Greenland Ice Sheet, have global
191 repercussions. Complete melting of the Greenland Ice Sheet would raise global sea level by 7
192 meters (m); even partial melting would flood the world’s coasts (Lemke et al., 2007). Freshwater
193 from melting ice-sheets delivered to the oceans in sensitive regions—the North Atlantic Ocean,
194 for example—could contribute to changes in extent of sea ice, ocean circulation, and climate and
195 could produce strong regional and possibly global effects (Meehl et al., 2007).

196

197 ***4) What does the paleoclimate record tell us about past changes in Arctic sea ice cover, and***
198 ***what implications does this have for consideration of recent and potential future changes?***

199 This report documents past periods when the extent of Arctic sea ice was reduced, and
200 evaluates the scope, causes, and effects of these reductions (e.g., CAPE, 2006). The extent of
201 past sea ice and patterns of sea-ice drift are recorded in sediments preserved on the sea floor.
202 Sea-ice extent can also be reconstructed from fossil assemblages preserved in ancient beach
203 deposits along many Arctic coasts (Brigham-Grette and Hopkins, 1995; Dyke et al., 1996).

204 Recent advances in tapping the Arctic paleoceanographic archives, notably the first deep-
205 sea drilling in the central Arctic Ocean (Shipboard Scientific Party, 2005) and the 2005 Trans-
206 Arctic Expedition (Darby et al., 2005), have provided new, high-quality material with which to
207 identify and characterize warm, reduced-ice events of the past, which may serve as analogs for
208 possible future conditions (e.g., Holland *et al.*, 2006). Sea ice fundamentally affects the climate
209 and oceanography of the Arctic (e.g., Seager et al., 2002), the ecosystems, and human use. The
210 implications of reduced sea ice extend throughout the Arctic and beyond, and they bear on such
211 issues as national security and search-and-rescue (National Research Council, 2007).

212

213 **2.4 Report and Chapter Structure**

214

215 This report is organized into five primary technical chapters. The first of these (Chapter
216 3) provides a conceptual framework for the information presented in the succeeding chapters,
217 each of which focuses on one of the topics described above. Chapter 3 also contains information
218 on the standardized use of time scales and geological terminology in this report.

219 Each of the topical chapters (Chapters 4 through 7) answers, in this order, the questions
220 “Why, how, what, and so what?” The “Why” or opening introductory segment for each chapter
221 outlines the relevance of the topic to the issue of modern climate change. The “How” segment

222 discusses the sources and types of data compiled to build the paleoclimate record and the
223 strengths and weaknesses of the information. The ““What”” segment is the paleo-record
224 information itself, presented in chronological order, oldest to most recent. The final “So what”
225 segment discusses the significance of the material contained in the chapter and its relevance to
226 current climate change. Each technical chapter is preceded by an abstract that outlines the
227 principal conclusions contained in the body of the chapter itself. Bolded words in the text
228 indicate entries in the technical glossary at the end of this report. Italicized locations in the text
229 can be found on the index map. This map includes locations referred to in the text that are
230 located above 64° north latitude.

231

232 **2.5 The Synthesis and Assessment Product Team**

233

234 Four of the Lead Authors of this report were constituted as a Federal Advisory
235 Committee (FAC) that was charged with advising the U.S. Geological Survey and the CCSP on
236 the scientific and technical content related to the topic of the paleoclimate history of the Arctic as
237 described in the SAP 1.2 prospectus. (See Public Law 92-463 for more information on the
238 Federal Advisory Committee Act; see the GSA website <http://fido.gov/facadatabase/> for specific
239 information related to the SAP 1.2 Federal Advisory Committee.) The FAC for SAP 1.2 acquired
240 input from more than 30 contributing authors in five countries. These authors provided
241 substantial content to the report, but they did not participate in the Federal Advisory Committee
242 deliberations upon which this SAP was developed.

243

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CCSP Synthesis and Assessment Product 1.2

Past Climate Variability and Change in the Arctic and at High Latitudes

Chapter 3 — Paleoclimate Concepts

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14 **ABSTRACT**

15

16 Interpretation of paleoclimate records requires an understanding of Earth's climate
17 system, the causes (forcings) of climate changes, and the processes that amplify (positive
18 feedback) or damp (negative feedback) these changes. Paleoclimatologists reconstruct the history
19 of climate from proxies, which are those characteristics of sedimentary deposits that preserve
20 paleoclimate information. A great range of physical, chemical, isotopic, and biological
21 characteristics of lake and ocean sediments, ice cores, cave formations, tree rings, the land
22 surface itself, and more are used to reconstruct past climate. Ages of climate events are obtained
23 by counting annual layers, measuring effects of the decay of radioactive atoms, assessing other
24 changes that accumulate through time at rates that can be assessed accurately, and using time-
25 markers to correlate sediments with others that have had their ages measured more accurately.
26 Not all questions about the history of Earth's climate can be answered through paleoclimatology:
27 in some cases the necessary sediments are not preserved, or the climatic variable of interest is not
28 recorded in the sediments. Nonetheless, many questions can be answered from the available
29 information.

30 An overview of the history of Arctic climate over the past 65 million years (m.y.) shows
31 a long-term irregular cooling over tens of millions of years. As ice became established in the
32 Arctic, it grew and shrank over tens of thousands of years in regular cycles. During at least the
33 most recent of these cycles, shorter-lived large and rapid fluctuations occurred, especially around
34 the North Atlantic Ocean. The last 11,000 years or so have remained generally warm and
35 relatively stable, but with small climate changes of varying spacing and size. Assessment of the
36 causes of climate changes, and the records of those causes, shows that reduction in atmospheric
37 carbon-dioxide concentration and changes in continental positions were important in the cooling

38 trend over tens of millions of years. The cycling in ice extent was paced by features of Earth's
39 orbit and amplified by the effects of the ice itself, changes in carbon dioxide and other
40 greenhouse gases, and additional feedbacks. Abrupt climate changes were linked to changes in
41 the circulation of the ocean and the extent of sea ice. Changes in the Sun's output and in Earth's
42 orbit, volcanic eruptions, and other factors have contributed to the natural climate changes since
43 the end of the last ice age.

44

44 **3.1 Introduction**

45 Most people notice the weather. Day to day, week to week, and even year to year,
46 changes in such parameters as minimum and maximum daily temperatures, precipitation
47 amounts, wind speeds, and flood levels are all details about the weather that nearly everyone
48 shares in daily conversations. When all else fails, most people can talk about the weather.

49 Evaluating longer-term trends in the weather (tens to hundreds of years or even longer) is
50 the realm of climate science. *Climate* is the average weather, usually defined as the average of
51 the past 30 years. *Climate change* is the long-term change of the average weather, and climate
52 change is the focus of this assessment report. While most people accept that the weather is
53 always changing on the time scale of recent memory, geologists reconstruct climate on longer
54 time scales and use these reconstructions to help understand why climate changes. This improved
55 understanding of Earth’s climate system informs our ability to predict future climate change.
56 Reconstructions of past climate also allow us to define the range of natural climate variability
57 throughout Earth’s history. This information helps scientists assess whether climate changes
58 observable now may be part of a natural cycle or whether human activity may play a role. The
59 relevance of climate science lies in the recognition that even small shifts in climate can and have
60 had sweeping economic and societal effects (Lamb, 1997; Ladorie, 1971).

61 Indications of past climate, called climate proxies, are preserved in geological records;
62 they tell us that Earth’s climate has rarely been static. For example, during the past 70 million
63 years (“m.y.”), of Earth history, large changes have occurred in average global temperature and
64 in temperature differences between tropical and polar regions, as well as ice-age cycles during
65 which more than 100 m of sea level was stored on land in the form of giant continental ice sheets
66 and then released back to the ocean by melting of that ice. Climate change includes long-term

67 trends lasting tens of millions of years, and abrupt shifts occurring in as little as a decade or less,
68 both of which have resulted in large-scale reorganizations of oceanic and atmospheric circulation
69 patterns. As we discuss in the following sections, these climate changes are understood to be
70 caused by combinations of the drifting of continents and mountain-building in response to plate-
71 tectonic forces that cause continental drift and mountain-building forces, variations in Earth's
72 orbit about the Sun, and changes in atmospheric greenhouse gases, solar irradiance, and
73 volcanism, all of which can be amplified by powerful positive feedback mechanisms, especially
74 in the Arctic. Documenting past climates and developing scientific explanations of the observed
75 changes (paleoclimatology) inform efforts to understand the climate, reveal features of
76 importance that must be included in predictive models, and allow testing of the models
77 developed.

78 An overview of key climate processes is provided here, followed by a summary of
79 techniques for reconstructing past climatic conditions. Additional details pertaining to specific
80 aspects of the Arctic climate system and its history are presented in the subsequent chapters.

81

82 **3.2 Forcings, Feedback, and Variability**

83 An observed change in climate may depend on more than one process. Tight linkages and
84 interactions exist between these processes, as described below, but it is commonly useful to
85 divide these processes into three categories: internal variability, forcings, and feedbacks. (For
86 additional information, see Hansen et al., 1984, Peixoto and Oort, 1992; or IPCC, 2007 among
87 other excellent sources.)

88 Internal variability is familiar to weather watchers: if you don't like the weather now,
89 wait for tomorrow and something different may arrive. Even though the Sun's energy, Earth's

90 orbit, the composition of the atmosphere, and many other important controls are the same as
91 yesterday, different weather arrives because complex systems exhibit fluctuations within
92 themselves. This variability tends to average out over longer time periods, so climate is less
93 variable than weather; however, even the 30-year averages typically used in defining the climate
94 vary internally. For example, without any external cause, a given 30-year period may have one
95 more El Niño event in the Pacific Ocean, and thus slightly warmer average temperatures, than
96 the previous 30-year period.

97 Forced changes are caused by an event outside the climate system. If the Sun puts out
98 more energy, Earth will warm in response. If fewer volcanoes than average erupt during a given
99 century, then less sunlight than normal will be blocked by particles from those volcanoes, and
100 Earth's surface will warm in response. If burning fossil fuel raises the carbon-dioxide
101 concentration of the atmosphere, then more of the planet's outgoing radiation will be absorbed
102 by that carbon dioxide, and Earth's surface will warm in response. Depending on often-random
103 processes, different forcings may combine to cause large climate swings or offset to cause
104 climate changes to be small.

105 When one aspect of climate changes, whether in response to some forcing or to internal
106 variability, other parts of the climate system respond, and these responses may affect the climate
107 further; if so, then these responses are called feedbacks. How much the temperature changes in
108 response to a forcing of a given magnitude (or in response to the net magnitude of a set of
109 forcings) depends on the sum of all of the feedbacks. Feedbacks can be characterized as positive,
110 serving to amplify the initial change, or negative, acting to partially offset the initial change.

111 As an example, some of the sunshine reaching Earth is reflected back to space by snow
112 without warming the planet. If warming (whether caused by an El Niño, increased output from

113 the Sun, increased carbon dioxide concentration in the atmosphere, or anything else) melts snow
114 and ice that otherwise would have reflected sunshine, then more of the Sun’s energy will be
115 absorbed, causing additional warming and the melting of more snow and ice. This additional
116 warming is a feedback (usually called the ice-albedo feedback). This ice-albedo feedback is
117 termed a positive feedback, because it amplifies the initial change.

118

119 **3.2.1 The Earth’s Heat Budget—A Balancing Act**

120 On time scales of hundreds to thousands of years, the energy received by the Earth from
121 the Sun and the energy returned to space balance almost exactly; imbalance between incoming
122 and outgoing energy is typically less than 1% over periods as short as years to decades. (Figure
123 3.1). This state of near-balance is maintained by the very strong negative feedback linked to
124 thermal radiation. All bodies “glow” (send out radiation), and warmer bodies glow more brightly
125 and send out more radiation than cooler ones. (Watching the glow of a burner on an electric
126 stove become visible as it warms shows this effect very clearly.) Some of the Sun’s energy
127 reaching Earth is reflected without causing warming, and the rest is absorbed to warm the planet.
128 The warmer the planet, the more energy it radiates back to space. A too-cold planet (that is, a
129 planet colder than the temperature at which it would be in equilibrium) will receive more energy
130 than is radiated, causing the planet to warm, thus increasing radiation from the planet until the
131 incoming and outgoing energy balance. Similarly, a too-warm planet will radiate more energy
132 than is received from the Sun, producing cooling to achieve balance. Greenhouse gases in the
133 atmosphere block some of the outgoing radiation, transferring some of the energy from the
134 blocked radiation to other air molecules to warm them, or radiating the energy up or down. The
135 net effect is to cause the lower part of the atmosphere (the troposphere) and the surface of the

136 planet to be warmer than they would have been in the absence of those greenhouse gases. The
137 global average temperature can be altered by changes in the energy from the Sun reaching the
138 top of our atmosphere, in the reflectivity of the planet (the planet's albedo), or in strength of the
139 greenhouse effect..

140

141

FIGURE 3.1 NEAR HERE

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143 Equatorial regions receive more energy from space than they emit to space, polar regions
144 emit more energy to space than they receive, and the atmosphere and ocean transfer sufficient
145 energy from the equatorial to the polar regions to maintain balance (for additional information
146 see Nakamura and Oort, 1988, Peixoto and Oort, 1992, and Serreze et al., 2007).

147

148 Important forcings described later in this section include changes in the Sun; cyclical
149 features of Earth's orbit (Milankovitch forcing); changes in greenhouse gas concentrations in
149 Earth's atmosphere; the shifting shape, size, and positions of the continents (plate tectonics);
150 biological processes; volcanic eruptions; and other features of the climate system. Other possible
151 forcings, such as changes in cosmic rays or in blocking of sunlight by space dust, cannot be ruled
152 out entirely but do not appear to be important.

153

154 **3.2.2 Solar Irradiance Forcing**

155 **3.2.2a Effects of the Aging of the Sun**

156 Energy emitted by the Sun is the primary driver of Earth's climate system. The Sun's
157 energy, or irradiance, is not constant, and changes in solar irradiance force changes in Earth's
158 climate. Our understanding of the physics of the Sun indicates that during Earth's 4.6-billion-

159 year history, the Sun’s energy output should have increased smoothly from about 70% of modern
160 output (see, for example, Walter and Barry, 1991). (Direct paleoclimatic evidence of this
161 increase in solar output is not available.) During the last 100 m.y., changes in solar irradiance are
162 calculated to have been less than 1%, or less than 0.000001% per century. Therefore, the effects
163 of the Sun’s aging have no bearing on climate change over time periods of millennia or less. For
164 reference, the 0.000001% per century change in output from aging of the Sun can be compared
165 with other changes, for example:

- 166 • maximum changes of slightly under 0.1% over 5 to 6 years as part of the sunspot cycle
167 (Foukal et al., 2006);
- 168 • the estimated increase from the year 1750 to 2005 in solar output averaged across sunspot
169 cycles, which also is slightly under 0.1% (Forster et al., 2007; see below); and
- 170 • the warming effect of carbon dioxide added to the atmosphere from 1750 to 2005.

171 This addition is estimated to have had the same warming effect globally as an increase in
172 solar output of ~0.7% (Forster et al., 2007), and thus it is much larger than changes in
173 solar irradiance during this same time interval.

174

175 **3.2.2b Effects of Short-Term Solar Variability**

176 Earth-based observations and, in recent years, more-accurate space-based observations
177 document an 11-year solar cycle that results from changes within the Sun. Changes in solar
178 output associated with this cycle cause peak solar output to exceed the minimum value by
179 slightly less than 0.1% (Beer et al., 2006; Foukal et al., 2006; Camp and Tung, 2007). A satellite
180 thus measures a change from maximum to minimum of about 0.9 W/m^2 , out of an average of
181 about 1365 W/m^2 . This value is usually recalculated as a “radiative forcing” for the lower

182 atmosphere. It is divided by 4 to account for spreading of the radiation around the spherical Earth
183 and multiplied by about 0.7 to allow for the radiation that is directly reflected without warming
184 the planet (Forster et al., 2007). The climate response to this sunspot cycling has been estimated
185 as less than 0.1°C (Stevens and North, 1996) to almost 0.2°C (Camp and Tung, 2007). As
186 discussed by Hegerl et al. (2007), the lack of any trend in solar output over longer times than this
187 sunspot cycling, as measured by satellites, excludes the Sun as an important contributor to the
188 strong warming during the interval of satellite observations, but the solar variability may have
189 contributed weakly to temperature trends in the early part of the 20th century.

190 Over longer time frames, indirect proxies of solar activity (historical sunspot records,
191 tree-rings and ice-cores) also exhibit 11-year solar cycles as well as longer-term variability.
192 Common longer cycles are about 22, 88 and 205 years (e.g., Frohlich and Lean, 2004). The
193 historical climate record suggests that periods of low solar activity may be linked to climate
194 anomalies. For example, the solar minima known as the "Dalton Minimum" and the "Maunder
195 Minimum" (1790–1820 AD, and 1645–1715 AD, respectively) correspond to the relatively cool
196 conditions of the Little Ice Age, suggesting a role for changes in solar activity in the climate
197 anomalies (along with other influences; see Chapter 4). However, the magnitude of radiative
198 forcing that can be attributed to variations in solar irradiance remains debated (e.g., Baliunas and
199 Jastrow, 1990; Bard et al., 2000; Fleitmann, et al., 2003; Frolich and Lean, 2004; Amman et al.,
200 2007; Muscheler et al., 2007). An extensive summary of estimates of solar increase since the
201 Maunder Minimum is given by Forster et al. (2007), which lists a preferred value of a radiative
202 forcing of $\sim 0.2 \text{ W/m}^2$, although the report also lists older estimates of just less than 0.8 W/m^2 ,
203 still well below the estimated radiative forcing of the human-caused increase in atmospheric
204 carbon dioxide ($\sim 1.7 \text{ W/m}^2$) (IPCC, 2007).

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3.2.3 Orbital Forcing and Milankovitch Cycles

Irregularities in Earth’s orbital parameters, often referred to as “Milankovitch variations” or “Milankovitch cycles,” after the Serbian mathematician who suggested that these irregularities might control ice-age cycles, result in systematic changes in the seasonal and geographic distribution of incoming solar radiation (insolation) for the planet (Milankovitch, 1920, 1941). The Milankovitch cycles have almost no effect on total sunshine reaching the planet over time spans of years or decades; they have only a small effect on total sunshine reaching the planet over tens of thousands of years and longer; but they have large effects on north-south and summer-winter distribution of sunshine. These “Milankovitch variations” (Figure 3.2) are due to three types of changes: (1) the eccentricity (out-of-roundness) of Earth’s orbit around the Sun varies from nearly circular to more elliptical and back over about 100 thousand years (k.y.) (E in Figure 3.2); (2) the obliquity (how far the North Pole is tilted away from “straight up” out of the plane containing Earth’s orbit about the Sun) tilts more and then less over about 41 k.y. (T in Figure 3.2); and (3) the precession (the wobble of Earth’s rotational axis, moves Earth from its position closest to the Sun in the Northern-Hemisphere summer (the southern winter) to its position farthest from the Sun in the northern summer (the southern winter and back again in cycles of about 19–23 k.y. (P in Figure 3.2) (e.g., Loutre et al., 2004). These orbital features are linked to the influence of the gravity of Jupiter and the moon, among others, acting on Earth itself and on the bulge at the equator caused by Earth’s rotation. These features are relatively stable, and can be calculated for periods of millions of years with high accuracy. Paleoclimatic records show the influence of these changes very clearly (e.g., Imbrie et al., 1993).

228 FIGURE 3.2 NEAR HERE

229

230 The variations in eccentricity (orbital “out of roundness” or departure from circularity)
231 affect the total sunshine received by the planet in a year, but by less than 0.5% between extremes
232 (hence giving very small changes of less than 0.001% per century). The other orbital variations
233 have essentially no effect on the total solar energy received by the planet as a whole. However,
234 large variations do occur in energy received at a particular latitude and season (with offsetting
235 changes at other latitudes and in other seasons); changes have exceeded 20% in 10,000 years
236 (which is still only 0.2% per century, again with offsetting changes in other latitudes and seasons
237 so that the total energy received is virtually constant).

238 In the Arctic, the most important orbital controls are the tilt of Earth’s axis (T in Figure
239 3.2), where high tilt angles result in much more high-latitude insolation than do low tilt angles,
240 and the precession or wobble of Earth’s rotational axis (P in Figure 3.2). When Earth is closest to
241 the Sun at the summer solstice, insolation is significantly greater than when Earth is at its
242 greatest distance from the Sun at the summer solstice. For example, 11 thousand years ago (ka),
243 Earth was closest to the Sun at the Northern Hemisphere summer solstice, but the summer
244 solstice has been steadily moving toward the greatest distance from the Sun since then, such that
245 at present Northern Hemisphere summer occurs when Earth is almost the greatest distance from
246 the Sun, resulting in 9% less insolation in Arctic midsummers today than at 11 ka (Figure 3.3).
247 On the basis of this orbital consideration alone, Arctic summers should have been cooling during
248 this interval in response to the Earth’s precession.

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250 FIGURE 3.3 NEAR HERE

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253 3.2.4 Greenhouse Gases in the Atmosphere

254 Roughly 70% of the incoming solar radiation is absorbed by the planet, warming the
255 land, water, and air (Forster et al., 2007). Earth, in turn, radiates energy to balance what it
256 receives, but at a longer wavelength than that of the incoming solar radiation. Greenhouse gases
257 are those gases present in the atmosphere that allow incoming shortwave radiation to pass largely
258 unaffected, but that absorb some of Earth's outgoing longwave radiation band (Figure 3.1).
259 Greenhouse gases play a key role in keeping the planetary temperature within the range
260 conducive to life. In the absence of greenhouse gases in Earth's atmosphere, the planetary
261 temperature would be about -19°C (-2°F); with them, the average temperature is about 33°C
262 (about 57°F) higher (with constant albedo; Hansen et al., 1984; Le Treut et al., 2007). The
263 primary pre-industrial greenhouse gases include, in order of importance, water vapor, carbon
264 dioxide, methane, nitrous oxide, and tropospheric ozone. Concentrations of these gases are
265 directly affected by anthropogenic (human) activities, with the exception of water vapor as
266 discussed below. Purely anthropogenic recent additions to greenhouse gases include a suite of
267 halocarbons and fluorinated sulfur compounds (Ehhalt et al., 2001).

268 Typically, carbon dioxide is a less important greenhouse gas than water vapor near
269 Earth's surface. Changing the carbon-dioxide concentration of the atmosphere is relatively easy,
270 but changing the atmospheric concentration of water vapor to any appreciable degree is difficult
271 except by changing the temperature. Natural fluxes of water vapor into and out of the atmosphere
272 are very large, equivalent to a layer of water across the entire surface of Earth of about 2
273 cm/week (e.g., Peixoto and Oort, 1992); human perturbations to these fluxes are relatively very

274 small (Forster et al., 2007). However, the large ocean surface and moisture from plants provide
275 important water sources that can yield more water vapor to warmer air; relative humidity tends to
276 remain nearly constant as climate changes, so warming for any reason introduces more water
277 vapor to the air and increases the greenhouse effect in a positive feedback (Hansen et al., 1984;
278 Pierrehumbert et al., 2007). Hence, discussions of forcing of changes in climate focus especially
279 on carbon dioxide, and to a lesser degree on methane and other greenhouse gases, rather than on
280 water vapor (Forster et al., 2007).

281 Carbon dioxide concentrations in the atmosphere are tied into an extensive natural system
282 of terrestrial, atmospheric, and oceanic sources and sinks called the global carbon cycle (see
283 Prentice et al. (2001) in the IPCC 3rd Assessment Report for a comprehensive discussion). The
284 possible effect of increasing CO₂ levels in the atmosphere was first recognized by Arrhenius
285 (1896). By the 1930s, mathematical models linking greenhouse gases and climate change
286 (Callendar, 1938) projected that a doubling of atmospheric CO₂ concentration would increase the
287 mean global temperature by 2°C and would warm the poles considerably more. (Le Treut et al.
288 (2007) provides a detailed historical perspective on the recognition of Earth's greenhouse effect.)
289 By the 1970s, CH₄, N₂O and CFCs were widely recognized as important additional
290 anthropogenic greenhouse gases (Ramanathan, 1975).

291 The direct relationship between climate change and greenhouse gases such as CO₂ and
292 methane is clearly described by the recent Intergovernmental Panel on Climate Change report
293 (IPCC, 2007). Information summarized there highlights the likelihood that changes in
294 concentrations of greenhouse gases will especially affect the Arctic (Figure 3.4) and focuses
295 attention on greenhouse gases as well as other influences on the Arctic, as discussed in this
296 report especially in Chapter 4 (temperature and precipitation history).

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FIGURE 3.4 NEAR HERE

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301 **3.2.5 Plate Tectonics**

302 The drifting of continents (explained by the theory of plate tectonics) moves land masses

303 from equator to pole or the reverse, opens and closes oceanic “gateways” between land masses

304 thus redirecting ocean currents, raises mountain ranges that redirect winds, and causes other

305 changes that may affect climate. These changes can have very large local to regional effects

306 (moving a continent from the pole to the equator obviously will greatly change the climate of

307 that continent). Moving continents around may have some effect on the average global

308 temperature, in part through changes in the planet’s albedo (Donnadieu et al., 2006).

309 Processes linked to continental rearrangement can strongly affect global climate by

310 altering the composition of the atmosphere and thus the strength of the greenhouse effect,

311 especially through control of the carbon-dioxide concentration of the atmosphere (e.g., Berner,

312 1991; Royer et al., 2007). Over millions of years, the atmospheric concentration of carbon

313 dioxide is controlled primarily by the balance between carbon-dioxide removal through chemical

314 reactions with rocks near the Earth’s surface, and carbon-dioxide release from volcanoes or other

315 pathways involving melting or heating of rocks that sequester carbon dioxide. Because higher

316 temperatures cause carbon dioxide to react more rapidly with Earth-surface rocks, atmospheric

317 warming tends to speed removal of carbon dioxide from the air and thus to limit further

318 warming, in a negative feedback (Walker et al., 1981). Because the tectonic processes causing

319 continental drift control the rate of volcanism, and can change over millions of years, changes in
320 atmospheric carbon-dioxide concentration can be forced by the planet beneath.

321

322 **3.2.6 Biological Processes**

323 Biological processes can both absorb and release carbon dioxide, such that evolutionary
324 changes have contributed to atmospheric changes. For example, some carbon dioxide taken from
325 the air by plants is released by their roots into the soil, by respiration while living and by decay
326 after death. Thus, plants speed the reaction of atmospheric carbon dioxide with rocks (Berner,
327 1991; Beerling and Berner, 2005). This process could not have occurred on the early Earth
328 before the evolution of plants with roots.

329 Plants are composed in part of carbon dioxide removed from the atmosphere, and burning
330 (oxidation) of plants releases most of this carbon dioxide back to the atmosphere (minus the
331 small fraction that reacts with rocks in the soil). When plants are buried without burning and
332 altered to form fossil fuels, the atmospheric carbon-dioxide level is reduced; later, natural
333 processes may bring the fossil fuels back to the surface to decompose and release the stored
334 carbon dioxide. (Humans are greatly accelerating these natural processes; fossil fuels that
335 required hundreds of millions of years to accumulate are being burned in hundreds of years.)
336 Rapid burial favors preservation of organic matter, whereas dead things left on the surface will
337 decompose. Thus, changes in rates of sediment deposition linked to continental rearrangement
338 are among the processes that may affect the formation and breakdown of fossil fuels and thus the
339 strength of the atmospheric greenhouse effect.

340 Continents move more or less as rapidly as fingernails grow, so that a major reshuffling
341 of the continents requires about 100 million years, and the opening or closing of an oceanic

342 gateway may require millions of years (e.g., Livermore et al., 2007). Major evolutionary changes
343 have required millions of years or longer (e.g., d'Hondt, 2005). Thus, those changes in the
344 greenhouse effect that modified Earth's climate or were linked to continental drift or biological
345 evolution have been highly influential over time spans of tens of millions of years, but they have
346 had essentially no effect over shorter intervals of centuries or millennia. (Note that if one
347 considers hundreds of thousands of years or longer, an increase in volcanic activity may notably
348 increase carbon dioxide in the atmosphere, causing warming. However, volcanic release of
349 carbon dioxide is small enough that in a few millennia or less the changes in volcanic release
350 have not notably affected the carbon-dioxide concentration of the atmosphere. The main short-
351 term effect of an increase in volcanic eruptions is to cool the planet by blocking the Sun, as
352 discussed next.)

353

354 **3.2.7 Volcanic Eruptions**

355 Volcanic eruptions are an important natural cause of climate change on seasonal to multi-
356 decadal time scales. Large explosive volcanic eruptions inject both particles and gases into the
357 atmosphere. Particles are removed by gravity in days to weeks. Sulfur gases, in contrast, are
358 converted rapidly to sulfate aerosols (tiny droplets of sulfuric acid) that have a residence time in
359 the stratosphere of about 3 years and are transported around the world and poleward by
360 circulation within the stratosphere. Tropical eruptions typically influence both hemispheres,
361 whereas eruptions at middle to high latitudes usually affect only the hemisphere of eruption
362 (Shindell et al., 2004; Fischer et al., 2007). Consequently, the Arctic is affected primarily by
363 tropical and Northern Hemisphere eruptions.

364 The radiative and chemical effects of the global volcanic aerosol cloud produce strong
365 responses in the climate system on short time scales (see Figure 5.5) (Briffa et al., 1998; deSilva
366 and Zielinski, 1998; Oppenheimer, 2003). By scattering and reflecting some solar radiation back
367 to space, the aerosols cool the planetary surface, but by absorbing both solar and terrestrial
368 radiation, the aerosol layer also heats the stratosphere. A tropical eruption produces more heating
369 in the tropics than in the high latitudes and thus a steeper temperature gradient between the pole
370 and the equator, especially in winter. In the Northern Hemisphere winter, this steeper gradient
371 produces a stronger jet stream and a characteristic stationary tropospheric wave pattern that
372 brings warm tropical air to Northern Hemisphere continents and warms winter temperatures.
373 Because little solar energy reaches the Arctic during winter months, the transfer of warm air
374 from tropical sources to high latitudes has more effect on winter temperatures than does the
375 radiative cooling effect from the aerosols. However, during the summer months, radiative
376 cooling dominates, resulting in anomalously cold summers across most of the Arctic. The 1991
377 Mt. Pinatubo eruption in the Philippines resulted in volcanic aerosols covering the entire planet,
378 producing global-average cooling, but winter warming over the Northern Hemisphere continents
379 in the subsequent two winters (Stenchikov et al., 2004, 2006).

380 Three large historical Northern Hemisphere eruptions have been studied in detail: the 939
381 AD *Eldgjá (Iceland)*, 1783–1784 AD *Laki (Iceland)*, and 1912 AD *Novarupta (Katmai, Alaska)*
382 eruptions. All caused cooling of the Arctic during summer but no winter warming (Thordarson et
383 al., 2001; Oman et al., 2005, 2006).

384 When widespread stratospheric volcanic aerosols settle out, some of the sulfate falls onto
385 the Antarctic and Greenland Ice Sheets (Figure 3.5). Measurements of those sulfates present in
386 ice cores can be used to estimate the Sun-blocking effect of the eruption. Large volcanic

387 eruptions, especially those within a few decades of each other, are thought to have promoted
388 cooling during the Little Ice Age (about 1280–1850 AD) (Anderson et al., 2008). A
389 comprehensive review of the effects of volcanic eruptions on climate and of records of past
390 volcanism is provided by Robock (2000, 2007).

391

392

FIGURE 3.5 NEAR HERE

393

394 The effects of volcanic eruptions are clearly evident in ice-core records (e.g., Zielinski et
395 al., 1994); major eruptions cooled Greenland about 1°C for about 1 or 2 years as recorded in
396 Greenland ice cores (e.g., Stuiver et al., 1995) (Figure 3.6). Tree-ring records also support the
397 connection between climate and volcanic eruptions (LaMarche and Hirschbeck, 1984; Briffa et
398 al., 1998; D’Arrigo et al., 1999; Salzer and Hughes, 2007). The growth and shrinkage of the
399 great ice-age ice sheets, and the associated loading and unloading of Earth, may have affected
400 the frequency of volcanic eruptions somewhat (e.g., Maclennan et al., 2002), but in general the
401 recent timing of explosive volcanic eruptions appears to be random. There is no mechanism for a
402 volcano in, say, *Alaska* to synchronize its eruptions with a volcano in Indonesia; hence, volcanic
403 eruptions in recent millennia appear to have introduced unavoidable climatic “noise” as opposed
404 to controlling the climate in an organized way.

405

406

FIGURE 3.6 NEAR HERE

407

408 **3.2.8 Other influences**

409 Paleoclimatic records discount some speculative mechanisms of climate change. For
410 example, about 40,000 years ago natural fluctuations reduced the strength of Earth’s magnetic
411 field essentially to zero for about one millennium. The cosmic-ray flux into the Earth system
412 increased greatly, as recorded by a large peak in beryllium-10 in sedimentary records. However,
413 the climate record does not change in parallel with changes in beryllium-10, indicating that the
414 cosmic-ray increase had little or no effect on climate (Muscheler et al., 2005). Large changes in
415 concentration of extraterrestrial dust between Earth and Sun might lead to changes in solar
416 energy reaching Earth and thus to changes in climate; however, the available sedimentary
417 records show no significant changes in the rate of infall of such extraterrestrial dust (Winckler
418 and Fischer, 2006).

419 The climate is a complex, integrated system, and it operates through strong linked
420 feedbacks, internal variability, and numerous forcings. On time scales of centuries or less,
421 however, many of the drivers of past climate change—such as drifting continents, biological
422 evolution, aging of the Sun, and features of Earth’s orbit—have no discernible influence on the
423 climate. Small variations in climate appear to have been caused by small variations in the Sun’s
424 output, occasional short-lived cooling caused by explosive volcanic eruptions, and greenhouse-
425 gas changes have affected the planet’s temperature.

426

427 **3.3 Reading the History of Climate Through Proxies**

428 A modern historian trying to understand our human story cannot go back in time and
429 replay an important event. Instead, the historian must rely on indirect evidence: eyewitness
430 accounts (which may not be highly accurate), artifacts, and more. It is as if the historical figures,

431 who cannot tell their tale directly, have given their proxies to other people and other things to
432 deliver the story to the modern historian.

433 Historians of climate—paleoclimatologists—are just like other historians: they read the
434 indirect evidence that the past sends by proxy. All historians are aware of the strengths and
435 weaknesses of proxy evidence, of the value of weaving multiple strands of evidence together to
436 form the complete fabric of the story, of the necessity of knowing when things happened as well
437 as what happened, and of the ultimate value of using history to inform understanding and guide
438 choices.

439 Some of the proxy evidence used by paleoclimatologists would be familiar to more-
440 traditional historians. Written accounts of many different activities often include notes on the
441 weather, on the presence or absence of ice on local water bodies, and on times of planting or
442 harvest and the crops that grew or failed. If care is taken to account for the tendency of people to
443 report the rare rather than the commonplace, and to include the effects of changes in husbandry
444 and other issues, written records can contribute to knowledge of climate back through written
445 history. However, human accounts are lacking for almost all of Earth’s history. The
446 paleoclimatologist is forced to rely on evidence that is less familiar to most people than are
447 written records. Remarkably, these natural proxies may reveal even more than the written
448 records.

449

450 **3.3.1 Climate’s Proxies**

451 Much of the history of a civilization can be reconstructed from the detritus its people left
452 behind. Similarly, paleoclimate records are typically developed through analysis of sediment,
453 broadly defined. “Sediment” may include the ice formed as years of snowfall pile up into an ice

454 sheet, the mud accumulating at the bottom of the sea or a lake, the annual layers of a tree, the
455 thin sheets of mineral laid one on top of another to form a stalagmite in a cave, the piles of rock
456 bulldozed by a glacier, the piles of desert sand shaped into dunes by the wind, the odd things
457 collected and stored by packrats, and more (e.g., Crowley and North, 1991; Bradley, 1999;
458 Cronin, 1999). For a sediment to be useful, it must do the following: (1) preserve a record of the
459 conditions when it formed (i.e., subsequent events cannot have erased the original story and
460 replaced it with something else); (2) be interpretable in terms of climate (the characteristics of
461 the deposit must uniquely relate to the climate at the time of formation); and (3) be “datable”
462 (i.e., there must be some way to determine the time when the sediment was deposited). Here, we
463 first present one well-known paleoclimatic indicator as an example, then discuss general issues
464 raised by that example, and follow with a discussion of many types of paleoclimatic indicators.

465 Long records of Earth’s climate are commonly reconstructed from climate proxies
466 preserved in deep-ocean sediments. One of the best-known proxy records of climate change is
467 that recorded by benthic (bottom-dwelling) foraminifers, microscopic organisms that live on the
468 sea floor and secrete calcium-carbonate shells in equilibrium with the sea water. The isotopes of
469 oxygen in the carbonate are a function of both the water temperature (which often does not
470 change very rapidly with time or very steeply with space in the deep ocean) and changes in
471 global ice volume. Global ice volume determines the relative abundances of the isotopes oxygen-
472 16 and oxygen-18 in seawater. Snow has relatively less of the heavy oxygen-18 than its seawater
473 source. Consequently, as ice sheets grow on land, the ocean becomes enriched in the heavy
474 oxygen-18, and this enrichment is recorded by the oxygen isotopic composition of foraminifer
475 shells. The proportion of the heavy and light isotopes of oxygen is usually expressed as $\delta^{18}\text{O}$;
476 positive $\delta^{18}\text{O}$ values represent extra amounts of the heavy isotope of oxygen, and negative values

477 represent samples with less of the heavy isotope than average seawater. Positive $\delta^{18}\text{O}$ reflects
478 glacial times (colder, more ice), whereas more negative $\delta^{18}\text{O}$ reflects interglacial (warmer, less
479 ice) times in Earth's history. Although the $\delta^{18}\text{O}$ of foraminifer shells does not reveal where the
480 glacial ice was located, the record does provide a globally integrated value of the amount of
481 glacial ice on land, especially if appropriate corrections are made for temperature changes by use
482 of other indicators. In the absence of changes in global ice volume, changes in **benthic**
483 **foraminifer** $\delta^{18}\text{O}$ reflect changes in ocean temperatures: more positive $\delta^{18}\text{O}$ values indicate
484 colder water, and more negative $\delta^{18}\text{O}$ values indicate warmer water.

485 Written documents have sometimes been erased and rewritten, in a deliberate attempt to
486 distort history or because the paper was more valuable than the original words.
487 Paleoclimatologists are continually watching for any signs that a climate record has been
488 “erased” and “rewritten” by events since deposition of the sediment. Occasionally, this vigilance
489 proves to be important. For example, water may remove isotopes carrying paleoclimatic
490 information from shells and replace them with other isotopes telling a different story (e.g.,
491 Pearson et al., 2001). However, except for the very oldest deposits from early in Earth's history,
492 it is usually possible to tell whether a record has been altered, and this problem should not affect
493 any of the conclusions presented in this report.

494 Finding the link between climate and some characteristic of the sediment is then required.
495 The climate is recorded in myriad ways by physical, biological, chemical, and isotopic
496 characteristics of sediments.

497 Physical indicators of past climate are often easy to read and understand. For example, a
498 sand dune can form only if dry sand is available to be blown around by the wind, without being
499 held down by plant roots. Except near beaches (where fluctuations in water level reveal bare

500 sand), a dry climate is needed to keep grass off the sand so the sand can blow around. Today in
501 northwestern Nebraska, the huge dune field of the Sand Hills is covered in grass (Figure 3.7).
502 The dunes formed during drier conditions in the past, but wetter conditions now allow grass to
503 grow on top (e.g., Muhs et al., 1997). Similarly, the sediments left by glaciers are readily
504 identified, and those sediments in areas that are ice free today attest to changing climate. A very
505 different physical indicator of past climate is the temperatures measured in boreholes. Just as a
506 Thanksgiving turkey placed in an oven takes a while to warm in the middle, the two-mile-thick
507 ice sheet of Greenland has not finished warming from the ice age, and the cold temperatures at
508 depth reveal how cold the ice age was (Cuffey and Clow, 1997).

509

510

FIGURE 3.7 NEAR HERE

511

512 Many paleoclimate records are based directly on living things. Tundra plants are quite
513 different from those living in temperate forests. If pollen, seeds, and twigs found in deep layers
514 of a sediment core came from tundra plants, and those found in shallow layers came from
515 temperate-forest plants, a formerly cold time that has warmed is indicated. Trees grow more
516 rapidly and add thicker rings when climatic conditions are more favorable. In very dry regions,
517 this feature allows trees to be used in reconstruction of rainfall; in cold regions, growth may be
518 more closely linked to temperature (Fritts, 1976; Cook and Kairiukstis, 1990)

519

520 Chemical analysis of sediments may reveal additional information about past climates.
521 As one example, some single-celled organisms in the ocean change the chemistry of their cell
522 walls in response to changing temperature: they use more-flexible molecules to offset the
increase in brittleness caused by colder temperatures. These molecules are sturdy and persist in

523 sediments after the organism dies, so the history of the ratio of stiffer to less-stiff molecules in a
524 sediment core provides a history of the temperature at which the organisms grew. (In this case,
525 the organisms are prymnesiophyte algae, the chemicals are alkenones, and the frequency of
526 carbon double bonds controls the stiffness (Muller et al., 1998); other such indicators exist.)

527 Isotopic ratios are among the most commonly used proxy indicators of past climates.
528 Consider just one example, providing one of the ways to determine the past concentration of
529 carbon dioxide. All carbon atoms have 6 protons in their nuclei, most have 6 neutrons (making
530 carbon-12), but some have 7 neutrons (carbon-13) and a few have 8 neutrons (radioactive
531 carbon-14). The only real difference between carbon-12 and carbon-13 is that carbon-13 is a bit
532 heavier. The lighter carbon-12 is “easier” for plants to use, so growing plants preferentially
533 incorporate carbon from carbon dioxide containing only carbon-12 rather than carbon-13.
534 However, if carbon dioxide is scarce in the environment, the plants cannot be picky and must use
535 what is available. Hence, the carbon-12:carbon-13 ratio in plants provides an indicator of the
536 availability of carbon dioxide in the environment. The sturdy cell-wall chemicals described in the
537 previous paragraph can be recovered and their carbon isotopes analyzed, providing an estimate
538 of the carbon-dioxide concentration at the time the algae grew (e.g., Pagani et al., 1999).

539 Much of the science of paleoclimatology is devoted to calibration and interpretation of
540 the relation between sediment characteristics and climate (see National Research Council, 2006).
541 The relationship of some indicators to climate is relatively straightforward, but other
542 relationships may be complex. The width of a tree ring, for example, is especially sensitive to
543 water availability in dry regions, but it may also be influenced by changes in shade from
544 neighboring trees, an attack of beetles or other pests that weaken a tree, the temperature of the
545 growing season, and more. Extensive efforts go into calibration of paleoclimatic indicators

546 against the climatic variables. Because paleoclimatic data cannot be collected everywhere,
547 additional work is devoted to determining which areas of the globe have climates that can be
548 reconstructed from the available paleoclimatic data. Wherever possible, multiple indicators are
549 used to reconstruct past climates and to assess agreement or disagreement (National Research
550 Council, 2006). Conclusions about climate typically rest on many lines of evidence.

551

552 **3.3.2 The Age of the Sediments**

553 History requires “when” as well as “what.” Many techniques reveal the “when” of
554 sediments, sometimes to the nearest year. In general, more-recent events can be dated more
555 precisely.

556 Climate records that have been developed from most trees, and from some ice cores and
557 sediment cores, can be dated to the nearest year by counting annual layers. The yearly nature of
558 tree rings from seasonal climates is well known. A lot of checking goes into demonstrating that
559 layers observed in ice cores and special sediment cores are annual, but in some cases the layering
560 clearly is annual (Alley et al., 1997), allowing quite accurate counts. The longest-lived trees may
561 be 5000 years old; use of overlapping living and dead wood has allowed extension of records to
562 more than 10,000 years (Friedrich et al., 2004); and the longest annually layered ice cores
563 recovered to date extend beyond 100,000 years (Meese et al., 1997). However, relatively few
564 records can be absolutely dated in this way.

565 Other techniques that have been used for dating include measuring the damage that
566 accumulates from cosmic rays striking things near Earth’s surface (those rays produce beryllium-
567 10 and other isotopes), observing the size of lichen colonies growing on rocks deposited by

568 glaciers, and identifying the fallout of particular volcanic eruptions that can be dated by
569 historical accounts or annual-layer counting.

570 Most paleoclimatic dating uses the decay of radioactive elements. Radiocarbon is
571 commonly used for samples containing carbon from the most recent 40,000 years or so (very
572 little of the original radiocarbon survives in older samples, causing measurements difficulties and
573 allowing even trace contamination by younger materials to cause large errors in estimated age, so
574 other techniques are preferred). Many other isotopes are used for various materials and time
575 intervals, extending back to the formation of Earth. Intercomparison with annual-layer counts,
576 with historical records, and between different techniques shows that quite high accuracy can be
577 obtained, so that it is often possible to have errors in age estimates of less than 1%. (That is, if an
578 event is said to be 100,000 years old, the event can be said with high confidence to have occurred
579 sometime between 99,000 years and 101,000 years ago.)

580

581 **3.4 Cenozoic Global History of Climate**

582 As emphasized in the Summary for Policymakers of IPCC (2007) and in the body of that
583 report, a paleoclimatic perspective is important for understanding Earth's climate system and its
584 forcings and feedbacks. Arctic records, and especially Arctic ice-core records, have provided key
585 insights. The discussion that follows briefly discusses selected features in the history of Earth's
586 climate and the forcings and feedbacks of those climate events. This discussion does not treat all
587 of the extensive literature on these topics, but it is provided here as a primer to help place the
588 main results of this report in context. (Kump et al. (2003) is a more-complete yet accessible
589 introduction to this topic.)

590 This report focuses on the Cenozoic Era, which began about 65 Ma with the demise of
591 the dinosaurs and continues today (see section 4.5 for a discussion of the chronology used in this
592 report). During most of this 65 m.y. interval, deep-sea records of foraminifer $\delta^{18}\text{O}$ (a powerful
593 paleoclimatic indicator, described above in section 4.4.1), which integrate the sedimentary record
594 in several ocean basins, show that Earth was warmer than at present and supported a smaller
595 volume of ice (Figure 3.8). Yet, following the peak warming of the early Eocene, about 50–55
596 Ma, global temperatures generally declined (Miller et al., 2005). Although this record is not
597 specific about Arctic climate change, the record indicates that the global gradient (or difference)
598 in temperature between polar regions and the tropics was smaller when global climate was
599 warmer, and that this gradient increased as the high latitudes progressively cooled (Barron and
600 Washington, 1982). Changes in the gradient cause changes in atmospheric and oceanic
601 circulation. The overall cooling trend of the past 55 m.y. was punctuated by intervals during
602 which the cooling was reversed and the oceans warmed, only to cool rapidly again at a later time.
603 Examples of such accelerated cooling include rapid decreases in foraminifer $\delta^{18}\text{O}$ about 34 Ma
604 and again about 23 Ma, which are thought to reflect the rapid buildup of ice in Antarctica in only
605 a few hundred thousand years (Zachos et al., 2001). The Paleocene-Eocene thermal maximum
606 (about 55 Ma) represents a major interval of global warming when CO_2 levels are estimated to
607 have risen abruptly (Shellito et al., 2003, Higgins and Schrag, 2006), perhaps owing to the rapid
608 release of methane from sea-floor sediments (Bralower et al., 1995).

609

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FIGURE 3.8 NEAR HERE

611

612 The style and tempo of global climate change during the past 5.3 m.y. is depicted well by
613 the foraminifer $\delta^{18}\text{O}$ record of Lisiecki and Raymo (2005) (Figure 3.9; see section 4.4.1 for a
614 discussion of this proxy). This composite record provides a well-dated stratigraphic tool against
615 which other records from around world can be compared. The foraminifer $\delta^{18}\text{O}$ record reflects
616 changes in both global ice volume and ocean bottom-water temperature change, and with the
617 same sense—An increase in global ice or a decrease in ocean temperatures pushes the indicator
618 in the same direction. The foraminifer $\delta^{18}\text{O}$ record indicates low-magnitude climate changes
619 from 5.3 until about 2.7 Ma, when the amplitude of the foraminifer $\delta^{18}\text{O}$ signal increased
620 markedly. This shift in foraminifer $\delta^{18}\text{O}$ amplitude coincides with widespread indications of
621 onset of northern continental glaciation (see Chapter 4, temperature and precipitation history).
622 The oxygen isotope fluctuations since 2.7 Ma are commonly used as a global index of the
623 frequency and magnitude of glacial-interglacial cycles. In addition to the fluctuations, the data
624 show that within the past 3 m.y., average ocean temperatures have been dropping. Global
625 circulation models constrained by extensive paleoclimatic data targeting the late Pliocene
626 interval from 3.3 to 3.0 Ma suggest that global temperatures were warmer by as much as 2°C or
627 3°C at that time (see Jiang et al., 2005; IPCC, 2007).

628

629

FIGURE 3.9 NEAR HERE

630

631 The large fluctuations in foraminifer $\delta^{18}\text{O}$ beginning about 2.7 Ma exhibited clear
632 periodicities matching those of the Milankovitch forcing (those periodicities are also present in
633 smaller, older fluctuations). A 41 k.y. periodicity was especially apparent, as well as the 19–23
634 k.y. periodicity. More recently, within the last 0.9 m.y. or so, the variations in $\delta^{18}\text{O}$ became even

635 bigger, and while the 41 k.y. and 19–23 k.y. periodicities continued, a 100 k.y. periodicity
636 became dominant. The reasons for this shift remain unclear and are the focus of much research
637 (Clark et al., 2006; Ruddiman, 2006; Huybers, 2007; Lisiecki and Raymo, 2007).

638 Moving toward the present, the number of available records increases greatly, as does
639 typical time resolution of the records and the accuracy of dating (see section 4.4). The large ice-
640 age cycling of the last 0.9 m.y. produced growth and retreat of extensive ice sheets across broad
641 regions of North America and Eurasia, as well as smaller extensions of ice in Greenland,
642 Antarctica, and many mountainous areas. Ice in North America covered New York and Chicago,
643 for example. The water that composed those ice sheets had been removed from the oceans,
644 causing non-ice-covered coastlines typically to lie well beyond modern boundaries. Melting of
645 ice sheets exposed land that had been ice-covered and submerged coastal land, but with a
646 relatively small net effect (e.g., Kump and Alley, 1994). The ice-age cycling caused large
647 temperature changes, of many degrees to tens of degrees in some places (see Chapter 4,
648 temperature and precipitation history).

649 Climate changed in large abrupt jumps (see section 5.4.3) during the most recent of the
650 glacial intervals and probably during earlier ones. In records from near the North Atlantic such as
651 Greenland ice cores, roughly half of the total difference between glacial and interglacial
652 conditions was achieved (as recorded by many climate-change indicators) in time spans of
653 decades to years. Changes away from the North Atlantic were notably smaller, and in the far
654 south the changes appear to see-saw (southern warming with northern cooling). The “shape” of
655 the climate records is interesting: northern records typically show abrupt warming, gradual
656 cooling, abrupt cooling, near-stability or slight gradual warming, and then they repeat (see Figure
657 6.9).

681 These problems are common to all geologic dating, but they assume additional importance in the
682 Quaternary because the focus during this geologically short, recent period is on relatively short-
683 lived events. Very few geologic records for the Quaternary Period are continuous, well dated,
684 and applicable to all other records of climate change. Furthermore, many geologic deposits
685 preserve records of events that are time-transgressive or diachronous. That is, a particular
686 geologic event is recorded earlier at one geographic location and later at another.

687 A good example of time-transgression is the most recent deglaciation of mid-continent North
688 America, the retreat of the *Laurentide Ice Sheet*. Although this retreat marked a major shift in a
689 climate state, from a glacial period to an interglacial period, by its very nature it occurred at
690 different times in different places. In midcontinental North America, the *Laurentide Ice Sheet*
691 had begun to retreat from its southernmost position in central Illinois after about 22.6 ka, but it
692 was still present in what is now northern Illinois until after about 15.1 ka, and was still in
693 Wisconsin and Michigan until after about 12.9 ka (Johnson et al., 1997) (radiocarbon ages were
694 converted using the algorithm of Fairbanks et al., 2005), and in north-central Labrador until
695 about 6 ka (Dyke and Prest, 1987). Thus, the geologic record of when the present “interglacial”
696 period began is older in central Illinois than it is in northern Michigan, which in turn is older than
697 it is in southern Canada. Time transgression as a concept also applies to phenomena other than
698 geologic processes. Migration of plant communities (biomes) as a result of climate change is not
699 an instantaneous process throughout a wide geographic region. Thus, many records of climate
700 change that reflect changes in plant communities will take place at different times in a region as
701 taxa within that community migrate.

702 Another difficulty is not with the geologic records themselves but with the terms used in
703 different regions to describe them. For example, “Sangamon” is the name of the last interglacial

704 period in the mid-continent of North America (Johnson et al., 1997) and the term “Eemian” is
705 used for the last interglacial period in Europe. However, North American workers apply the term
706 Sangamon primarily to rock-stratigraphic records (tills deposited by glaciers and old soils called
707 paleosols). The Sangamon interglacial is considered to have lasted several tens of thousands of
708 years, because no glacial ice was present in the mid-continent between the last major glacial
709 event (“Illinoian”) and the most recent one (“Wisconsinan”). In contrast, the term Eemian, used
710 by European workers, is often applied to pollen records and is reserved for a period of time,
711 perhaps less than 10,000 years, when climate conditions were as warm or warmer than present.

712 Nevertheless, it is crucial that at least some terminology is used as a common basis for
713 discussion of geologic records of climate change during the Quaternary. In this report, we have
714 chosen to use the stages of the oxygen isotope record from foraminifers in deep-sea cores as our
715 terminology for discussing different intervals of time within the Quaternary Period. The
716 identification of glacial-interglacial changes in deep-sea cores, and the naming of stages for
717 them, began with a landmark report by Emiliani (1955). The oxygen isotope composition of
718 carbonate in foraminifer skeletons in the ocean shifts as climate shifts from glacial to interglacial
719 states (see section 4.4.1, above). These shifts are due both to changes in ocean temperature and
720 changes in the isotopic composition of seawater. The latter changes result from the shifts in
721 oxygen isotopic composition of seawater, in turn a function of ice volume on land. Because the
722 temperature and ice-volume influences on foraminiferal oxygen-isotope compositions are in the
723 same direction, the record of glacial-interglacial changes in deep-sea cores is particularly robust.

724 The oxygen isotope record of glacial-interglacial cycles has been studied and well
725 documented in hundreds of deep-sea cores. The same glacial-interglacial cycles are easily
726 identified in cores from all the world’s oceans (Bassinot, 2007). It is, therefore, truly a

727 continuous and global record of climate change within the Quaternary Period. Furthermore, a
728 variety of geologic records of climate change show the same glacial-interglacial cycles that can
729 be compared and correlated with the deep-sea record. These geologic records include glacial
730 records (e.g., Booth et al., 2004; Andrews and Dyke, 2007), ice cores (e.g., NGRIP, 2004; Jouzel
731 et al., 2007), cave carbonates (e.g., Winograd et al., 1992, 1997), and eolian sediments (e.g., Sun
732 et al., 1999). Furthermore, deep-sea cores themselves sometimes contain, in addition to
733 foraminifers, other records of climate change such as pollen from past vegetation (e.g., Heusser
734 et al., 2000) or eolian (wind-deposited) sediments that record glacial and interglacial climates on
735 land (e.g., Hovan et al., 1991).

736 The time scales that have been developed for the oxygen isotope record are important to
737 understand. The mostly widely used time scales are those that have been developed by use of
738 “stacked” deep-sea core records (i.e., multiple core records, from more than one ocean) that are
739 in turn, “tuned” or “dated” by a combination of identification of dated paleomagnetic events and
740 an assumed forcing of climate change by changes in the parameters related to Earth-Sun orbital
741 geometry, precession, and obliquity.

742 Initially, dated paleomagnetic events were used with an assumed constant sedimentation
743 rate to provide a first estimate of the timing of the main variations in the climate. The timing
744 closely matched the known periodicities in Earth-Sun orbital geometry, to a degree that provided
745 very high confidence that those known periodicities were affecting the climate. Then, this result
746 was used to fine-tune the dating by adjusting the sedimentation rates to allow closer match
747 between the data and the orbital periodicities. The practice is often referred to as “astronomical”
748 or “orbital” tuning. The strategy behind “stacking” multiple records is to eliminate possible local
749 effects on a core and present a smoothed, global record. Several highly similar time scales have

750 been developed using this approach. The most commonly cited are the SPECMAP studies of
751 Imbrie et al. (1984) and Martinson et al. (1987) (Figure 3.11), and the more recent work of
752 Lisiecki and Raymo (2005).

753

754 FIGURE 3.11 NEAR HERE

755

756 However, there are disadvantages to using the astronomically tuned oxygen isotope records.
757 Very few deep-sea cores are dated directly, except in the upper parts that are within the range of
758 radiocarbon dating, or at widely spaced depths where paleomagnetic events are recorded. In
759 addition, after the initial tests, the astronomical tuning approach assumes that the orbital
760 parameters, particularly precession and obliquity, are the primary forcing mechanisms behind
761 climate change on glacial-interglacial time scales in the Quaternary Period. Challenges to this
762 assumption are based on directly dated cave calcite records (Winograd et al., 1992, 1997) and
763 emergent coral reef terraces (Szabo et al., 1994; Gallup et al., 2002; Muhs et al., 2002), although
764 in general the assumption appears to be more-or-less accurate. Additional assumptions, including
765 that response is proportional to forcing, are inherent in tuning.

766 Recognizing the assumptions inherent in the SPECMAP time scale, we use this time scale
767 and the marine oxygen isotope stage terminology in this report for four reasons:

- 768 1. the wide acceptance and use in the scientific community,
- 769 2. the continuous nature of the record,
- 770 3. the global aspect of the record, and
- 771 4. the ability to subdivide the periods of time under consideration.

772 Regarding the latter, for example, the marine record can accommodate the problem in the use of

773 “Sangamon,” as used in North America compared with “Eemian,” in Europe. The Sangamon
774 interglacial, as used by North Americans, includes all of marine isotope stage 5 (MIS 5), as well
775 as perhaps parts of MIS 4. However, the Eemian, as used by most European workers, would
776 include only MIS 5e or 5.5, an interval within the greater MIS 5.

777

778 **3.6 Synopsis**

779 Earth’s climate is a complex, interrelated system of air, water, ice, land surface, and living
780 things responding to the Sun’s energy. Scientific understanding of this system has been
781 increasing rapidly, and the broad outline is now quite well known, although many details remain
782 obscure and further discoveries are guaranteed.

783 The climate system can be forced to change, but it also varies internally without external
784 forcing. Both forced and unforced variations interact with various feedback processes that may
785 either amplify or reduce the resulting climate change, often with interesting patterns in space and
786 time.

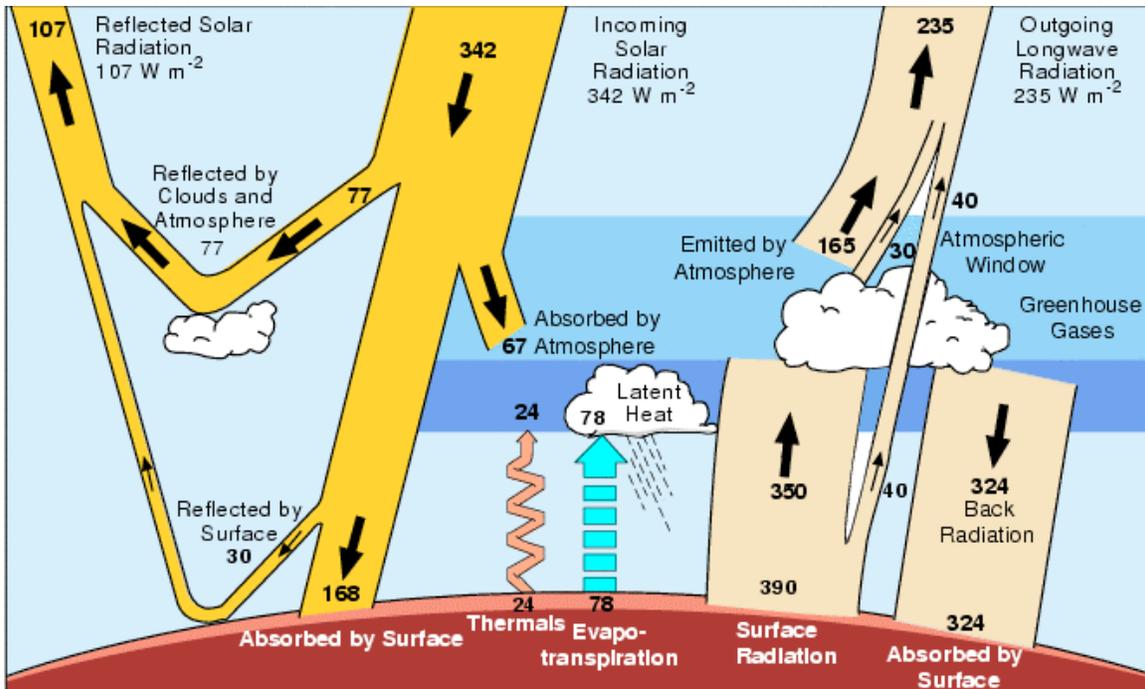
787 Changes in the energy emitted by the Sun, the amount of that energy reaching Earth, the
788 amount of that energy reflected by Earth, and the greenhouse effect of the atmosphere are
789 important in controlling global climate. Changes in continental positions, ocean currents, wind
790 patterns, clouds, vegetation, ice, and more affect regional climates as well as contribute to the
791 global picture. The Sun has brightened slowly for billions of years, and its brightness shows very
792 small fluctuations measured in years to centuries. Features of Earth’s orbit change the latitudinal
793 and seasonal distribution of sunshine, and they have a small effect on total sunshine reaching the
794 planet over tens of thousands of years. Great tectonic forces in the Earth rearrange continents and
795 promote or reduce volcanic activity and growth of mountain ranges. All three affect greenhouse-

796 gas concentrations and other features of the climate over millions of years or longer, and they
797 interact with changes in the biosphere in response to biological evolution. And, these general
798 statements omit many interesting and increasingly well-understood features of the system.

799 Many deposits of the Earth system—muds and cave formations and tree rings and ice layers
800 and many more—have characteristics that reflect the climate at the time of formation, that are
801 preserved after formation, and that reveal their age of formation. Careful consideration of these
802 deposits underlies paleoclimatology, the study of past climates. Varied investigative techniques
803 focus on physical, chemical, isotopic, and biological indicators, and they provide surprisingly
804 complete histories of changes in time and space.

805 This report especially focuses on the last tens of millions of years. This interval has been
806 characterized by slow cooling, leading from a largely ice-free world to ice-age cycling in
807 response to orbital changes. Both the cooling trend and the ice-age cycling were punctuated
808 occasionally by abrupt shifts. The last approximately 10,000 years have been a reduced-ice
809 interglacial during the ice-age cycling, but they have experienced a variety of climate changes
810 linked to changing volcanism, ocean currents, solar output, and—recently evident—human
811 perturbation.

812



812

813 **Figure 3.1** Earth's energy budget is a balance between incoming and outgoing radiation.

814 [Numbers are in watts per square meter of the Earth's surface, and some estimates may be

815 uncertain by as much as 20%.] Incoming shortwave radiation from the Sun entering Earth's

816 atmosphere [342 W/m²] may be reflected by clouds, or absorbed or reflected as longwave

817 radiation by the Earth. The greenhouse effect involves the absorption and reradiation of energy

818 by atmospheric greenhouse gases and particles, resulting in a downward flux of infrared

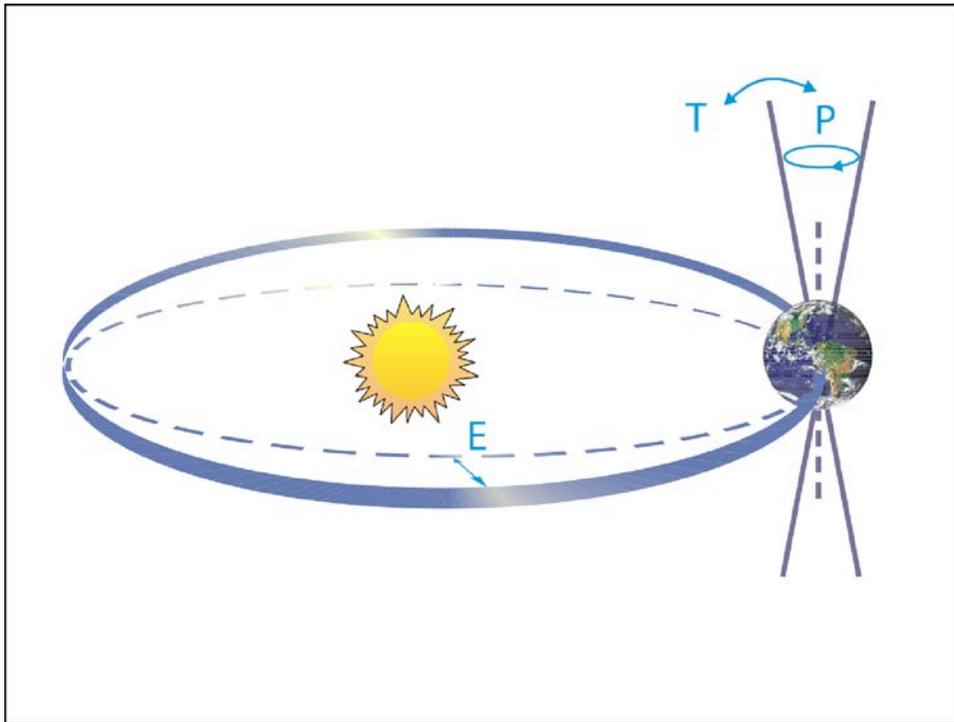
819 radiation (longwave) from the atmosphere to the surface (back radiation) causing higher surface

820 temperatures. In this figure, Earth is in energy balance with the total rate of energy lost from

821 Earth (107 W/m²) of reflected sunlight plus 235 W/m² of infrared [long-wave] radiation) equal to

822 the 342 W/m² of incident sunlight (Kiehl and Trenberth, 1997).

823

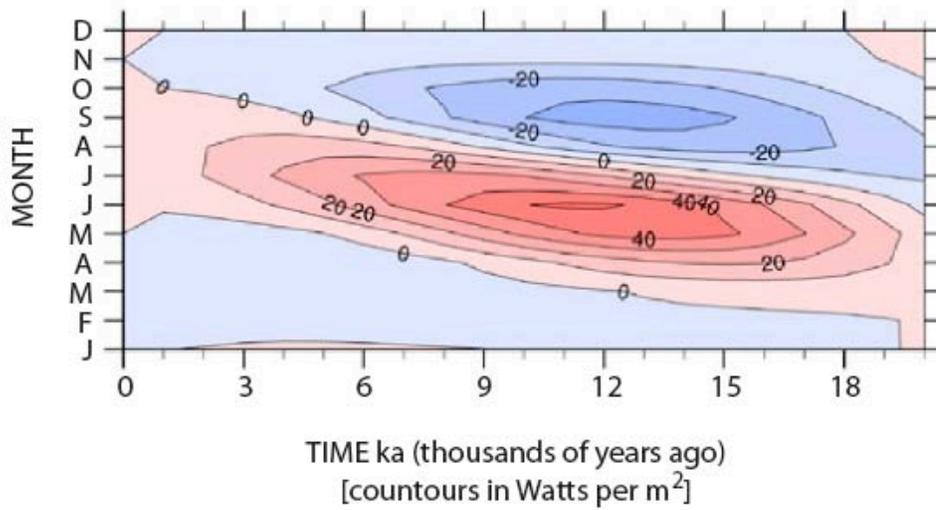


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824

825 **Figure 3.2** Earth's orbital variations (Milankovitch cycles) control the amount of sunlight
826 received (insolation) at a given place on Earth's surface (Rahmstorf and Schellnhuber, 2006;
827 Jansen et al., 2007). E, variation in the eccentricity of the orbit (owing to variations in the minor
828 axis of the ellipse) with an approximate 100 k.y. periodicity; P, precession, changes in the
829 direction of the axis tilt at a given point of the orbit, which has an approximate 19 to 23 k.y.
830 periodicity; T, changes in the tilt (obliquity) of Earth's axis, which has and approximate 41 k.y.
831 periodicity.

832

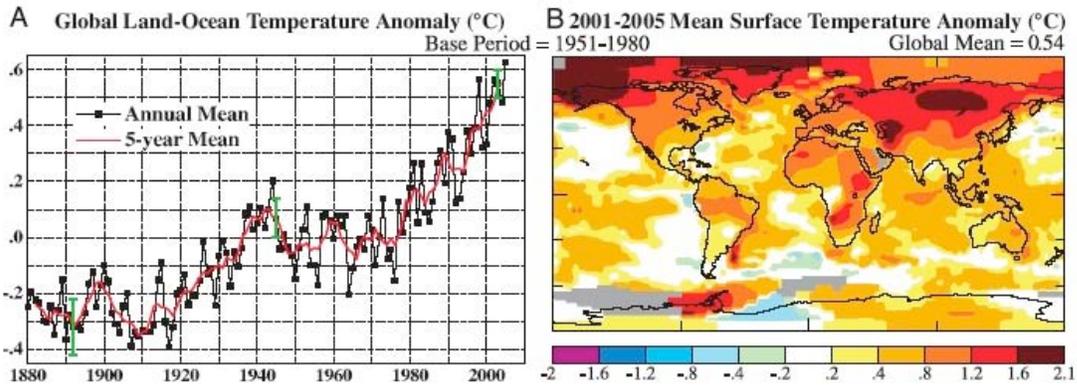


832

833 **Figure 3.3.** Milankovitch-driven monthly insolation anomalies (deviations from present), 20–0
 834 ka at 60°N. Y axis, calendar months. Contours and numbers depict a history of insolation values.
 835 Contours in watts per square meter (W/m^2) (data from Berger and Loutre, 1992). Midsummer
 836 insolation values at 11 ka exceeded $40 W/m^2$, whereas current values are less than $10 W/m^2$.

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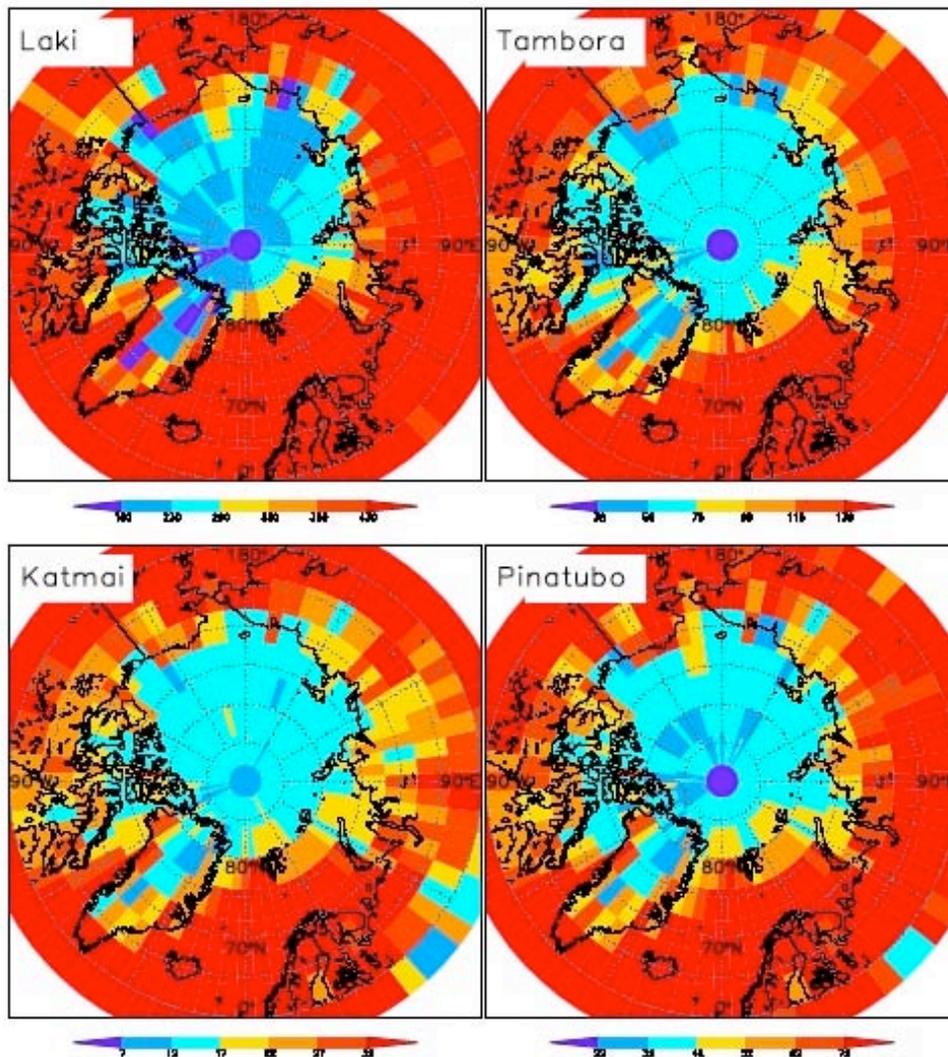
838

839 **Figure 3.4** Mean surface temperature anomalies for Earth relative to 1951–1980. Panel A, the
840 global average. Panel B, temperature anomalies 2000–2005. High northern latitudes show the
841 largest anomalies for this time period (Hansen et al., 2006).

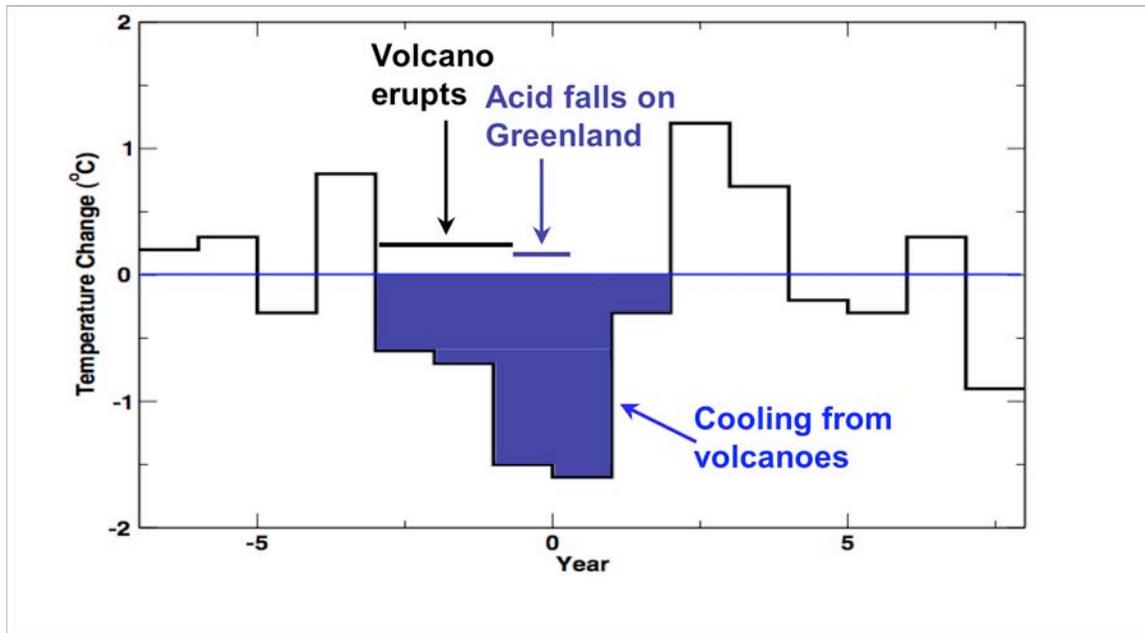
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843



844 **Figure 3.5** Simulated spatial distribution of volcanic sulfate aerosols (kg/km^2) produced by the
 845 Laki (1783), Katmai (1912), Tambora (1815), and Pinatubo (1991) eruptions in the Arctic (region
 846 shown, $66^\circ\text{--}82^\circ\text{N}$. and $50^\circ\text{--}35^\circ\text{W}$.). Blue, smaller than average deposits; yellow, orange, and red,
 847 increasingly larger than average deposits (from Gao et al., 2007). Volcanic evidence derived from
 848 44 ice cores; analysis used the NASA Goddard Institute for Space Studies (GISS) ModelE
 849 climate model.

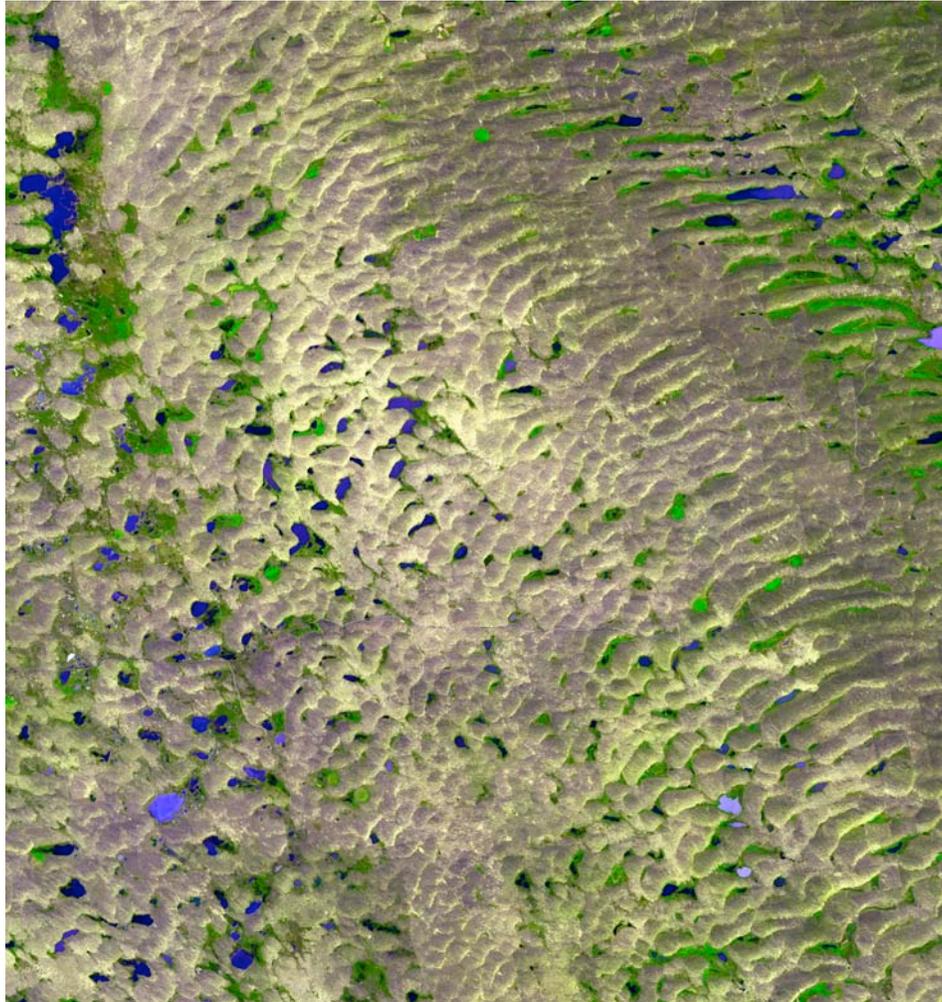


850

851 **Figure 3.6** Temperature response (derived from stable isotopes) in Greenland snow to large
852 volcanic eruptions reconstructed from the GISP2 ice core. (modified from Stuiver et al., 1995).

853

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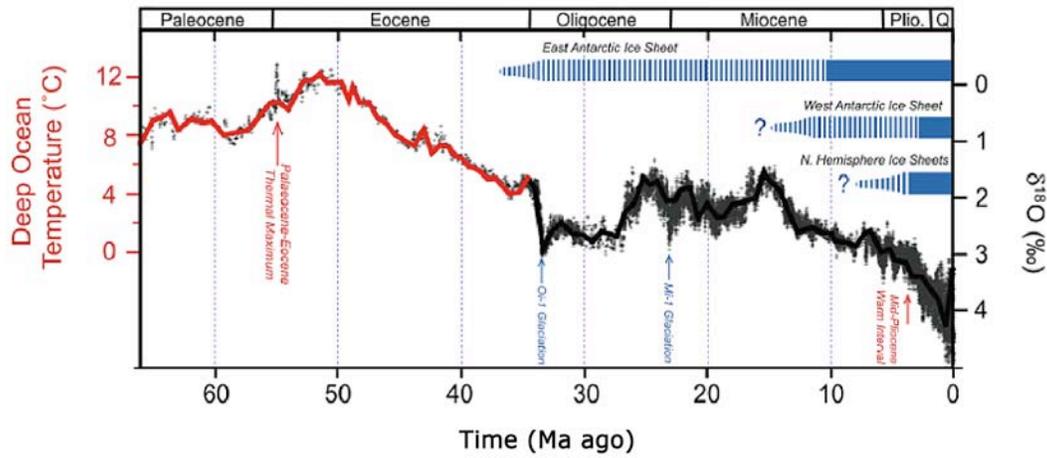


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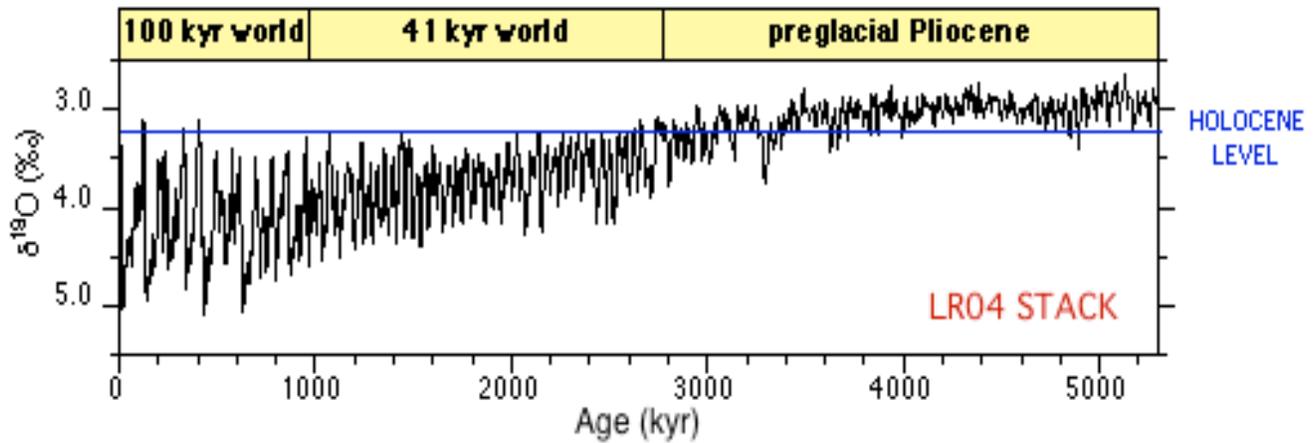
855 **Figure 3.7** The Sand Hills of western Nebraska. The Sand Hills cover 51,400 km² (about a
856 quarter of the state) and are the largest sand-dune deposit in the United States. They derive from
857 Pleistocene glacial outwash eroded from the Rocky Mountains and now stabilized by vegetation.
858 The hills are characterized by crowded crescent-shaped (barchan) dunes, general absence of
859 drainage, and numerous tiny lakes filling the closed depressions between dunes. (Photo credit:
860 NASA/GSFC/METI/ERSDAC/JAROS, and U.S./Japan ASTER Science Team. This ASTER
861 simulated natural color image was acquired September 10, 2001, covers an area of about 57.9 x
862 61.6 km, and is centered near 42.1° N. and 102.2° W.)

863

863



864 **Figure 3.8.** Global compilation of more than 40 deep sea benthic $\delta^{18}\text{O}$ isotopic records taken
 865 from Zachos et al. (2001), updated with high-resolution Eocene through Miocene records from
 866 Billups et al. (2002), Bohaty and Zachos (2003), and Lear et al. (2004). Dashed blue bars, times
 867 when glaciers came and went or were smaller than now; solid blue bars, ice sheets of modern
 868 size or larger. (Figure and text modified from IPCC Chapter 6, Paleoclimate, Jansen et al., 2007.)
 869



869

870

871 **Figure 3.9.** Composite stack of 57 benthic oxygen isotope records (a proxy for temperature)
 872 from a globally distributed network of marine sediment cores. This foraminifer δ¹⁸O record
 873 indicates low-magnitude climate changes from about 5.3–2.7 Ma, when the amplitude of the
 874 foraminifer δ¹⁸O signal increased markedly (data from Lisiecki and Raymo (2005) and
 875 associated website)

876

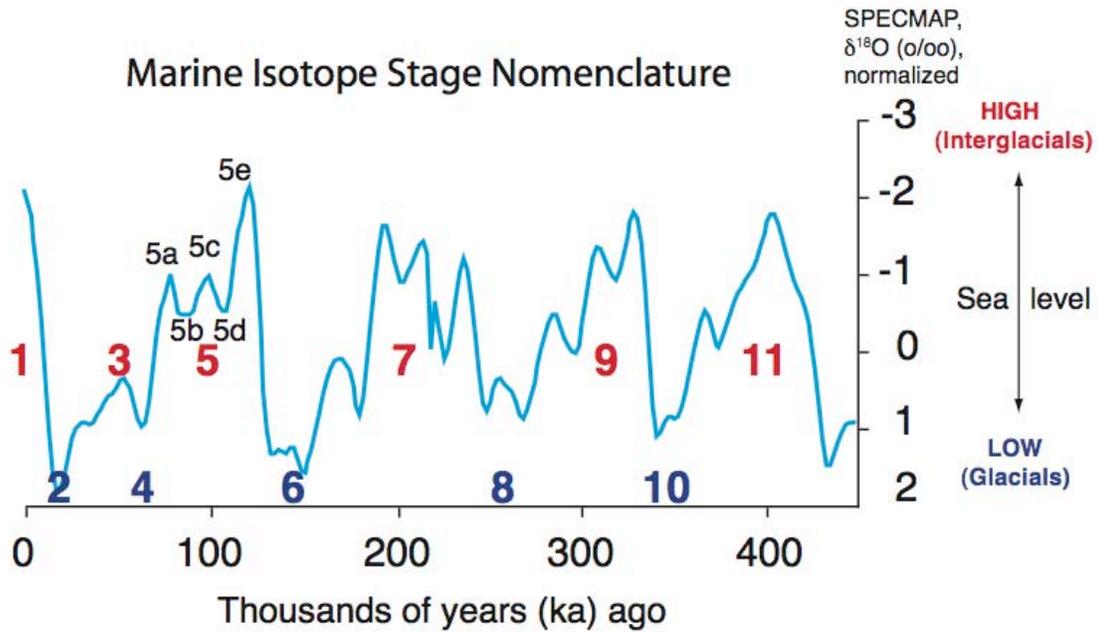
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ERATHEM / ERA	SYSTEM, SUBSYSTEM PERIOD, SUBPERIOD	SERIES / EPOCH	Age estimate of Boundary	
Cenozoic	Quaternary	Holocene	11,477 yr	
		Pleistocene	2.588 Ma	
	Tertiary	Neogene	Pliocene	5.332 Ma
			Miocene	23.03 Ma
		Paleogene	Oligocene	33.9 Ma
	Eocene		55.8 Ma	
	Paleocene		65.5 Ma	

877 **Figure 3.10.** Cenozoic time periods as used in this report (modified from Ogg and 2004)

878

878



879 **Figure 3.11.** Marine isotope stage (MIS) nomenclature and chronology used in this report (after
 880 Imbrie et al., 1984; Martinson et al., 1987). Red numbers, interglacial intervals; blue numbers,
 881 glacial intervals.

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1 **CCSP Synthesis and Assessment Product 1.2**
2 **Past Climate Variability and Change in the Arctic and at High**
3 **Latitudes**

4
5 **Chapter 4 — Temperature and Precipitation History of the Arctic**

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28 **ABSTRACT**

29

30 The Arctic has undergone dramatic changes in temperature and precipitation
31 during the Cenozoic Era, the past 65 million years (Ma) of Earth history. Arctic summer
32 surface air temperature changes during this interval exceeded global average temperature
33 changes. Sufficient data are available for the past 4 Ma of Earth history to evaluate the
34 difference between Arctic and global or hemispheric temperatures during times when the
35 mean climate was both warmer and colder than the past century. This evaluation
36 supports the concept of **Arctic amplification**. (Strong positive feedbacks—processes that
37 amplify the effects of a change in the controls on global temperature—produce larger
38 changes in temperature in the Arctic than elsewhere). Warm times in the past, those
39 periods when the Arctic was at least 1 °C warmer than the average 20th Century
40 temperature in either summer or winter season, help to constrain scenarios for future
41 warming in the Arctic. Although past warm times are rarely ideal **analogues** of future
42 warming because the **boundary conditions** (such as continental positions and
43 topography) during past times of exceptional warmth may have differed from those of the
44 present. Nevertheless, many times of peak global warmth in the past are also times of
45 increased atmospheric greenhouse gases, and paleoclimate records help to define the
46 climate sensitivity of the planet to changes in both greenhouse gases and solar insolation,
47 and to quantify Arctic amplification.

48 At the start of the Cenozoic, 65 Ma ago, the planet was ice free; there was no sea
49 ice in the Arctic Ocean, nor was there a Greenland or an Antarctic ice sheet.

50 Atmospheric CO₂ levels were ca. 4 times those of the pre-industrial world (Berner and

51 Kothavala, 2001). General cooling through the Cenozoic is attributed mainly to a slow
52 drawdown of greenhouse gases in the atmosphere through the weathering of silicic rocks
53 that exceeded the release of stored carbon through volcanism and reprocessing (Berner and
54 Kothavala, 2001). Over the past 65 Ma, atmospheric CO₂ has decreased about 1200
55 ppmv, or on average 1 ppmv for every 50 ka. This is much more gradual than the rate of
56 atmospheric CO₂ increase over the past 150 years of about 100 ppmv due to fossil fuel
57 combustion.

58 As the Arctic cooled, high-elevation mountain glaciers formed as did seasonal sea
59 ice in the Arctic Ocean, but a detailed record of changes in the Arctic is available only for
60 the last few million years. A global warm period that affected both seasons in the middle
61 Pliocene, about 3.5 Ma, is well represented in the Arctic; at that time extensive deciduous
62 forests occupied lands that now support only polar desert and **tundra**. Global oceanic and
63 atmospheric circulation was substantially different between 3 and 2.5 Ma ago than
64 subsequently. The development of the first continental ice sheets over North America
65 and Eurasia led to changes in the circulation of both the atmosphere and oceans. The
66 onset of continental glaciation is most clearly defined by the first appearance of rock
67 fragments in sediment cores from the central Atlantic Ocean about 2.6 Ma ago. These
68 rock fragments, often referred to as ice-rafted detritus (IRD) is too heavy to have blown
69 or been washed into the central Atlantic, and must have been delivered by large icebergs
70 emminating from continental ice sheets. The first appearance of IRD marks the onset of
71 the Quaternary Period (2.6–0 Ma), generally equated with “ice-age” time, even though a
72 small fraction (about 10%) of the time the ice sheets were very likely to have been as
73 small as or smaller than their present size. From about 2.7 to about 0.8 Ma, the ice sheets

74 came and went about every 41 thousand years (ka), the same timing as cycles in the tilt of
75 Earth's axis. Ice sheets grew when Earth's tilt was at a minimum, resulting in less
76 seasonality (cooler summers, warmer winters), and they melted when tilt was at a
77 maximum and seasonality was at its greatest (warmer summers and cooler winters). For
78 the past 600 ka, ice sheets have grown larger and ice-age times have been longer, lasting
79 about 100 ka; those icy intervals have been separated by brief warm periods
80 (interglaciations), when sea level was close to present (ice volumes were close to
81 present). The duration of interglaciations ranges from about 10 ka to perhaps 40 ka. The
82 cause of the shift from 41 ka to 100 ka glacial cycles is still being debated. Most
83 explanations center on the continued gradual planetary cooling that may have produced
84 larger ice sheets that were more resistant to melting, or with removal of soft sedimentary
85 cover over bedrock in glaciated regions that, once removed, increased the frictional
86 coupling of the ice sheet to its bed, resulting in steeper ice-sheet profiles and thicker ice
87 sheets, again more resistant to melting (e.g. Clark & Pollard, 1998, Raymo et al., 2006,
88 Huybers, 2007, Bintanja et al., 2008).

89 The relatively warm planetary state during which human civilization developed is
90 the most recent of the warm interglaciations, the Holocene (about 11.5–0 ka). During the
91 penultimate warm interval, about 130–120 ka, solar energy in summer in the northern
92 high latitudes was greater than at any time in the current warm interval. As a
93 consequence, the Arctic summer was about 5°C warmer than at present and almost all
94 glaciers melted completely except for the *Greenland Ice Sheet*, and even it was reduced
95 in size substantially from its present extent. With the increased ice melt, sea level was
96 about 5 meters higher than present, with the extra melt coming from both Greenland and

97 Antarctica as well as small glaciers (Overpeck et al., 2006; Meier et al., 2007). Although
98 sea ice is difficult to reconstruct, the evidence suggests that the central Arctic Ocean
99 retained some permanent ice cover or was periodically ice free, even though the flow of
100 warm Atlantic water into the Arctic Ocean was very likely to have been greater than
101 during the present warm interval.

102 The last glacial maximum peaked about 20 ka when mean annual temperatures
103 over parts of the Arctic were as much as 20°C lower than at present. Ice recession was
104 well underway by 16 ka, and most of the Northern Hemisphere ice sheets had melted by
105 7 ka ago. Solar energy due to Earth's proximity to the Sun in summer rose in the Arctic
106 steadily from 20 ka ago to a maximum (10% higher than at present) about 11 ka ago and
107 has been decreasing since then, as the precession of the equinoxes has tilted the Northern
108 Hemisphere farther from the Sun in summer. The extra energy received in early Holocene
109 summers warmed summers throughout the Arctic about 1°–3°C above 20th century
110 averages, enough to completely melt many small glaciers throughout the Arctic (although
111 the *Greenland Ice Sheet* was only slightly smaller than present). Summer sea ice limits
112 were substantially smaller than their 20th century average, and the flow of Atlantic water
113 into the Arctic Ocean was substantially greater. As summer solar energy decreased in the
114 second half of the Holocene, glaciers re-established or advanced, sea ice extended, and
115 the flow of warm Atlantic water into the Arctic Ocean diminished. Late Holocene cooling
116 reached its nadir during the Little Ice Age (about 1250–1850 AD), when most Arctic
117 glaciers reached their maximum Holocene extent. During the warming of the past century
118 and a half, glaciers have receded throughout the Arctic, terrestrial ecosystems have
119 advanced northward, and perennial Arctic Ocean sea ice has diminished.

120 Paleoclimate reconstructions of Arctic temperatures, compared with global
121 temperature changes during four key intervals in the past 4 Ma, allow a quantitative
122 estimate of Arctic amplification. These data suggest that Arctic temperature change is
123 three to four times as large as the global average temperature change during both warm
124 and cold intervals. If global warming forecasts are correct, this relation indicates that
125 Arctic temperatures are likely to increase dramatically in the next century.

126

127 **4.1 Introduction**

128

129 Recent instrumental records show that during the last few decades, surface air
130 temperatures throughout much of the far north have risen more rapidly than temperatures
131 in lower latitudes and usually about twice as fast (Delworth and Knutson, 2000; Knutson
132 et al., 2006). The remarkable reduction in Arctic Ocean summer sea ice in 2007 (Figure
133 4.1) has outpaced the most recent predictions from available climate models (Stroeve et
134 al., 2008), but it is in concert with widespread reductions in glacier length, increased
135 borehole temperatures, increased coastal erosion, changes in vegetation and wildlife
136 habitats, the northward migration of marine life, and degradation of permafrost. On the
137 basis of the past century's trend of increasing greenhouse gases, climate models forecast
138 continuing warming into the foreseeable future (Figure 4.2) and a continuing
139 amplification in the Arctic of global changes (Serreze and Francis, 2006). As outlined by
140 the Arctic Climate Impact Assessment (ACIA, 2005), the sensitivity of the Arctic to
141 changed forcing is due to strong positive feedbacks in the Arctic climate system (see
142 Chapter 3.3). These feedbacks strongly amplify changes to the climate of the Arctic and

143 also affect the global climate system.

144

145 FIGURE 4.1 NEAR HERE

146 FIGURE 4.2 NEAR HERE

147

148 Because strong Arctic feedbacks act on climate changes caused by either nature or by
149 humans, natural variability and human-caused changes are large in the Arctic, and separating
150 them requires understanding and characterization of its natural variability. The short time
151 interval for which instrumental data are available in the Arctic is not sufficient to characterize
152 that natural variability, so a paleoclimatic perspective is required.

153 This chapter focuses primarily on the history of temperature and precipitation in
154 the Arctic. These topics are important in their own right, and they also set the stage for
155 understanding the histories of the *Greenland Ice Sheet* and the Arctic Ocean sea ice,
156 which are described in Chapters 6 (History of the Greenland Ice Sheet) and 7 (Sea Ice
157 History). Because of the great interest in rates of change, and because of some technical
158 details in extracting rate of change from the broad history of temperature or precipitation,
159 careful consideration of rates of change is deferred to Chapter 5 (past rates of Arctic
160 climate change).

161 Before providing the history of temperature and precipitation in the Arctic, this
162 chapter supplements the discussion in Chapter 3 (paleoclimate concepts) on forcings,
163 feedbacks, and proxies by providing additional information on those aspects particularly
164 relevant to the histories of temperature and precipitation in the Arctic. The climate history
165 of the past 65 Ma is then summarized; it focuses on temperature and precipitation

166 changes that span the full range of the Arctic’s natural climate variability and response
167 under different forcings. The authors place special emphasis on relevant intervals in the
168 past with a mean climate state warmer than the 20th Century average. Where possible,
169 causes of these changes are discussed. From these summaries, it is possible to estimate
170 the magnitude of polar amplification and to characterize how the Arctic system responds
171 to global warm times.

172

173 **4.2 Feedbacks Influencing Arctic Temperature and Precipitation**

174

175 The most commonly used measure of the climate is the mean surface air
176 temperature (Figure 4.3), which is influenced by climate forcings and climate feedbacks.
177 As discussed with references in Chapter 3.2, important forcings during the past several
178 millennia have been changes in the distribution of solar radiation that resulted from
179 features of Earth’s orbit; volcanism; and changes in atmospheric greenhouse-gas
180 concentrations. On longer time scales (tens of millions of years), the long-term increase
181 in the solar constant (a 30% increase in the past 4600 Ma) was important, and the
182 redistribution of continental landmasses caused by plate motions also affected the
183 planetary energy balance.

184

185

FIGURE 4.3 NEAR HERE

186

187 How much the temperature changes in response to a forcing of a given magnitude
188 (or in response to the net magnitude of a set of forcings in combination) depends on the

189 sum of all of the feedbacks. Feedbacks can act in days or less or endure for millions of
190 years. The focus here is on faster feedbacks. For example, a warming may have many
191 causes (such as brighter Sun, higher concentration of greenhouse gases in the atmosphere,
192 less blocking of the Sun by volcanoes). Whatever the cause, warmer air moving over the
193 ocean tends to entrain more water vapor, which itself is a greenhouse gas, so more water
194 vapor in the atmosphere leads to a further rise in global mean surface temperature
195 (Pierrehumbert et al., 2007). The discussion below focuses on those feedbacks that are
196 especially linked to the Arctic. Several processes linked to ice-age cycling are included
197 here, because of the dominant role of northern land in supporting ice-sheet growth,
198 although ice-age processes (like some of the other processes discussed below) clearly
199 extend well beyond the Arctic.

200

201 **4.2.1 Ice-albedo feedback**

202 Ice and snow present highly reflective surfaces. The albedo of a surface is defined
203 as the reflectivity of that surface to the wavelengths of solar radiation. Fresh ice and snow
204 have the highest albedo of any widespread surfaces on the planet (Figure 4.4), so it is
205 apparent that changes in the seasonal and areal distribution of snow and ice will exert
206 strong influences on the planetary energy balance (Peixoto and Oort, 1992). Open ocean,
207 on the other hand, has a low albedo; it absorbs almost all solar energy when the Sun angle
208 is high. Changes in albedo are most important in the Arctic summer, when solar radiation
209 is at a maximum, whereas changes in the winter albedo have little influence on the energy
210 balance because little solar radiation reaches the surface then. In general, warming

211 reduces ice and snow whereas cooling allows them to extend, so the changes in ice and
212 snow act as positive feedbacks to amplify climate changes (e.g., Lemke et al., 2007).

213

214 FIGURE 4.4 NEAR HERE

215

216 5.2.2 Ice-insulation feedback

217 In addition to its effects on albedo, sea ice also causes a positive insulation
218 feedback, primarily in the wintertime. Ice effectively blocks heat transfer between
219 relatively warm ocean (at or above the freezing point of seawater) and cold atmosphere
220 (which, in the Arctic winter, averages -40°C (Chapman and Walsh, 2007). If sea ice is
221 thinned by warming, then the ocean heats the overlying atmosphere in winter months,
222 amplifying that warming.

223 Feedbacks involving snow insulation of the ground are also important, through
224 their effects on vegetation and on permafrost temperature and its influence on storage or
225 release of greenhouse gases, as described in the next subsections (e.g., Ling and Zhang,
226 2007).

227

228 4.2.3 Vegetation feedbacks

229 A related terrestrial feedback involves changing vegetation. A warming climate
230 can cause **tundra** to give way to shrub vegetation. However, the shrub vegetation has a
231 lower albedo than **tundra**, and the shrubs thus cause further warming (Figure 4.5)
232 (Chapin et al., 2005; Goetz et al., 2007). Interactions involving the **boreal** forest and
233 deciduous forest can also be important. When, as a result of warming, deciduous forest

234 replaces evergreen **boreal** forest, then winter surface albedo increases—an example of a
235 negative feedback to the warming climate.(Bonan et al., 1992; Rivers and Lynch, 2004).

236

237

FIGURE 4.5 NEAR HERE

238

239 **4.2.4 Permafrost feedbacks**

240 Additional but poorly understood feedbacks in the Arctic involve changes in the
241 extent of permafrost and how changes in cloud cover interact both with permafrost and
242 with the release of carbon dioxide and methane from the land surface. Feedbacks between
243 permafrost and climate became widely recognized only in recent decades (building on the
244 works of Kvenvolden, 1988; 1993; MacDonald, 1990, and Haeberli et al., 1993. As
245 permafrost thaws under a warmer summer climate (Figure 4.6), it is likely to release
246 more greenhouse gases such as CO₂ and methane from the decomposition of organic
247 matter previously sequestered in permafrost and in widespread Arctic **yedoma** deposits
248 (e.g., Vörösmarty, 2001; Thomas et al., 2002, Smith et al., 2004, Archer, 2007; Walter et
249 al., 2007). Because CO₂ and methane are greenhouse gases, atmospheric temperature is
250 likely to increase in turn, a positive feedback. Walter et al. (2007) suggest that methane
251 bubbling from the thawing of newly formed **thermokarst** lakes across parts of the Arctic
252 during deglaciation could account for as much as 33–87% of the increase in atmospheric
253 methane measured in ice cores. Such a release would have contributed a strong and rapid
254 positive feedback to warming during the last deglaciation, and it likely continues today
255 (Walter et al., 2006).

256

257

258

FIGURE 4.6 NEAR HERE

259

260

261 **4.2.5 Freshwater balance feedbacks and thermohaline circulation**

262 The Arctic Ocean is almost completely surrounded by continents (Figure 4.7).

263 Because precipitation is low over the ice-covered ocean (Serreze et al., 2006), the
264 freshwater input to the Arctic Ocean largely derives from the runoff from large rivers in
265 Eurasia and North America and by the inflow of relatively low-salinity Pacific water
266 through the *Bering Strait*. The *Yenisey*, *Ob*, and *Lena* are among the nine largest rivers on
267 Earth, and there are several other large rivers, such as the *Mackenzie*, that feed into the
268 Arctic Ocean (see Vörösmarty et al., 2008). The freshwater discharged by these rivers
269 dilutes the saltiness of ocean surface waters, maintaining low salinities on the broad,
270 shallow, and seasonally ice-free seas bordering the Arctic Ocean. The largest of these
271 border the Eurasian continent, where they serve as the dominant area in the Arctic Ocean
272 in which sea ice is produced (for some fundamentals on Arctic sea ice, see Barry et al.,
273 1993). Sea ice forms along the Eurasian margin and then drifts toward *Fram Strait*;
274 transit time is 2–3 years in the current regime. In the *Amerasian* part of the Arctic Ocean,
275 the clockwise-rotating Beaufort Gyre is the dominant ice-drift feature (see Figure 8.1).

276 Surface currents transport low-salinity surface water (its upper 50 m) and sea ice
277 (freshwater) out of the Arctic Ocean (e.g., Schlosser et al., 2000). Surface waters are
278 primarily exported from the Arctic Ocean to the northern North Atlantic (*Nordic Seas*)
279 through western *Fram Strait*, after which they follow the east coast of Greenland and exit

280 the *Nordic Seas* into the North Atlantic through *Denmark Strait*. A smaller volume of
281 surface water flows out through the inter-island channels of the *Canadian Arctic*
282 *Archipelago*, and it eventually reaches the North Atlantic through the *Labrador Sea*. The
283 low-saline outflow from the Arctic Ocean is compensated by a relatively warm inflow of
284 saline Atlantic water through eastern *Fram Strait*. Despite its warmth, Atlantic water has
285 sufficiently high salt content that its density is higher than the low-salinity surface waters.
286 The inflowing relatively dense Atlantic water is forced to sink beneath the colder, but
287 fresher, surface water upon entering the Arctic Ocean. North of *Svalbard*, Atlantic water
288 spreads as a boundary current into the Arctic Basin and forms the Atlantic Water Layer
289 (Morison et al., 2000). The strong vertical gradients of salinity and temperature in the
290 Arctic Ocean produce a relatively stable stratification. However, recent observations have
291 shown that in some areas in the *Eurasian* part of the *Arctic Ocean*, the warm Atlantic
292 layer mixes with the surface mixed layer (Rudels et al., 1996; Steele and Boyd, 1998;
293 Schauer et al., 2002), thereby limiting sea ice formation and promoting vertical heat
294 transfer to the Arctic atmosphere in winter. In recent decades circum-Arctic glaciers and
295 ice sheets have been losing mass (more snow and ice melting in summer than
296 accumulates as snow in winter) (Dowdeswell et al., 1997; Rignot and Thomas, 2002;
297 Meier et al., 2007), and since the 1930s river runoff to the Arctic Ocean has been
298 increasing (Peterson et al., 2002). Recent studies suggest that changes in river runoff
299 strongly influence the stability of Arctic Ocean stratification (Steele and Boyd, 1998;
300 Martinson and Steele, 2001; Björk et al., 2002; Boyd et al., 2002; McLaughlin et al.,
301 2002; Schlosser et al., 2002).

302 In the North Atlantic, primarily in the *Nordic Seas* and the *Labrador Sea*,

303 wintertime cooling of the relatively warm and salty waters increases its density. The
304 denser waters then sink and flow southward to participate in the global thermohaline
305 circulation (“thermo” for temperature and “haline” for salt, the two components that
306 determine density. This circulation system also is referred to as the meridional
307 overturning circulation (MOC). Although the two terms are sometimes used
308 interchangeably, the MOC is confined to the Atlantic Ocean where the phenomenon is
309 quantified by using tracers that show surface waters sinking in the Nordic and Labrador
310 seas. The thermohaline circulation refers to a conceptual model of vertical ocean
311 circulation that encompasses the global ocean and is driven by the fact that colder and/or
312 saltier water sinks because it is denser than warmer or less salty water.

313 Continuing surface inflow from the south, which replaces the water sinking in the
314 *Nordic and Labrador seas* (MOC), promotes persistent open water rather than sea ice in
315 these regions. In turn, this lack of sea ice promotes notably warmer conditions, especially
316 in wintertime, over and near the North Atlantic and extending downwind across Europe
317 and beyond (Seager et al., 2002). Salt rejected from sea ice growing nearby very likely
318 contributes to the density of the adjacent sea water and to its sinking.

319 If the surface waters are made sufficiently less salty by an increase in freshwater
320 from runoff of melting ice or from direct precipitation, then the rate of sinking of those
321 surface waters will diminish or stop (e.g., Broecker et al., 1985). Results of numerical
322 models indicate that if freshwater runoff into the Arctic Ocean and the North Atlantic
323 increases as surface waters warm in the northern high latitudes, then the thermohaline
324 circulation in the North Atlantic will weaken, with consequences for marine ecosystems
325 and energy transport (e.g., Rahmstorf, 1996, 2002; Marotzke, 2000; Schmittner, 2005).

326 Reducing the rate of North Atlantic thermohaline circulation likely has global as
327 well as regional effects (e.g., Obata, 2007). Oceanic overturning is an important
328 mechanism for transferring atmospheric CO₂ to the deep ocean. Reducing the rate of deep
329 convection in the ocean would allow a higher proportion of **anthropogenic** CO₂ to
330 remain in the atmosphere. Similarly, a slowdown in thermohaline circulation would
331 reduce the turnover of nutrients from the deep ocean, with potential consequences across
332 the Pacific Ocean.

333

334 **4.2.6 Feedbacks during glacial-interglacial cycles**

335 The polar ice sheets currently cover ca. 14 km², whereas at their Quaternary
336 maxima, as recently as 20 ka ago, they covered approximately twice that area, including
337 the modern sites of New York and Chicago. The growth and decay of the Quaternary ice
338 sheets were paced by the orbital variations often called Milankovitch forcings (e.g.,
339 Imbrie et al., 1993) described in Chapter 3 (paleoclimate concepts). There is little doubt
340 that the orbital forcings drove this glacial-interglacial cycling, but a remarkably rich and
341 varied literature debates the detailed mechanisms (see, e.g., Roe, 1999).

342 The generally accepted explanation of the glacial-interglacial cycling is that ice
343 sheets grew when limited summer sunshine at high northern latitudes allowed survival of
344 accumulated snow, and ice sheets shrank when abundant summer sunshine in the north
345 melted the ice. The north is more important than the south because the Antarctic has
346 remained ice covered during this cycling of the last million years and more, and there is
347 no other high-latitude land in the south on which ice sheets could grow.

348 The increased reflectivity produced by expanded ice contributed to cooling. This
349 effect is the ice-albedo feedback as described above, but with slower response controlled
350 by the flow of the great ice sheets. Atmospheric dust was more abundant in the ice ages
351 than in the intervening warm interglacials, and that additional ice-age dust contributed to
352 cooling by blocking sunlight. The changes in Earth's orbit and ice-sheet growth led to
353 complex changes in the ocean-atmosphere system that shifted carbon dioxide from the air
354 to the ocean and reduced the atmospheric greenhouse effect. The carbon-dioxide changes
355 lagged behind the orbital forcing, and thus carbon dioxide was clearly a feedback, but the
356 large global cooling of the ice ages has been successfully explained only if the reduced
357 greenhouse effect is included (Jansen et al., 2007). By analogy, overspending a credit
358 card induces debt, which is made larger by interest payments on that debt. The interest
359 payments clearly lag the debt in time and did not cause the debt, but they contribute to the
360 size of the debt, and the debt cannot be explained quantitatively unless the interest
361 payments are included.

362 Abrupt climate changes have been associated with the ice-age cycles. The most
363 prominent and best known of these are linked to jumps in the wintertime extent of sea ice
364 in the North Atlantic, which in turn were linked to changes in the large-scale circulation
365 of the ocean (e.g., Alley, 2007), as described in the previous section. The associated
366 temperature changes were very large around the North Atlantic (as much as 10°C or
367 more) but much smaller in remote regions, and they were in the opposite direction in the
368 far south (northern cooling was accompanied by slight southern warming). Hence, the
369 globally averaged temperature changes were small and were probably linked primarily to
370 ice-albedo feedback and small changes in the strength of the greenhouse effect. As

371 reviewed by Alley (2007), the large ice-age ice sheets seem to have both triggered these
372 abrupt swings and created conditions under which triggering was easier. Although such
373 events remain possible, they are less likely without the large ice sheet on Canada.

374

375 **4.2.7 Arctic Amplification**

376 The positive feedbacks outlined above amplify the Arctic response to climate
377 forcings. The ice-albedo feedback is potentially strong in the Arctic because it hosts so
378 much snow and ice (see Serreze and Francis, 2006 for additional discussion); if
379 conditions are too warm for snow to form, no ice-albedo feedback can exist. Climate
380 models initialized from modern or similar conditions and forced in various ways are in
381 widespread agreement that global temperature trends are amplified in the Arctic and that
382 the largest changes are over the Arctic Ocean during the cold season (autumn through
383 spring) (e.g., Manabe and Stouffer, 1980; Holland and Bitz, 2003; Meehl et al., 2007).
384 Summer changes over the Arctic Ocean are relatively damped, although summer changes
385 over Arctic lands are likely to be substantial (Serreze and Francis, 2006). The strong
386 wintertime changes over the Arctic Ocean are linked to the insulating character of sea ice.

387 Think first of an unperturbed climate in balance on annual time scales. During
388 summer, solar energy melts the sea ice cover. As the ice cover melts, areas of open water
389 are exposed. The albedo of the open water is much lower than that of sea ice, so the open
390 water gains heat. Because much of the solar energy goes into melting ice and warming
391 the ocean, the surface air temperature does not rise much and, indeed, over the melting
392 ice it stays fairly close to the freezing point. Through autumn and winter, when little or
393 no solar energy is received, this ocean heat is released back to the atmosphere. Until sea

394 ice forms, heat stored in the ocean's surface waters is transferred to the atmosphere,
395 limiting the extreme cold Arctic air temperatures despite the lack of solar energy. The
396 formation of sea ice itself further releases heat back to the atmosphere. And once the sea
397 ice is formed, it insulates the atmosphere from the relatively warm ocean waters allow
398 much colder surface air temperatures to develop.

399 However, if the climate warms (regardless of the forcing) then the summer melt
400 season lengthens and intensifies, and more areas of low-albedo open water form in
401 summer and absorb solar radiation. As more heat is gained in the upper ocean, more heat
402 is released back to the atmosphere in autumn and winter; this additional heat is expressed
403 as a rise in air temperature. Furthermore, because the ocean now contains more heat, the
404 ice that forms in autumn and winter is thinner, and therefore less insulating than before.
405 This thinner ice melts more easily in summer and produces even more low-albedo open
406 water that absorbs solar radiation, meaning even larger releases of heat to the atmosphere
407 in autumn and even thinner ice the next spring, and so on. The process can also work in
408 reverse. An initial Arctic cooling melts less ice during the summer and creates less low-
409 albedo open water. If less summer heat is gained in the ocean, then less heat is released
410 back to the atmosphere in autumn and winter, and air temperatures fall further .

411 Although the albedo feedback over the ocean seems to dominate, an albedo
412 feedback over land is much more direct. Under a warming climate, snow melts earlier in
413 spring and thus low-albedo **tundra**, shrub, and forest cover is exposed earlier and fosters
414 further spring warming. Similarly, later autumn snow cover will foster further autumn
415 warming. More snow-free days produce a longer period of surface warming and imply
416 warmer summers. Again, the process can work in reverse: initial cooling leads to more

417 snow cover, fostering further cooling. Collectively, these processes result in stronger net
418 positive feedbacks to forced temperature change (regardless of forcing mechanism) than
419 is typical globally, thereby producing “**Arctic amplification**”.

420 During longer time intervals, an ice sheet such as the *Laurentide Ice Sheet* on
421 North America can grow, or an ice sheet such as that on Greenland can melt. This growth
422 or melting in turn influences albedo, freshwater fluxes to the ocean, broad patterns of
423 atmospheric circulation, greenhouse-gas storage or release in the ocean and on land, and
424 more.

425

426 **4.3 Proxies of Arctic Temperature and Precipitation**

427

428 Temperature and precipitation are especially important climate variables. Climate
429 change is typically driven by changes in key forcing factors, which are then amplified or
430 retarded by regional feedbacks that affect temperature and precipitation (section 5.2 and
431 4.2). Because feedbacks have strong regional variability, spatially variable responses to
432 hemispherically symmetric forcing are common throughout the Arctic (e.g., Kaufman et
433 al., 2004). Consequently, spatial patterns of temperature and precipitation must be
434 reconstructed regionally.

435 Reconstructing temperature and precipitation in pre-industrial times requires
436 reliable proxies (see section 4.3 for a general discussion of proxies) that can be used to
437 derive qualitative or, preferably, quantitative estimates of past climates. To capture the
438 expected spatial variability, proxy climate reconstructions must be spatially distributed
439 and span a wide range of geological time. In general, the use of several proxies to

440 reconstruct past climates provides the most robust evidence for past changes in
441 temperature and precipitation.

442

443 **4.3.1 Proxies for Reconstruction of Temperature**

444 **4.3.1a Vegetation/pollen records**

445 Estimates of past temperature from data that describe the distribution of
446 vegetation (primarily fossil pollen assemblages but also plant macrofossils such as fruits
447 and seeds) may be relative (warmer or colder) or quantitative (number of degrees of
448 change). Most information pertains to the growing season, because plants are dormant in
449 the winter and so are less influenced by climate than during the growing season (but see
450 below). For example, evidence of **boreal** forest vegetation (the presence of one or more
451 **boreal** tree species) would be more strongly associated with warmer growing seasons
452 than would evidence of treeless **tundra**—and the general position of northern treeline
453 today approximates the location of the July 10 °C isotherm.

454 Indicator species are species with well studied and relatively restricted modern
455 climatic ranges. The appearance of these species in the fossil record indicates that a
456 certain climate milestone was reached, such as exceeding a minimum summer
457 temperature threshold for successful growth or a winter minimum temperature of freezing
458 tolerance (Figure 4.8). This methodology was developed early in Scandinavia (Iversen,
459 1944); Matthews et al. (1990) used indicator species to constrain temperatures during the
460 last interglaciation in northwest Canada, and Ritchie et al. (1983) used indicator species
461 to highlight early Holocene warmth in northwest Canada. The technique has been used
462 extensively with fossil insect assemblages.

463

464

FIGURE 4.8 NEAR HERE

465

466 Methodologies for the numerical estimation of past temperatures from pollen
467 assemblages follow one of two approaches. The first is the inverse-modeling approach, in
468 which fossil data from one or more localities are used to provide temperature estimates
469 for those localities (this approach also underlies the relative estimates of temperature
470 described above). A modern “calibration set” of data (in this case, pollen assemblages) is
471 related by equations to observed modern temperature, and the functions thus obtained are
472 then applied to fossil data. This method has been developed and applied in Scandinavia
473 (e.g., Seppä et al., 2004). A variant of the inverse approach is **analogue** analysis, in
474 which a large modern dataset with assigned climate data forms the basis for comparison
475 with fossil spectra. Good matches are derived statistically, and the resulting set of
476 **analogues** provides an estimate of the past mean temperature and accompanying
477 uncertainty (Anderson et al., 1989; 1991).

478 Inverse modeling relies upon observed modern relationships. Some plant species
479 were more abundant in the past than they are today, and the fossil pollen spectra they
480 produced may have no recognizable modern counterpart—so-called “no-**analogue**”
481 assemblages. Outside the envelope of modern observations, fossil pollen spectra, which
482 are described in terms of pollen abundance, cannot be reliably related to past climate.
483 This problem led to the adoption of a second approach to estimating past temperature (or
484 other climate variable) called forward modeling. The pollen data are not used to develop
485 numerical values but are used to test a “hypothesis” about the status of past temperature

486 (a key ingredient of climate). The hypothesis may be a conceptual model of the status of
487 past climate, but typically it is represented by a climate-model simulation for a given time
488 in the past. The climate simulation drives a vegetation model that assigns vegetation
489 cover on the basis of bioclimatic rules (such as the winter minimums or required warmth
490 of summer growing temperatures mentioned above). The resultant map is compared with
491 a map of past vegetation developed from the fossil data. The philosophy of this approach
492 is described by Prentice and Webb (1998). Such data and models have been compared for
493 the Arctic by Kaplan et al. (2003) and Wohlfahrt et al. (2004). The great advantage of
494 this approach is that underlying the model simulation are hypothesized climatic
495 mechanisms; those mechanisms allow not only the description but also an explanation of
496 past climate changes.

497

498 **4.3.1b Dendroclimatology**

499 Seasonal differences in climate variables such as temperature and precipitation
500 throughout many parts of the world, including the high latitudes, are known to produce
501 annual rings that reflect distinct changes in the way trees grow and respond, year after
502 year, to variations in the weather (Fritts, 1976). Alternating light and dark bands
503 (couplets) of low-density early wood (spring and summer) and higher density late wood
504 (summer to late summer) have been used for decades to reproduce long time series of
505 regional climate change thought to directly influence the production of **meristematic**
506 **cells** in the trees' vascular cambium, just below the bark. Cambial activity in many parts
507 of the northern **boreal** forests can be short; late wood production very likely starts in late
508 June and annual-ring width is complete by early August (e.g., Esper and Schweingruber,

509 2004). Fundamental to the use of tree rings is the fact that the average width of a tree ring
510 couplet reflects some combination of environmental factors, largely temperature and
511 precipitation, but it can also reflect local climatic variables such as wind stress, humidity
512 and soil properties (see Bradley, 1999, for review). As a general guideline, growing
513 season conditions favorable for the production of wide annual rings tend to be
514 characterized by warmer than average summers with sufficient precipitation to maintain
515 adequate soil moisture. Narrow tree rings occur during unusually cold or dry growing
516 seasons.

517 The extraction of a climate signal from ring width and wood density
518 (dendroclimatology), relies on the identification and calibration of regional climate
519 factors and on the ability to distinguish local climate influences from regional noise (
520 Figure 4.9). How sites for tree sampling are selected is also important depending upon the
521 climatological signal of interest. Trees in marginal growth sites, perhaps on drier
522 substrates or near an ecological transition, are likely to be most sensitive to minor
523 changes in temperature stress or moisture stress. On the other hand, trees in less-marginal
524 sites likely reflect conditions of more widespread change. In the high latitudes, research
525 is commonly focused on trees at both the latitude and elevation limits of tree growth or of
526 the forest-**tundra** ecotone.

527

528

FIGURE 4.9 NEAR HERE

529

530 Pencil-sized increment cores or sanded trunk cross sections are routinely used for
531 stereomicroscopic examination and measurement (Figure 4.10). A number of tree

532 species are examined, most commonly varieties of the genera *Larix* (larch), *Pinus* (pine),
533 and *Picea* (spruce). Raw ring-width time series are typically generated at a resolution of
534 0.01 mm along one or more radii of the tree, and these data are normalized for changes in
535 ring width that reflect the natural increase in tree girth (a young tree produces wider
536 rings). Ring widths for a number of trees are then averaged to produce a master curve for
537 a particular site. The replication of many time series throughout a wide area at a
538 particular site permits extraction of a climate-related signal and the elimination of
539 anomalous ring biases caused by changes in competition or the ecology of any particular
540 tree. Abrupt growth that caused a large change in ring width (Figure 4.9) can only be
541 causally evaluated based on forest-site characteristics; that is, if the change isn't
542 replicated in nearby trees, it's probably not related to climate.

543

544

FIGURE 4.10 NEAR HERE

545

546 Dendroclimatology is statistically laborious, and a variety of approaches are used
547 by the science community. Ring widths or ring density must first be calibrated by a
548 response-function analysis in which tree growth and monthly climatic data are compared
549 for the instrumental period. Once this is done, then cross-dated tree ring series reaching
550 back millennia can be used as predictors of past change. Principal-components analysis,
551 along with some form of multiple regression analysis, is commonly used to identify key
552 variables. A comprehensive review of statistical treatments is beyond the scope of this
553 report, but summaries can be found in Fritts (1976), Briffa and Cook (1990), Bradley
554 (1999, his Chapter 10), and Luckman (2007).

555

556 **4.3.1c Marine isotopic records**

557 The oxygen isotope composition of the calcareous shells of planktic foraminifers
558 accurately records the oxygen isotope composition of ambient seawater, modulated by
559 the temperature at which the organisms built their shells (Epstein et al., 1953; Shackleton,
560 1967; Erez and Luz, 1982; Figure 4.11). (The term $\delta^{18}\text{O}$ refers to the proportion of the
561 heavy isotope, ^{18}O , relative to the lighter, more abundant isotope, ^{16}O .) However, the low
562 horizontal and vertical temperature variability found in Arctic Ocean surface waters (less
563 than -1°C) has little effect on the oxygen isotope composition of *N. pachyderma* (sin.)
564 (maximum 0.2‰, according to Shackleton, 1974). Because meteoric waters, discharged
565 into the ocean by precipitation and (indirectly) by river runoff, have considerably lower
566 $\delta^{18}\text{O}$ values than do ocean waters, a reasonable correlation can be interpreted between
567 salinity and the oxygen isotope composition of Arctic surface waters despite the
568 complications of seasonal sea ice (Bauch et al., 1995; LeGrande and Schmidt, 2006).
569 Accordingly, the spatial variability of surface-water salinity in the Arctic Ocean is
570 recorded today by the $\delta^{18}\text{O}$ of planktic foraminifers (Spielhagen and Erlenkeuser, 1994;
571 Bauch et al., 1997).

572

573

FIGURE 4.11 NEAR HERE

574

575 The $\delta^{18}\text{O}$ values of planktic foraminifers in cores of ancient sediment from the
576 deep Arctic Ocean vary considerably on millennial time scales (e.g., Aksu, 1985; Scott et
577 al., 1989; Stein et al., 1994; Nørgaard-Pedersen et al., 1998; 2003; 2007a,b; Polyak et al.,

578 2004; Spielhagen et al., 2004; 2005). The observed variability in foraminiferal $\delta^{18}\text{O}$
579 commonly exceeds the change in the isotopic composition of seawater that results merely
580 from storing, on glacial-interglacial time scales, isotopically light freshwater in glacial ice
581 sheets (about 1.0–1.2‰ $\delta^{18}\text{O}$) (Fairbanks, 1989; Adkins et al., 1997; Schrag et al. 2002).
582 Changes with time in freshwater balance of the near-surface waters, and in the
583 temperature of those waters, are both recorded in the $\delta^{18}\text{O}$ values of foraminifer shells.
584 Moreover, in cases where independent evidence of a regional warming of surface waters
585 is available (e.g., in the eastern Fram Strait during the last glacial maximum; Nørgaard-
586 Pedersen et al., 2003), this warming is thought to have been caused by a stronger influx
587 of saline Atlantic Water. Because salinity influences $\delta^{18}\text{O}$ of foraminifer shells from the
588 Arctic Ocean more than temperature does, it is difficult to reconstruct temperatures in the
589 past on the basis of systematic variations in calcite $\delta^{18}\text{O}$ in Arctic Ocean sediment cores.

590

591 **4.3.1d Lacustrine isotopic records**

592 Isotopic records preserved in lake sediment provide important paleoclimatic
593 information on landscape change and hydrology. Lakes are common in high-latitude
594 landscapes, and sediment deposited continuously provides uninterrupted, high-resolution
595 records of past climate (Figure 4.12).

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FIGURE 4.12 NEAR HERE

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599 Oxygen isotope ratios in precipitation reflect climate processes, especially
600 temperature (see 4.3.1e). The oxygen isotope ratios of shells and other materials in lakes

601 primarily reflect ratios of the lake water. The isotopic ratios in the lake water are
602 dominantly controlled by the isotopic ratios in precipitation—unless evaporation from the
603 lake is sufficiently rapid, compared with inflow of new water, to shift the isotopic ratios
604 towards heavier values by preferentially removing isotopically lighter water. Those lakes
605 that have streams entering and leaving (open lakes) have isotopic ratios that are generally
606 not affected much by evaporation, as do some lakes supplied only by water flow through
607 the ground (closed lakes). These lakes allow isotopic ratios of shells and other materials
608 in them to be used to reconstruct climate, especially temperature. However, some closed
609 lakes are affected notably by evaporation, in which case the isotopic ratios of the lake are
610 at least in part controlled by lake hydrology. Unless independent evidence of lake
611 hydrology is available, quantitative interpretation of $\delta^{18}\text{O}$ is difficult. Consequently, $\delta^{18}\text{O}$
612 is normally combined with additional climate proxies to constrain other variables and
613 strengthen interpretations. For example, in rare cases, ice core records that are located
614 near lakes can provide an oxygen isotope record for direct comparison (Fisher et al.,
615 2004; Anderson and Leng, 2004; Figure 4.13). Oxygen isotope ratios are relatively easy
616 to measure on carbonate shells or other carbonate materials. Greater difficulty, which
617 limits the accuracy (i.e., the time-resolution) of the records, is associated with analyses of
618 oxygen isotopes in silica from diatom shells (Leng and Marshall, 2004) and in organic
619 matter (Sauer et al., 2001; Anderson et al., 2001). Additional uncertainty arises with
620 organic matter because its site of origin is unknown: although some of it grew in the lake,
621 some was also washed in and is likely to have been stored on the landscape for an
622 indeterminate time previously.
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FIGURE 4.13 NEAR HERE

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4.3.1e Ice cores

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FIGURE 4.14 NEAR HERE

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The most common way to deduce temperature from ice cores (Figures 5.13 and 5.14) is through the isotopic content their water, i.e., the ratio of H_2^{18}O to H_2^{16}O , or of HDO to H_2O (where D is deuterium, ^2H). The ratios are expressed as $\delta^{18}\text{O}$ and δD respectively, relative to standard mean ocean water (SMOW). Pioneering studies (Dansgaard, 1964) showed how $\delta^{18}\text{O}$ is related to climatic variables in modern precipitation. At high latitudes both $\delta^{18}\text{O}$ and δD are generally, with some caveats, considered to represent the mean annual temperature at the core site, and the use of both measures together offers additional information about conditions at the source of the water vapor (e.g., Dansgaard et al., 1989). Recent work by Werner et al. (2000), however, demonstrates that changes in the seasonal cycle of precipitation over the ice sheets can affect measurements of ice-core temperature.

647 shown from spatial surveys (Johnsen et al., 1989) and, indeed, from modeling studies
648 using models enabled with water isotopes (e.g., Hoffmann et al., 1998; Mathieu et al.,
649 2002) that a good spatial relationship between temperature and water isotope ratio exists.

650 The relationship is

651

$$652 \quad \delta = aT + b$$

653 where T is mean annual surface temperature, and δ is annual mean $\delta^{18}\text{O}$ or δD value in
654 precipitation in the polar regions, and the slope, a , has values typically around 0.6 for
655 Greenland $\delta^{18}\text{O}$.

656

657 **FIGURE 4.15 NEAR HERE**

658

659 Temperature is not the only factor that can affect isotopic ratios. Changes in the
660 season when snow falls, in the source of the water vapor, and other things are potentially
661 important (Jouzel et al., 1997; Werner et al., 2000) (Figure 4.16). For this reason, it is
662 common whenever possible to calibrate the isotopic ratios using additional
663 paleothermometers. For short intervals, instrumental records of temperature can be
664 compared with isotopic ratios (e.g., Shuman et al., 1995). The few comparisons that have
665 been done (summarized in Jouzel et al., 1997) tend to show δ/T gradients that are slightly
666 lower than the spatial gradient. Accurate reconstructions of past temperature, but with
667 low time resolution, are obtained from the use of borehole thermometry. The center of the
668 *Greenland Ice Sheet* has not finished warming from the ice age, and the remaining cold
669 temperatures reveal how cold the ice age was (Cuffey et al., 1995; Johnsen et al., 1995).

670 Additional paleothermometers are available that use a thermal diffusion effect. In this
671 effect, gas isotopes are separated slightly when an abrupt temperature change at the
672 surface creates a temperature difference between the surface and the region a few tens of
673 meters down, where bubbles are pinched off from the interconnected pore spaces in old
674 snow (called firn). The size of the gas-isotope shift reveals the size of an abrupt warming,
675 and the number of years between the indicators of an abrupt change in the ice and in the
676 bubbles trapped in ice reveals the temperature before the abrupt change—if the snowfall
677 rate before the abrupt change is known (Severinghaus et al., 1998; Severinghaus and
678 Brook, 1999; Huber et al., 2006). These methods show that the value of the δ/T slope
679 produced by many of the large changes recorded in Greenland ice cores was considerably
680 less (typically by a factor of 2) than the spatial value, probably because of a relatively
681 larger reduction in winter snowfall in colder times (Cuffey et al., 1995; Werner et al.,
682 2000; Denton et al., 2005). The actual temperature changes were therefore larger than
683 would be predicted by the standard calibration.

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FIGURE 4.16 NEAR HERE

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687 In summary, water isotopes in polar precipitation are a reliable proxy for mean
688 annual air temperature, but for quantitative use, some means of calibrating them is
689 required. They may be calibrated either against instrumental data by using an alternative
690 estimate of temperature change, or through modeling, even for ice deposited during the
691 Holocene (Schmidt et al., 2007).

692

693 **4.3.1f Fossil assemblages and sea surface temperatures**

694 Different species live preferentially at different temperatures in the modern ocean.
695 Modern observations can be used to learn the preferences of species. An inherent
696 assumption is that species maintain their preferences through time. With that assumption,
697 the mathematical expression of these preferences plus the history of where the various
698 species lived in the past can then be used to interpret past temperatures (Imbrie and Kipp,
699 1971; CLIMAP, 1981). This line of reasoning is primarily applied to near-surface
700 (planktic) species, and especially to foraminifers, diatoms, and dinoflagellates. The
701 presence or absence and the relative abundance of species can be used. Such methods are
702 now commonly supported by sea-surface temperature estimates using emerging
703 biomarker techniques outlined below.

704

705 **4.3.1g Biogeochemistry**

706 Within the past decade, two new organic proxies have emerged that can be used
707 to reconstruct past ocean surface temperature. Both measurements are based on
708 quantifying the proportions of **biomarkers**—molecules produced by restricted groups of
709 organisms—preserved in sediments. In the case of the “ $U^{k'}_{37}$ index” (Brassell et al., 1986
710 ; Prahl et al., 1988), a few closely related species of coccolithophorid algae are entirely
711 responsible for producing the 37-carbon ketones (“alkenones”) used in the
712 paleotemperature index, whereas crenarcheota (archaea) produce the tetra-ether lipids that
713 make up the TEX_{86} index (Wuchter et al., 2004). Although the specific function that the
714 alkenones and glycerol dialkyl tetraethers serve for these organisms is unclear, the
715 relationship of the biomarker $U^{k'}_{37}$ index to temperature has been confirmed

716 experimentally in the laboratory (Prahl et al., 1988) and by extensive calibrations of
717 modern surface sediments to overlying surface ocean temperatures (Muller et al., 1998,
718 Conte et al., 2006, Wuchter et al., 2004).

719 Biomarker reconstructions have several advantages for reconstructing sea surface
720 conditions in the Arctic. First, in contrast to $\delta^{18}\text{O}$ analyses of marine carbonates (outlined
721 above), the confounding effects of salinity and ice volume do not compromise the utility
722 of **biomarkers** as paleotemperature proxies (a brief discussion of caveats in the use of
723 U^{k}_{37} is given below). Both the U^{k}_{37} and TEX_{86} proxies can be measured reproducibly to
724 high precision (analytical errors correspond to about 0.1°C for U^{k}_{37} and 0.5°C for
725 TEX_{86}), and sediment extractions and gas or liquid chromatographic detections can be
726 automated for high sampling rates. The abundances of **biomarkers** also provide insights
727 into the composition of past ecosystems, so that links between the physical oceanography
728 of the high latitudes and carbon cycling can be assessed. And lastly, organic **biomarkers**
729 can usually be recovered from Arctic sediments that do not preserve carbonate or
730 siliceous microfossils. It should be noted, however, that the harsh conditions of the
731 northern high latitudes mean that the organisms producing the alkenone and tetraethers
732 possibly were excluded at certain times and places; thus, continuous records cannot be
733 guaranteed.

734 The principal caveats in using **biomarkers** for paleotemperature reconstructions
735 come from ecological and evolutionary considerations. Alkenones are produced by algae
736 that are restricted to the region of abundant light (the photic zone), so paleotemperature
737 estimates based on them apply to this layer, which approximates the sea surface
738 temperature. In the vast majority of the ocean, the alkenone signal recorded by sediments

739 closely correlates with mean annual sea-surface temperature (Muller et al., 1998; Conte et
740 al., 2006; Figure 4.17). However, in the case of highly seasonal high-latitude oceans, the
741 temperatures inferred from the alkenone $U^{k'}_{37}$ index may better approximate summer
742 surface temperatures than mean annual sea-surface temperature. Furthermore, past
743 changes in the season of production could bias long-term time series of past temperatures
744 that are based on the $U^{k'}_{37}$ proxy. Depending on water column conditions, past production
745 could have been highly focused toward a short (summer?) or a more diffuse (late spring–
746 early fall?) productive season. A survey of modern surface sediments in the North
747 Atlantic (Rosell-Mele et al., 1995) shows that the seasonal bias in alkenone unsaturation
748 is not important except at high (greater than 65°N.) latitudes (Rosell-Mele et al., 1995). A
749 possible additional complication with the $U^{k'}_{37}$ proxy is that in the Nordic Seas an
750 additional alkenone (of the 37:4 type) is common, although it is rare or absent in most of
751 the world ocean including the Antarctic. The relatively fresh and cold waters of the
752 Nordic Seas likely affect alkenone production by the usual species, or the mixture of
753 species that produce alkenone. Regardless, this oddity suggests caution in applying the
754 otherwise robust global calibration of alkenone unsaturation to Nordic Sea surface
755 temperature (Rosell-Mele and Comes, 1999).

756

757

FIGURE 4.17 NEAR HERE

758

759 In contrast to the near-surface restriction of the algae producing the $U^{k'}_{37}$
760 proxy, the marine crenarcheota that produce the tetraether membrane lipids used in the
761 TEX_{86} index can range widely through the water column. In situ analyses of particles

762 suspended in the water column show that the tetraether lipids are most abundant in winter
763 and spring months in many ocean provinces (Wuchter et al., 2005) and are present in
764 large amounts below 100 m depth. However, it appears that the chemical basis for the
765 TEX₈₆ proxy is fixed by processes in the upper lighted (photic) zone, so that the
766 sedimentary signal originates near the sea surface (Wuchter et al., 2005), just as for the
767 U^k₃₇ proxy. No studies have yet been conducted to assess how high-latitude seasonality
768 affects the TEX₈₆ proxy.

769 As for many other proxies, use of these biomarker proxies is based on the
770 assumption that the modern relation between organic proxies and temperature was the
771 same in the past. The two modern (and genetically closely related) species producing the
772 alkenones in the U^k₃₇ proxy can be traced back in time in a continuous lineage to the
773 Eocene (about 50 Ma), and alkenone occurrences coincide with the fossil remains of the
774 ancestral lineage in the same sediments (Marlowe et al., 1984). One might suppose that
775 past evolutionary events in the broad group of algae that includes these species might
776 have produced or eliminated other species that generated these chemicals but with a
777 different relation to temperature. However, other such species would cause jumps in
778 climate reconstructions at times of evolutionary events in the group, and no such jumps
779 are observed. The TEX₈₆ proxy can be applied to marine sediments 70–100 million years
780 old. The working assumption is, therefore, that both organic proxies can be applied
781 accurately to sediments containing the appropriate chemicals.

782 Because these biomarker proxies depend on changes in relative abundance of
783 chemicals, it is important that natural processes after death of the producing organisms do
784 not preferentially break down one chemical and thus change the ratio. Fortunately, the

785 ratio appears to be stable (Prah1 et al., 1989; Grice et al., 1998, Teece et al., 1998;
786 Herbert, 2003; Schouten et al., 2004). An additional complication is that sediments can
787 be moved around by ocean currents, so that the material sampled at one place might have
788 been produced in another place under different climate conditions (Thomsen et al., 1998;
789 Ohkouchi et al., 2002). Ordinarily, lengthy transport of **biomarkers** into a depositional
790 site is rare and volumes are small compared with the supply from the productive ocean
791 above, so that the proxy indeed records local climate. However, at some times and places,
792 the Arctic has been comparatively unproductive, so that transport from other parts of the
793 ocean, or from land in the case of the TEX₈₆ proxy, likely was important (Weijers et al.,
794 2006).

795

796 **4.3.1h Biological proxies in lakes**

797 Lakes and ponds are common in most Arctic regions and provide useful records
798 of climate change (Smol and Cumming, 2000; Cohen, 2003; Schindler and Smol, 2006;
799 Smol 2008). Many different biological climate proxies are preserved in Arctic lake and
800 pond sediments (Pienitz et al., 2004). Diatom shells (Douglas et al., 2004) and remains of
801 non-biting midge flies (chironomid head capsules; Bennike et al., 2004) are among the
802 biological indicators most commonly used to reconstruct ancient Arctic climate (Figure
803 4.18). The approach generally used by those who study the history of lakes
804 (paleolimnologists) is first to identify useful species— those that grow only within a
805 distinct range of conditions. Then, the modern conditions preferred by these indicator
806 species are determined, as are the conditions beyond which these indicator species cannot
807 survive. (Typically used are surface sediment calibration sets or training sets to which are

808 applied statistical approaches such as canonical correspondence analysis and weighted
809 averaging regression and calibration; see Birks, 1998.) The resulting mathematical
810 relations (or transfer functions such as those used in marine records) are then used to
811 reconstruct the environmental variables of interest, on the basis of the distribution of
812 indicator assemblages preserved in dated sediment cores (Smol, 2008). Where well-
813 calibrated transfer functions are not available, such as for some parts of the Arctic, less-
814 precise climate reconstructions are commonly based on the known ecological and life-
815 history characteristics of the organisms.

816

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819 Ideally, sedimentary characteristics would be linked directly to key climatic
820 variables such as temperature (e.g., Pienitz and Smol, 1993; Joynt and Wolfe, 2001;
821 Bigler and Hall, 2003; Bennike et al., 2004; Larocque and Hall, 2004; Woller et al. 2004,
822 Finney et al., 2004, other chapters in Pienitz et al., 2004; Barley et al., 2006; Weckström
823 et al., 2006;). However, lake sediments typically record conditions in the lake that are
824 only indirectly related to climate (Douglas and Smol, 1999). For example, lake
825 ecosystems are strongly influenced by the length of the ice-free versus the ice-covered
826 season, by the Sun-blocking effect of any snow cover on ice (Figure 4.19) (e.g., Smol,
827 1988; Douglas et al., 1994; Sorvari and Korhola, 1998; Douglas and Smol, 1999; Sorvari
828 et al., 2002; Rühland et al., 2003; Smol and Douglas, 2007a) and by the existence or
829 absence of a seasonal layer of warm water near the lake surface that remains separate
830 from colder waters beneath (Figure 4.20). Shells and other features in the lake sediment

831 record the species living in the lake and conditions under which they grew. These factors
832 rather directly reflect the ice and snow cover and lake stratification and only indirectly
833 reflect the atmospheric temperature and precipitation that control the lake conditions.

834

835 FIGURE 4.19 NEAR HERE

836 FIGURE 4.20 NEAR HERE

837

838 **4.3.1i Insect proxies.**

839 Insects are common and typically are preserved well in Arctic sediment. Because
840 many insect types live only within narrow ranges of temperature or other environmental
841 conditions, the remains of particular insects in old sediments provides useful information
842 on past climate.

843 Calibrating the observed insect data to climate involves extensive modern and
844 recent studies, together with careful statistical analyses. For example, fossil beetles are
845 typically related to temperature using what is known as the Mutual Climatic Range
846 method (Elias et al., 1999; Bray et al., 2006). This method quantitatively assesses the
847 relation between the modern geographical ranges of selected beetle species and modern
848 meteorological data. A “climate envelope” is determined, within which a species can
849 thrive. When used with paleodata, the method allows for the reconstruction of several
850 parameters such as mean temperatures of the warmest and coldest months of the year.

851

852 **4.3.1j Sand dunes** When plant roots anchor the soil, sand cannot blow around to
853 make dunes. In the modern Arctic, and especially in Alaska (Figure 4.21) and Russia,

854 sand dunes are forming and migrating in many places where dry, cold conditions restrict
855 vegetation. During the last glacial interval and at some other times, dunes formed in
856 places that now lack active dunes and indicate colder or drier conditions at those earlier
857 times (Carter, 1981; Oswald et al., 1999; Beget, 2001; Mann et al., 2002). Some wind-
858 blown mineral grains are deposited in lakes. The rate at which sand and silt are deposited
859 in lakes increases as nearby vegetation is removed by cooling or drying, so analysis of the
860 sand and silt in lake sediments provides additional information on the climate (e.g.,
861 Briner et al., 2006).

862

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FIGURE 4.21 NEAR HERE

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865 **4.3.2 Proxies for Reconstruction of Precipitation**

866 In the case of sand dunes described above, separating the effects of changing
867 temperature from those of changing precipitation is likely to be difficult, but additional
868 indicators such as insect fossils in lake sediments very likely help by constraining the
869 temperature. In general, precipitation is more difficult to estimate than is temperature, so
870 reconstructions of changes in precipitation in the past are less common, and typically less
871 quantitative, than are reconstructions of past temperature changes.

872

873 **4.3.2a Vegetation-derived precipitation estimates** Different plants live in wet
874 and dry places, so indications of past vegetation provide estimates of past wetness. Plants
875 do not respond primarily to rainfall but instead to moisture availability. Availability is
876 primarily controlled in most places by the difference between precipitation and

877 evaporation, although some soils carry water downward so efficiently that dryness occurs
878 even without much evaporation.

879 Much modern **tundra** vegetation grows where precipitation exceeds evaporation.
880 Plants such as *Sphagnum* (bog moss), cotton-grass (*Eriophorum*), and cloudberry (*Rubus*
881 *chamaemorus*) indicate moist growing conditions. In contrast, grasses dominate dry
882 **tundra** and polar semi-desert. Such differences are evident today (Oswald et al., 2003)
883 and can be reconstructed from pollen and larger plant materials (macrofossils) in
884 sediments. Some regions of Alaska and Siberia retain sand dunes that formed in the last
885 glacial maximum but are inactive today; typically, those regions are near areas that had
886 grasses then but now have plants requiring greater moisture (Colinvaux, 1964; Ager and
887 Brubaker, 1985; Lozhkin et al. 1993; Goetcheus and Birks 2001, Zazula et al., 2003).

888 In Arctic regions, deep snow cover very likely allows the persistence of shrubs
889 that would be killed if exposed during the harsh winter cold and wind. For example,
890 dwarf willow can survive if snow depths exceed 50 cm (Kaplan et al., 2003). Siberian
891 stone pine requires considerable winter snow to weigh down and bury its branches
892 (Lozhkin et al, 2007). The presence of these species therefore indicates certain minimum
893 levels of winter precipitation.

894 Moisture levels can also be estimated quantitatively from pollen assemblages by
895 means of formal techniques such as inverse and forward modeling, following techniques
896 also used to estimate past temperatures. Moisture-related transfer functions have been
897 developed, in Scandinavia for example (Seppä and Hammarlund, 2000). Kaplan et al.
898 (2003) compared pollen-derived vegetation with vegetation derived from model
899 simulations for the present and key times in the past. The pollen data indicated that model

900 simulations for the Last Glacial Maximum tended to be “too moist”—the simulations
901 generated shrub-dominated biomes whereas the pollen data indicated drier **tundra**
902 dominated by grass.

903

904 **4.3.2b Lake-level derived precipitation estimates** In addition to their other uses
905 in paleoclimatology as described above, lakes act as natural rain gauges. If precipitation
906 increases relative to evaporation, lakes tend to rise, so records of past lake levels provide
907 information about the availability of moisture.

908 Most of the water reaching a lake first soaked into the ground and flowed through
909 spaces as groundwater, before it either seeped directly into the lake or else came back to
910 the surface in a stream that flowed into the lake. Smaller amounts of water fall directly on
911 the lake or flow over the land surface to the lake without first soaking in (e.g.,
912 MacDonald et al., 2000b). Lakes lose water to streams (“overflow”), as outflow into
913 groundwater, and by evaporation. If water supply to a lake increases, the lake level will
914 rise and the lake will spread. This spread will increase water loss from the lake by
915 increasing the area for evaporation, by increasing the area through which groundwater is
916 leaving and the “push” (hydraulic head) causing that outflow, and perhaps by forming a
917 new outgoing stream or increasing the size of an existing stream. Thus, the level of a lake
918 adjusts in response to changes in the balance between precipitation and evaporation in the
919 region feeding water to the lake (the catchment). Because either an increase in
920 precipitation or a reduction in evaporation will cause a lake level to rise, an independent
921 estimate of either precipitation or evaporation is required before one can estimate the
922 other on the basis of a history of lake levels (Barber and Finney, 2000).

923 Former lake levels can be identified by deposits such as the fossil shoreline they
924 leave (Figure 4.22); sometimes these deposits are preserved under water and can be
925 recognized in sonar surveys or other data, and these deposits can usually be dated.
926 Furthermore, the sediments of the lake very likely retain a signature of lake-level
927 fluctuations: coarse-grained material generally lies near the shore and finer grained
928 materials offshore (Digerfeldt, 1988), and these too can be identified, sampled, and dated
929 (Abbott et al., 2000).

930

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FIGURE 4.22 NEAR HERE

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933 For a given lake, modern values of the major inputs and outputs can be obtained
934 empirically, and a model can then be constructed that simulates lake-level changes in
935 response to changing precipitation and evaporation. Allowable pairs of precipitation and
936 evaporation can then be estimated for any past lake level. Particularly in cases where
937 precipitation is the primary control of water depth, it is possible to model lake level
938 responses to past changes in precipitation (e.g., Vassiljev, 1998; Vassiljev et al., 1998).
939 For two lakes in interior Alaska, this technique suggested that precipitation now was as
940 much as 50% lower than at the time of the Last Glacial Maximum (about 20 ka) (Barber
941 and Finney, 2000).

942

943

944

945

Biological groups living within lakes also leave fossil assemblages that can be
interpreted in terms of lake level by comparing them with modern assemblages. In all
cases, factors other than water depth (e.g., conductivity and salinity) likely influence the
assemblages (MacDonald et al., 2000b), but these factors are themselves likely to be

946 indirectly related to water depth. Aquatic plants, which are represented by pollen and
947 macrofossils, tend to dominate from nearshore to moderate depths, and shifts in the
948 abundance of pollen or seeds in one of more sediment profiles can indicate relative water-
949 level changes (Hannon and Gaillard, 1997; Edwards et al., 2000). Diatom and chironomid
950 (midge) assemblages may also be related quantitatively to lake depth by means of inverse
951 modeling and the transfer functions used to reconstruct past lake levels (Korhola et al.,
952 2000; Ilyashuk et al., 2005).

953 The great variety of lakes, and the corresponding range of sedimentary indicators,
954 requires that field scientists be broadly knowledgeable in selecting which lakes to study
955 and which techniques to use in reconstructions. For some important case studies, see
956 Hannon and Gaillard, 1997; Abbott et al., (2000), Edwards et al., (2000), Korhola et al.,
957 2000; Pienitz et al., (2000), Anderson et al., (2005), and Ilyashuk et al., 2005).

958

959 **4.3.2c Precipitation estimates from ice cores.** Ice cores provide a direct way of
960 recording the net accumulation rate at sites with permanent ice. The initial thickness of an
961 annual layer in an ice core (after mathematically accounting for the amount of air trapped
962 in the ice) is the annual accumulation. Most ice cores are drilled in cold regions that
963 produce little meltwater or runoff. Furthermore, sublimation or condensation and snow
964 drift generally account for little accumulation, so that accumulation is not too different
965 from the precipitation (e.g., Box et al., 2006). The thickness of layers deeper in the core
966 must be corrected for the thinning produced as the ice sheet spreads and thins under its
967 own weight, but for most samples this correction can be made with much accuracy by
968 using simple ice flow models (e.g., Alley et al., 1993; Cuffey and Clow, 1997).

969 The annual-layer thickness can be recorded using any component that varies
970 regularly with a defined seasonal cycle. Suitable components include visible layering
971 (e.g. Figure 4.14a), which responds to changes in snow density or impurities (Alley et
972 al., 1997), the seasonal cycle of water isotopes (Vinther et al., 2006), and seasonal cycles
973 in different chemical species (e.g. Rasmussen et al., 2006). Using more than one
974 component gives extra security to the combined output of counted years and layer
975 thicknesses.

976 Although the correction for strain (layer thinning) increases the uncertainty in
977 estimates of absolute precipitation rate deeper in ice cores, estimates of changes in
978 relative accumulation rate along an ice core can be considered reliable (e.g., Kapsner et
979 al., 1995). Because the accumulation rate combines with the temperature to control the
980 rate at which snow is transformed to ice, and because the isotopic composition of the
981 trapped air (Sowers et al., 1989) and the number of trapped bubbles in a sample (Spencer
982 et al., 2006) record the results of that transformation, then accumulation rates can also be
983 estimated from measurements of these parameters plus independent estimation of past
984 temperature using techniques described above.

985

986 **4.4 Arctic Climate over the past 65 Ma**

987

988 During the past 65 Ma (the Cenozoic), the Arctic has experienced a greater
989 change in temperature, vegetation, and ocean surface characteristics than has any other
990 Northern Hemisphere latitudinal band (e.g., Sewall and Sloan, 2001; Bice et al., 2006;
991 and see results presented below). Those times when the Arctic was unusually warm offer

992 insights into the feedbacks within the Arctic system that can amplify changes imposed
993 from outside the Arctic regions. Evidence from which the Cenozoic history of climate in
994 the Arctic is reconstructed is presented below, focussing especially on warm times as
995 identified by climate and environmental proxies outlined in section 5.3.

996

997 **4.4.1 Early Cenozoic and Pliocene Warm Times**

998 Records of the $\delta^{18}\text{O}$ composition of bottom-dwelling foraminifers from the global
999 ocean document a long-term cooling of the deep sea during the past 70 Ma (Figure 4.8;
1000 Zachos et al., 2001) and the development of large Northern Hemisphere continental ice
1001 sheets at 2.6–2.9 Ma (Duk-Rodkin et al., 2004). As discussed below and in Chapter 5
1002 (past rates of Arctic climate change), Arctic climate history is broadly consistent with the
1003 global data reported by Zachos et al. (2001): general cooling and increase in ice was
1004 punctuated by short-lived and longer lived reversals, by variations in cooling rate, and by
1005 additional features related to growth and shrinkage of ice once the ice was well
1006 established. A detailed Arctic Ocean record that is equivalent to the global results of
1007 Zachos et al. (2001) is not yet available, and because the Arctic Ocean is geographically
1008 somewhat isolated from the world ocean (e.g., Jakobsson and MacNab, 2006), the
1009 possibility exists that some differences would be found. Emerging paleoclimate
1010 reconstructions from the Arctic Ocean derived from recently recovered sediment cores on
1011 the *Lomonosov Ridge* (Backman et al., 2006; Moran et al., 2006) shed new light on the
1012 Cenozoic evolution of the Arctic Basin, but the data have yet to be fully integrated with
1013 the evidence from terrestrial records or with the sketchy records from elsewhere in the
1014 Arctic Ocean (see Chapter 7, Arctic sea ice).

1015 Data clearly show warm Arctic conditions during the Cretaceous and early
1016 Cenozoic. For example, late Cretaceous (70 Ma) Arctic Ocean temperatures of 15°C
1017 (compared to near-freezing temperatures today) are indicated by TEX₈₆-based estimates
1018 (Jenkyns et al., 2004). The same indicator shows that peak Arctic Ocean temperatures
1019 near the North Pole rose from about 18°C to more than 23°C during the short-lived
1020 Paleocene-Eocene thermal maximum about 55 Ma (Figure 4.23) (Moran et al., 2006;
1021 also see Sluijs et al., 2006; 2008). This rise was synchronous with warming on nearby
1022 land from a previous temperature of about 17°C to peak temperature during the event of
1023 about 25°C (Weijers et al., 2007). By about 50 Ma, Arctic Ocean temperatures were
1024 about 10°C and relatively fresh surface waters were dominated by aquatic ferns
1025 (Brinkhuis et al., 2006). Restricted connections to the world ocean allowed the fern-
1026 dominated interval to persist for about 800,000 years; return of more-vigorous
1027 interchange between the Arctic and North Atlantic oceans was accompanied by a
1028 warming in the central Arctic Ocean of about 3°C (Brinkhuis et al., 2006). On Arctic
1029 lands during the Eocene (55–34 Ma), forests of *Metasequoia* dominated a landscape
1030 characterized by organic-rich floodplains and wetlands quite different from the modern
1031 **tundra** (McKenna, 1980; Francis, 1988; Williams et al., 2003).

1032

1033

FIGURE 4.23 NEAR HERE

1034

1035 Terrestrial evidence shows that warm conditions persisted into the early Miocene
1036 (23–16 Ma), when the central *Canadian Arctic Islands* were covered in mixed conifer-
1037 hardwood forests similar to those of southern Maritime Canada and New England today

1038 (Whitlock and Dawson, 1990). *Metasequoia* was still present although less abundant than
1039 in the Eocene. Still younger, deposits known as the Beaufort Formation and tentatively
1040 dated to about 8–3 Ma (and thus within Miocene to Pliocene times) record an extensive
1041 riverside forest of pine, birch, and spruce, which lived throughout the *Canadian Arctic*
1042 *Archipelago* before geologic processes formed many of the channels that now divide the
1043 islands.

1044 The relatively warm climates of the earlier Cenozoic altered to the colder times of
1045 the Quaternary Ice Age, which was marked by cyclic growth and shrinkage of extensive
1046 land ice, during the Pliocene (5–1.8 Ma). Climate changed although continental
1047 configurations remained similar to those of the present, and most Pliocene plant and
1048 animal species were similar to those that remain today. A well-documented warm period
1049 in the middle Pliocene (about 3 Ma), just before the planet transitioned into the
1050 Quaternary ice age, supported forests that covered large regions near the Arctic Ocean
1051 that are currently polar deserts. Fossils of *Arctica islandica* (a marine bivalve that does
1052 not live near seasonal sea ice) in marine deposits as young as 3.2 Ma on Meighen Island
1053 at 80°N., likely record the peak Pliocene mean warmth of the ocean (Fyles et al., 1991).
1054 As compared with recent conditions, warmer conditions then are widely indicated
1055 (Dowsett et al., 1994). At a site on *Ellesmere Island*, application of a novel technique for
1056 paleoclimatic reconstruction based on ring-width and isotopic measurements of wood
1057 suggests mean-annual temperatures 14°C warmer than recently (Ballantyne et al., 2006).
1058 Additional data from records of beetles and plants indicate mid-Pliocene conditions as
1059 much as 10°C warmer than recently for mean summer conditions, and even larger
1060 wintertime warming to a maximum of 15°C or more (Elias and Matthews, 2002).

1061 Much attention has been focused on learning the causes of the slow, bumpy slide
1062 from Cretaceous hothouse temperatures to the recent ice age. As discussed below,
1063 changes in greenhouse-gas concentrations appear to have played the dominant role, and
1064 linked changes in continental positions, in sea level, and in oceanic circulation also
1065 contributed.

1066 Based on general circulation models of climate, Barron et al. (1993) found that
1067 continental position had little effect on temperature difference between Cretaceous and
1068 modern temperatures (also see Poulsen et al., 1999 and references therein). Years later,
1069 Donnadieu et al. (2006), using more sophisticated climate modeling, found that
1070 continental motions and their effects on atmospheric and oceanic circulation modified
1071 global average temperature by almost 4°C from Early to Late Cretaceous; this result does
1072 not compare directly with modern conditions, but it does suggest that continental motions
1073 can notably affect climate. However, despite much effort, modeling does not indicate that
1074 the motion of continents by itself can explain either the long-term cooling trend from the
1075 Cretaceous to the ice age or the “wiggles” within that cooling.

1076 The direct paleoclimatic data provide one interesting perspective on the role of
1077 oceanic circulation in the warmth of the later Eocene. When the Arctic Ocean was filled
1078 with water ferns living in “brackish” water (less salty than normal marine water) in an
1079 ocean that was ice-free or nearly so, the oceanic currents reaching the near-surface Arctic
1080 Ocean must have been greatly weakened relative to today for the fresh water to persist.
1081 Thus, heat transport by oceanic currents cannot explain the Arctic-Ocean warmth of that
1082 time. The resumption of stronger currents and normal salinity was accompanied by a

1083 warming of about 3°C (Brinkhuis et al., 2006), important but not dominant in the
1084 temperature difference between then and now.

1085 As discussed in section 4.2.4, the atmospheric CO₂ concentration has changed
1086 during tens of millions of years in response to many processes, and especially to those
1087 processes linked to plate tectonics and perhaps also to biological evolution. Many lines of
1088 proxy evidence (see Royer, 2006) show that atmospheric CO₂ was higher in the warm
1089 Cretaceous than it was recently, and that it subsequently fell in parallel with the cooling (
1090 Figure 4.24). Furthermore, models find that the changing CO₂ concentration is sufficient
1091 to explain much of the cooling (e.g., Bice et al., 2006; Donnadieu et al., 2006).

1092

1093 FIGURE 4.24 NEAR HERE

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1095 A persistent difficulty is that models driven by changes in greenhouse gases
1096 (mostly CO₂) tend to underestimate Arctic warmth (e.g., Sloan and Barron, 1992). Many
1097 possible explanations have been offered for this situation: underestimation of CO₂ levels
1098 (Shellito et al., 2003; Bice et al., 2006); an enhanced greenhouse effect from polar
1099 stratospheric clouds during warm times (Sloan and Pollard, 1998; Kirk-Davidoff et al.,
1100 2002); changed planetary obliquity (Sewall and Sloan, 2004); reduced biological
1101 productivity that provided fewer cloud-condensation nuclei and thus fewer reflective
1102 clouds (Kump and Pollard, 2008); and greater heat transport by tropical cyclones (Korty
1103 et al., 2008). Several of these mechanisms use feedbacks not normally represented in
1104 climate models and that serve to amplify warming in the Arctic. Consideration of the
1105 literature cited above and of additional materials points to some combination of stronger

1106 greenhouse-gas forcing (see Alley, 2003 for a review) and to stronger long-term
1107 feedbacks than typically are included in models, rather than to large change in Earth's
1108 orbit, although that cannot be excluded.

1109 It is thought that greenhouse gases were the primary control on Arctic temperature
1110 changes because the warmth of the Paleocene-Eocene Thermal Maximum took place in
1111 the absence of any ice—and therefore the absence of any ice-albedo or snow-albedo
1112 feedbacks. As described above (see Sluijs et al., 2008 for an extensively referenced
1113 summary of the event together with new data pertaining to the Arctic), this thermal
1114 maximum was achieved by a rapid (within a few centuries or less), widespread warming
1115 coincident with a large increase in atmospheric greenhouse-gas concentrations from a
1116 biological source (whether from sea-floor methane, living biomass, soils, or other sources
1117 remains debated). Following the thermal maximum, the anomalous warmth decayed more
1118 slowly and the extra greenhouse gases dissipated for tens of thousands of years, to
1119 roughly 100,000 years ago. The event in the Arctic seems to have been positioned within
1120 a longer interval of restricted oceanic circulation into the Arctic Ocean (Sluijs et al.,
1121 2008), and it was too fast for any notable effect of plate tectonics or evolving life. The
1122 reconstructed CO₂ change thus is strongly implicated in the warming (e.g., Zachos et al.,
1123 2008).

1124 Taken very broadly, the Arctic changes parallel the global ones during the
1125 Cenozoic, except that changes in the Arctic were larger than globally averaged ones (e.g.,
1126 Sluijs et al., 2008). The global changes parallel changing atmospheric carbon-dioxide
1127 concentrations, and changing CO₂ is the likely cause of most of the temperature change
1128 (e.g., Royer, 2006; Royer et al., 2007).

1129 The well-documented warmth of the Pliocene is not fully explained. This interval
1130 is recent enough that continental positions were substantially the same as today. As
1131 reviewed by Jansen et al. (2007), many reconstructions show notable Arctic warmth but
1132 little low-latitude change; however, recent work suggests the possibility of low-latitude
1133 warmth as well (Haywood et al., 2005). Reconstructions of Pliocene atmospheric CO₂
1134 concentration (reviewed by Royer, 2006) generally agree with each other within the
1135 considerable uncertainties, but they allow values above, similar to, or even below the
1136 typical levels just before major human influence. Data remain equivocal on whether the
1137 ocean transported more heat during Pliocene warmth (reviewed by Jansen et al., 2007).
1138 The high-latitude warmth thus is likely to have originated primarily from changes in
1139 greenhouse-gas concentrations in the atmosphere, or from changes in oceanic or
1140 atmospheric circulation, or from some combination, perhaps with a slight possibility that
1141 other processes also contributed.

1142

1143 **4.4.2 The Early Quaternary: Ice-Age Warm Times**

1144 A major reorganization of the climate system occurred between 3.0 and 2.5 Ma.
1145 As a result, the first continental ice sheets developed in the North American and Eurasian
1146 Arctic and marked the onset of the Quaternary Ice Ages (Raymo, 1994). For the first 1.5–
1147 2.0 Ma, ice age cycles appeared at a 41 ka interval, and the climate oscillated between
1148 glacial and interglacial states (Figure 4.25). A prominent but apparently short-lived
1149 interglacial (warm interval) about 2.4 Ma is recorded especially well in the *Kap*
1150 *København* Formation, a 100-m-thick sequence of estuarine sediments that covered an
1151 extensive lowland area near the northern tip of Greenland (Funder et al., 2001).

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The rich and well-preserved fossil fauna and flora in the *Kap København* Formation (Figure 4.26) record warming from cold conditions into an interglacial and then subsequent cooling during 10,000–20,000 years. During the peak warmth, forest trees reached the Arctic Ocean coast, 1000 kilometers (km) north of the northernmost trees today. Based on this warmth, Funder et al. (2001) suggested that the *Greenland Ice Sheet* must have been reduced to local ice caps in mountain areas (Figure 4.26a) (see Chapter 5, Greenland Ice Sheet). Although finely resolved time records are not available throughout the Arctic Ocean at that time, by analogy with present faunas along the Russian coast, the coastal zone would have been ice-free for 2 to 3 months in summer. Today this coast of Greenland experiences year-round sea ice, and models of diminishing sea ice in a warming world generally indicate long-term persistence of summertime sea ice off these shores (e.g., Holland et al., 2006). Thus, the reduced sea ice off northern Greenland during deposition of the *Kap København* Formation suggests a widespread warm time in which Arctic sea ice was much diminished.

1175 deposition of the Kap København were not caused by notably greater solar insolation,
1176 owing to the relative repeatability of the Milankovitch variations during millions of years
1177 (e.g., Berger et al., 1992). As discussed above, uncertainties in estimation of atmospheric
1178 CO₂ concentration, ocean heat transport, and perhaps other factors at the time of the *Kap*
1179 *København* Formation are sufficiently large to preclude strong conclusions about the
1180 causes of the unusual warmth.

1181 Potentially correlative records of warm interglacial conditions are found in
1182 deposits on coastal plains along the northern and western shores of Alaska. High sea
1183 levels during interglaciations repeatedly flooded the *Bering Strait*, and they rapidly
1184 modified the configuration of the coastlines, altered regional continentality (isolation
1185 from the moderating influence of the sea), and reinvigorated the exchange of water
1186 masses between the North Pacific, Arctic, and North Atlantic oceans. Since the first
1187 submergence of the *Bering Strait* about 5.5–5 Ma (Marincovich and Gladenkov, 2001),
1188 this marine gateway has allowed relatively warm Pacific water from as far south as
1189 northern Japan to reach as far north as the *Beaufort Sea* (Brigham-Grette and Carter,
1190 1992). The *Gubik Formation* of northern *Alaska* records at least three warm high sea
1191 stands in the early Quaternary (Figure 4.27). During the Colvillian transgression, about
1192 2.7 Ma, the *Alaskan Coastal Plain* supported open **boreal** forest or spruce-birch
1193 woodland with scattered pine and rare fir and hemlock (Nelson and Carter, 1991). Warm
1194 marine conditions are confirmed by the general character of the ostracode fauna, which
1195 includes *Pterygocythereis vannieuwenhuisei* (Brouwers, 1987), an extinct species of a
1196 genus whose modern northern limit is the *Norwegian Sea* and which, in the northwestern
1197 Atlantic Ocean, is not found north of the southern cold-temperate zone (Brouwers, 1987).

1198 Despite the high sea level and relative warmth indicated by the Colvillian transgression,
1199 erratics (rocks not of local origin) in Colvillian deposits southwest of *Barrow*, Alaska,
1200 indicate that glaciers then terminated in the Arctic Ocean and produced icebergs large
1201 enough to reach northwest Alaska at that time.

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1205 Subsequently, the Bigbendian transgression (about 2.5 Ma) was also warm, as
1206 indicated by rich molluscan faunas such as the gastropod *Littorina squalida* and the
1207 bivalve *Clinocardium californiense* (Carter et al., 1986). The modern northern limit of
1208 both of these mollusk species is well to the south (Norton Sound, Alaska). The presence
1209 of sea otter bones suggests that the limit of seasonal ice on the *Beaufort Sea* was
1210 restricted during the Bigbendian interval to positions north of the Colville River and thus
1211 well north of typical 20th-century positions (Carter et al., 1986); modern sea otters cannot
1212 tolerate severe seasonal sea-ice conditions (Schneider and Faro, 1975).

1213

1214 The youngest of these early Quaternary events of high sea level is the
1215 Fishcreekian transgression (about 2.1–2.4 Ma), suggested to be the same age as the *Kap*
1216 *Kobenhavn* Formation on Greenland (Brigham-Grette and Carter, 1992). However, age
1217 control is not complete, and Brigham (1985) and Goodfriend et al. (1996) suggested that
1218 the Fishcreekian could be as young as 1.4 Ma. This deposit contains several mollusk
1219 species that currently are found only to the south. Moreover, sea otter remains and the
1219 intertidal gastropod *Littorina squalida* at Fish Creek suggest that perennial sea ice was
1220 absent or severely restricted during the Fishcreekian transgression (Carter et al., 1986).

1221 Correlative deposits rich in mollusk species that currently live only well to the south are
1222 reported from the coastal plain at *Nome, Alaska* (Kaufman and Brigham-Grette, 1993).

1223 The available data clearly indicate episodes of relatively warm conditions that
1224 correlate with high sea levels and reduced sea ice in the early Quaternary. The high sea
1225 levels suggest melting of land ice (see Chapter 67, Greenland Ice Sheet). Thus the
1226 correlation of warmth with diminished ice on land and at sea (see Chapter 7, Arctic sea
1227 ice)—indicated by recent instrumental observations, model results, and data from other
1228 time intervals—is also found for this time interval. Improved time resolution of histories
1229 of forcing and response will be required to assess the causes of the changes, but estimates
1230 of forcings indicate that they were relatively moderate and thus that the strong **Arctic**
1231 **amplification** of climate change was active in these early Quaternary events.

1232

1233 **4.4.3 The Mid-Pleistocene Transition: 41 ka and 100 ka worlds**

1234 Since the late Pliocene, the cyclical waxing and waning of continental ice sheets
1235 have dominated global climate variability. The variations in sunshine caused by features
1236 of Earth's orbit have been very important in these ice-sheet changes, as described in
1237 Chapter 3 (paleoclimate concepts).

1238 After the onset of glaciation in North America about 2.7 Ma (Raymo, 1994), ice
1239 grew and shrank as Earth's obliquity (tilt) varied in its 41 ka cycle. But between 1.2 and
1240 0.7 Ma, the variations in ice volume became larger and slower, and an approximately
1241 100-ka period has dominated especially during the last 700 ka or so (Figure 4.25).

1242 Although Earth's eccentricity varies with an approximately 100-ka period, this variation
1243 does not cause as much change in sunshine in the key regions of ice growth as did the

1244 faster cycles, so the reasons for the dominant 100-ka period in ice volume remain
1245 obscure. Roe and Allen (1999) assessed six different explanations of this behavior and
1246 found that all fit the data rather well. The record is still too short to allow the data to
1247 demonstrate the superiority of any one model.

1248 Models for the 100-ka variability commonly assign a major role to the ice sheets
1249 themselves and especially to the *Laurentide Ice Sheet* on North America, which
1250 dominated the total global change in ice volume (e.g., Marchant and Denton, 1996). For
1251 example, Marshall and Clark (2002) modeled the growth and shrinkage of the Laurentide
1252 Ice Sheet and found that during growth the ice was frozen to the bed beneath and unable
1253 to move rapidly. After many tens of thousands of years, ice had thickened sufficiently
1254 that it trapped Earth's heat and thawed the bed, which allowed faster flow. Faster flow of
1255 the ice sheet lowered the upper surface, which allowed warming and melting (see Chapter
1256 6, Greenland Ice Sheet). Behavior such as that described could cause the main variations
1257 of ice volume to be slower than the main variations in sunshine caused by Earth's orbital
1258 features, and the slow-flowing ice might partly ignore the faster variations in sunshine
1259 until the shift to faster flow allowed a faster response. Note that this explanation remains
1260 a hypothesis, and other possibilities exist. Alternative hypotheses require interactions in
1261 the Southern Ocean between the ocean and sea ice and between the ocean and the
1262 atmosphere (Gildor et al., 2002). For example, Toggweiler (2008) suggested that because
1263 of the close connection between the southern westerly winds and meridional overturning
1264 circulation in the Southern Ocean, shifts in wind fields very likely control the exchange
1265 of CO₂ between the ocean and the atmosphere. Carbon models support the notion that
1266 weathering and the burial of carbonate can be perturbed in ways that alter deep ocean

1267 carbon storage and that result in 100 ka CO₂ cycles (Toggweiler, 2008). Others have
1268 suggested that 100 ka cycles and CO₂ might be controlled by variability in obliquity
1269 cycles (i.e., two or three 41 ka cycles (Huybers, 2006) or by variable precession cycles
1270 (altering the 19 ka and 23 ka cycles (Raymo, 1997)). Ruddimann (2006) recently
1271 furthered these ideas but suggested that since 900 ka, CO₂-amplified ice growth
1272 continued at the 41 ka intervals but that polar cooling dampened ice ablation. His CO₂-
1273 feedback hypothesis suggests a mechanism that combines the control of 100 ka cycles
1274 with precession cycles (19 ka and 23 ka) and with tilt cycles (41 ka). The cause of the
1275 switch in the length of climate cycles from about 41 ka to about 100 k.y, known as the
1276 mid-Pleistocene transition, also remains obscure. This transition is of particular interest
1277 because it does not seem to have been caused by any major change in Earth's orbital
1278 behavior, and so the transition likely reflects a fundamental threshold within the climate
1279 system.

1280 The mid-Pleistocene transition is very likely to be at least in part related to the
1281 continuation of the gradual global cooling that began in the early Cenozoic, as described
1282 above (Raymo et al., 1997; 2006; Ruddiman, 2003). If, for example, the 100-ka cycle
1283 requires that the *Laurentide Ice Sheet* grow sufficiently large and thick to trap enough of
1284 Earth's internal heat that thaws the ice-sheet bed (Marshall and Clark, 2002), then long-
1285 term cooling may have reached the threshold at which the ice sheet became large enough.

1286 However, such a cooling model does not explain the key observation (Clark et al.,
1287 2006) that the ice sheets of the last 700 ka configured a larger volume (Clark et al., 2006)
1288 into a smaller area (Boellstorff, 1978; Balco et al., 2005a,b) than was true of earlier ice
1289 sheets. Clark and Pollard (1998) used this observation to argue that the early *Laurentide*

1290 *Ice Sheet* must have been substantially lower in elevation than in the late Pleistocene,
1291 possibly by as much as 1 km. Clark and Pollard (1998) suggested that the tens of millions
1292 of warm years back to the Cretaceous and earlier had produced thick soils and broken-up
1293 rocks below the soil. When glaciations began, the ice advanced over these water-
1294 saturated soils, which deformed easily. Just as grease on a griddle allows batter poured on
1295 top to spread easily into a wide, thin pancake, deformation of the soils beneath the
1296 growing ice (Alley, 1991) would have produced an extensive ice sheet that did not
1297 contain a large volume of ice. As successive ice ages swept the loose materials to the
1298 edges of the ice sheet, and as rivers removed most of the materials to the sea, hard
1299 bedrock was exposed in the central region. And, just as the bumps and friction of an
1300 ungreased waffle iron slow spreading of the batter to give a thicker, not-as-wide breakfast
1301 than on a greased griddle, the hard, bumpy bedrock produced an ice sheet that did not
1302 spread as far but which contained more ice.

1303 Other hypotheses also exist for these changes. A complete explanation of the
1304 onset of extensive glaciation on North America and Eurasia as well as Greenland about
1305 2.8 Ma, or of the transition from 41 ka to 100 ka ice age cycles, remains the object of
1306 ongoing investigations.

1307

1308 **4.4.4 A link between ice volume, atmospheric temperature and greenhouse** 1309 **gases**

1310 The globally-averaged temperature change during one of the large 100-ka ice-age
1311 cycles was about 5°–6°C (Jansen et al., 2007). The larger changes were measured in the
1312 Arctic and close to the ice sheets, such as a change of 21°–23°C atop the *Greenland Ice*

1313 *Sheet* (Cuffey et al., 1995). The total change in sunshine reaching the planet during these
1314 cycles was near zero, and the orbital features served primarily to move sunshine from
1315 north to south and back, or from equator to poles and back, depending on the cycle
1316 considered (see Chapter 3, paleoclimate concepts).

1317 As discussed by Jansen et al. (2007), and in section 5.2.6 above, many factors
1318 probably contributed to the large temperature change despite very small global change in
1319 total sunshine. Cooling produced growth of reflective ice that reduced the amount of
1320 sunshine absorbed by the planet. Complex changes especially in the ocean reduced
1321 atmospheric carbon dioxide, and both oceanic and terrestrial changes reduced
1322 atmospheric methane and nitrous oxide, all of which are greenhouse gases; the changes in
1323 carbon dioxide were most important. Various changes produced additional dust that
1324 blocked sunshine from reaching the planet (e.g., Mahowald et al., 2006). Cooling caused
1325 regions formerly forested to give way to grasslands or **tundra** that also reflected more
1326 sunshine. While Earth's orbit features drove the ice-age cycles, these feedbacks are
1327 required to provide quantitatively accurate explanations of the changes.

1328 The relation between climate and carbon dioxide has been relatively constant for
1329 at least 650,000 years (Siegenthaler et al., 2005), and the growth and shrinkage of ice,
1330 cooling and warming of the globe, and other changes have repeated along similar
1331 although not identical paths. However, some of the small differences between successive
1332 cycles are of interest, as discussed next.

1333

1334 **4.4.5 Marine Isotopic Stage 11 – a long interglaciation**

1335 Following the mid-Pleistocene transition, the growth and decay of ice sheets
1336 followed a 100 ka cycle: brief, warm interglaciations lasted from 10 to ca. 40 ka, after
1337 which ice progressively extended to a maximum limit, and then the icy interval
1338 terminated rapidly by the transition into the next warm interglaciation (e.g., Kellogg,
1339 1977; Ruddiman et al., 1986; Jansen et al., 1988; Bauch and Erlenkeuser, 2003; Henrich
1340 and Baumann, 1994). As discussed above, this 100 ka cycle is unlikely to be linked to the
1341 100 ka variation of the eccentricity, or out-of-roundness, of Earth's orbit about the Sun,
1342 because there is so little change in solar isolation reaching the Earth because of this
1343 effect.

1344 The eccentricity exhibits an additional cycle of just greater than 400,000 years,
1345 such that the orbit goes from almost round to more eccentric to almost round in about
1346 100,000 years, but the maximum eccentricity reached in this 100,000-year cycle increases
1347 and decreases within a 400,000-year cycle (Berger and Loutre, 1991; Loutre, 2003).
1348 When the orbit is almost round, there is little effect from Earth's precession, which
1349 determines whether Earth is closer to the Sun or farther from the Sun during a particular
1350 season such as northern summer. About 400,000 years ago, during marine isotope stage
1351 (MIS) 11, the 400,000-year cycle caused a nearly round orbit to persist. The interglacial
1352 of MIS 11 lasted longer than previous or subsequent interglacials (see Droxler et al., 2003
1353 and references therein; Kandiano and Bauch, 2007; Jouzel et al., 2007), perhaps because
1354 the summer sunshine (insolation) at high northern latitudes did not become low enough at
1355 the end of the first 10,000 years of the interglacial to allow ice growth at high northern
1356 latitudes—because the persistently nearly round orbit (i.e., of low eccentricity) prevented
1357 adequate cooling during northern summer (Figure 4.28).

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FIGURE 4.28 NEAR HERE

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1361

As discussed in Chapter 6 (Greenland Ice Sheet), indications of Arctic and

1362

subarctic temperatures at this time versus more-recent interglacials are inconsistent (also

1363

see Stanton-Frazee et al., 1999; Bauch et al., 2000; Droxler and Farrell, 2000; Helmke

1364

and Bauch, 2003). Sea level seems to have been higher at this time than at any time since,

1365

and data from Greenland are consistent with notable shrinkage or loss of the ice sheet

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accompanying the notable warmth, although the age of this shrinkage is not constrained

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well enough to be sure that the warm time recorded was indeed MIS 11 (Chapter 6).

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4.4.6 Marine Isotopic Stage (MIS) 5e: The Last Interglaciation

1370

The warmest millennia of at least the past 250,000 years occurred during MIS 5,

1371

and especially during the warmest part of that interglaciation, MIS 5e (e.g., McManus et

1372

al., 1994; Fronval and Jansen, 1997; Bauch et al., 1999; Kukla, 2000). At that time global

1373

ice volumes were smaller than they are today, and Earth's orbital parameters aligned to

1374

produce a strong positive anomaly in solar radiation during summer throughout the

1375

Northern Hemisphere (Berger and Loutre, 1991). Between 130 and 127 ka, the average

1376

solar radiation during the key summer months (May, June, and July) was about 11%

1377

greater than solar radiation at present throughout the Northern Hemisphere, and a slightly

1378

greater anomaly, 13%, has been measured over the Arctic. Greater solar energy in

1379

summer, melting of the large Northern Hemisphere ice sheets, and intensification of the

1380 North Atlantic Drift (Chapman et al., 2000; Bauch and Kandiano, 2007) combined to
1381 reduce Arctic Ocean sea ice, to allow expansion of **boreal** forest to the Arctic Ocean
1382 shore throughout large regions, to reduce permafrost, and to melt almost all glaciers in
1383 the Northern Hemisphere (CAPE Project Members, 2006).

1384 High solar radiation in summer during MIS 5e, amplified by key boundary-
1385 condition feedbacks (especially sea ice, seasonal snow cover, and atmospheric water
1386 vapor; see above), collectively produced summer temperature anomalies 4°–5°C above
1387 present over most Arctic lands, substantially above the average Northern Hemisphere
1388 summer temperature anomaly (0°–2°C above present; CLIMAP Project Members, 1984;
1389 Bauch and Erlenkeuser, 2003). MIS 5e demonstrates the strength of positive feedbacks
1390 on Arctic warming (CAPE Project Members, 2006; Otto-Bleisner et al., 2006).

1391

1392 **4.4.6a Terrestrial MIS 5e records** At high northern latitudes, summer
1393 temperatures exert the dominant control on glacier mass balance, unless they are
1394 accompanied by strong changes in precipitation (e.g., Oerlemans, 2001; Denton et al.,
1395 2005; Koerner, 2005). Summer temperature is also the most effective predictor of most
1396 biological processes, although seasonality and the availability of moisture very likely also
1397 influence some biological parameters such as dominance by evergreen or by deciduous
1398 vegetation (Kaplan et al., 2003). For these reasons, most studies of conditions during MIS
1399 5e have focused on reconstructing summer temperatures. Terrestrial MIS 5e climate,
1400 especially, has been reconstructed from diagnostic assemblages of biotic proxies
1401 preserved in lake, peat, river, and shallow marine archives and from isotopic changes
1402 preserved in ice cores and carbonate deposits in lakes. Estimated winter and summer

1403 temperatures, and hence seasonality, are well constrained for Europe but are poorly
1404 known for most other Arctic regions; likewise, precipitation reconstructions are limited to
1405 qualitative estimates in most cases where they are available, and they are not available for
1406 most regions.

1407 During MIS 5e, all sectors of the Arctic had summers that were warmer than at
1408 present, but the magnitude of warming differed from one place to another (Figure 4.29)
1409 (CAPE Last Interglacial Project Members, 2006). Positive summer temperature
1410 anomalies were largest around the Atlantic sector, where summer warming was typically
1411 4°–6°C. This anomaly extended into Siberia, but it decreased from Siberia westward to
1412 the European sector (0°–2°C), and eastward toward *Beringia* (2°–4°C). The *Arctic coast*
1413 *of Alaska* had sea-surface temperatures 3°C above recent values and considerably less
1414 summer sea ice than recently, but much of interior Alaska had smaller anomalies (0°–
1415 2°C) that probably extended into western Canada. In contrast, northeastern Canada and
1416 parts of Greenland had summer temperature anomalies of about 5°C and perhaps more
1417 (see Chapter 6 for a discussion of Greenland).

1418

1419

FIGURE 4.29 NEAR HERE

1420

1421 Precipitation and winter temperatures are more difficult to reconstruct for MIS 5e
1422 than are summer temperatures. In northeastern Europe, the latter part of MIS 5e was
1423 characterized by a marked increase in winter temperatures. A large positive winter
1424 temperature anomaly also occurred in Russia and western Siberia, although the timing is
1425 not as well constrained (Troitsky, 1964; Gudina et al., 1983; Funder et al., 2002).

1426 Qualitative precipitation estimates for most other sectors indicate wetter conditions than
1427 in the Holocene.

1428

1429 **4.4.6b Marine MIS 5e records** Low sedimentation rates in the central Arctic
1430 Ocean and the rare preservation of carbonate fossils limit the number of sites at which
1431 MIS 5e can be reliably identified in sediment cores. MIS 5e sediments from the central
1432 Arctic Ocean usually contain high concentrations of planktonic (surface-dwelling)
1433 foraminifers and coccoliths, which indicate a reduction in summer sea-ice coverage that
1434 permitted increased biological productivity (Gard, 1993; Spielhagen et al., 1997; 2004;
1435 Jakobsson et al., 2000; Backman et al., 2004; Polyak et al., 2004; Nørgaard-Pedersen et
1436 al., 2007a,b). However, occasional dissolution of carbonate fossils complicates the
1437 interpretation of microfossil concentrations. Also, marine sediments from MIS 5a,
1438 slightly younger and cooler than MIS 5e, sometimes have higher microfossil
1439 concentrations than do MIS 5e sediments (Gard, 1986; 1987).

1440 Arctic Ocean sediment cores recently recovered from the *Lomonosov Ridge*, north
1441 of Greenland, have revived the discussion of MIS 5e conditions in the Arctic Ocean.
1442 Unusually high concentrations of a subpolar foraminifer species, one which usually
1443 dwells in waters with temperatures well above freezing, were found in MIS 5e zones and
1444 interpreted to indicate warm interglacial conditions and much reduced sea-ice cover in
1445 the interior Arctic Ocean (Nørgaard-Pedersen et al., 2007a,b). Interpretation of these and
1446 other microfossils is complicated by the strong vertical stratification in the Arctic Ocean;
1447 today, warm Atlantic water (temperatures greater than 1°C) is in most areas isolated from
1448 the atmosphere by a relatively thin layer of cold (less than 1°C) fresher water; this cold

1449 water limits the transfer of heat to the atmosphere. It is not always possible to determine
1450 whether warm-water foraminifers found in marine sediment from the Arctic Ocean lived
1451 in warm waters that remained isolated from the atmosphere below the cold surface layer,
1452 or whether the warm Atlantic water had displaced the cold surface layer and was
1453 interacting with the atmosphere and affecting its energy balance.

1454 Landforms and fossils from the western Arctic and *Bering Strait* indicate vastly
1455 reduced sea ice during MIS 5 (Figure 4.30). The winter sea-ice limit is estimated to have
1456 been as much as 800 km farther north than its average 20th-century position, and summer
1457 sea ice was likely to have been much reduced relative to present (Brigham-Grette and
1458 Hopkins, 1995). These reconstructions are consistent with the northward migration of
1459 treeline by hundreds of kilometers throughout much of Alaska and nearby *Chukotka* and
1460 with the elimination of **tundra** from *Chukotka* to the Arctic Ocean coast (Lozhkin and
1461 Anderson, 1995).

1462

1463 FIGURE 4.30 NEAR HERE

1464

1465 Sufficient data are not yet available to allow unambiguous reconstruction of MIS
1466 5e conditions in the central Arctic Ocean. Key uncertainties are related to the extent and
1467 duration of Arctic Ocean sea ice. The vertical structure of the upper 500 m of the water
1468 column is also climatically important but poorly known, in particular whether the strong
1469 vertical stratification characteristic of the modern regime persisted throughout MIS 5e, or
1470 whether reduced sea ice and changes in the hydrologic cycle and winds destabilized this

1471 stratification and allowed Atlantic water to reside at the surface in larger areas of the
1472 Arctic Ocean.

1473

1474 **4.4.7 MIS 3 Warm Intervals**

1475 The temperature and precipitation history of MIS 3 (about 70–30 ka) is difficult to
1476 reconstruct because of the paucity of continuous records and the difficulty in providing a
1477 secure time frame. The $\delta^{18}\text{O}$ record of temperature change over the *Greenland Ice Sheet*
1478 and other ice-core data show that the North Atlantic region experienced repeated episodes
1479 of rapid, high-magnitude climate change, that temperatures rapidly increased by as much
1480 as 15°C (reviewed by Alley, 2007 and references therein), and that each warm period
1481 lasted several hundred to a few thousand years. These brief climate excursions are found
1482 not only in the *Greenland Ice Sheet* but are also recorded in cave sediments in China
1483 (Wang et al., 2001; Dykoski, et al., 2005) and in high-resolution marine records off
1484 California (Behl and Kennett, 1996), and in the Caribbean Sea’s Cariaco Basin (Hughen
1485 et al., 1996.), the Arabian Sea (Schulz et al., 1998) and the Sea of Okhotsk (Nürnberg and
1486 Tiedmann, 2004), among many other sites. The ice-core records from Greenland contain
1487 indications of climate change in many regions on the same time scale (for example, the
1488 methane trapped in ice-core bubbles was in part produced in tropical wetlands and was
1489 essentially all produced beyond the *Greenland Ice Sheet*; Severinghaus et al., 1998).
1490 These ice-core records demonstrate clearly that the climate-change events were
1491 synchronous throughout widespread areas, and that the ages of events from many regions
1492 agree within the stated uncertainties. These events were thus hemispheric to global in
1493 nature (see review by Alley, 2007) and are considered a sign of large-scale coupling

1494 between the ocean and the atmosphere (Bard, 2002). The cause of these events is still
1495 debated. However, Broecker and Hemming (2001) and Bard (2002) among others
1496 suggested that they were likely the result of major and abrupt reorganizations of the
1497 ocean's thermohaline circulation, probably related to ice sheet instabilities that
1498 introduced large quantities of fresh water into the North Atlantic (Alley, 2007). Such
1499 large and abrupt oscillations, which were linked to changes in North Atlantic surface
1500 conditions and probably to the large-scale oceanic circulation, persisted into the Holocene
1501 (MIS 1); the youngest was only about 8.2 ka (Alley and Ágústadóttir, 2005). However, it
1502 appears that the abrupt 8.2 ka cooling was linked to an ice-age cause, a catastrophic flood
1503 from a very large lake that had been dammed by the melting *Laurentide Ice Sheet*.

1504 Within MIS 3, land ice was somewhat reduced compared with the colder times of
1505 MIS 2 and MIS 4, but Arctic temperatures generally were much lower and ice more
1506 extensive than in MIS 1 (with certain exceptions). Sea level was lower at that time, the
1507 coastline was well offshore in many places, and the increased continentality very likely
1508 contributed to warmer summer temperatures that presumably were offset by colder winter
1509 temperatures.

1510 For example, on the *New Siberian Islands* in the *East Siberian Sea*, Andreev et al.
1511 (2001) documented the existence of graminoid-rich **tundra** thought to have covered wide
1512 areas of the emergent shelf while summer temperatures were perhaps as much as 2°C
1513 warmer than during the 20th century. At Elikchan 4 Lake in the upper *Kolyma* drainage,
1514 the sediment record contains at least three intervals (especially one about 38 ka) when
1515 summer temperatures and treeline reached late Holocene conditions (Anderson and
1516 Lozhkin, 2001). Insect faunas nearby in the lower *Kolyma* are thought to have thrived in

1517 summers that were 1°–4.5°C warmer than recently for similar intervals of MIS 3 Alfimov
1518 et al., 2003). In general, variable paleoenvironmental conditions were typical of the
1519 traditional Karaginskii-MIS 3 period throughout Arctic Russia; however, stratigraphic
1520 confusion within the limits of radiocarbon-dating precludes the widespread correlation of
1521 events.

1522 Relative warmth during MIS 3 appears to have been strongest in eastern *Beringia*;
1523 some evidence suggests that between 45 and 33 ka temperatures were only 1°–2°C lower
1524 than at present (Elias, 2007). The warmest interval in interior Alaska is known as the Fox
1525 Thermal Event, about 40–35 ka, which was marked by spruce forest **tundra** (Anderson
1526 and Lozhkin, 2001). Yet in the Yukon forests were most dense a little earlier, about 43–
1527 39 ka. In general (Anderson and Lozhkin, 2001), the warmest interstadial interval in all
1528 of *Beringia* possibly was 44–35 ka; it is well represented in proxies from interior sites
1529 and little or no vegetation response in areas closest to Bering Strait. Climatic conditions
1530 in eastern *Beringia* appear to have been harsher than modern conditions for all of MIS 3.
1531 In contrast, MIS 3 climates of western *Beringia* achieved modern or near modern
1532 conditions during several intervals. Moreover, although the transition from MIS 3 to MIS
1533 2 was clearly marked by a transition from warm-moist to cold-dry conditions in western
1534 *Beringia*, this transition is absent or subtle in all but a few records in Alaska (Anderson
1535 and Lozhkin, 2001).

1536

1537 **4.4.8 MIS 2, The Last Glacial Maximum (30 to 15 ka)**

1538 The last glacial maximum was particularly cold both in the Arctic and globally,
1539 and it provides useful constraints on the magnitude of Arctic amplification (see below).

1540 During peak cooling of the last glacial maximum, planetary temperatures were about 5°–
1541 6°C lower than at present (Farrera et al., 1999; Braconnot et al., 2007, Jansen et al.,
1542 2007), whereas Arctic temperatures in central Greenland were depressed more than 20°C
1543 (Cuffey et al., 1995; Dahl-Jensen et al., 1998)and similarly in *Beringia* (Elias et al.,
1544 1996).

1545

1546 **4.4.9 MIS 1, The Holocene: The Present Interglaciation**

1547 In the face of rising solar energy in summer that was tied to orbital features and to
1548 rising greenhouse gases, Northern Hemisphere ice sheets began to recede from near their
1549 largest extent shortly after 20 ka, and the rate of recession noticeably increased after
1550 about 16 ka (see, e.g., Alley et al., 2002 for the timing of various events during the
1551 deglaciation). Most coastlines became ice-free before 12 ka, and ice continued to melt
1552 rapidly as summer insolation reached a peak (about 9% above modern insolation) about
1553 11 ka. The transition from MIS 2 to MIS 1, which marks the start of the Holocene
1554 interglaciation, is commonly placed at the abrupt termination of the cold event called the
1555 Younger Dryas; that termination recently was estimated at about 11.7 ka (Rasmussen et
1556 al., 2006).

1557 A wide variety of evidence from terrestrial and marine archives indicates that
1558 peak Arctic summertime warmth was achieved during the early Holocene, when most
1559 regions of the Arctic experienced sustained temperatures that exceeded observed 20th
1560 century values. This period of peak warmth, which is geographically variable in its
1561 timing, is generally referred to as the Holocene Thermal Maximum. The ultimate driver
1562 of the warming was orbital forcing, which produced increased summer solar radiation

1563 across the Northern Hemisphere. At 70°N., insolation in June now is near a local
1564 minimum (the maximum was recorded about 11–12 ka). June insolation about 4 ka was
1565 about 15 W/m² larger than recently, and June insolation at the Holocene peak was about
1566 45 W/m² larger than recently, for a total change of about 10% (Figure 4.31; Berger and
1567 Loutre, 1991). Winter (January) insolation about 11 ka was only slightly lower than
1568 today, in large part because there is almost zero insolation that far north in January.

1569

1570

FIGURE 4.31 NEAR HERE

1571

1572 By 6 ka, sea level and ice volumes were close to those observed more recently,
1573 and climate forcings such as atmospheric carbon-dioxide concentration differed little
1574 from pre-industrial conditions (e.g., Jansen et al., 2007). (The exception is that far-
1575 northern summer insolation steadily decreased throughout the Holocene.) High-resolution
1576 (decades to centuries) archives containing many climate proxies are available for most of
1577 the Holocene throughout the Arctic. Consequently, the mid- to late-Holocene record
1578 allows evaluation of the range of natural climate variability and of the magnitude of
1579 climate change in response to relatively small changes in forcings.

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4.4.9a The Holocene Thermal Maximum

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Many of the Arctic paleoenvironmental records for the Holocene Thermal
Maximum appear to have recorded primarily summertime conditions. Many different
proxies have been exploited to derive these reconstructions by use of biological indicators
such as pollen, diatoms, chironomids, dinoflagellate cysts, and other microfossils;

1586 elemental and isotopic geochemical indexes from lacustrine sediments, marine sediments,
1587 and ice cores; borehole temperatures; and age distributions of radiocarbon-dated tree
1588 stumps north of (or above) current treeline, marine mollusks, and whale bones (Kaufman
1589 et al., 2004).

1590 A recent synthesis of 140 Arctic paleoclimatic and paleoenvironmental records
1591 extending from *Beringia* westward to Iceland (Kaufman et al., 2004) outlines the nature
1592 of the Holocene Thermal Maximum in the western Arctic (Figure 4.32). Fully 85% of
1593 the sites included in the synthesis contained evidence of a Holocene thermal maximum.
1594 Its average duration extended from 2100 years in *Beringia* to 3500 years in Greenland.
1595 The interval 10–4 ka contains the greatest number of sites recording Holocene Thermal
1596 Maximum conditions and the greatest spatial extent of those conditions in the western
1597 Arctic (Figure 4.32b). In the western Arctic the timing of this thermal maximum begins
1598 and ends along a strong geographic gradient (Figure 4.32c). The thermal maximum
1599 began first in *Beringia*, where warmer-than-present summer conditions became
1600 established at 14–13 ka. Intermediate ages for its initiation (10–8 ka) are apparent in the
1601 *Canadian Arctic islands* and in central Greenland. The Holocene Thermal Maximum on
1602 *Iceland* occurred a bit later, 8–6 ka. The onset on Svalbard was earlier, by 10.8 ka
1603 (Svendsen and Mangerud, 1997). The latest general onset (7–4 ka) of Holocene Thermal
1604 Maximum conditions affected the continental portions of central and eastern Canada
1605 experienced. Similarly, the earliest termination of the Holocene Thermal Maximum
1606 occurred in *Beringia*, although most regions registered summer cooling by 5 ka. Much of
1607 the pattern of the onset of the Holocene Thermal Maximum can be explained at least in
1608 part by proximity to cold winds blowing off the melting *Laurentide Ice Sheet* in Canada,

1609 which depressed temperatures nearby until the ice melted back. Milankovitch cycling has
1610 also been suggested to explain the spatial variability of the Holocene Thermal Maximum
1611 (Maximova and Romanovsky, 1988).

1612

1613 FIGURE 4.32 NEAR HERE

1614

1615 Records for sea-ice conditions in the Arctic Ocean and adjacent channels have
1616 been developed by radiocarbon-dating indicators including the remains of open-water
1617 proxies such as whales and walrus, warm-water marine mollusks, and changes in the
1618 microfauna preserved in marine sediments. These reconstructions, presented in more
1619 detail in Chapter 7 (Arctic sea ice), parallel the terrestrial record for the most part. The
1620 data demonstrate that an increased mass of warm Atlantic water moved into the Arctic
1621 Ocean beginning about 11.5 ka. It peaked about 8–5 ka which, coupled with increased
1622 summer insolation, decreased the area of perennial sea-ice cover during the early
1623 Holocene. Decreased sea-ice cover in the western Arctic during the early Holocene also
1624 may be indicated by changes in concentrations of sodium from sea salt in the *Penny Ice*
1625 *Cap* (eastern Canadian Arctic; Fisher et al., 1998) and the *Greenland Ice Sheet*
1626 (Mayewski et al., 1997). In most regions, perennial sea ice increased in the late Holocene,
1627 although it has been suggested that sea ice declined in the *Chukchi Sea* (de Vernal et al.,
1628 2005), possibly in response to changing rates of Atlantic water inflow in *Fram Strait*.

1629 As summer temperatures increased through the early Holocene, in North America
1630 treeline expanded northward into regions formerly mantled by **tundra**, although the
1631 northward extent appears to have been limited to perhaps a few tens of kilometers beyond

1632 its recent position (Seppä et al., 2003; Gajewski and MacDonald, 2004). In contrast,
1633 treeline advanced much farther across the Eurasian Arctic. Tree macrofossils
1634 (Kremenetski et al., 1998; MacDonald et al., 2000a,b; 2007) collected at or beyond the
1635 current treeline indicate that tree genera such as birch (*Betula*) and larch (*Larix*) advanced
1636 beyond the modern limits of treeline across most of northern Eurasia between 11 and 10
1637 ka (Figures 5.33 and 5.34). Spruce (*Picea*) advanced slightly later than the other two
1638 genera. Interestingly, pine (*Pinus*), which now forms the conifer treeline in *Fennoscandia*
1639 and the *Kola Peninsula*, does not appear to have established appreciable forest cover at or
1640 beyond the present treeline in those regions at the far west of Europe until around 7 ka
1641 (MacDonald et al. 2000a). However, quantitative reconstructions of temperature from the
1642 *Kola Peninsula* and adjacent *Fennoscandia* suggest that summer temperatures were
1643 warmer than modern temperatures by 9 ka (Seppä and Birks, 2001; 2002; Hammarlund et
1644 al., 2002; Solovieva et al., 2005), and the development of extensive pine cover at and
1645 north of the present treeline appears to have been delayed relative to this warming. In the
1646 *Taimyr Peninsula* of *Siberia* and across nearby regions, the most northerly limit reached
1647 by trees during the Holocene was more than 200 km north of the current treeline. The
1648 treeline appears to have begun its retreat across northern Eurasia about 4 ka. The timing
1649 of the Holocene Thermal Maximum in the *Eurasian Arctic* overlaps the widest
1650 expression of the Holocene Thermal Maximum in the western Arctic (Figure 4.33), but it
1651 differs in two respects. The timing of onset and termination in Eurasia show much less
1652 variability than in North America, and the magnitude of the treeline expansion and retreat
1653 is far greater in the *Eurasian Arctic*. Fossil pollen and other indicators of vegetation or
1654 temperature from the northern Eurasian margin also support the contention of a

1655 prolonged warming and northern extension of treeline during the early through middle
1656 Holocene (see for example Hyvärinen, 1975; Seppä, 1996; Clayden et al., 1997; Velichko
1657 et al., 1997; Kaakinen and Eronen, 2000; Pisaric et al., 2001; Seppä and Birks, 2001,
1658 2002; Gervais et al., 2002; Hammarlund et al., 2002; Solovieva et al., 2005).

1659

1660 FIGURE 4.33 NEAR HERE

1661 FIGURE 4.34 NEAR HERE

1662

1663 Changes in landforms suggest that during the early to middle Holocene,
1664 permafrost in Siberia degraded. A synthesis of Russian data by Astakhov (1995) indicates
1665 that melting permafrost was apparent north of the Arctic Circle only in the European
1666 North, not in *Siberia*. In the Siberian North, permafrost partially thawed only very
1667 locally, and thawing was almost entirely confined to areas under thermokarst lakes that
1668 actively formed there during the early through middle Holocene. Areas south of the
1669 Arctic Circle appear to have experienced deep thawing (100–200 m depth) from the early
1670 Holocene until about 4–3 ka, when cooler summer conditions led permafrost to develop
1671 again. The deep thawing and subsequent renewal of surface permafrost in these regions
1672 produced an extensive thawed layer sandwiched between shallow (20–80 m deep) more
1673 recently frozen ground and deeper Pleistocene permafrost throughout much of
1674 northwestern *Siberia*.

1675 Quantitative estimates of the Holocene Thermal Maximum summer temperature
1676 anomaly along the northern margins of Eurasia and adjacent islands typically range from
1677 1° to 3°C. The geographic position of northern treeline across Eurasia is largely

1678 controlled by summer temperature and the length of the growing season (MacDonald et
1679 al., 2007), and in some areas the magnitude of treeline displacement there suggests a
1680 summer warming equivalent of 2.5°–7.0°C (see for example Birks, 1991; Wohlfarth et
1681 al., 1995; MacDonald et al., 2000a; Seppä and Birks, 2001, 2002; Hammarlund et al.,
1682 2002; Solovieva et al., 2005). Sea-surface temperature anomalies during the Holocene
1683 Thermal Maximum were as much as 4°–5°C higher than during the late Holocene for the
1684 eastern *North Atlantic sector* and adjacent Arctic Ocean (Salvigsen, 1992; Koç et al.,
1685 1993). Anomalies in summer temperature in the western Arctic during the Holocene
1686 Thermal Maximum ranged from 0.5° to 3°C (mean, 1.65°C). The largest anomalies were
1687 in the *North Atlantic sector* (Kerwin et al., 1999; Kaufman et al., 2004; Flowers et al.,
1688 2008).

1689

1690 **4.4.9b Neoglaciation**

1691 Many climate proxies are available to characterize the overall pattern of Late
1692 Holocene climate change. Following the Holocene Thermal Maximum, most proxy
1693 summer temperature records from the Arctic indicate an overall cooling trend through the
1694 late Holocene. Cooling is first recognized between 6 and 3 ka, depending on the threshold
1695 for change of each particular proxy. Records that exhibit a shift by 6–5 ka typically
1696 reflect intensified summer cooling about 3 ka (Figure 4.34).

1697 Summer cooling during the second half of the Holocene led to the expansion of
1698 mountain glaciers and ice caps around the Arctic. The term “Neoglaciation” is widely
1699 applied to this episode of glacier growth, and in some cases re-formation, following the
1700 maximum glacial retreat during the Holocene Thermal Maximum (Porter and Denton,

1701 1967). The former extent of glaciers is inferred from dated moraines and proglacial
1702 sediments deposited in lakes and marine settings. For example, ice-rafted detritus
1703 (Andrews et al., 1997) and the glacial geologic record (Funder, 1989) indicate that outlet
1704 glaciers of the *Greenland Ice Sheet* advanced during 6–4 ka (see Chapter 6, Greenland
1705 Ice Sheet). Multiproxy records from 10 glaciers or glaciated areas in Norway show
1706 evidence for increased activity by 5 ka (Nesje et al., 2001; Nesje et al., 2008). Major
1707 advances of outlet glaciers of northern Icelandic ice caps begin by 5 ka (Stötter et al.,
1708 1999; Geirsdottir et al., in press). In the *European Arctic*, glaciers expanded on *Franz*
1709 *Josef Land* (Lubinski et al., 1999) and *Svalbard* (Svendsen and Mangerud, 1997) by 4 ka,
1710 although sustained growth primarily began around 3 ka. An early Neoglacial advance of
1711 mountain glaciers is registered in *Alaska*, most prominently in the *Brooks Range*, the
1712 highest-latitude mountains in the state (Ellis and Calkin, 1984; Calkin, 1988). In
1713 southwest Alaska, mountain glaciers in the Ahklun Mountains did not reform until about
1714 3 ka (Levy et al., 2003). Neoglacial advances began in Arctic Canada by 5 ka (Miller et
1715 al., 2005)

1716 Additional evidence of Neoglacial seasonal cooling comes from several localities:
1717 a reduction in melt layers in the *Agassiz Ice Cap* (Koerner and Fisher, 1990) and in
1718 Greenland (Alley and Anandakrishnan, 1995); the decrease in $\delta^{18}\text{O}$ values in ice cores
1719 such as those from the *Devon Island* (Fisher, 1979) and Greenland (Johnsen et al., 1992)
1720 and indications of cooling from borehole thermometry (Cuffey et al., 1995); the retreat of
1721 large marine mammals and warm-water-dependent mollusks from the Canadian Arctic
1722 (Dyke and Savelle, 2001); the southward migration of the northern treeline across central
1723 Canada (MacDonald et al., 1993), Eurasia (MacDonald et al., 2000b), and Scandinavia

1724 (Barnekow and Sandgren, 2001); the expansion of sea-ice cover along the shores of the
1725 Arctic Ocean on *Ellesmere Island* (Bradley, 1990), in *Baffin Bay* (Levac et al., 2001), and
1726 in the *Bering Sea* (Cockford and Frederick, 2007); and the shift in vegetation
1727 communities inferred from plant macrofossils and pollen around the Arctic (Bigelow et
1728 al., 2003). The assemblage of microfossils and the stable isotope ratios of foraminifers
1729 indicate a shift toward colder, lower salinity conditions about 5 ka along the East
1730 Greenland Shelf (Jennings et al., 2002) and the western Nordic seas (Koç and Jansen,
1731 1994), suggesting increased influx of sea ice from the Arctic. Where quantitative
1732 estimates of temperature change are available, they generally indicate that summer
1733 temperature decreased by 1°–2°C during this initial phase of cooling.

1734 The general pattern of an early- to middle-Holocene Thermal Maximum followed
1735 by Neoglacial cooling forms a multi-millennial trend that, in most places, culminated in
1736 the 19th century. Superposed on the long-term cooling trend were many centennial-scale
1737 warmer and colder summer intervals, which are expressed to a varying extent and are
1738 interpreted with various levels of confidence in different proxy records. In northern
1739 Scandinavia, evidence for notable late Holocene cold intervals before the 16th century
1740 includes narrow tree rings (Grudd et al., 2002), lowered treeline (Eronen et al., 2002), and
1741 major glacier advances (Karlén, 1988) between 2.6 and 2.0 ka. An extended analysis of
1742 these many centennial-scale warmer and colder intervals in Russia was published by
1743 Velichko and Nechaev (2005).

1744

1745 **4.4.9c The Medieval Climate Anomaly (MCA)** Probably the most oft-cited
1746 warm interval of the late Holocene is the Medieval Climate Anomaly (MCA), earlier

1747 referred to as the Medieval Warm Period (MWP). The anomaly was recognized on the
1748 basis of several lines of evidence in Western Europe, but the term is commonly applied to
1749 other regions to refer to any of the relatively warm intervals of various magnitudes and at
1750 various times between about 950 and 1200 AD (Lamb, 1977) (Figure 4.35). In the
1751 Arctic, evidence for climate variability, such as relative warmth, during this interval is
1752 based on glacier extents, marine sediments, **speleothems**, ice cores, borehole
1753 temperatures, tree rings, and archaeology. The most consistent records of an Arctic
1754 Medieval Climate Anomaly come from the *North Atlantic sector* of the Arctic. The
1755 summit of Greenland (Dahl-Jensen et al., 1998), western Greenland (Crowley and
1756 Lowery, 2000), Swedish Lapland (Grudd et al., 2002), northern Siberia (Naurzbaev et al.,
1757 2002), and Arctic Canada (Anderson et al., 2008) were all relatively warm around 1000
1758 AD. During Medieval time, Inuit populations moved out of Alaska into the eastern
1759 Canadian Arctic and hunted whale from skin boats in regions perennially ice-covered in
1760 the 20th century (McGhee, 2004).

1761

1762

FIGURE 4.35 NEAR HERE

1763

1764 The evidence for Medieval warmth throughout the rest of the Arctic is less clear.
1765 However, some indications of Medieval warmth include the general retreat of glaciers in
1766 southeastern Alaska (Reyes et al., 2006; Wiles et al., 2008) and the wider tree rings in
1767 some high-latitude tree-ring records from Asia and North America (D'Arrigo et al.,
1768 2006). However D'Arrigo et al. (2006) emphasized the uncertainties involved in
1769 estimating Medieval Climate Anomaly warmth relative to that of the 20th century, owing

1770 in part to the sparse geographic distribution of proxy data as well as to the less coherent
1771 variability of tree growth temperature estimates for this anomaly. Hughes and Diaz
1772 (1994) argued that the Arctic as a whole was not anomalously warm throughout Medieval
1773 time (also see Bradley et al., 2003b, and National Research Council, 2006). Warmth
1774 during the Medieval interval is generally ascribed to lack of explosive volcanoes that
1775 produce particles that block the Sun and perhaps to greater brightness of the Sun
1776 (Crowley, 2000; Goosse et al., 2005; also see Jansen et al., 2007). Warming around the
1777 North Atlantic and adjacent regions may have been linked to changes in oceanic
1778 circulation as well (Broecker, 2001).

1779

1780 **4.4.9d Climate of the past millennium and the Little Ice Age**

1781 Given the importance of understanding climate in the most recent past and the
1782 richness of the available evidence, intensive scientific effort has resulted in numerous
1783 temperature reconstructions for the past millennium (Jones, et al., 1998; Mann et al.,
1784 1998; Briffa et al., 2001; Esper et al., 2002; Crowley et al., 2003; Mann and Jones, 2003;
1785 Moberg et al., 2005; National Research Council, 2006; Jansen et al., 2007), and
1786 especially the last 500 years (Bradley and Jones, 1992; Overpeck et al., 1997). Most of
1787 these reconstructions are based on annually resolved proxy records, primarily from tree
1788 rings, and they attempt to extract a record of air-temperature change over large regions or
1789 entire hemispheres. Data from Greenland ice cores and a few annually laminated lake
1790 sediment records are typically included in these compilations, but few other records of
1791 quantitative temperature changes spanning the last millennium are available from the
1792 Arctic. In general, the temperature records are broadly similar: they show modest summer

1793 warmth during Medieval times, a variable, but cooling climate from about 1250 to 1850
1794 AD, followed by warming as shown by both paleoclimate proxies and the instrumental
1795 record. Less is known about changes in precipitation, which is spatially and temporally
1796 more variable than temperature.

1797 The trend toward colder summers after about 1250 AD coincides with the onset of
1798 the Little Ice Age (LIA), which persisted until about 1850 AD, although the timing and
1799 magnitude of specific cold intervals were different in different places. Proxy climate
1800 records, both glacial and non-glacial from around the Arctic and for the Northern
1801 Hemisphere as a whole, show that the coldest interval of the Holocene was sustained
1802 sometime between about 1500 and 1900 AD (Bradley et al., 2003a). Recent evidence
1803 from the *Canadian Arctic* indicates that, following their recession in Medieval times,
1804 glaciers and ice sheets began to expand again between 1250 and 1300 AD. Expansion
1805 was further amplified about 1450 AD (Anderson et al., 2008).

1806 Glacier mass balances throughout most of the Northern Hemisphere during the
1807 Holocene are closely correlated with summer temperature (Koerner, 2005), and the
1808 widespread evidence of glacier re-advances across the Arctic during the Little Ice Age is
1809 consistent with estimates of summer cooling that are based on tree rings. The climate
1810 history of the Little Ice Age has been extensively studied in natural and historical
1811 archives, and it is well documented in Europe and North America (Grove, 1988).
1812 Historical evidence from the Arctic is relatively sparse, but it generally agrees with
1813 historical records from northwest Europe (Grove, 1988). Icelandic written records
1814 indicate that the duration and extent of sea ice in the *Nordic Seas* were high during the
1815 Little Ice Age (Ogilvie and Jónsson, 2001).

1816 The average temperature of the Northern Hemisphere during the Little Ice Age
1817 was less than 1°C lower than in the late 20th century (Bradley and Jones, 1992; Hughes
1818 and Diaz, 1994; Crowley and Lowery, 2000), but regional temperature anomalies varied.
1819 Little Ice Age cooling appears to have been stronger in the Atlantic sector of the Arctic
1820 than in the Pacific (Kaufman et al., 2004), perhaps because ocean circulation promoted
1821 the development of sea ice in the North Atlantic, which further amplified Little Ice Age
1822 cooling there (Broecker, 2001; Miller et al., 2005).

1823 The Little Ice Age also shows evidence of multi-decadal climatic variability, such
1824 as widespread warming during the middle through late 18th century (e.g., Cronin et al.,
1825 2003). Although the initiation of the Little Ice Age and the structure of climate
1826 fluctuations during this multi-centennial interval vary around the Arctic, most records
1827 show warming beginning in the late 19th century (Overpeck et al., 1997). The end of the
1828 Little Ice Age was apparently more uniform both spatially and temporally than its
1829 initiation (Overpeck et al., 1997).

1830 The climate change that led to the Little Ice Age is manifested in proxy records
1831 other than those that reflect temperature. For example, it was associated with a positive
1832 shift in transport of dust and other chemicals to the summit of Greenland (O'Brien et al.,
1833 1995), perhaps related to deepening of the Icelandic low-pressure system (Meeker and
1834 Mayewski, 2002). According to modeling studies, the negative phase [see
1835 <http://www.ldeo.columbia.edu/res/pi/NAO/>] of the North Atlantic Oscillation could have
1836 been amplified during the Little Ice Age (Shindell et al., 2001) whereas, in the North
1837 Pacific, the Aleutian low was significantly weakened during the Little Ice Age (Fisher et
1838 al., 2004; Anderson et al., 2005).

1839 Seasonal cooling into the Little Ice Age resulted from the orbital changes as
1840 described above, together with increased explosive volcanism and probably also
1841 decreased solar luminosity as recorded by sunspot numbers as far back as 1600 AD
1842 (Renssen et al., 2005; Ammann et al., 2007; Jansen et al., 2007).

1843

1844 **4.4.10 Placing 20th century warming in the Arctic in a millennial perspective**

1845 Much scientific effort has been devoted to learning how 20th-century and 21st-
1846 century warmth compares with warmth during earlier times (e.g., National Research
1847 Council, 2006; Jansen et al., 2007). Owing to the orbital changes affecting midsummer
1848 sunshine (a drop in June insolation of about 1 W/m^2 at 75°N . and 2 W/m^2 at 90°N . during
1849 the last 1000 years; Berger and Loutre, 1991), additional forcing was needed in the 20th
1850 century to give the same summertime temperatures as achieved in the Medieval Warm
1851 Period.

1852 After it evaluated globally or even hemispherically averaged temperatures, the
1853 National Research Council (2006) found that “Presently available proxy evidence
1854 indicates that temperatures at many, but not all, individual locations were higher during
1855 the past 25 years than during any period of comparable length since A.D. 900” (p. 3).
1856 Greater uncertainties for hemispheric or global reconstructions were identified in
1857 assessing older comparisons. As reviewed next, some similar results are available for the
1858 Arctic.

1859 Thin, cold ice caps in the eastern Canadian Arctic preserve intact—but frozen—
1860 vegetation beneath them that was killed by the expanding ice. As these ice caps melt,
1861 they expose this dead vegetation, which can be dated by radiocarbon with a precision of a

1862 few decades. A recent compilation of more than 50 radiocarbon dates on dead vegetation
1863 emerging from beneath thin ice caps on northern *Baffin Island* shows that some ice caps
1864 formed more than 1600 years ago and persisted through Medieval times before melting
1865 early in the 21st century (Anderson et al., 2008).

1866 Records of the melting from ice caps offer another view by which 20th century
1867 warmth can be placed in a millennial perspective. The most detailed record comes from
1868 the *Agassiz Ice Cap* in the Canadian High Arctic, for which the percentage of summer
1869 melting of each season's snowfall is reconstructed for the past 10 ka (Fisher and Koerner,
1870 2003). The percent of melt follows the general trend of decreasing summer insolation
1871 from orbital changes, but some brief departures are substantial. Of particular note is the
1872 significant increase in melt percentage during the past century; current percentages are
1873 greater than any other melt intensity since at least 1700 years ago, and melting is greater
1874 than any in sustained interval since 4–5 ka.

1875 As reviewed by Smol and Douglas (2007b), changes in lake sediments record
1876 climatic and other changes in the lakes. Extensive changes especially in the post-1850
1877 interval are most easily interpreted in terms of warming above the Medieval warmth on
1878 *Ellesmere Island* and probably in other regions, although other explanations cannot be
1879 excluded (also see Douglas et al., 1994). D'Arrigo et al. (2006) show tree-ring evidence
1880 from a few North American and Eurasian records that imply that summers were cooler in
1881 the Medieval Warm Period than in the late 20th century, although the statistical
1882 confidence is weak. Tree-ring and treeline studies in western *Siberia* (Esper and
1883 Schweingruber, 2004) and Alaska (Jacoby and D'Arrigo, 1995) suggest that warming
1884 since 1970 is has been optimal for tree growth and follows a circumpolar trend.

1885 Hantemirov and Shiyatov (2002) records from the Russian *Yamal Peninsula*, well north of
1886 the Arctic Circle, show that summer temperatures of recent decades are the most
1887 favorable for tree growth within the past 4 millennia.

1888 Whole-Arctic reconstructions are not yet available to allow confident comparison
1889 of late 20th century warmth with Medieval temperatures, nor has the work been done to
1890 correct for the orbital influence and thus to allow accurate comparison of the remaining
1891 forcings.

1892

1893 **4.5 Summary**

1894

1895 **4.5.1 Major features of Arctic Climate in the past 65 Ma**

1896 Section 5.4 summarized some of the extensive evidence for changes in Arctic
1897 temperatures, and to a lesser extent in Arctic precipitation, during the last 65 m.y. To
1898 some degree it also discussed “attribution”—the best scientific understanding of the
1899 causes of the climate changes. In this subsection, a brief synopsis is provided; for
1900 citations, the reader is referred to the extensive discussion just above.

1901 At the start of the Cenozoic, 65 Ma, the Arctic was much warmer year around
1902 than it was recently; forests grew on all land regions and no perennial sea ice or
1903 *Greenland Ice Sheet* existed. Gradual but bumpy cooling has dominated most of the last
1904 65 million years, and falling atmospheric CO₂ concentration apparently is the most
1905 important contributor to the cooling—although possible changing continental positions
1906 and their effect on atmospheric or oceanic circulation may also contribute. One especially
1907 prominent “bump,” the Paleocene-Eocene Thermal Maximum about 55 Ma, warmed the

1908 Arctic Ocean more than 5°C and the Arctic landmass about 8°C, probably in a few
1909 centuries to a millennium or so, followed by cooling for about 100 ka. Warming from
1910 release of much CO₂ (possibly initially as sea-floor methane that was then oxidized to
1911 CO₂) is the most likely explanation. In the middle Pliocene (about 3 Ma) a modest
1912 warming was sufficient to allow deciduous trees on Arctic land that at present supports
1913 only High Arctic polar-desert vegetation; whether this warming originated from changes
1914 to circulation, CO₂, or some other cause remains unclear.

1915 About 2.7 Ma, the cooling reached the threshold beyond which extensive
1916 continental ice sheets developed in the North American and Eurasian Arctic, and it
1917 marked the onset of the Quaternary Ice Age. Initially, the growth and shrinkage of the
1918 ice ages were directly controlled by changes in northern sunshine caused by features of
1919 Earth's orbit (the 41-k.y. cycle of sunshine that is tied to the obliquity (tilt) of Earth's
1920 axis is especially prominent). More recently, a 100-ka cycle has become more
1921 prominent, perhaps because the ice sheets became large enough that their behavior
1922 became important. Short, warm interglacials (usually lasting about 10,000 years,
1923 although the one about 440,000 years ago lasted longer) have alternated with longer
1924 glacial intervals. Recent work suggests that, in the absence of human influence, the
1925 current interglacial would continue for a few tens of thousands of years before the start
1926 of a new ice age (Berger and Loutre, 2002). Although driven by the orbital cycles, the
1927 large temperature differences between glacials and interglacials, and the globally
1928 synchronous response, reflect the effects of strong positive feedbacks, such as changes
1929 in atmospheric CO₂ and other greenhouse gases and in the areal extent of reflective
1930 snow and ice.

1931 Interactions among the various orbital cycles have caused small differences
1932 between successive interglacials. More summer sunshine was received in the Arctic
1933 during the interglacial of about 130–120 ka than has been received in the current
1934 interglacial. Thus, summer temperatures in many places were about 4°–6°C warmer than
1935 recently, and these higher temperatures reduced ice on Greenland (Chapter 6, Greenland
1936 Ice Sheet), raised sea level, and melted widespread small glaciers and ice caps.

1937 The seasonal cooling into and warming out of the most recent glacial were
1938 punctuated by numerous abrupt climate changes, and conditions persisted for millennia
1939 between jumps that were completed in years to decades. These events were very
1940 pronounced around the North Atlantic, but they had a much smaller effect on
1941 temperature elsewhere in the Arctic. Temperature changes extended to equatorial
1942 regions and caused a seesaw response in the far south (i.e., mean annual warming in the
1943 south when the north cooled). Large changes in extent of sea ice in the North Atlantic
1944 were probably responsible, linked to changes in regional to global patterns of ocean
1945 circulation; freshening of the North Atlantic favored expansion of sea-ice.

1946 These abrupt temperature changes also were a feature of the current interglacial,
1947 the Holocene, but they ended as the *Laurentide Ice Sheet* on Canada melted away. Arctic
1948 temperatures in the Holocene broadly responded to orbital changes, and temperatures
1949 warmed during the middle Holocene when there was more summer sunshine. Warming
1950 generally led to northward migration of vegetation and to shrinkage of ice on land and
1951 sea. Smaller oscillations in climate during the Holocene, including the so-called
1952 Medieval Warm Period and the Little Ice Age, were linked to variations in the sun-
1953 blocking effect of particles from explosive volcanoes and perhaps to small variations in

1954 solar output, or in ocean circulation, or other factors. The warming from the Little Ice
1955 Age began for largely natural reasons, but it appears to have been accelerated by human
1956 contributions and especially by increasing CO₂ concentrations in the atmosphere
1957 (Jansen, 2007).

1958

1959 **4.5.2. Arctic Amplification**

1960 The scientific understanding of climate processes shows that Arctic climate
1961 operates by use of many strong positive feedbacks (Serreze and Francis, 2006; Serreze et
1962 al., 2007a). As outlined in section 5.2, these feedbacks especially depend on the
1963 interactions of snow and ice with sunlight, the ocean, and the land surface (including its
1964 vegetation). For example, higher temperature tends to remove reflective ice and snow,
1965 more solar heat is then absorbed, and absorption of that heat promotes further warming
1966 (ice-albedo feedback). Also, higher temperature tends to remove sea ice that insulates the
1967 cold wintertime air from the warmer ocean beneath, further warming the air (ice-
1968 insolation feedback). Furthermore, higher temperature tends to allow dark shrubs to
1969 replace low-growing **tundra** that is easily covered by snow, intensifying the ice-albedo
1970 feedback. Similarly strong negative feedbacks are not known to stabilize Arctic climate,
1971 so physical understanding indicates that climate changes should be amplified in the
1972 Arctic as compared with lower latitude sites. This expectation is confirmed by the
1973 available data, as shown in Figure 4.36.

1974

1975

FIGURE 4.36 NEAR HERE

1976

1977 As we consider Arctic amplification, we must account for forcings. For the three
1978 younger time intervals shown in Figure 4.36, the Holocene Thermal Maximum (about 6
1979 ka ago), the Last Glacial Maximum (LGM, about 20 ka ago), and marine isotope stage
1980 5e, also known as the last interglaciation (LIG, about 130–120 ka ago), the climate
1981 changes were primarily forced by regular variations in Earth’s orbital parameters. The
1982 anomalies of incoming solar radiation (insolation) averaged throughout the whole planet
1983 for a year are less than 0.4% for all times considered, and the orbital changes serve
1984 primarily to shift sunlight around on the planet seasonally or geographically. However,
1985 during these intervals the insolation forcing was relatively uniform throughout the
1986 Northern Hemisphere, and insolation anomalies north of 60°N typically were only 10–
1987 20% greater than the anomalies for corresponding times averaged throughout the
1988 Northern Hemisphere as a whole. For example, at the peak of the last interglaciation
1989 (130–125 ka), the Arctic (60°–90°N.) summer (May-June-July) insolation anomaly was
1990 12.7% above present, while the Northern Hemisphere anomaly was 11.4% above present
1991 (Berger and Loutre, 1991). At the same time, the Southern Hemisphere summer (Nov.,
1992 Dec., Jan.) insolation anomaly at 60 °S was 6% less than present.

1993 To assess the geographic differences in the climate response to this relatively
1994 uniform forcing, the Arctic can be compared to the Northern Hemisphere average
1995 summer temperature anomalies for the three younger time periods because of the similar
1996 forcing in the Arctic and Northern Hemisphere. During the Pliocene (and during earlier
1997 warm times discussed below but not plotted in the figure), warmth persisted much longer
1998 than the cycle time of insolation changes resulting from Earth’s orbital irregularities

1999 (about 20 ka and about 40 ka). Consequently, Arctic anomalies are compared to global
2000 temperature anomalies.

2001 A difficulty is that for some of those younger times, global and Arctic estimates
2002 of temperature anomalies are available but hemispheric estimates are not. (The global
2003 estimates clearly include hemispheric data, but those data have not been summarized in
2004 anomaly maps or hemispheric anomaly estimates that were published in the refereed
2005 scientific literature.) To obtain hemispheric estimates here, note (as described in more
2006 detail below) that climate models driven by the known forcings show considerable
2007 fidelity in reproducing the global anomalies shown by the data for the relevant times, and
2008 that hemispheric anomalies can be assessed within these models. The hemispheric
2009 anomalies so produced are consistent with the available paleoclimate data, and so they
2010 are used here.

2011 The Palaeoclimate Modelling Intercomparison Project (PMIP2; Harrison et al.,
2012 2002, and see <http://pmip2.lsce.ipsl.fr/>) coordinates an international effort to compare
2013 paleoclimate simulations produced by a range of climate models, and to compare these
2014 climate model simulations with data-based paleoclimate reconstructions for a middle
2015 Holocene warm time (6 ka) and for the last glacial maximum (LGM; 21 ka). A
2016 comparison of simulations for 6 and 21 ka by the project is reported by Braconnot et al.
2017 (2007).

2018 As part of this Palaeoclimate Modelling Intercomparison Project effort, Harrison
2019 et al. (1998) compared global (mostly Northern Hemisphere) vegetation patterns
2020 simulated by using the output of 10 different climate model simulations for 6 ka. The
2021 model simulations closely agreed with the vegetation reconstructed from paleoclimate

2022 records. Similar comparisons on a regional basis for the Northern Hemisphere north of
2023 55°N. (Kaplan et al., 2003), the Arctic (CAPE Project Members, 2001), Europe (Brewer
2024 et al., 2007), and North America (Bartlein et al., 1998) also showed close matches
2025 between paleoclimate data and models for the early Holocene. Comparison of models and
2026 data for the Last Glacial Maximum (Bartlein et al., 1998; Kaplan et al., 2003), and Last
2027 Interglaciation (CAPE Last Interglacial Project Members, 2006; Otto-Bliesner et al.,
2028 2006) reached similar conclusions. (Also see Pollard and Thompson, 1997; Farrera et al.,
2029 1999; Pinot et al., 1999; Kageyama et al., 2001.) Paleoclimate data corresponded closely
2030 with model simulations of the Holocene Thermal Maximum, Last Interglaciation warmth,
2031 and Last Glacial Maximum cold. This agreement provides confidence that climate-model
2032 simulations of past times may be compared with paleoclimate-based reconstructions of
2033 summer temperatures for the Arctic in order to evaluate the magnitude of Arctic
2034 amplification. Figure 4.34 shows such a comparison. Clearly, however, additional data
2035 and additional analyses of existing as well as new data would improve confidence in the
2036 results and perhaps reduce the error bars.

2037 The forcing of the warmth of the middle Pliocene remains unclear. Orbital
2038 oscillations have continued throughout Earth history, but the Pliocene warmth persisted
2039 long enough to cross many orbital oscillations, which thus cannot have been responsible
2040 for the warmth. The most likely explanation is an elevated level of CO₂ that is estimated
2041 to be between 380 and 400 ppmv, coupled with smaller Greenland and Antarctic ice
2042 sheets (Haywood and Valdes, 2004).

2043 The data indicate that Arctic temperature anomalies were much larger than global
2044 ones (Figure 4.34). The regression line through the four data points has a slope of $3.6 \pm$

2045 0.6, suggesting that the change in Arctic summer temperatures tends to be 3 to 4 times as
2046 large as the global change.

2047 This trend of larger Arctic anomalies was already well established during the
2048 greater warmth of the early Cenozoic peak warming and of the Cretaceous before that.
2049 Somewhat greater uncertainty is attached to these more ancient times in which continents
2050 were differently configured, so these data are not plotted in Figure 5.34; even so, the
2051 leading result is fully consistent with the regression. Barron et al. (1995) estimated
2052 global-average temperatures about 6°C warmer in the Cretaceous than recently. As
2053 reviewed by Alley (2003) (also see Bice et al., 2006), subsequent work suggests upward
2054 revision of tropical sea-surface temperatures by as much as a few degrees. The
2055 Cretaceous peak warmth seems to have been somewhat higher than early Cenozoic
2056 values, or perhaps similar (Zachos et al., 2001). In the Arctic, as discussed in section
2057 5.4.1, the early Cenozoic (late Paleocene) temperature records probably mostly recorded
2058 summertime conditions of about 18°C in the ocean and about 17°C on land, followed
2059 during the short-lived Paleocene-Eocene Thermal Maximum by warming to about 23°C
2060 in the summer ocean and 25°C on land (Moran et al., 2006; Sluijs et al.; 2006; 2008;
2061 Weijers et al., 2007). No evidence of wintertime ice exists, and temperatures very likely
2062 remained higher than during the mid-Pliocene. Recently, the oceanic site has remained
2063 ice covered; it is near or below freezing during the summer and much colder in winter.
2064 Hence, changes in the Arctic were much larger than the globally averaged change.

2065 Figure 4.34 does not include quantitative estimates for the pre-Pliocene warm
2066 times, but a 3-fold Arctic amplification is consistent with the data within the broad
2067 uncertainties. The Cretaceous and early-Cenozoic warmth seems to have been forced by

2068 increased greenhouse-gas concentration, as discussed above, so the Arctic amplification
2069 seems to be independent of the forcing. This conclusion is expectable; many of the strong
2070 Arctic feedbacks serve to amplify temperature change without regard to causation—
2071 warmer summer temperatures melt reflective snow and ice, regardless of whether the
2072 warmth came from changing solar output, orbital configuration, greenhouse-gas
2073 concentrations, or other causes. Global warmth and an ice-free Arctic during the early
2074 Eocene occurred without albedo feedbacks at the same time that the tropics experienced
2075 sustained warmth (Pearson et al., 2007).

2076 Targeted studies designed to quantitatively assess Arctic amplification of climate
2077 change remain relatively rare, and they could be clarified. The available data, as assessed
2078 here, point to 3-fold to 4-fold Arctic amplification, such that, in response to the same
2079 forcing, Arctic temperature changes are 3 to 4 times as large as hemispheric-average
2080 changes, which are dominated by changes in the much larger lower latitude regions.

2081

2082 **4.5.3 Implications for the future**

2083 Paleoclimatology shows that climate has changed greatly in the Arctic with time,
2084 and that the changes typically have been much larger in the Arctic than in lower latitudes.
2085 Strong feedbacks have promoted these Arctic changes, such as the ice-albedo feedback in
2086 which summer cooling expands reflective snow and ice that in turn amplify the cooling,
2087 or warming causes melting that amplifies the warming. Changes in sea-ice coverage of
2088 the Arctic Ocean have also been critical—open water cannot fall below the freezing
2089 point, but air above ice-covered water can become very cold in the dark Arctic winter.

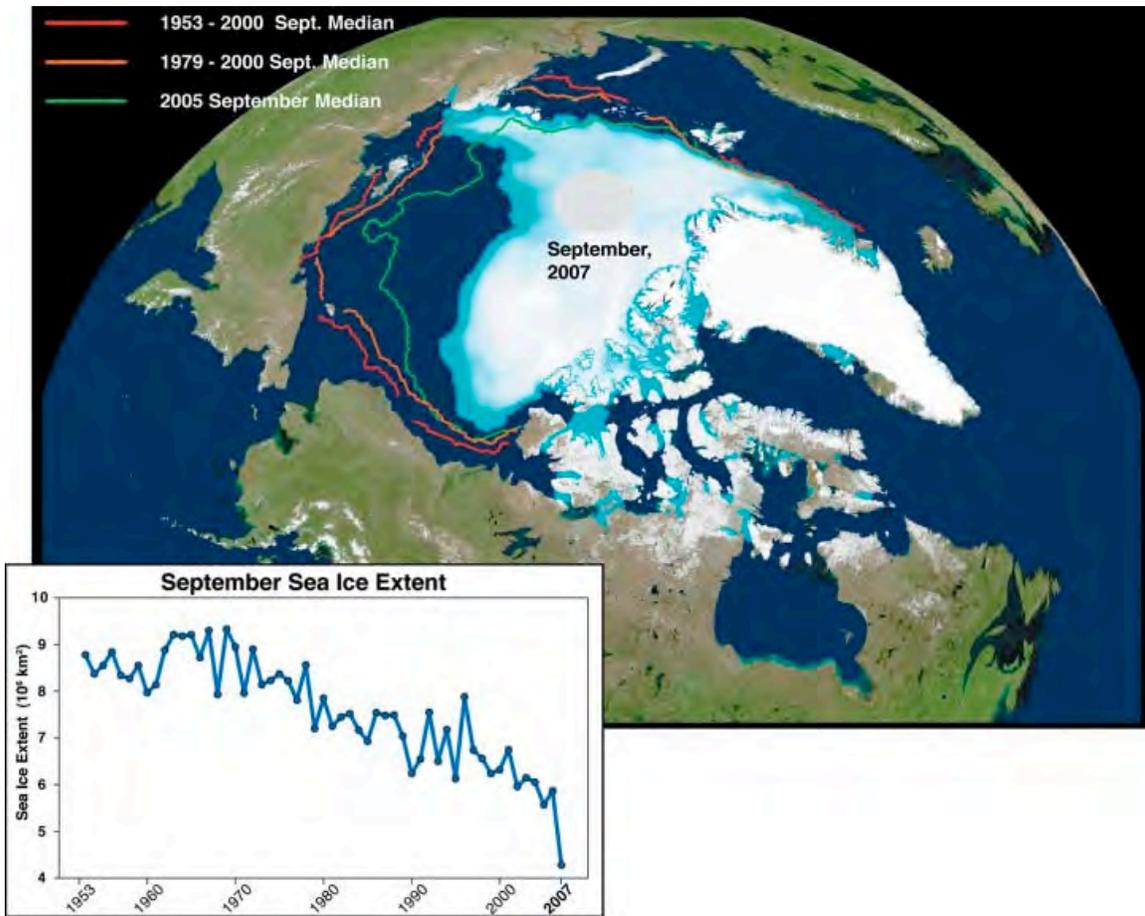
2090 Thus, sustained changes in sea-ice coverage very likely contribute to the largest
2091 temperature changes observed on the planet (see, e.g., Denton et al., 2005).

2092 These feedbacks have served to amplify climate changes with various causes,
2093 including those forced primarily by greenhouse-gas changes, consistent with physical
2094 understanding of the nature of the feedbacks. By simple analogy, and taken together with
2095 physical understanding, this knowledge indicates that climate changes will continue to be
2096 amplified in the Arctic. In turn, this knowledge indicates that continuing greenhouse-gas
2097 forcing of global climate or other human influences will change climate more in the
2098 Arctic than in lower latitude regions.

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Figure 4.1 Median extent of sea ice in September, 2007, compared with averaged

2105 intervals during recent decades. Red curve, 1953–2000; orange curve, 1979–2000; green

2106 curve, September 2005. Inset: Sea ice extent time series plotted in square kilometers,

2107 shown from 1953–2007 in the graph below (Stroeve et al., 2008). The reduction in Arctic

2108 Ocean summer sea ice in 2007 was greater than that predicted by most recent climate

2109 models.

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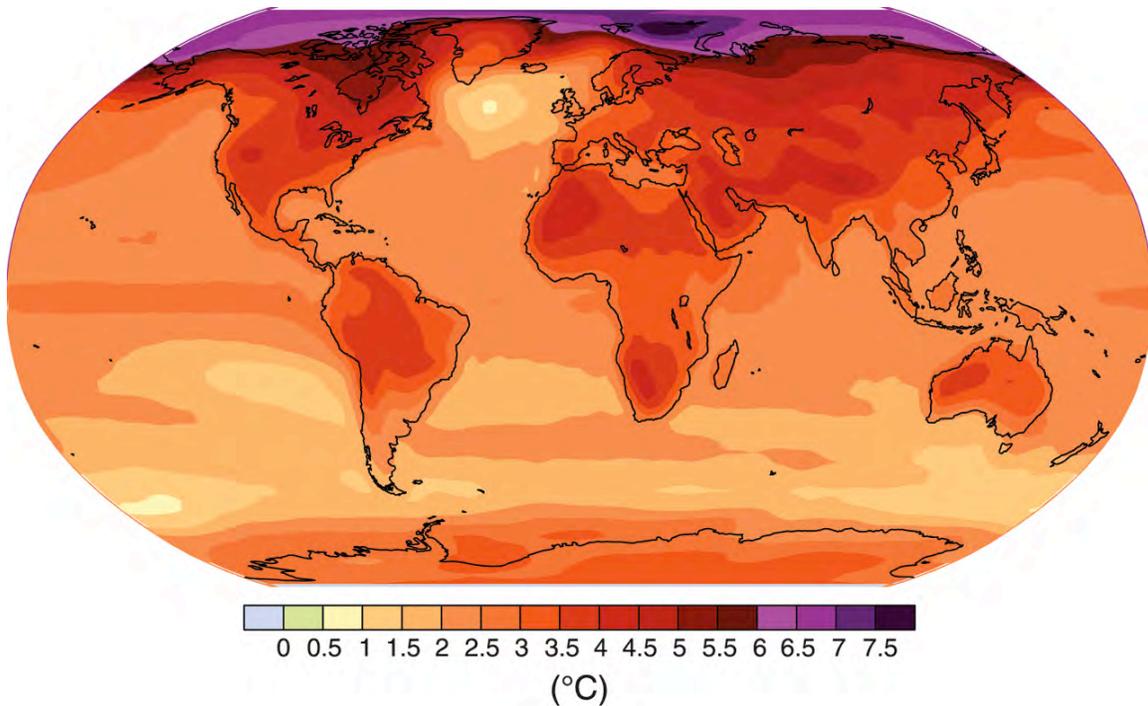
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Geographical pattern of surface warming

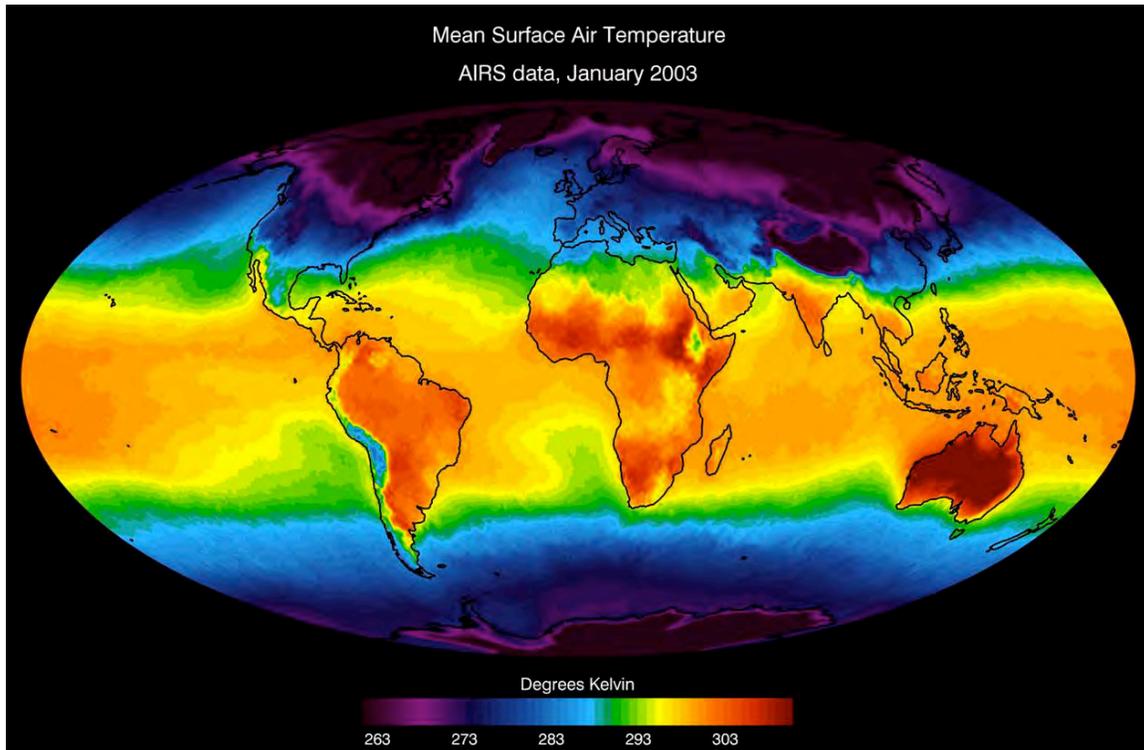


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2115 **Figure 4.2** Projected surface temperature changes for the last decade of the 21st century
2116 (2090-2099) relative to the period 1980-1999. The map shows the IPCC multi-
2117 Atmosphere-Ocean coupled Global Climate Model average projection for the A1B
2118 (balanced emphasis on all energy resources) scenario. The most significant warming is
2119 projected to occur in the Arctic. (IPCC, 2007; Figure SPM6)

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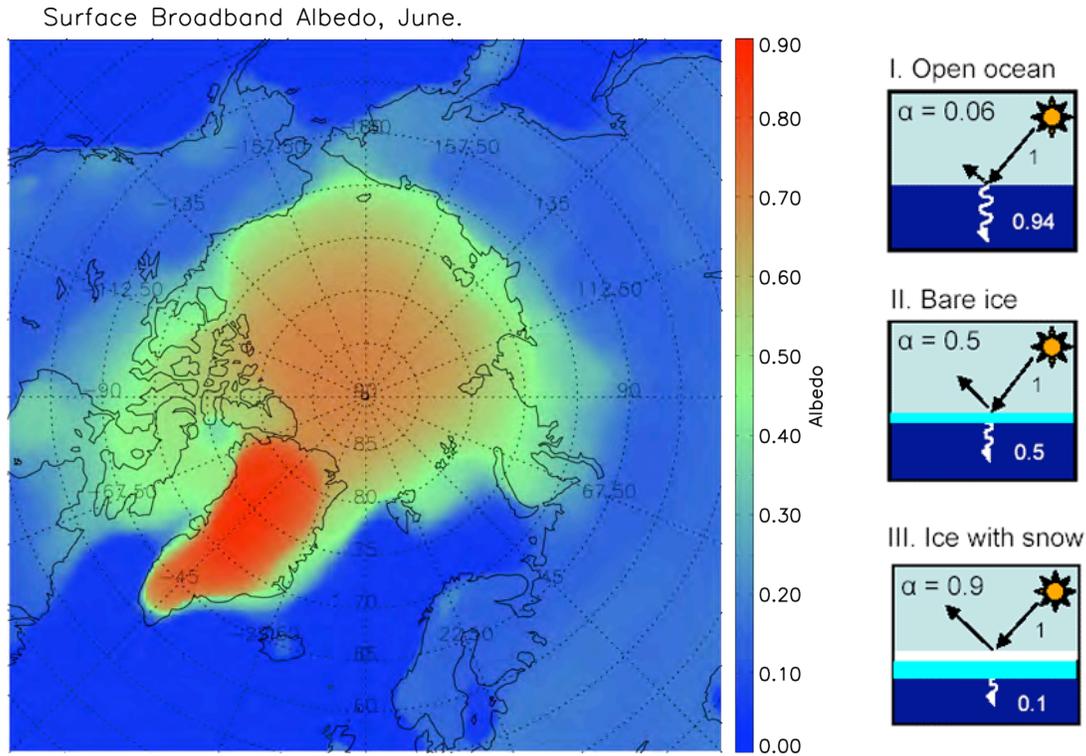
2121

2122 **Figure 4.3** Global mean observed near-surface air temperatures for the month of
2123 January, 2003 derived from the Atmospheric Infrared Sounder (AIRS) data. Contrast
2124 between equatorial and Arctic temperatures is greatest during the northern hemisphere
2125 winter. The transfer of heat from the tropics to the polar regions is a primary feature of
2126 the Earth's climate system (Color scale is in Kelvin degrees such that $0^{\circ}\text{C}=273.15$
2127 Kelvin.)

2128 (Source: http://www-airs.jpl.nasa.gov/graphics/features/airs_surface_temp1_full.jpg)

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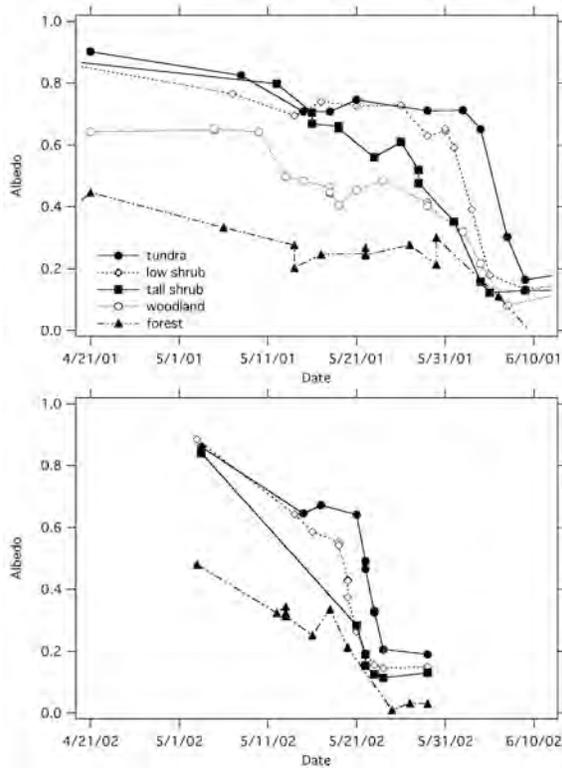
2133 **Figure 4.4** Albedo values in the Arctic

2134 **5a.** Advanced Very High Resolution Radiometry (AVHRR)-derived Arctic albedo
 2135 values in June, 1982-2004 multi-year average, showing the strong contrast between snow
 2136 and ice covered areas (green through red) and open water or land (blue). (Image courtesy
 2137 of X. Wang, University of Wisconsin-Madison, CIMSS/NOAA)

2138 **5b.** Albedo feedbacks. Albedo is the fraction of incident sunlight that is reflected. Snow,
 2139 ice, and glaciers have high albedo. Dark objects such as the open ocean, which absorbs
 2140 some 93% of the Sun’s energy, have low albedo (about 0.06), absorbing some 93% of the
 2141 Sun’s energy. Bare ice has an albedo of 0.5; however, sea ice covered with snow has an
 2142 albedo of nearly 90% (Source: <http://nsidc.org/seaice/processes/albedo.html>).

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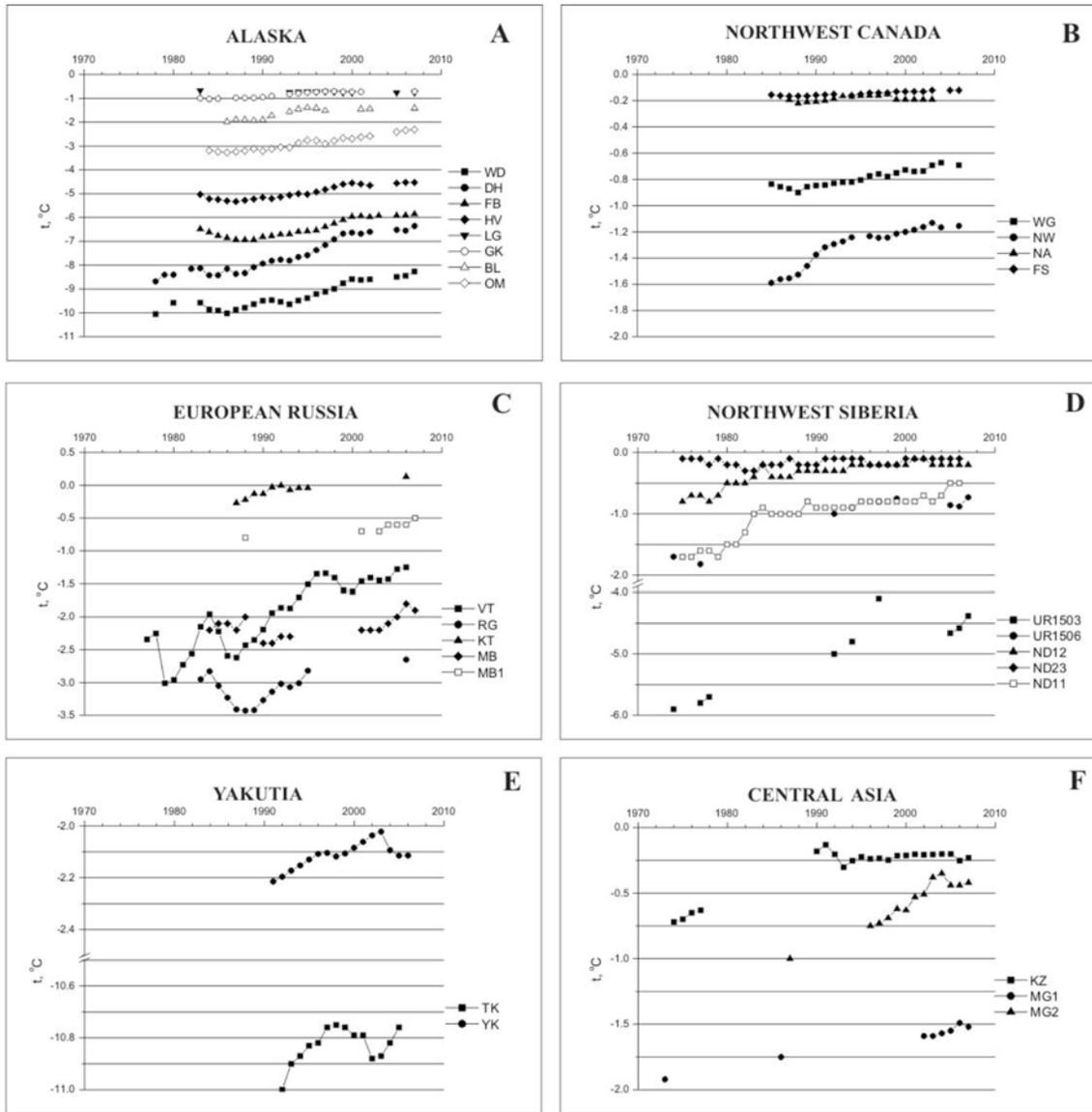
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2147 **Figure 4.5** Changes in vegetation cover throughout the Arctic can influence albedo, as
 2148 can altering the onset of snow melt in spring. a) Progression of the melt season in
 2149 northern Alaska, May 2001 (top) and May 2002 (bottom), demonstrates how areas with
 2150 exposed shrubs show earlier snow melt. b) Dark branches against reflective snow alter
 2151 albedo (Sturm et al., 2005; Photograph courtesy of Matt Sturm).

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2153

2154 **Figure 4.6** Warming trend in Arctic permafrost (permanently frozen ground), 1970–
 2155 present. Local effects can modify this trend. A) Sites in Alaska: WD, West Dock; DH,
 2156 Deadhorse; FB, Franklin Bluffs; HV, Happy Valley; LG, Livengood; GK, Gulkana; BL,
 2157 Birch Lake; OM, Old Man. B) Sites in northwest Canada: WG, Wrigley; NW, Norman
 2158 Wells; NA, Northern Alberta; FS, Fort Simpson. C) Sites in European Russia: VT,
 2159 Vorkuta; RG, Rogovoi; KT, Karataikha; MB, Mys Bolvansky. D) Northwest Siberia: UR,

2160 Urengoi; ND, Nadym. E) Sites in Yakutia: TK, Tiksi; YK, Yakutsk. F) Sites in central
2161 Asia: KZ, Kazakhstan; MG, Mongolia (Brown and Romanovsky, 2008).
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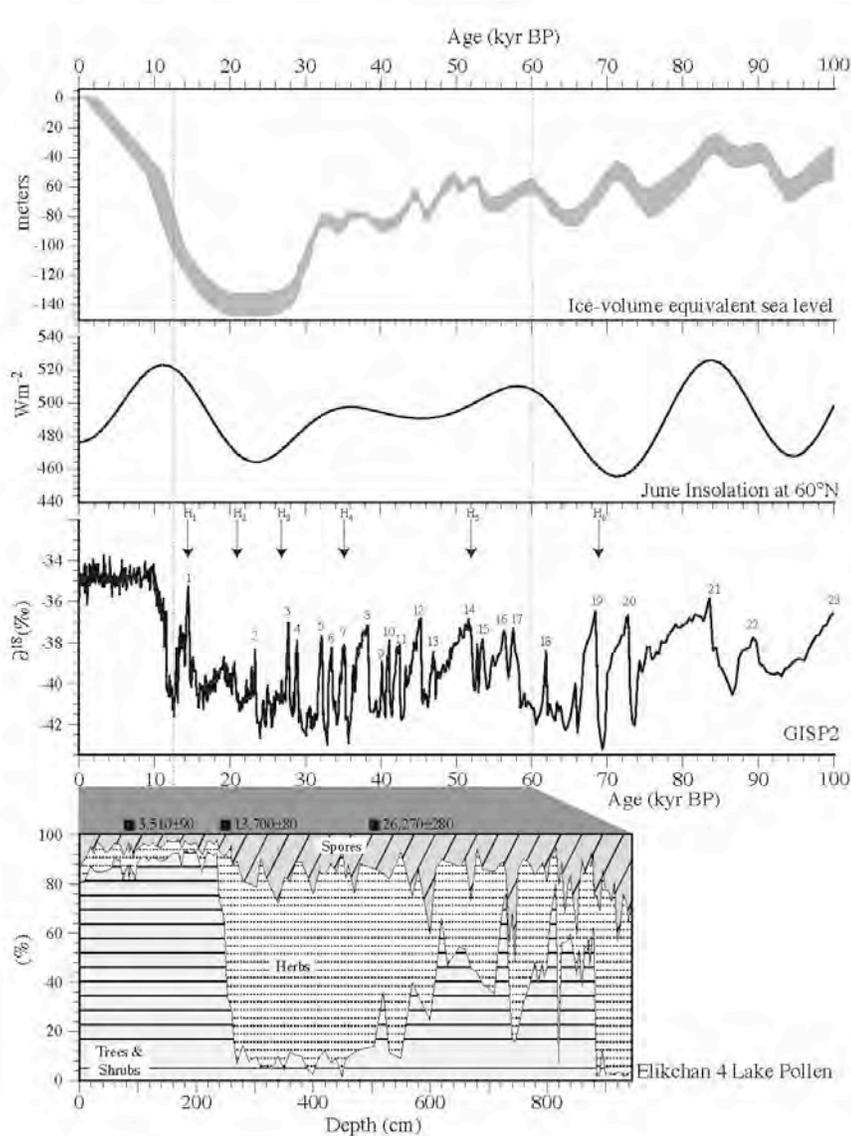
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2164 **Figure 4.7** Inflows and outflows of water in the Arctic Ocean. Red lines, components
 2165 and paths of the surface and Atlantic Water layer in the Arctic; black arrows, pathways of
 2166 Pacific water inflow from 50–200 m depth; blue arrows, surface-water circulation; green,
 2167 major river inflow; red arrows, movements of density-driven Atlantic water and
 2168 intermediate water masses into the Arctic (AMAP, 1998).

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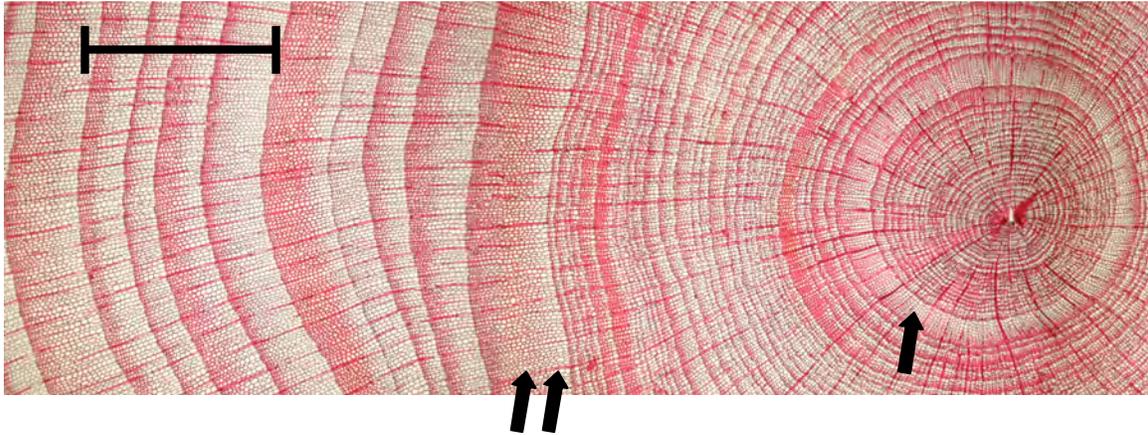
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2171 **Figure 4.8** Upper three panels: Correlation of global sea-level curve (Lambeck et al.,
 2172 2002), Northern Hemisphere summer insolation (Berger and Loutre, 1991), and the
 2173 Greenland Ice Sheet (GISP2) δ¹⁸O record (Grootes et al., 1993), ages all given in
 2174 calendar years. Bottom panel: temporal changes in the percentages of the main taxa of
 2175 trees and shrubs, herbs and spores at Elikchan 4 Lake in the Magadan region of
 2176 Chukotka, Russia. Lake core x-axis is depth, not time (Brigham-Grette et al., 2004).
 2177 Habitat was reconstructed on the basis of modern climate range of collective species
 2178 found in fossil pollen assemblages. The reconstruction can be used to estimate past

2179 temperatures or the seasonality of a particular site. The GISP2 record: Base of core
2180 roughly 60 ka (Lozhkin and Anderson, 1996). H1 above arrow, timing of Heinrich event
2181 event 1 (and so on); number 1 above curve, Dansgaard-Oscheger event (and so on).
2182 During approximately 27 ka to nearly 55 ka, vegetation, especially treeline, recovered for
2183 short intervals to nearly Holocene conditions at the same time that the isotopic record in
2184 Greenland suggests repeated warm warm-cold cycles of change. kyr BP, thousands of
2185 years before the present.

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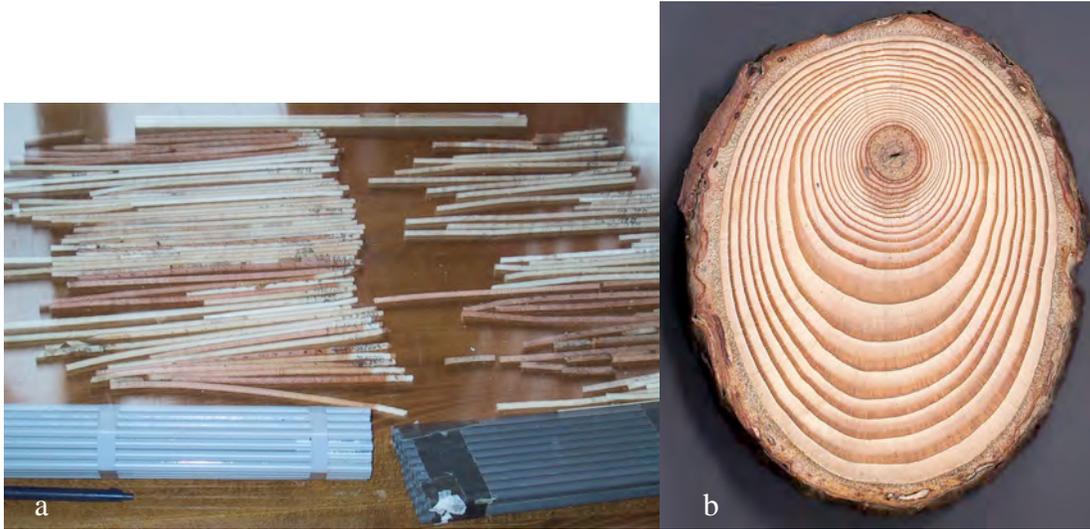
2190 **Figure 4.9** Annual tree rings composed of seasonal early and late wood are clear in this a
2191 64-year year-old *Larix siberica* from western Siberia (Esper and Schweingruber, 2004).
2192 Initial growth was restricted; narrow rings average 0.035 mm/year, punctuated by one
2193 thicker ring (one single arrow). Later (two arrows), tree-ring width abruptly at least
2194 doubled for more than three years. Ring widths increased to 0.2 mm/year (Photograph
2195 courtesy of Jan Esper, Swiss Federal Research Institute).

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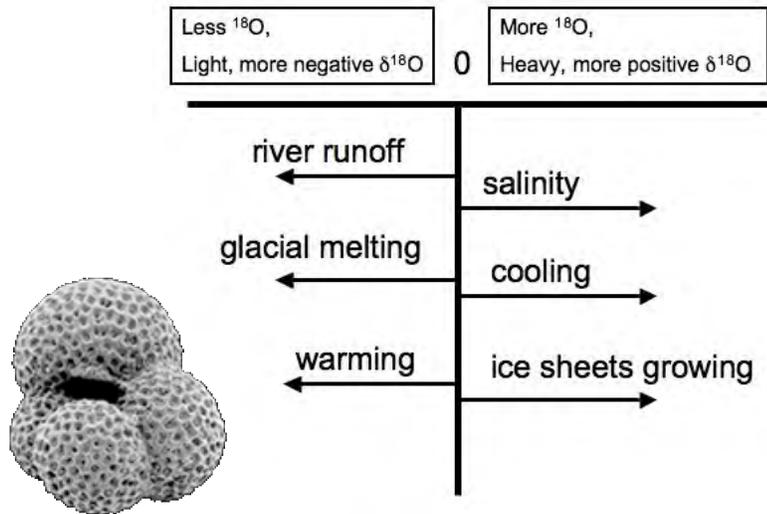
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2201 **Figure 4.10** Typical tree ring samples. a) Increment cores taken from trees with a small
2202 small-bore hollow drill. They can be easily stored and transported in plastic soda straws
2203 for analysis in the laboratory. b) Alternatively, cross sections or disks can be sanded for
2204 study. A cross section of *Larix decidua* root shows differing wood thickness within single
2205 rings, caused by exposure. (Photographs courtesy of Jan Esper and Holger Gärtner, Swiss
2206 Federal Research Institute, respectively).

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2209

2210 **Figure 4.11** 14 Microscopic marine plankton known as (foraminifera) (see inset)
 2211 grow a shell of calcium carbonate (CaCO_3) in or near isotopic equilibrium with ambient
 2212 sea water. The oxygen isotope ratio measured in these shells can be used to determine the
 2213 temperature of the surrounding waters. (The oxygen-isotope ratio is expressed in $\delta^{18}\text{O}$
 2214 parts per million (ppm) = $10^3[(R_{\text{sample}}/R_{\text{standard}}) - 1]$, where $R_x = (^{18}\text{O})/(^{16}\text{O})$ is the ratio of
 2215 isotopic composition of a sample compared to that of an established standard, such as
 2216 ocean water) However, factors other than temperature can influence the ratio of ^{18}O to
 2217 ^{16}O . Warmer seasonal temperatures, glacial meltwater, and river runoff with depleted
 2218 values all will produce a more negative (lighter) $\delta^{18}\text{O}$ [should the Greek letter be δ ?] ratio. On the
 2219 other hand, cooler temperatures or higher salinity waters will drive the ratio up, making it
 2220 heavier, or more positive. The growth of large continental ice sheets selectively removes
 2221 the lighter isotope (^{16}O), leaving the ocean enriched in the heavier isotope (^{18}O).
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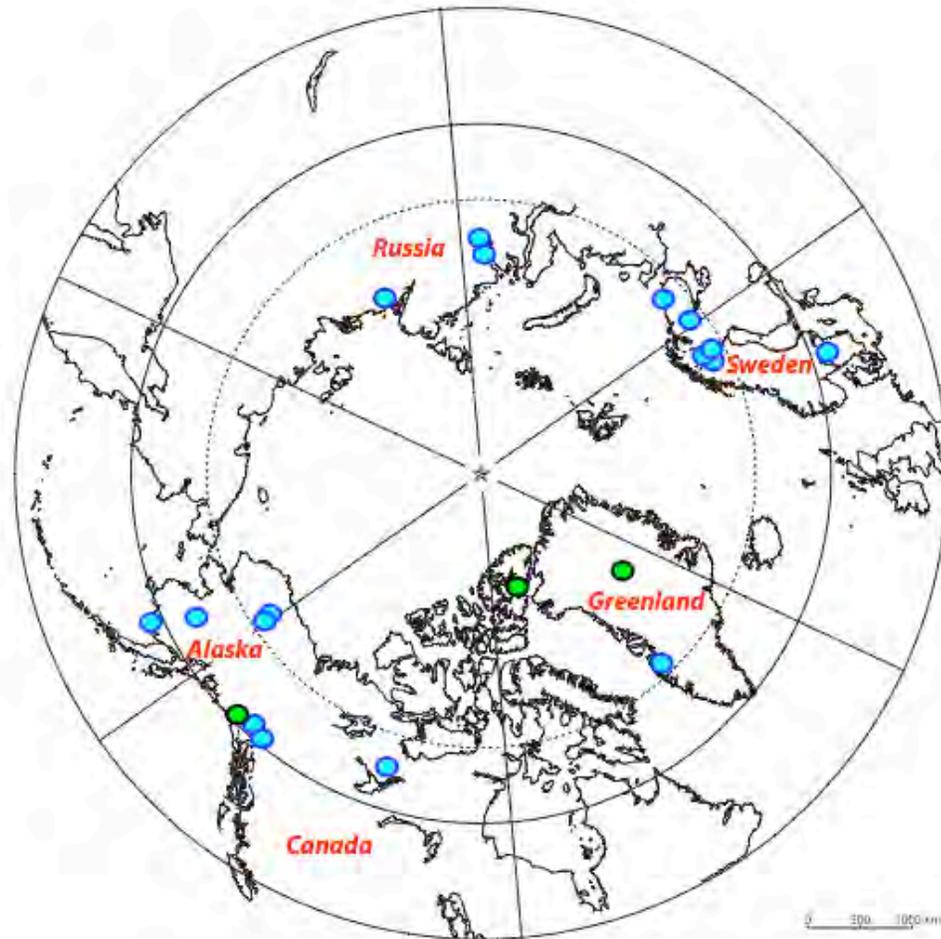
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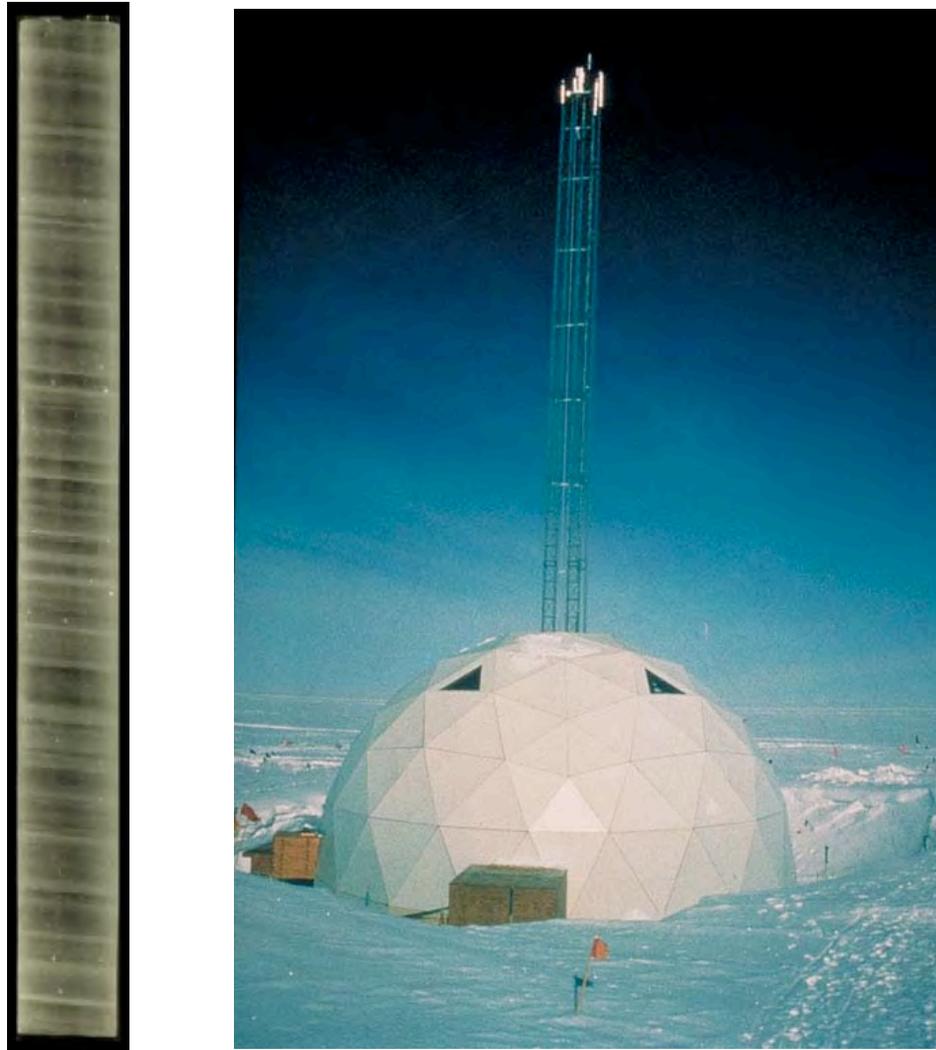
2224 **Figure 4.12** Lake El'gygytgyn in the Arctic Far East of Russia. Open and closed lake
2225 systems in the Arctic differ hydrologically according to the balance between inflow,
2226 outflow, and the ratio of precipitation to evaporation. These parameters are the dominant
2227 influence on lake stable stable-isotopic chemistry and on the depositional character of the
2228 sediments and organic matter. Lake El'gygytgyn is annually open and flows to the Bering
2229 Sea during July and August, but the outlet closes by early September as lake level drops
2230 and storms move beach gravels that choke the outlet. (Photograph by J. Brigham-Grette).
2231

2231



2232

2233 **Figure 4.13** Locations of Arctic and sub-Arctic lakes (blue) and ice cores (green) whose
2234 oxygen isotope records have been used to reconstruct Holocene paleoclimate. (Map
2235 adapted from the Atlas of Canada, © 2002. Her Majesty the Queen in Right of Canada,
2236 Natural Resources Canada. / Sa Majesté la Reine du chef du Canada, Ressources
2237 naturelles Canada.)



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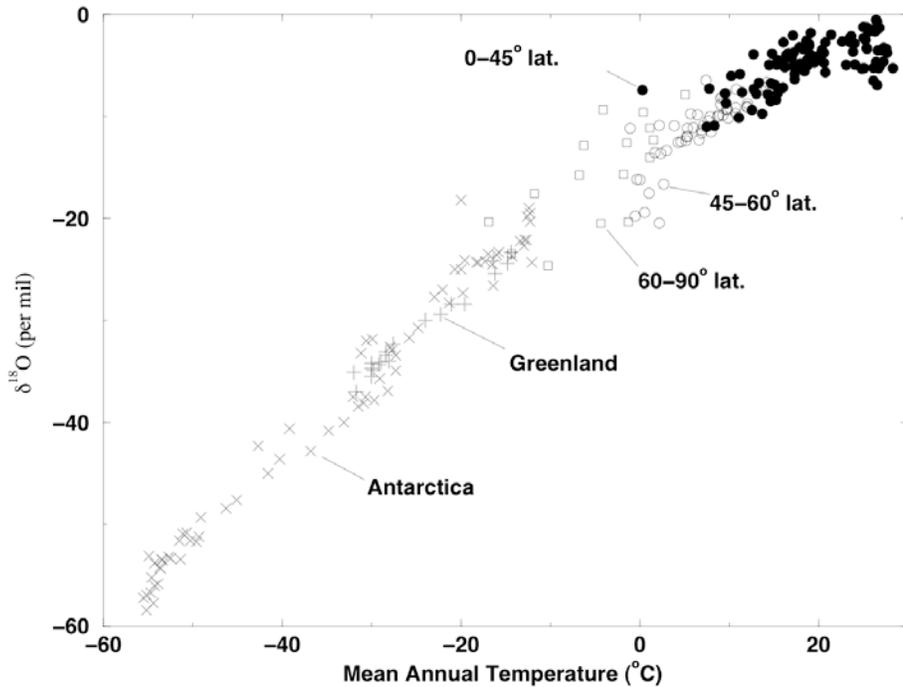
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Figure 4.14 a) One-meter section of Greenland Ice Core Project-2 core from 1837 m depth showing annual layers. (Photograph courtesy of Eric Cravens, Assistant Curator, U.S. National Ice Core Laboratory). b) Field site of Summit Station on top of the Greenland Ice Sheet (Photograph by Michael Morrison, GISP2 SMO, University of New Hampshire; NOAA Paleoslide Set)

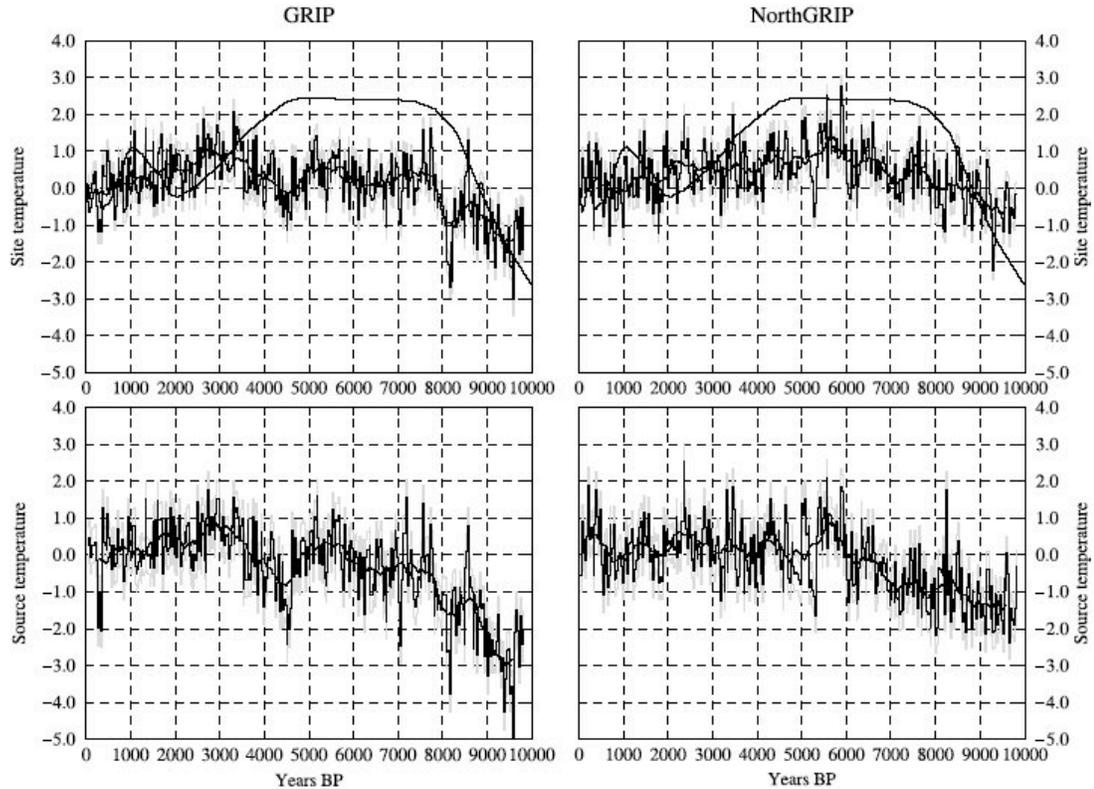


2245

2246 **Figure 4.15** Relation between isotopic composition of precipitation and temperature in
 2247 the parts of the world where ice sheets exist. Sources of data as follows: International
 2248 Atomic Energy Agency (IAEA) network (Fricke and O'Neil, 1999; calculated as the
 2249 means of summer and winter data of their Table 1 for all sites with complete data. Open
 2250 squares, poleward of 60° latitude (but with no inland ice-sheet sites); open circles, 45°–
 2251 60° latitude; filled circles, equatorward of 45° latitude. x, data from Greenland (Johnsen
 2252 et al., 1989); +, data from Antarctica (Dahe et al., 1994). About 71% of Earth's surface
 2253 area is equatorward of 45°, where dependence of $\delta^{18}\text{O}$ on temperature is weak to
 2254 nonexistent. Only 16% of Earth's surface falls in the 45°–60° band, and only 13% is
 2255 poleward of 60°. The linear array is clearly dominated by data from the ice sheets.
 2256 (Source: Alley and Cuffey, 2001)

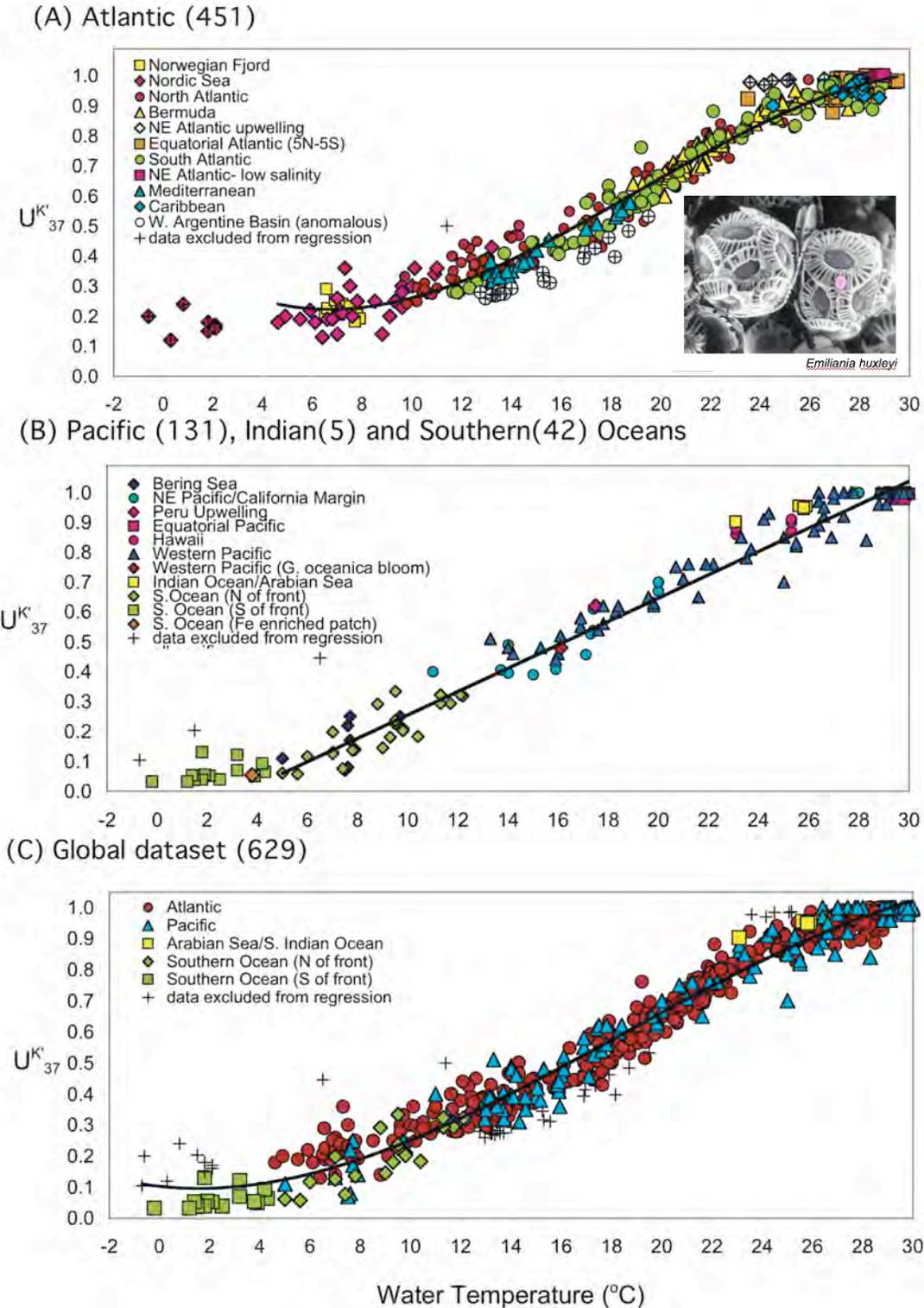
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2259 **Figure 4.16** Paleotemperature estimates of site and source waters from on Greenland:
 2260 GRIP and NorthGrip, Masson-Delmotte et al., 2005). GRIP (left) and NorthGRIP (right)
 2261 site (top) and source (bottom) temperatures derived from GRIP and NorthGRIP $\delta^{18}\text{O}$ and
 2262 deuterium excess corrected for seawater $\delta^{18}\text{O}$ (until 6000 BP). Shaded lines in gray
 2263 behind the black line provide an estimate of uncertainties due to the tuning of the isotopic
 2264 model and the analytical precision. Solid line (in part above zigzag line), GRIP
 2265 temperature derived from the borehole-temperature profile (Dahl-Jensen et al., 1998).



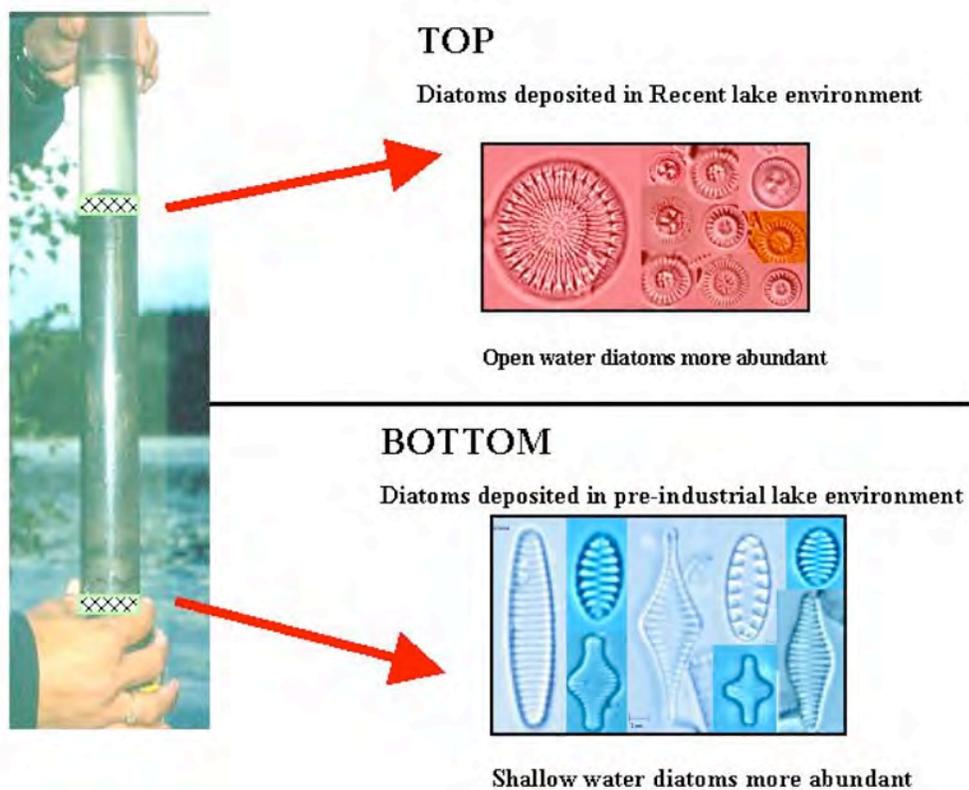
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2267 **Figure 4.17** Biomarker alkenone. U_{37}^K versus measured water temperature for ocean-

2268 water surface mixed layer (0–30 m) samples. A) Atlantic region: Empirical 3rd-order

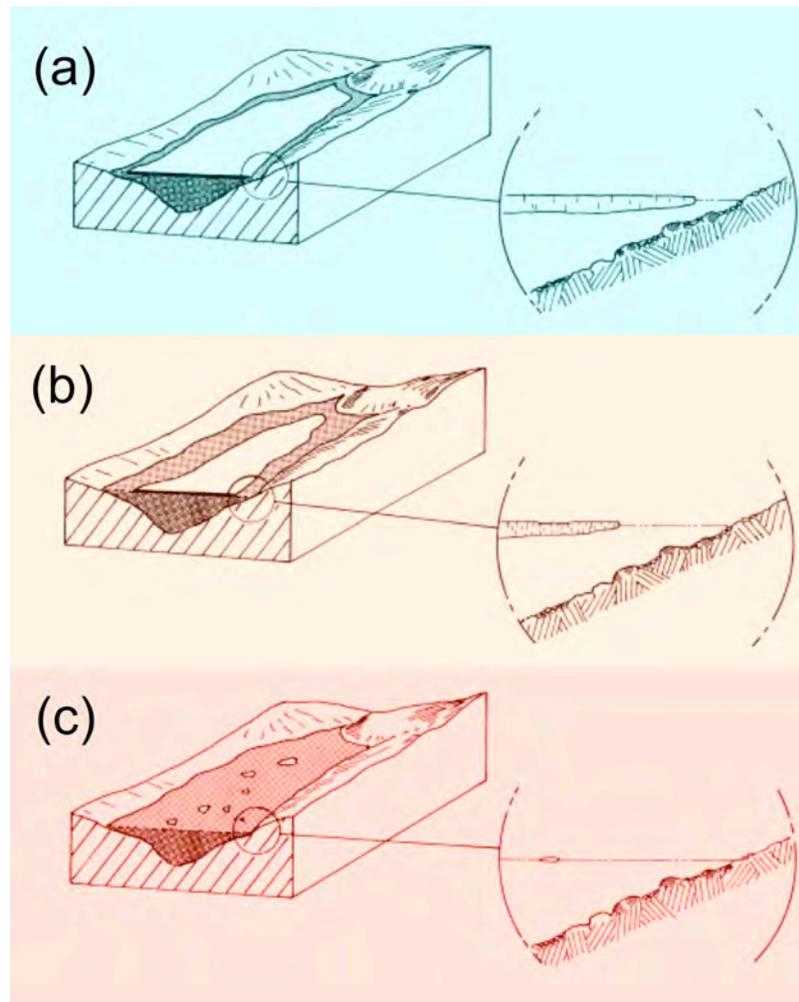
2269 polynomial regression for samples collected in warmer-than-4°C waters is $U_{37}^K = 1.004$
2270 $10^{-4}T^3 + 5.744 \cdot 10^{-3}T^2 - 6.207 \cdot 10^{-2}T + 0.407$ ($r^2 = 0.98$, $n = 413$) (Outlier data from
2271 the southwest Atlantic margin and northeast Atlantic upwelling regime is excluded.). B)
2272 Pacific, Indian, and Southern Ocean regions: The empirical linear regression of Pacific
2273 samples is $U_{37}^K = 0.0391T - 0.1364$ ($r^2 = 0.97$, $n = 131$). Pacific regression does not
2274 include the Indian and Southern Ocean data. C) Global data: The empirical 3rd order
2275 polynomial regression, excluding anomalous southwest Atlantic margin data, is $U_{37}^K =$
2276 $5.256 \cdot 10^{-5}T^3 + 2.884 \cdot 10^{-3}T^2 - 8.4933 \cdot 10^{-2}T + 9.898$ ($r^2 = 0.97$, $n = 588$). +, sample
2277 excluded from regressions. (Conte et al, 2006).

2278



2279 **Figure 4.18** Diatom assemblages reflect a variety of environmental conditions in Arctic
 2280 lake systems. Transitions, especially rapid change from one assemblage to another, can
 2281 reflect large changes in conditions such as light, nutrient availability, or temperature, for
 2282 example. Biogenic silica, chiefly the silica skeletal framework constructed by diatoms, is
 2283 commonly measured in lake sediments and used as an index of past changes in aquatic
 2284 primary productivity.

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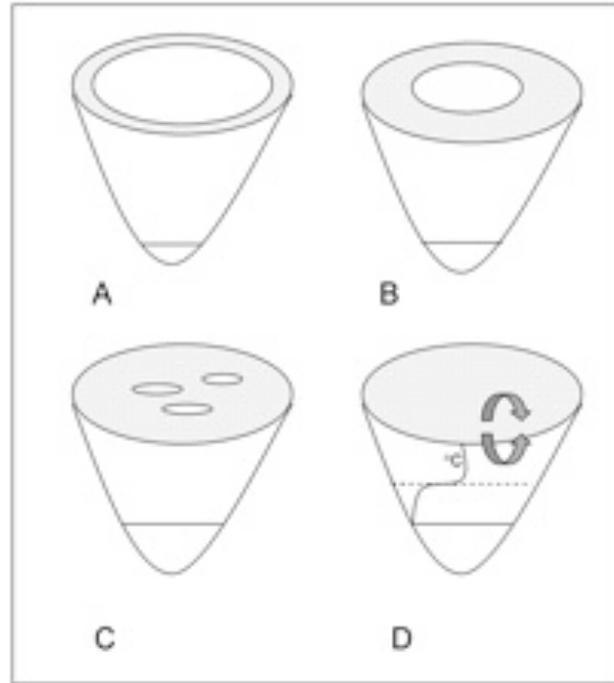
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2287 **Figure 4.19** Changing ice and snow conditions on an Arctic lake during relatively (a)
2288 cold, (b) moderate, and (c) warm conditions. During colder years, a permanent raft of ice
2289 may persist throughout the short summer, precluding the development of large
2290 populations of phytoplankton, and restricting much of the primary production to a
2291 shallow, open open-water moat. Many other physical, chemical and biological changes
2292 occur in lakes that are either directly or indirectly affected by snow and ice cover (see
2293 Table 1; Douglas and Smol, 1999). Modified from Smol (1988).

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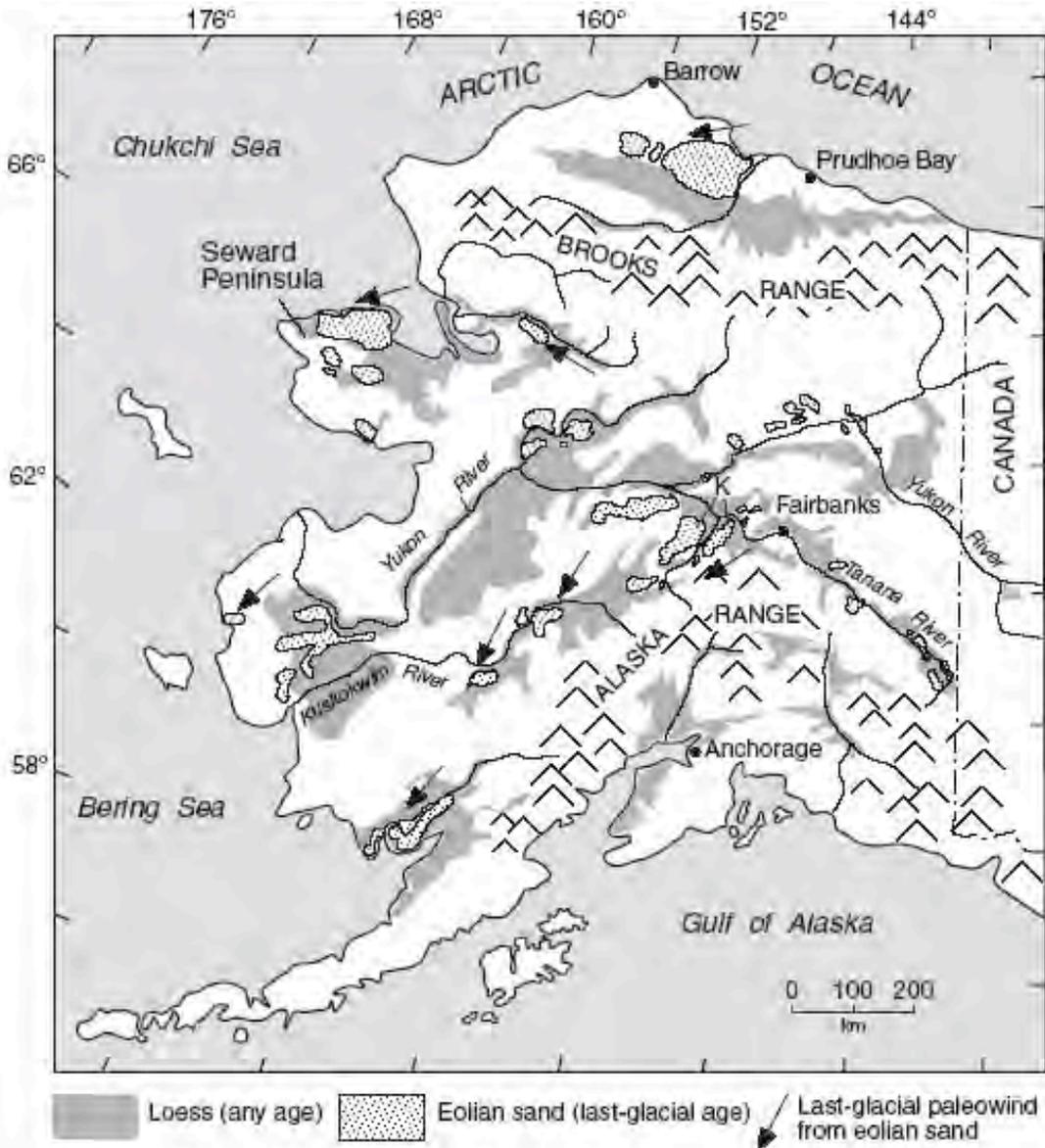


2296

2297 **Figure 4.20** Lake ice melts as it continues to warm (A – D). Eventually, in deeper lakes
2298 (vs ponds) thermal stratification (horizontal lines) may also occur (or be prolonged)
2299 during the summer months (D), further altering the limnological characteristics of the
2300 lake. Modified from Douglas (2007).

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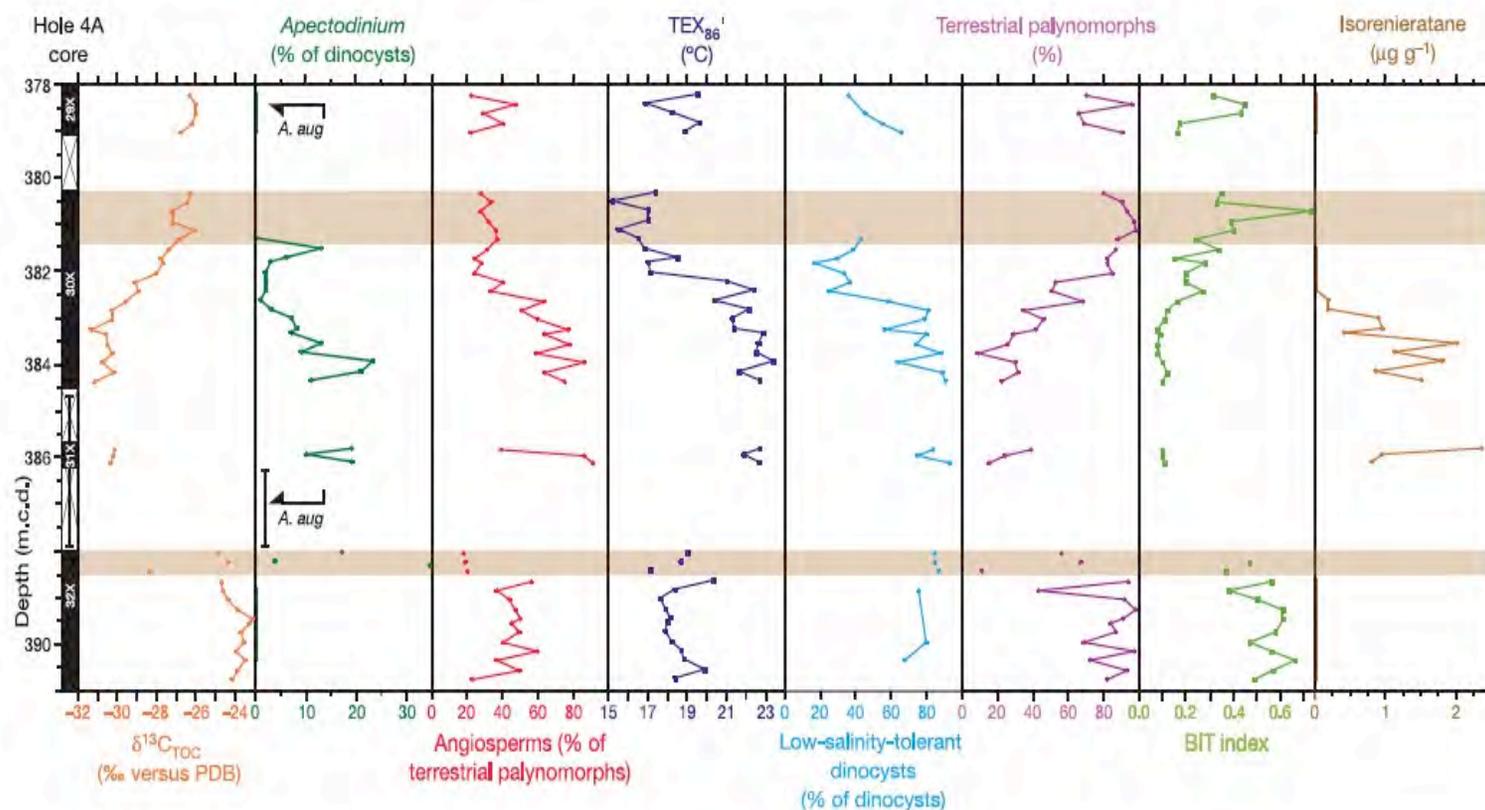
2302 **Figure 4.21** The form and distribution of wind-blown silt (loess), wind-blown sand
 2303 (dunes), and other deposits of wind-blown sediment in Alaska, have been use to infer
 2304 both Holocene and last-glacial past wind directions. (Compiled from multiple sources by
 2305 Muhs and Budahn, 2006).
 2306



2306

2307 **Figure 4.22** Unnamed, hydrologically closed lake in the Yukon Flats Wildlife Refuge,
2308 Alaska. Concentric rings of vegetation developed progressively inward as water level fell,
2309 owing to a negative change in the lake's overall water balance. Historic Landsat imagery
2310 and air photographs indicate that these shorelines formed during within the last 40 years
2311 or so. (Photograph by Lesleigh Anderson.)

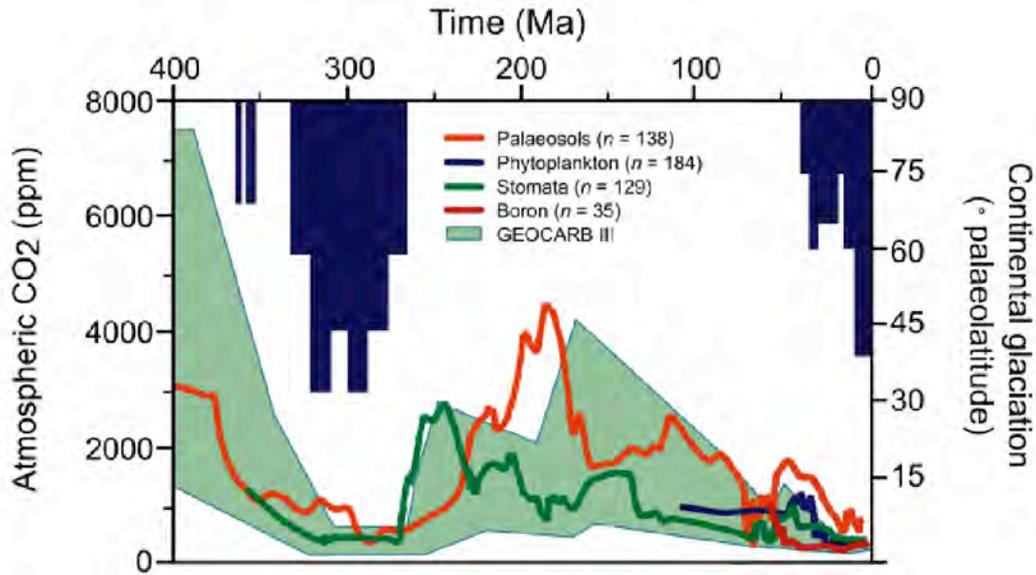
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2314 **Figure 4.23** Recovered sections and palynological and geochemical results across the Paleocene-Eocene Thermal Maximum about 55
 2315 Ma; IODP Hole 302-4A (87° 52.00' N.; 136° 10.64' E.; 1288 m water depth, in the central Arctic Ocean basin). Mean annual surface-
 2316 water temperatures (as indicated in the TEX₈₆' column) are estimated to have reached 23°C, similar to water in the tropics today.

2317 (Error bars for Core 31X show the uncertainty of its stratigraphic position. Orange bars, indicate intervals affected by drilling
2318 disturbance.) Stable carbon isotopes are expressed relative to the PeeDee Belemnite standard. Dinocysts tolerant of low salinity
2319 comprise *Senegalinium* spp., *Cerodinium* spp., and *Polysphaeridium* spp., whereas *Membranosphaera* spp., *Spiniferites ramosus*
2320 complex, and *Areoligera-Glaphyrocysta* cpx. represent typical marine species. Arrows and *A. aug* (second column) indicate the first
2321 and last occurrences of dinocyst *Apectodinium augustum*—a diagnostic indicator of Paleocene-Eocene Thermal Maximum warm
2322 conditions. (Sluijs et al., 2006).



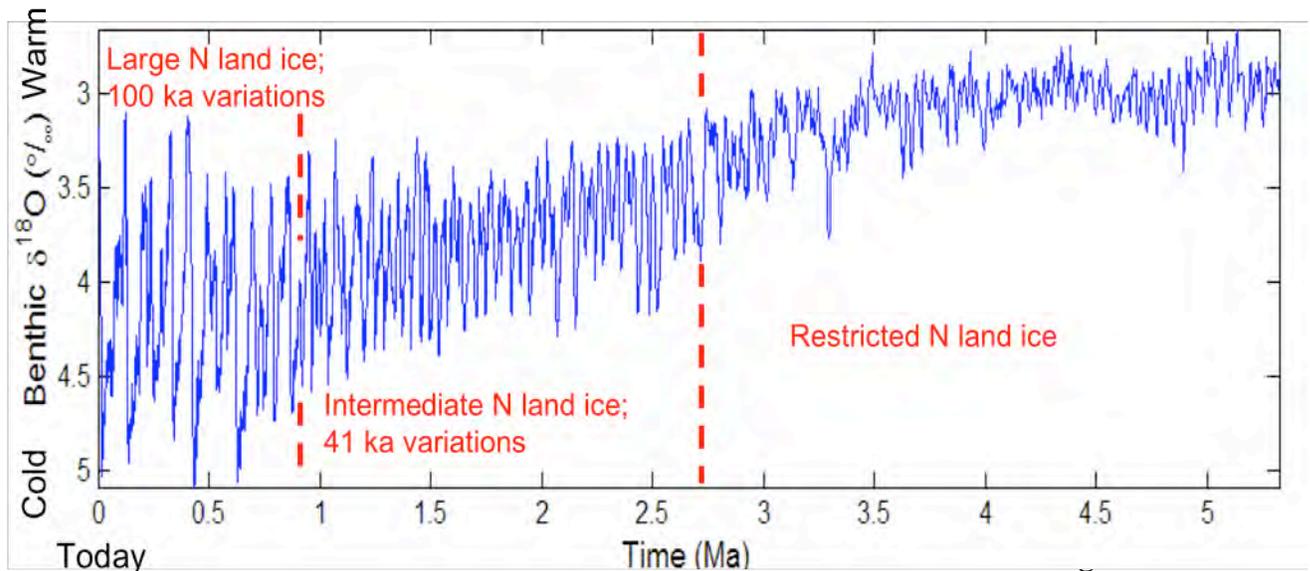
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2325 **Figure 4.24** Atmospheric CO₂ and continental glaciation 400 Ma to present. Vertical
 2326 blue bars, timing and palaeolatitudinal extent of ice sheets (after Crowley, 1998). Plotted
 2327 CO₂ records represent five-point running averages from each of four major proxies (see
 2328 Royer, 2006 for details of compilation). Also plotted are the plausible ranges of CO₂
 2329 derived from the geochemical carbon cycle model GEOCARB III (Bernier and Kothavala,
 2330 2001). All data adjusted to the Gradstein et al. (2004) time scale. Continental ice sheets
 2331 grow extensively when CO₂ is low. (after Jansen, 2007, that report's Figure 6.1)

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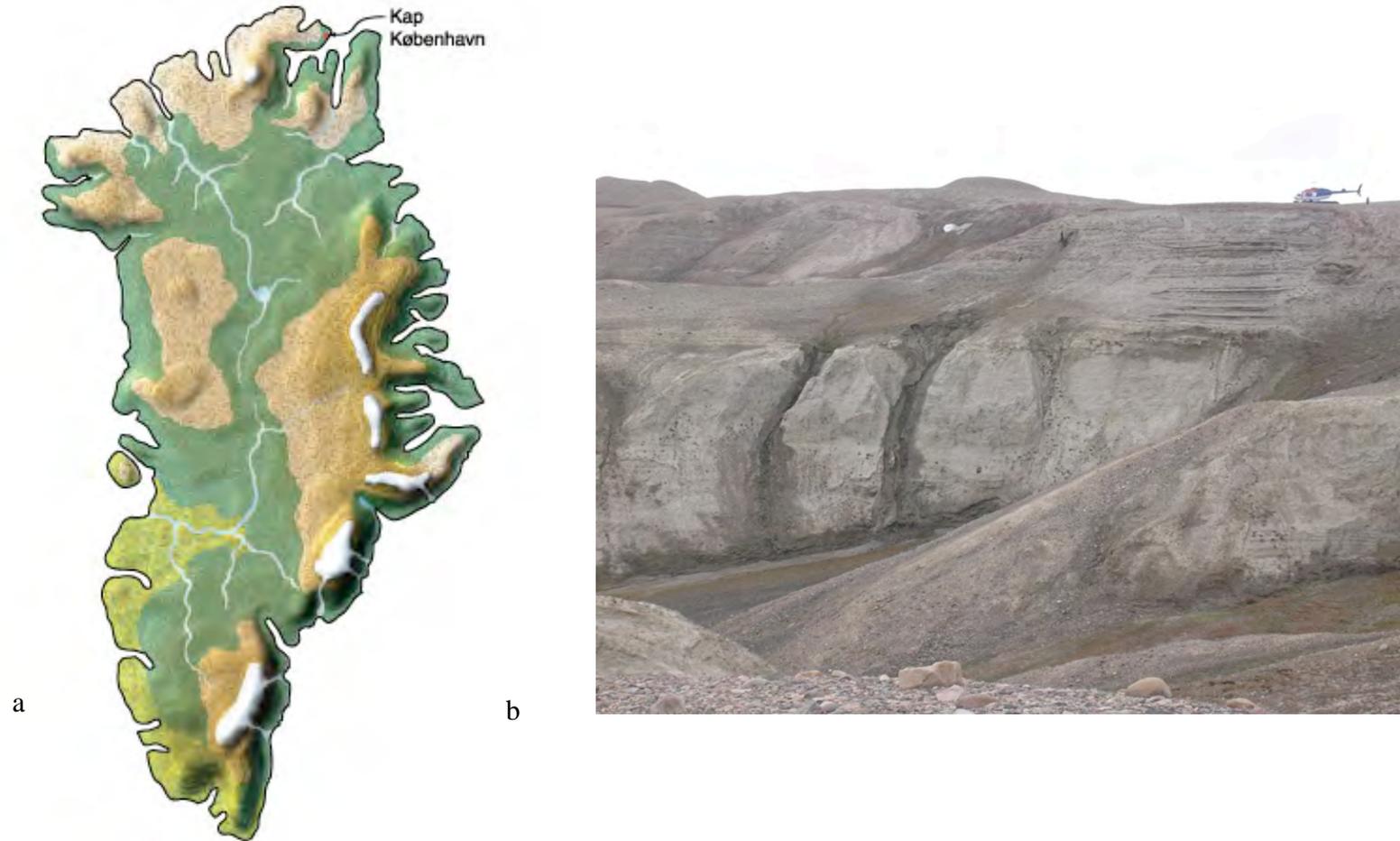
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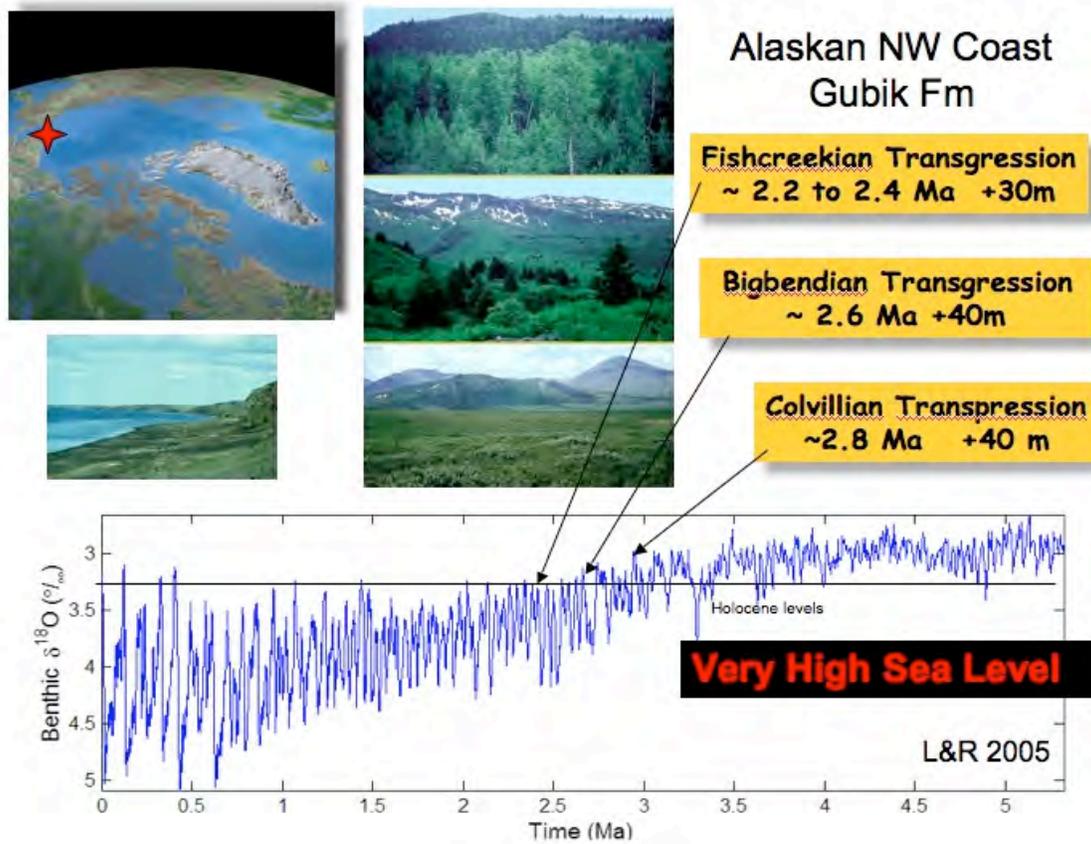
2352 **Figure 4.25** The average isotopic composition ($\delta^{18}\text{O}$) of bottom-dwelling
 2353 foraminifera from in a globally distributed set of 57 sediment cores that record the
 2354 last 5.3 Ma (modified from Lisiecki and Raymo, 2005). The $\delta^{18}\text{O}$ is controlled primarily
 2355 by global ice volume and deep-ocean temperature, with less ice or warmer temperatures
 2356 (or both) upward in the core. The influence of Milankovitch frequencies of Earth's orbital
 2357 variation are present throughout, but glaciation increased about 2.7 Ma ago concurrently
 2358 with establishment of a strong 41 ka variability linked to Earth's obliquity (changes in tilt
 2359 of Earth's spin axis), and the additional increase in glaciation about 1.2–0.7 Ma parallels
 2360 a shift to stronger 100 ka variability. Dashed lines are used because the changes seem to
 2361 have been gradual. The general trend toward higher $\delta^{18}\text{O}$ that runs through this series
 2362 reflects the long-term drift toward a colder Earth that began in the early Cenozoic (see
 2363 Figure 4.8).

2364



2365 **Figure 4.26** a) Greenland without ice for the last time? Dark green, **boreal** forest; light green, deciduous forest; brown, **tundra** and
2366 alpine heaths; white, ice caps. The north-south temperature gradient is constructed from a comparison between North Greenland and

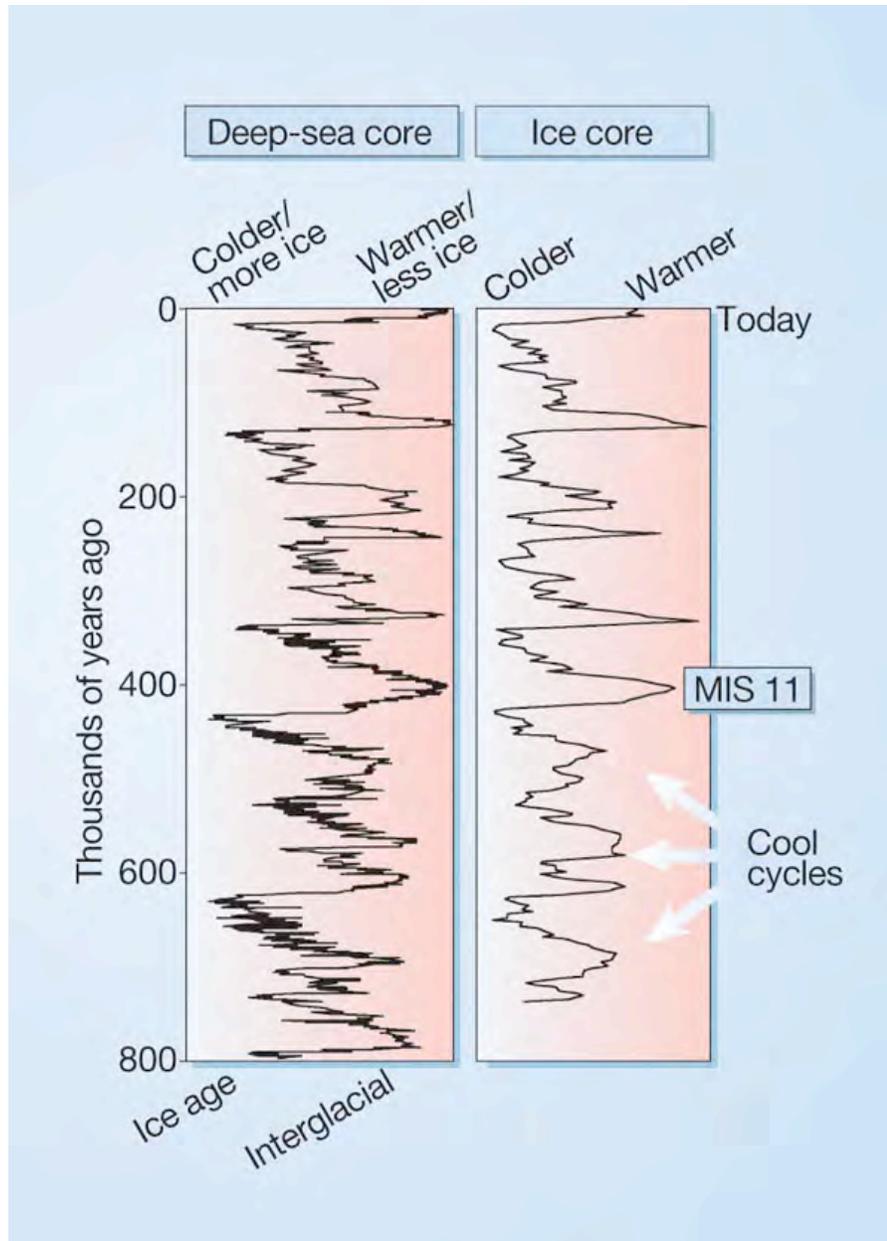
2367 northwest European temperatures, using standard lapse rate; distribution of precipitation assumed to retain the Holocene pattern.
2368 Topographical base, from model by Letreguilly et al. (1991) of Greenland's sub-ice topography after isostatic recovery. b) Upper part
2369 of the Kap København Formation, North Greenland. The sand was deposited in an estuary about 2.4 Ma; it contains abundant well-
2370 preserved leaves, seeds, twigs, and insect remains. (Figure and Photograph of by S.V. Funder.).



2371

2372

2373 **Figure 4.27** The largely marine Gubik Formation, North Slope of Alaska, contains three
 2374 superposed lower units that record relative sea level as high +30-+ to +40 m. Pollen in
 2375 these deposits suggests that borderland vegetation at each of these times was less
 2376 forested; **boreal** forests or spruce-birch woodlands at 2.7 Ma gave way to larch and
 2377 spruce forests at about 2.6 Ma and to open **tundra** by about 2.4 Ma (see photographs by
 2378 Robert Nelson, Colby College, who analyzed the pollen; oldest at top). Isotopic reference
 2379 time series of Lisecki and Raymo (2005) suggests best as assignments for these sea level
 2380 events (Brigham and Carter, 1992).

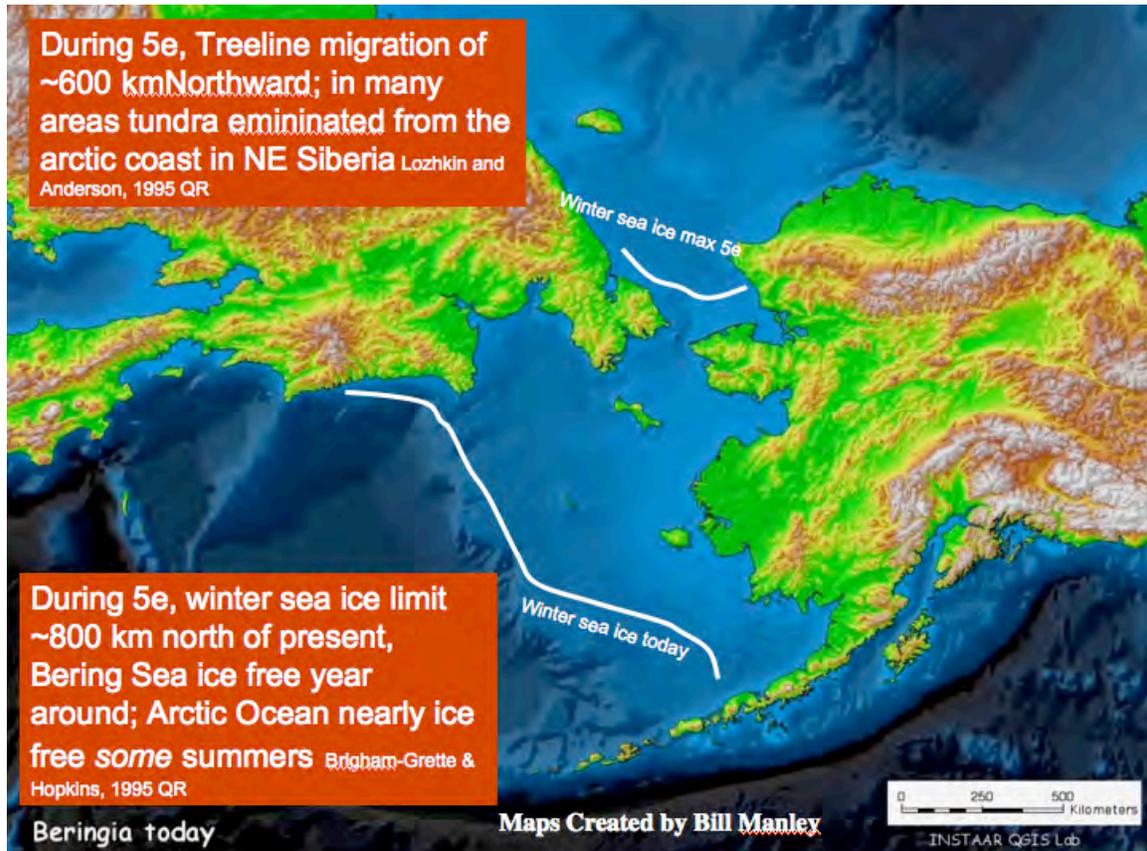


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2382 **Figure 4.28** Glacial cycles of the past 800 ka derived from marine-sediment and ice
 2383 cores (McManus, 2004). The history of deep-ocean temperatures and global ice volume
 2384 inferred from $\delta^{18}\text{O}$ measured in bottom-dwelling foraminifera shells preserved in Atlantic
 2385 Ocean sediments. Air temperatures over Antarctica inferred from the ratio of deuterium
 2386 to hydrogen in ice from central Antarctica (EPICA, 2004). Marine isotope stage 11 (MIS
 2387 11) is an interglacial whose orbital parameters were similar to those of the Holocene, yet
 2388 it lasted about twice as long as most interglacials. Note the smaller magnitude and less-
 2389 pronounced interglacial warmth of the glacial cycles that preceded MIS 11.

2390 Interglaciations older than MIS 11 were less warm than subsequent interglaciations.

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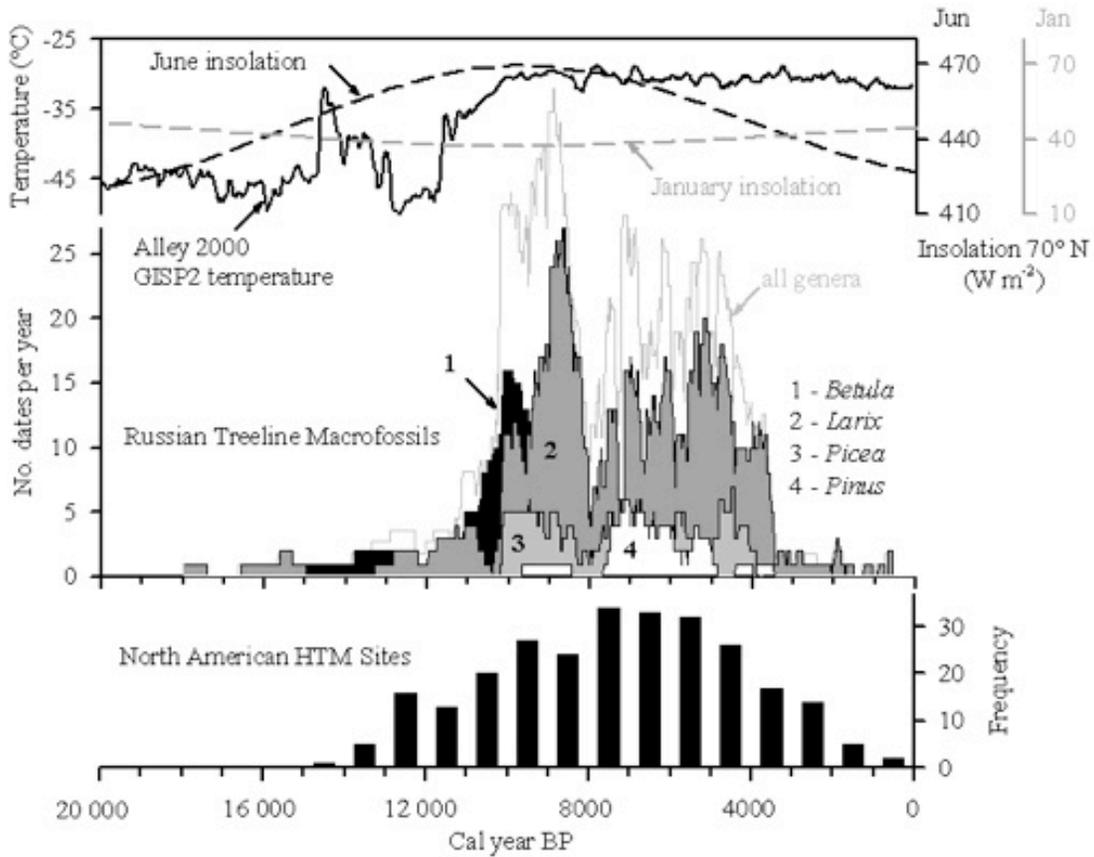


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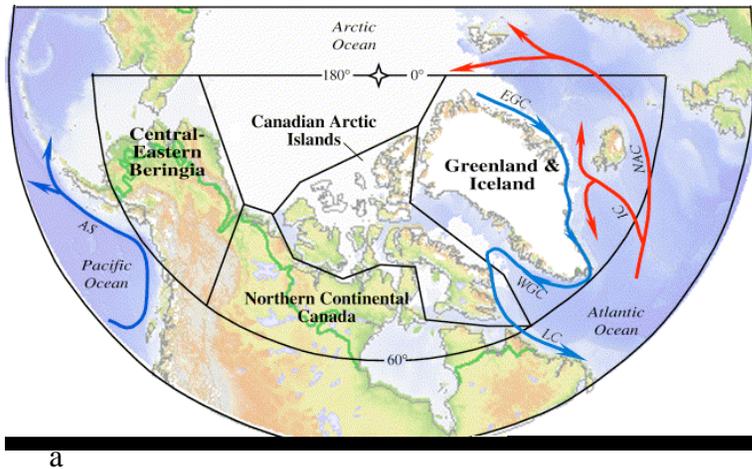
2400 **Figure 4.30** Winter sea-ice limit during MIS 5e and at present. Fossiliferous
 2401 paleoshorelines and marine sediments were used by Brigham-Grette and Hopkins (1995)
 2402 to evaluate the seasonality of coastal sea ice on both sides of the Bering Strait during the
 2403 Last Last Interglaciatiion. Winter sea limit is estimated to have been north of the
 2404 narrowest section of the strait, 800 km north of modern limits. Pollen data derived from
 2405 Last Interglacial lake sediments suggest that **tundra** was nearly eliminated from the
 2406 Russian coast at this time (Lozhkin and Anderson, 1995). In Chukotka during the warm
 2407 interglaciatiion, additional open water favored some taxa tolerant of deeper winter snows.
 2408 (Map of William Manley, <http://instaar.colorado.edu/QGISL/>).
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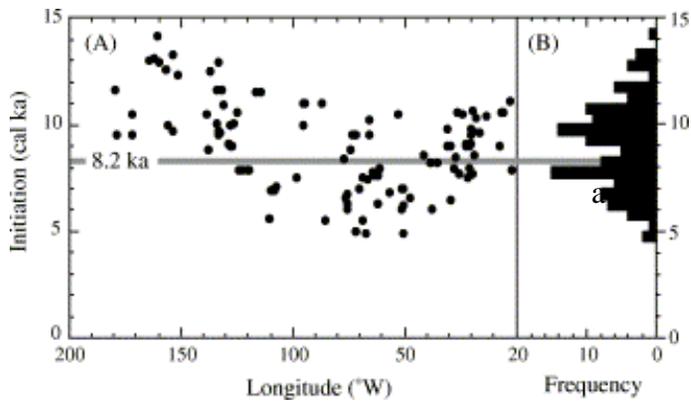


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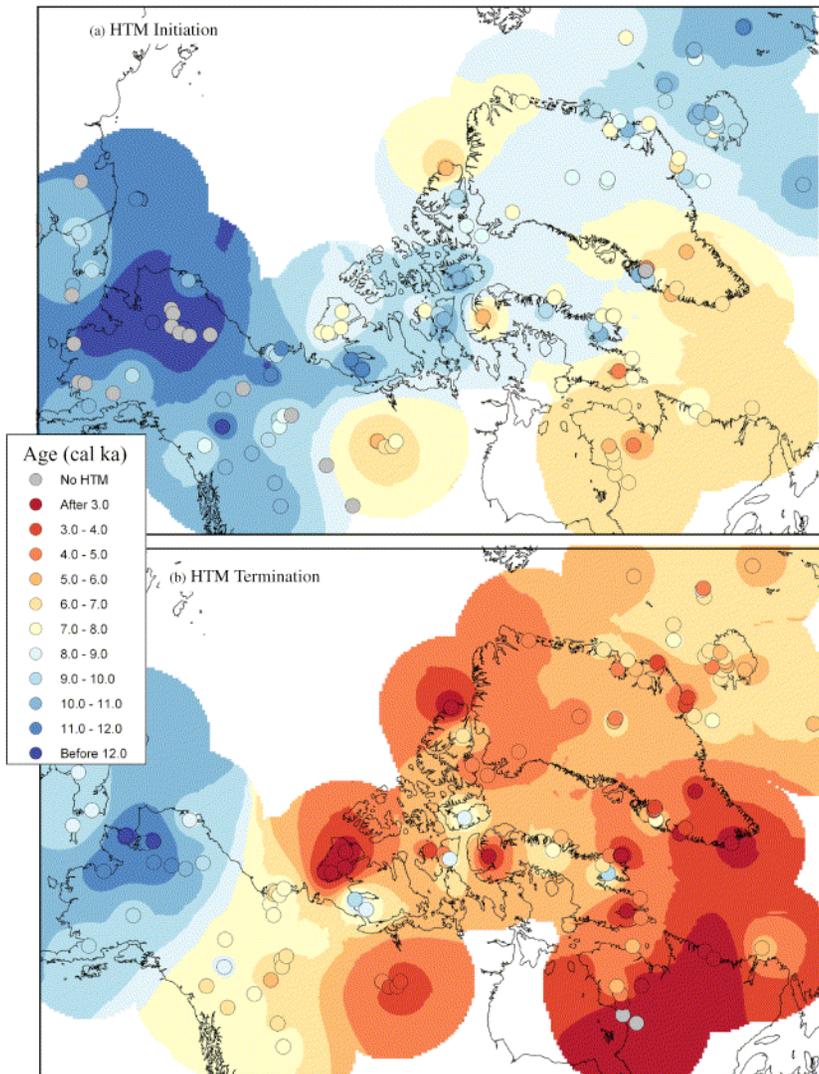
2411 **Figure 4.31** The Arctic Holocene Thermal Maximum. Items compared, top to bottom:
 2412 seasonal insolation patterns at 70° N. (Berger & Loutre, 1991), and reconstructed
 2413 Greenland air temperature from the GISP2 drilling project (Alley 2000); age distribution
 2414 of radiocarbon-dated fossil remains of various tree genera from north of present treeline
 2415 (MacDonald et al., 2007),); and the frequency of Western Arctic sites that experienced
 2416 Holocene Thermal Maximum conditions. (Kaufman et al. 2004).



a



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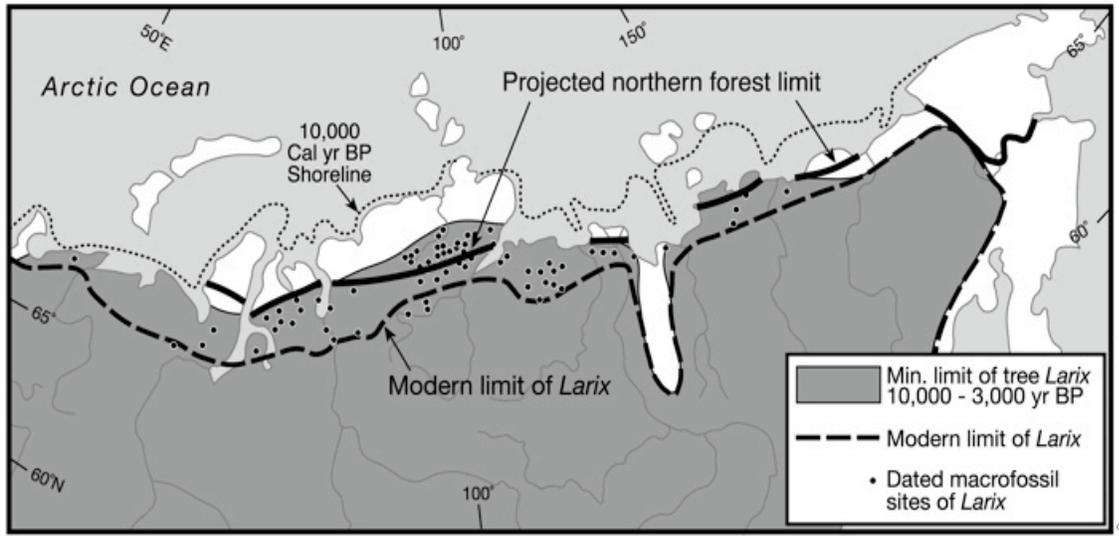


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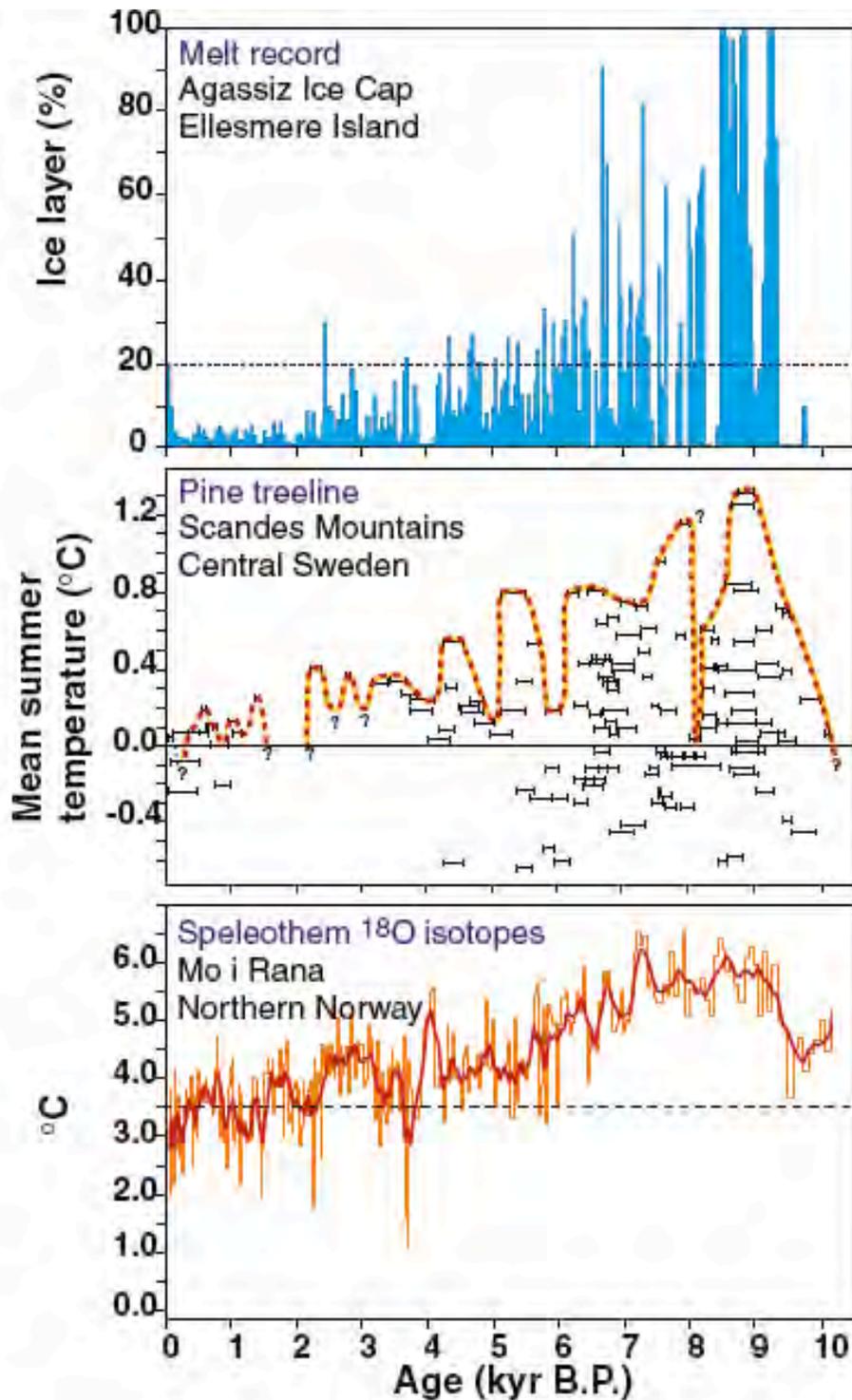
2418 **Figure. 5.32** The timing of initiation and termination of the Holocene Thermal Maximum in the western Arctic (Kaufman et al.,
2419 2004). a) Regions reviewed in Kaufman et al., 2004. b) Initiation of the Holocene Thermal Maximum in the western Arctic.
2420 Longitudinal distribution (left) and frequency distribution (right). c) Spatial-temporal pattern of the Holocene Thermal Maximum in
2421 the western Arctic. Upper panel, initiation; lower panel, termination. Dot colors bracket ages of the Holocene Thermal Maximum;
2422 ages contoured using the same color scheme. Gray dots, equivocal evidence for the Holocene Thermal Maximum.
2423

2424



2425

2426 **Figure 4.33** The northward extension of larch (*Larix*) treeline across the Eurasian Arctic.
 2427 Treeline today compared with treeline during the Holocene Thermal Maximum and with
 2428 anticipated northern forest limits (Arctic Climate Impact Assessment, 2005) due to climate
 2429 warming (MacDonald et al., 2007).



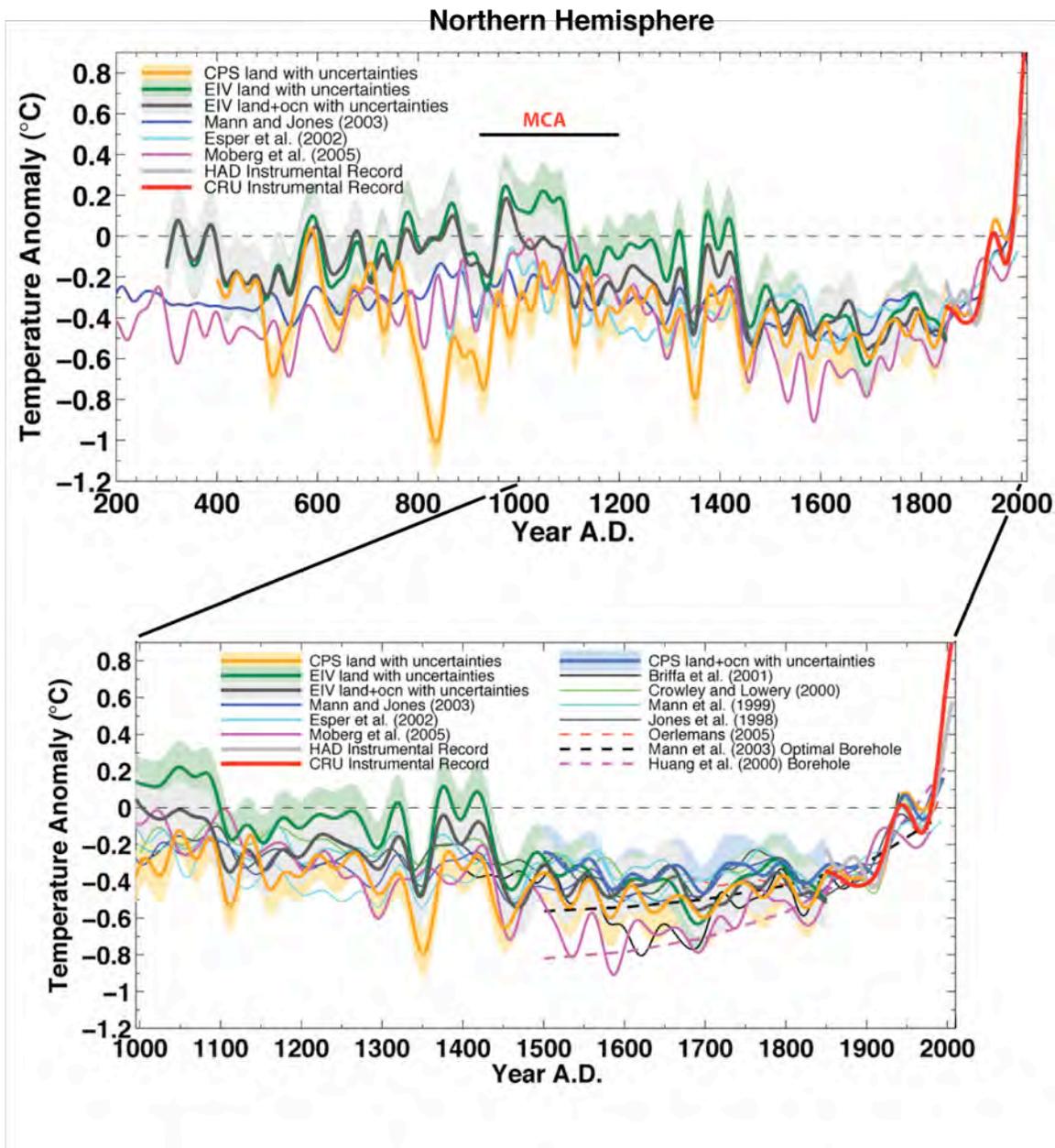
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2431 **Figure 4.34** Arctic temperature reconstructions. Upper panel: Holocene summer melting on the
 2432 Agassiz Ice Cap, northern Ellesmere Island, Canada. “Melt” indicates the fraction of each core
 2433 section that contains evidence of melting (from Koerner and Fisher, 1990). Middle panel:

2434 Estimated summer temperature anomalies in central Sweden. Black bars, elevation of ^{14}C - dated
2435 sub-fossil pine wood samples (*Pinus sylvestris* L.) in the Scandes Mountains, central Sweden,
2436 relative to temperatures at the modern pine limit in the region. Dashed line, upper limit of pine
2437 growth is indicated by the dashed line. Changes in temperature estimated by assuming a lapse
2438 rate of $6\text{ }^{\circ}\text{C km}^{-1}$ (from Dahl and Nesje, 1996, ; based on samples collected by L. Kullman and
2439 by G. and J. Lundqvist). Lower panel: Paleotemperature reconstruction from oxygen isotopes in
2440 calcite sampled along the growth axis of a stalagmite from a cave at Mo i Rana, northern
2441 Norway. Growth ceased around A.D. 1750 (from Lauritzen 1996; Lauritzen and Lundberg 1998;
2442 2002). Figure from Bradley (2000).

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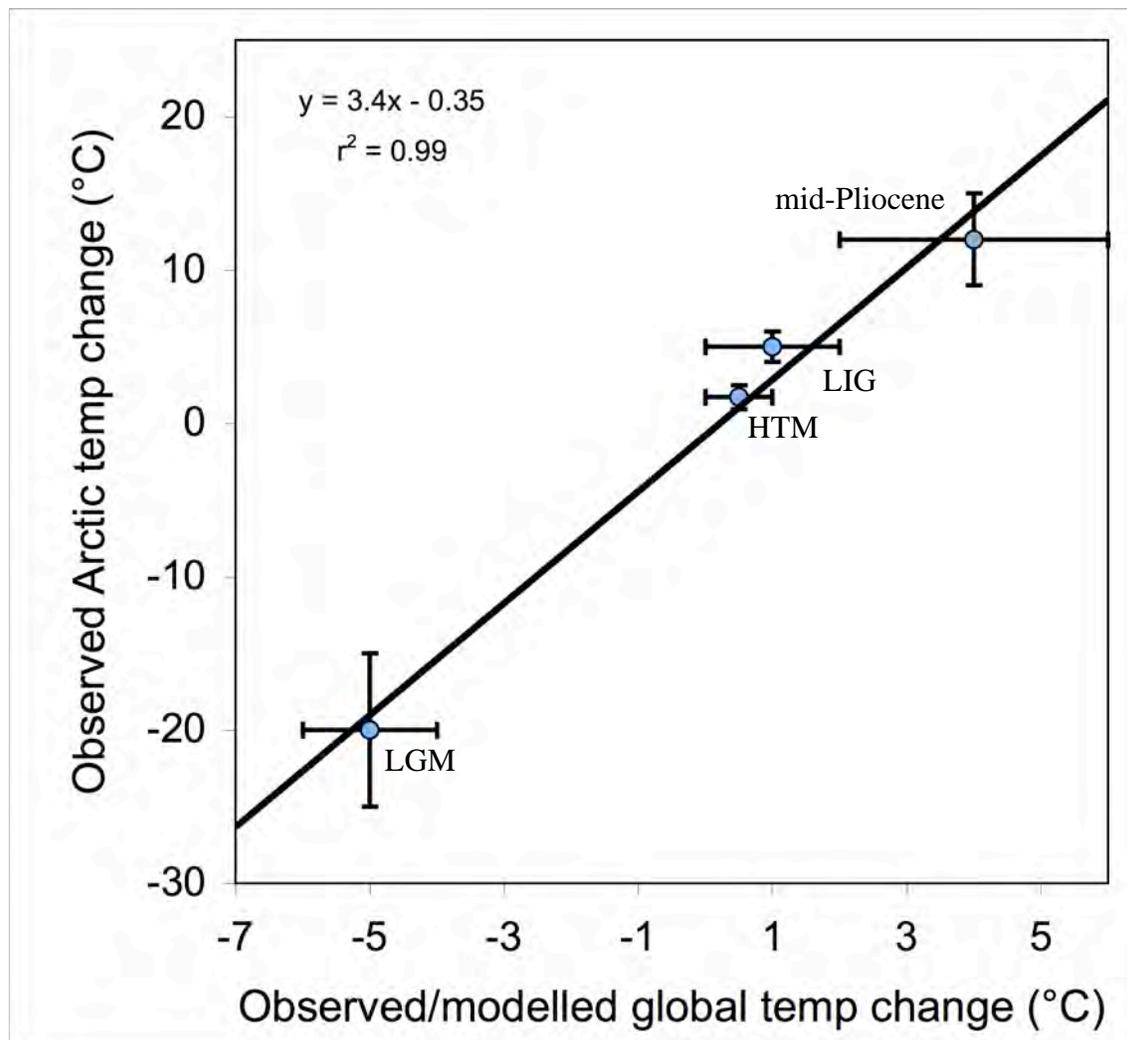
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Figure 4.35. Updated composite proxy-data reconstruction of Northern Hemisphere temperatures for most of the last 2000 years, compared with other published reconstructions. Estimated confidence limits, 95%. All series have been smoothed with a 40-year lowpass filter. The Medieval Climate Anomaly (MCA), about 950–1200 AD. The array of reconstructions demonstrate that the warming documented by instrumental data during the past few decades exceeds that of any warm interval of the past 2000 years, including that estimated for the MCA.

2452

2453 (Figure from Mann et al. (in press). CPS, composite plus scale methodology; CRU, East Anglia
2454 Climate Research unit, a source of instrumental data; EIV, error-in-variables); HAD, Hadley
2455 Climate Center.



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Figure 4.36 Paleoclimate data quantify the magnitude of Arctic amplification. Shown

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are paleoclimate estimates of Arctic summer temperature anomalies relative to recent, and the

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appropriate Northern Hemisphere or global summer temperature anomalies, together with their

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uncertainties, for the following: the last glacial maximum (LGM; about 20 ka), Holocene thermal

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maximum (HTM; about 8 ka), last interglaciation (LIG; 130–125 ka ago) and middle Pliocene

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(about 3.5–3.0 Ma). The trend line suggests that summer temperature changes are amplified 3 to

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4 times in the Arctic. Explanation of data sources follows, for the different times for each time

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considered, beginning with the most recent.

2465 **Holocene Thermal Maximum (HTM):** Arctic $\Delta T = 1.7 \pm 0.8^{\circ}\text{C}$; Northern Hemisphere
2466 $\Delta T = 0.5 \pm 0.3^{\circ}\text{C}$; Global $\Delta T = 0^{\circ} \pm 0.5^{\circ}\text{C}$.

2467 A recent summary of summer temperature anomalies in the western Arctic (Kaufman et
2468 al., 2004) built on earlier summaries (Kerwin et al., 1999; CAPE Project Members, 2001) and is
2469 consistent with more-recent reconstructions (Kaplan and Wolfe, 2006; Flowers et al., 2007).
2470 Although the Kaufman et al. (2004) summary considered only the western half of the Arctic, the
2471 earlier summaries by Kerwin et al., (1999) and CAPE Project Members (2001) indicated that
2472 similar anomalies characterized the eastern Arctic, and all syntheses report the largest anomalies
2473 in the North Atlantic sector. Although few data are available for the central Arctic Ocean, the
2474 circumpolar dataset provides an adequate reflection of air temperatures over the Arctic Ocean as
2475 well.

2476 Climate models suggest that the average planetary anomaly was concentrated over the
2477 Northern Hemisphere. Braconnot et al. (2007) summarized the simulations from 10 different
2478 climate model contributions to the PMIP2 project that compared simulated summer temperatures
2479 at 6 ka with recent temperatures. The global average summer temperature anomaly at 6 ka was
2480 $0^{\circ} \pm 0.5^{\circ}\text{C}$, whereas the Northern Hemisphere anomaly was $0.5^{\circ} \pm 0.3^{\circ}\text{C}$. These patterns are
2481 similar to patterns in model results described by Hewitt and Mitchell (1998) and Kitoh and by
2482 Murakami (2002) for 6 ka, and a global simulation for 9 ka (Renssen et al., 2006). All simulate
2483 little difference in summer temperature outside the Arctic when those temperatures are compared
2484 to with pre-industrial temperatures.

2485 **Last Glacial Maximum (LGM):** Arctic $\Delta T = 20^{\circ} \pm 5^{\circ}\text{C}$; global and Northern
2486 Hemisphere $\Delta T = -5^{\circ} \pm 1^{\circ}\text{C}$

2487 Quantitative estimates of temperature reductions during the peak of the Last Glacial
2488 Maximum are less widespread in for the Arctic than are estimates of temperatures during warm
2489 times. Ice-core borehole temperatures, which offer the most compelling evidence (Cuffey et al.,
2490 1995; Dahl-Jensen et al., 1998), are supported by evidence from biological proxies in the North
2491 Pacific sector (Elias et al., 1996a), where no ice cores are available that extend back to the Last
2492 Glacial Maximum. Because of the limited datasets for temperature reduction in the Arctic during
2493 the Last Glacial Maximum, a large uncertainty is specified. The global-average temperature
2494 decrease during peak glaciations, based on paleoclimate proxy data, was 5° – 6°C , and little
2495 difference existed between the Northern and Southern Hemispheres (Farrera et al., 1999;
2496 Braconnot et al., 2007; Braconnot et al., 2007). A similar temperature anomaly is derived from
2497 climate-model simulations (Otto-Bliesner et al., 2007).

2498 **Last Interglaciation (LIG):** Arctic $\Delta T = 5^{\circ} \pm 1^{\circ}\text{C}$; global and Northern Hemisphere ΔT
2499 $= 1^{\circ} \pm 1^{\circ}\text{C}$)

2500 A recent summary of all available quantitative reconstructions of summer-temperature
2501 anomalies for in the Arctic during peak Last Interglaciation warmth shows a spatial pattern
2502 similar to that shown by Holocene Thermal Maximum reconstructions. The largest anomalies are
2503 in the North Atlantic sector and the smallest anomalies are in the North Pacific sector, but those
2504 small anomalies are substantially larger ($5^{\circ} \pm 1^{\circ}\text{C}$) than they were during the Holocene Thermal
2505 Maximum (CAPE Last Interglacial Project Members, 2006). A similar pattern of Last
2506 Interglaciation summer-temperature anomalies is apparent in climate model simulations (Otto-
2507 Bliesner et al., 2006). Global and Northern Hemisphere summer-temperature anomalies are
2508 derived from summaries in CLIMAP Project Members (1984), Crowley (1990), Montoya et al.
2509 (2000), and Bauch and Erlenkeuser (2003).

2510 **Middle Pliocene:** Arctic $\Delta T = 12^{\circ} \pm 3^{\circ}\text{C}$; global $\Delta T = 4^{\circ} \pm 2^{\circ}\text{C}$)

2511 Widespread forests throughout the Arctic in the middle Pliocene offer a glimpse of a
2512 notably warm time in the Arctic, which had essentially modern continental configurations and
2513 connections between the Arctic Ocean and the global ocean. Reconstructed Arctic temperature
2514 anomalies are available from several sites that show much warmth and no summer sea ice in the
2515 Arctic Ocean basin. These sites include the *Canadian Arctic Archipelago* (Dowsett et al., 1994;
2516 Elias and Matthews, 2002; Ballantyne et al., 2006), Iceland (Bucharadt and Símonarson, 2003),
2517 and the North Pacific (Heusser and Morley, 1996). A global summary of mid-Pliocene biomes
2518 by Salzmann et al. (2008) concluded that Arctic mean-annual-temperature anomalies were in
2519 excess of 10°C ; some sites indicate temperature anomalies of as much as 15°C . Estimates of
2520 global sea-surface temperature anomalies are from Dowsett (2007).

2521 Global reconstructions of mid-Pliocene temperature anomalies from proxy data and
2522 general circulation models show modest warming (average, $4^{\circ} \pm 1^{\circ}\text{C}$) across low to middle
2523 latitudes (Dowsett et al., 1999; Raymo et al., 1996; Sloan et al., 1996, Budyko et al., 1985;
2524 Haywood and Valdes, 2004; Jiang et al., 2005; Haywood and Valdes, 2006; Salzmann et al.,
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2527 **Chapter 4 References**

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CCSP Synthesis and Assessment Product 1.2

**Past Climate Variability and Change in the Arctic and at High
Latitudes**

Chapter 5 — Past Rates of Climate Change in the Arctic

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15 **ABSTRACT**

16
17 Climate has changed on numerous time scales for various reasons and has always
18 done so. In general, longer lived changes are somewhat larger but much slower to occur
19 than shorter lived changes. Processes linked with continental drift have affected
20 atmospheric and oceanic currents and the composition of the atmosphere over tens of
21 millions of years; in the Arctic, a global cooling trend has altered conditions near sea
22 level from ice-free year-round to icy. Within the icy times, variations in Arctic sunshine
23 over tens of thousands of years in response to features of Earth's orbit caused regular
24 cycles of warming and cooling that were roughly half the size of the continental-drift-
25 linked changes. This "glacial-interglacial" cycling has been amplified by colder times
26 bringing reduced greenhouse gases and greater reflection of sunlight especially from
27 more-extended ice. This glacial-interglacial cycling has been punctuated by sharp-onset,
28 sharp-end (in some instances less than 10 years) millennial oscillations, which near the
29 *North Atlantic* were roughly half as large as the glacial-interglacial cycles but which were
30 much smaller Arctic-wide and beyond. The current warm period of the glacial-
31 interglacial cycle has been influenced by cooling events from single volcanic eruptions,
32 slower but longer lasting changes from random fluctuations in frequency of volcanic
33 eruptions and from weak solar variability, and perhaps by other classes of events. It is
34 highly probable that recent anthropogenically forced changes are larger in terms of
35 overall size and rate of change than natural climate change over the past 1000 years.
36 However, substantially different climatic conditions appear to have permitted even larger
37 changes than in the more distant past.

38

39 **5.1. Introduction**

40

41 Climate change, as opposed to change in the weather (the distinction is defined
42 below), occurs on all time scales, ranging from several years to billions of years. The rate
43 of change, typically measured in degrees Celsius ($^{\circ}\text{C}$) per unit of time (years, decades,
44 centuries, or millennia, for example, if climate is being considered) is a key determinant
45 of the effect of the change on living things such as plants and animals; collections and
46 webs of living things, such as ecosystems; and humans and human societies. Consider,
47 for example, a 10°C change in annual average temperature, roughly the equivalent to
48 going from Birmingham, Alabama, to Bangor, Maine. If such a change took place during
49 thousands of years, as happens when the Earth's orbit varies and portions of the planet
50 receive more or less energy from the Sun, ecosystems and aspects of the environment,
51 such as sea level, would change, but the slow change would allow time for human
52 societies to adapt. A 10°C change that appears in 50 years or less, however, is
53 fundamentally different (National Research Council, 2002). Ecosystems would be able to
54 complete only very limited adaptation because trees, for example, typically are unable to
55 spread that fast by seed dispersal. Human adaptation would be limited as well, and
56 widespread challenges would face agriculture, industry, and public utilities in response to
57 changing patterns of precipitation, severe weather, and other events. Such abrupt climate
58 changes on regional scales are well documented in the paleoclimate record (National
59 Research Council, 2002; Alley et al., 2003). This rate of change is about 100 times as fast
60 as the warming of the last century.

61 Not all parts of the climate system can change this rapidly. Global temperature
62 change is slowed by the heat capacity of the oceans, for example (e.g., Hegerl et al.,
63 2007). Local changes, particularly in continental interiors or where sea-ice changes
64 modify the interaction between ocean and atmosphere, can be faster and larger. Changes
65 in atmospheric circulation are potentially faster than changes in ocean circulation, owing
66 to the difference in mass and thus inertia of these two circulating systems. This
67 difference, in turn, influences important climate properties that depend on oceanic or
68 atmospheric circulation. The concentration of carbon dioxide in the atmosphere, for
69 example, depends in part on ocean circulation, and thus it does not naturally vary rapidly
70 (e.g., Monnin et al., 2001). Methane concentration in the atmosphere, on the other hand,
71 has increased by more than 50% within decades (Severinghaus et al., 1998), as this gas is
72 more dependent on the distribution of wetlands, which in turn depend on atmospheric
73 circulation to bring rains.

74 In the following pages we examine past rates of environmental change observed
75 in Arctic paleoclimatic records. We begin with some basic definitions and clarification of
76 concepts. Climate change can be evaluated absolutely, using numerical values such as
77 those for temperature or rainfall, or they can be evaluated relative to the effects they
78 produce (National Research Council, 2002). Different groups often have differing views
79 on what constitutes “important.” Hence, we begin with a common vocabulary.

80

81 **5.2. Variability Versus Change; Definitions and Clarification of Usage**

82

83 Climate scientists and weather forecasters are familiar with opposite sides of very

84 common questions. Does this hot day (or month, or year) prove that global warming is
85 occurring? or does this cold day (or month, or year) prove that global warming is not
86 occurring? Does global warming mean that tomorrow (or next month, or next year) will
87 be hot? or does the latest argument against global warming mean that tomorrow (or next
88 month, or next year) will be cold? Has the climate changed? When will we know that the
89 climate has changed? To people accustomed to seven-day weather forecasts, in which the
90 forecast beyond the first few days is not very accurate, the answers are often not very
91 satisfying. The next sections briefly discuss some of the issues involved.

92

93 **5.2.1 Weather Versus Climate**

94 The globally averaged temperature difference between an ice age and an
95 interglacial is about 5°–6°C (Cuffey and Brook, 2000; Jansen et al., 2007). The 12-hour
96 temperature change between peak daytime and minimum nighttime temperatures at a
97 given place, or the 24-hour change, or the seasonal change, may be much larger than that
98 glacial-interglacial change (e.g., Trenberth et al., 2007). In assessing the “importance” of
99 a climate change, it is generally accepted that a single change has greater effect on
100 ecosystems and economies, and thus is more “important,” if that change is less expected,
101 arrives more rapidly, and stays longer (National Research Council, 2002). In addition, a
102 step change that then persists for millennia might become less important than similar-
103 sized changes that occurred repeatedly in opposite directions at random times.

104 Historically, climate has been taken as a running average of weather conditions at
105 a place or throughout a region. The average is taken for a long enough time interval to
106 largely remove fluctuations caused by “weather.” Thirty years is often used for

107 averaging.

108 Weather, to most observers, implies day-to-day occurrences, which are
109 predictable for only about two weeks. Looking further ahead than that is limited by the
110 chaotic nature of the atmospheric system; that is, by the sensitivity of the system to initial
111 conditions (e.g., Lorenz, 1963; Le Treut et al., 2007), as described next. All thermometers
112 have uncertainties, even if only a fraction of a degree, and all measurements by
113 thermometers are taken at particular places and not in between. All temperature estimates
114 at and between thermometers are thus subject to some uncertainty. A weather-forecasting
115 model can correctly be started from a range of possible starting conditions that differ by
116 an amount equal to or less than the measurement uncertainties. For short times of hours
117 or even days, the different starting conditions provided by the modern observational
118 system typically have little effect on the prediction of future weather; vary the starting
119 data within the known uncertainties, and the output of the model will not be affected
120 much out in time for a day or two. However, if the model is run for times beyond a few
121 days to perhaps a couple of weeks, the different starting conditions produce very different
122 weather weather forecasts. The forecasts are “bounded”—they do not produce blizzards
123 in the tropics or tropical temperatures in the Arctic wintertime, for example; and they do
124 produce “forecasts” recognizably possible for all regions covered—but the forecasts
125 differ greatly in the details of where and when convective thunderstorms or frontal
126 systems occur and how much precipitation will be produced during what time period. To
127 many observers, “weather” refers to those features of Earth’s coupled atmosphere-ocean
128 system that are theoretically predictable to two weeks or so but not beyond.

129 For many climatologists, however, somewhat longer term events are often lumped

130 under the general heading of “weather.” The year-to-year temperature variability in
131 global average temperature associated with the El Nino–La Nina phenomenon may be a
132 few tenths of a degree Celsius (e.g., Trenberth et al., 2002), and similar or slightly larger
133 variability can be caused by volcanic eruptions (e.g., Yang and Schlesinger, 2002). The
134 influences of such phenomena are short lived compared with a 30-year average, but they
135 are long lived compared with the two-week interval described just above. Volcanic
136 eruptions may someday prove to be predictable beyond two weeks (U.S. Geological
137 Survey scientists successfully predicted one of the Mt. St. Helens eruptions more than
138 two weeks in advance (Tilling et al., 1990)), and the effects following an eruption
139 certainly are predictable for longer times. El Ninos are predictable beyond two weeks.
140 However, if one is interested in the climatic conditions at a particular place, a proper
141 estimate would include the average behavior of volcanoes and El Ninos, but it would not
142 be influenced by the accident that the starting and ending points of the 30-year averaging
143 period happened to sample a higher or lower number of these events than would be found
144 in an average 30-year period.

145 The issues of the length of time considered and the starting time chosen are
146 illustrated in Figure 5.1. Annual temperatures for the continental United States since
147 1960 are shown. The variability shown is linked to El Nino, volcanic eruptions, and
148 other factors. If we use a 4-year window to illustrate the issue, it is apparent that for any
149 given 4-year period, the temperature can appear to warm, to cool, or to stay flat. Also
150 shown are the 3-, 7-, 11-, 15-, and 19-year linear trends centered on 1990. Depending on
151 the number of years chosen, the trend can be strongly warming to strongly cooling. The
152 warm El Nino years of 1987 and 1988, and the cooling trend in 1992 and 1993 caused by

153 the eruption of Mt. Pinatubo, affect our perception of the time trend, or climate. Notice
154 that of the 45 four-year regression lines possible between 1960 and 2007 (17 are shown
155 in Figure 5.1) only one meets the usual statistical criterion of having a slope different
156 from zero with at least 95% confidence. Climate is often considered as a 30-year average,
157 and all 30-year regression lines that can be placed on Figure 5.1 (years 1960–1989,
158 1961–1990, ..., 1978–2007) have a positive slope (warming) with greater than 95%
159 confidence. Thus, all of the short-time-interval lines shown on Figure 5.1 are part of a
160 warming climate over a 30 year interval but clearly reflect weather as well.

161

162

FIGURE 5.1 NEAR HERE

163

164 **5.2.2 Style of Change**

165 In some situations a 30-year climatology appears inappropriate. As recorded in
166 *Greenland* ice cores, local temperatures fell many degrees Celsius within a few decades
167 about 13 ka during the Younger Dryas time, a larger change than the interannual
168 variability. The temperature remained low for more than a millennium, and then it
169 jumped up about 10°C in about a decade, and it has remained substantially elevated since
170 (Clow, 1997; Severinghaus et al., 1998; Cuffey and Alley, 2000). It is difficult to imagine
171 any observer choosing the temperature average of a 30-year period that included that
172 10°C jump and then arguing that this average was a useful representation of the climate.
173 The jump is perhaps the best-known and most-representative example of abrupt climate
174 change (National Research Council, 2002; Alley et al., 2003), and the change is ascribed
175 to what is now known colloquially as a “tipping point.” Tipping points occur when a slow

176 process reaches a threshold that “tips” the climate system into a new mode of operation
177 (e.g., Alley, 2007). Analogy to a canoe tipping over suddenly in response to the slowly
178 increasing lean of a paddler is appropriate.

179 Tipping behavior is readily described sufficiently long after the event, although it
180 is much less evident that a climate scientist could have predicted the event just before it
181 occurred, or that a scientist experiencing the event could have stated with confidence that
182 conditions had tipped. Research on this topic is advancing, and quantitative statements
183 can be made about detection of events, but timely detection may remain difficult (Keller
184 and McInerney, 2007).

185

186 **5.2.3 How to Talk About Rates of Change**

187 The term “abrupt climate change” has been defined with some authority in the
188 report of the National Research Council (2002). However, many additional terms such as
189 “tipping point” remain colloquial, although arguably they can be related to well-accepted
190 definitions. For the purposes of this report, preference will be given to common English
191 words whenever possible, with explanations of what is meant, without relying on new
192 definitions of words or on poorly defined words.

193

194 **5.2.4 Spatial Characteristics of Change**

195 The Younger Dryas cold event, introduced above in section 5.2.2, led to
196 prominent cooling around the North Atlantic, weaker cooling around much of the
197 Northern Hemisphere, and weak warming in the far south; uncertainty remains about
198 changes in many places, and the globally averaged effect probably was minor (reviewed

199 by Alley, 2007). The most commonly cited records of the Younger Dryas are those that
200 show large signals. Informal discussions by many investigators with people outside our
201 field indicate that the strong local signals are at least occasionally misinterpreted as
202 global signals. It is essential to recognize the geographic as well as time limitations of
203 climate events and their paleoclimatic records.

204 Further complicating this discussion is the possibility that an event may start in
205 one region and then require some climatically notable time interval to propagate to other
206 regions. Limited data supported by our basic understanding of how climate processes
207 work suggest that the Younger Dryas cold event began and ended in the north, that the
208 response was delayed by decades or longer in the far south, and that it was transmitted
209 there through the ocean (Steig and Alley, 2003; Stocker and Johnsen, 2003). Cross-dating
210 climate records around the world to the precision and accuracy needed to confirm that
211 relative timing is a daunting task. The mere act of relating records from different areas
212 then becomes difficult; an understanding of the processes involved is almost certainly
213 required to support the interpretation.

214

215 **5.3 Issues Concerning Reconstruction of Rates of Change from Paleoclimatic**

216 **Indicators**

217

218 In an ideal world, a chapter on rates of change would not be needed. If climate
219 records were available from all places and all times, with accurate and precise dates, then
220 rate of change would be immediately evident from inspection of those records. However,
221 as suggested in the previous section, such a simple interpretation is seldom possible.

222 Consider a hypothetical example. A group of tree trunks, bulldozed by a glacier
223 and incorporated into glacial sediments, is now exposed at a coastal site. Many trees were
224 killed at approximately the same time. The patterns of thick and thin rings, dense and
225 less-dense wood, and isotopic variation of the wood layers contain climatic information
226 (e.g., White et al., 1994). The climatic fluctuations that controlled the tree-ring
227 characteristics can be dated precisely relative to each other—for example, this isotopic
228 event occurred 7 years after that one. However, the precise age of the start and end of that
229 climate record may not be available.

230 If much additional wood of various ages is available nearby, and if a large effort
231 is expended, it may be possible to use the patterns of thick and thin rings and other
232 features to match overlapping trees of different ages and thus to tie the record to still-
233 living trees and provide a continuous record absolutely dated to the nearest year. If this is
234 not possible, but the trees grew within the time span for which radiocarbon can be used, it
235 may be possible to learn the age of the record to within a few decades or centuries, but no
236 better. If the record is older than can be dated using radiocarbon, and other dating
237 techniques are not available, even larger errors may be attached to estimates of the time
238 interval occupied by the record.

239 Uncertainties are always associated with reconstructed climate changes (were the
240 thick and thin rings controlled primarily by temperature changes or by moisture changes?
241 for example), but once temperatures or rainfall amounts are estimated for each year,
242 calculation of the rate of change from year to year will involve no additional error
243 because each year is accurately identified. However, learning the spatial pattern of
244 climate change may not be possible, because it will not be possible to relate the events

245 recorded by the tree rings to events in records from other places with their own dating
246 difficulties.

247 Sometimes, however, it is possible to learn the spatial pattern of the climate
248 change and to learn how the rate of change at one place compared with the rate of change
249 elsewhere. Volcanic eruptions are discrete events, and major eruptions typically are short
250 lived (hours to days), so that the layer produced by a single eruption in various lake and
251 marine sediments and glaciers is almost exactly the same age in all. If the same pattern of
252 volcanic fallout is found in many cores of lake or ocean sediment or ice, then it is
253 possible to compare the rate of change at those different sites. The uncertainties in
254 knowing the time interval between two volcanic layers may be small or large, but
255 whatever the time interval is, it will be the same in all cores containing those two layers.

256 These and additional considerations motivate the additional discussion of rates of
257 climate change provided here.

258

259 **5.3.1 Measurement of Rates of Change in Marine Records**

260 In Arctic and subarctic marine sediments, radiocarbon dating remains the standard
261 technique for obtaining well-dated records during the last 40,000 to 50,000 years.

262 Radiocarbon dating is relatively inexpensive, procedures are well developed, and
263 materials that can be dated usually are more common than is true for other techniques.

264 Radiocarbon dating is now conventionally calibrated against other techniques such as
265 tree-ring or uranium-series-disequilibrium techniques, which are more accurate but less

266 widely applicable. The calibration continues to improve (e.g., Stuiver et al., 1998;

267 Hugen et al., 2000; 2004). Instruments also improve. In particular, the accelerator mass

268 spectrometer (AMS) radiocarbon analysis allows dating of milligram quantities of
269 foraminifers, mollusks and other biogenic materials. A single seed or tiny shell can be
270 dated, and this analysis of smaller samples than was possible with previous techniques in
271 turn allows finer time resolution in a single core. Taken together, these advances have
272 greatly improved our ability to generate well-constrained age models for high-latitude
273 marine sediment cores. In addition, coring systems such as the Calypso corer have been
274 deployed in the Arctic to recover much longer (10–60 m) sediment cores. This corer
275 allows sampling of relatively long time intervals even in sites where sediment has
276 accumulated rapidly. Sites with faster sediment accumulation allow easier “reading” of
277 the history of short-lived events, so higher resolution paleoenvironmental records can
278 now be generated from high-latitude continental-margin and deep-sea sites. Where dates
279 can be obtained from many levels in a core, it is feasible to evaluate centennial and even
280 multidecadal variability from these archives (e.g., Ellison et al., 2006; Stoner et al.,
281 2007).

282 However, in the Arctic, particularly along eastern margins of oceans where cold
283 polar and Arctic water masses influence the environment, little carbonate that can be
284 dated by radiocarbon techniques is produced, and much of the carbonate produced
285 commonly dissolves after the producing organism dies. In addition, the carbon used in
286 growing the shells is commonly “old” (that is, the carbon entered the ocean some decades
287 or centuries before being used by the creature in growing its shell; the date obtained is
288 approximately the time when the carbon entered the ocean, and it must be corrected for
289 the time interval between the carbon entering the ocean and being incorporated into the
290 shell). This marine reservoir correction is often more uncertain in the Arctic than

291 elsewhere (e.g., Björck et al., 2003) in part because of the strong but time-varying effect
292 of sea ice, which blocks exchange between atmosphere and ocean. This uncertainty
293 continues to hamper development of highly constrained chronologies. Some important
294 regions, such as near the eastern side of *Baffin Island*, have received little study since
295 radiocarbon dating by accelerator mass spectrometry was introduced, so the chronology
296 and Holocene climate evolution of this important margin are still poorly known.

297 As researchers attempt to develop centennial to multidecadal climate records from
298 marine cores and to correlate between records at sub-millennial resolution, the limits of
299 the dating method are often reached, hampering our ability to determine whether high-
300 frequency variability is synchronous or asynchronous between sites. Resource limitations
301 generally restrict radiocarbon dating to samples no closer together than about 500-year
302 intervals. In marine areas with rapid biological production where sufficient biogenic
303 carbonate is available to obtain highly accurate dates, the instrumental error on individual
304 radiocarbon dates may be as small as ± 20 years. But, in many Arctic archives, it is not
305 possible to obtain enough carbonate material to achieve that accuracy, and many dates are
306 obtained with standard deviations (one sigma) errors of ± 80 years to a couple of
307 centuries.

308 A new approach that uses a combination of paleomagnetic secular variation
309 (PSV) records and radiocarbon dating has improved relative correlation and chronology
310 well above the accuracy that each of these methods can achieve on its own (Stoner et al.,
311 2007). Earth's magnetic field varies in strength and direction with time, and the field
312 affects the magnetization of sediments deposited. Gross features in the field (reversals of
313 direction) have been used for decades in the interpretation of geologic history, but much

337 Where radiocarbon dates can be obtained at the same depth in a core as tephra layers,
338 deviations of calibrated ages from the known age of a tephra can be used to determine the
339 marine-reservoir age at that location and time (Eiriksson et al., 2004; Kristjansdottir,
340 2005, Jennings et al., 2006). An example is the Vedde Ash, a widely dispersed explosive
341 Icelandic tephra that provides a 12,000-year-old constant-time horizon (an isochron)
342 during the Younger Dryas cold period, when marine reservoir ages are poorly constrained
343 and very different from today's. On the North Iceland shelf, changes in the marine
344 reservoir age are associated with shifts in the Arctic and polar fronts, which have
345 important climatic implications (Eiriksson et al., 2004; Kristjansdottir, 2005). As many as
346 22 tephra layers have been identified in Holocene marine cores off north Iceland
347 (Kristjansdottir et al., 2007). Eiriksson et al. (2004) recovered 10 known-age tephra
348 layers of Holocene age. Some of the Icelandic tephras have wide geographic distributions
349 either because they were ejected by very large explosive eruptions or because tephra
350 particles were transported on sea ice whereas, nearer to their source, the tephra layers are
351 more numerous and locally distributed. Transport on sea ice may spread the deposition
352 time of a layer to months or years, but the layer will still remain a very short-interval time
353 marker.

354

355 **5.3.2 Measurement of Rates of Change in Terrestrial Records**

356 Terrestrial archives across the Arctic have been tapped to evaluate changes in the
357 climate system in prehistoric times, with particular emphasis on changes in summer
358 temperature, although moisture balance has been addressed in some studies. With
359 sufficient age control, environmental proxies extracted from these archives can be used to

360 evaluate rates of change. Archives that accumulate sediment in a regular and continuous
361 pattern have the highest potential for reconstructing rates of change. The most promising
362 archives are lake sediments and tree rings, both of which add material incrementally over
363 time. Long-lived trees reach only to the fringes of the Arctic, so most reconstructions rely
364 on climate proxies preserved in the sediments that accumulate in lake basins. Trees do
365 extend to relatively high latitudes in *Alaska* and portions of the *Eurasian Arctic*, where
366 they contribute high-resolution, usually annually resolved, paleoclimate records of the
367 past several centuries, but they rarely exceed 400 years duration (Overpeck et al., 1997).
368 The steady accumulation of calcium carbonate precipitates in caves may also provide a
369 continuous paleoenvironmental record (Lauritzen and Lundberg, 2004), although these
370 archives are relatively rare in the Arctic. This overview focuses on how well we can
371 reconstruct times of rapid change in terrestrial sediment archives from the Arctic,
372 focusing on changes that occurred on time scales of decades to centuries during the past
373 150,000 years or so, the late Quaternary.

374 Much of the terrestrial Arctic was covered by continental ice sheets during the last
375 glacial maximum (until about 15 ka), and large areas outside the ice sheet margins were
376 too cold for lake sediment to accumulate. Consequently, most lake records span the time
377 since deglaciation, typically the past 10,000 to 15,000 years. In a few Arctic regions,
378 longer, continuous lacustrine records more than 100,000 years long have been recovered,
379 and these rare records provide essential information about past environments and about
380 rates of change in the more distant past (e.g., (Lozhkin and Anderson, 1995; Brubaker et
381 al., 2005; Hu et al., 2006; Brigham-Grette et al., 2007). In addition to these continuous
382 records, discontinuous lake-sediment archives are found in formerly glaciated regions.

383 These sites provide continuous records spanning several millennia through past warm
384 times. In special settings, usually where the over-riding ice was very cold, slow-moving,
385 and relatively thin, lake basins have preserved past sediment accumulations intact,
386 despite subsequent over-riding by ice sheets during glacial periods (Miller et al., 1999;
387 Briner et al., 2007).

388 The rarity of terrestrial archives that span the last glaciation hampers our ability to
389 evaluate how rapid, high-magnitude changes seen in ice-core records (Dansgaard-
390 Oeschger, or D-O events) and marine sediment cores (Heinrich, or H events) are
391 manifested in the terrestrial arctic environment.

392

393 **5.3.2a Climate indicators and ages**

394 Deciphering rates of change from lake sediment, or any other geological archive,
395 requires a reliable environmental proxy and a secure geochronology.

396 Climate and environmental proxies: Most high-latitude biological proxies record
397 peak or average summer air temperatures. The most commonly employed
398 paleoenvironmental proxies are biological remains, particularly pollen grains and the
399 siliceous cell walls (frustules) of microscopic, unicellular algae called diatoms, which
400 preserve well and are very abundant in lake sediment. In a summary of the timing and
401 magnitude of peak summer warmth during the Holocene across the North American
402 Arctic, Kaufman et al. (2004) noted that most records rely on pollen and plant
403 macrofossils to infer growing-season temperature of terrestrial vegetation. Diatom
404 assemblages primarily reflect changes in water chemistry, which also carries a strong
405 environmental signal. More recently, biological proxies have expanded to include larval

406 head capsules of non-biting midges (chironomids) that are well preserved in lake
407 sediment. The distribution of the larval stages of chironomid taxa exhibit a strong
408 summer-temperature dependence in the modern environment (Walker et al., 1997), which
409 allows fossil assemblages to be interpreted in terms of past summer temperatures.

410 In addition to biological proxies that provide information about past
411 environmental conditions, a wide range of physical and geochemical tracers also provide
412 information about past environments. Biogenic silica (mostly produced by diatoms),
413 organic carbon (mostly derived from the decay of aquatic organisms), and the isotopes of
414 carbon and nitrogen in the organic carbon residues can be readily measured on small
415 volumes of sediment, allowing the generation of closely spaced data—a key requirement
416 for detecting rapid environmental change. Some lakes have sufficiently high levels of
417 calcium and carbonate ions that calcium carbonate precipitates in the sediment. The
418 isotopes of carbon and oxygen extracted from calcium carbonate deposits in lake
419 sediment offer proxies of past temperatures and precipitation, and they have been used to
420 reconstruct times of rapid climate change at high latitudes (e.g., Hu et al., 1999b).

421 Promising new developments in molecular biomarkers (Hu et al., 1999a; Sauer et
422 al., 2001; Huang et al., 2004; D'Andrea and Huang, 2005) offer the potential of a wide
423 suite of new climate proxies that might be measured at relatively high resolution as
424 instrumentation becomes increasingly automated.

425 Dating lake sediment: In addition to the extraction of paleoenvironmental proxies
426 at sufficient resolution to identify rapid environmental changes in the past, a secure
427 geochronology also must be developed for the sedimentary archive. Methods for
428 developing a secure depth-age relationship generally falls into one of three categories:

429 direct dating, identification of key stratigraphic markers dated independently at other
430 sites, and dating by correlation with an established record elsewhere. Much similarity
431 exists between the techniques applied in lakes and in marine environments, although
432 some differences do exist.

433 Direct dating: The strengths and weaknesses of various dating methods applied to
434 Arctic terrestrial archives have been reviewed recently (Abbott and Stafford, 1996;
435 Oswald et al., 2005; Wolfe et al., 2005). Radiocarbon is the primary dating method for
436 archives dating from the past 15,000 years and sometimes beyond, although conditions
437 endemic to the Arctic (and described next) commonly prevent application of the
438 technique back as far as 40,000 to 50,000 years, the limit achieved elsewhere. The
439 primary challenge to accuracy of radiocarbon dates in Arctic lakes is the low primary
440 productivity of both terrestrial and aquatic vegetation throughout most of the Arctic,
441 coupled with the low rate at which organic matter decomposes on land. These two factors
442 work together so that dissolved organic carbon incorporated into lake sediment contains a
443 considerable proportion of material that grew on land, was stored on land for long times,
444 and was then washed into the lake. The carbon in this terrestrial in-wash is much older
445 than the sediment in which it is deposited, and it produces dissolved-organic-carbon ages
446 that are anomalously old by centuries to millennia (Wolfe et al., 2005). Dissolved organic
447 carbon contains many compounds, including humic acids; these acids tend to have the
448 lowest reservoir ages among the compounds and so are most often targeted when no other
449 options are available.

450 The large and variable reservoir age of dissolved organic carbon has led most
451 researchers to avoid it for dating, and instead they concentrate on sufficiently large,

452 identifiable organic remains such as seeds, shells, leaves, or other materials, typically
453 called macrofossils. Macrofossils of things living on land, such as land plants, almost
454 always yield accurate radiocarbon ages because the carbon in the plant was fully and
455 recently exchanged (equilibrated) with the atmosphere. Similarly, aquatic plants are
456 equilibrated with the carbon in the lake water, which for most lakes is equilibrated with
457 the atmosphere. However, some lakes contain sufficient calcium carbonate, which
458 typically contains old carbon not equilibrated with the atmosphere, such that the ^{14}C
459 activity of the lake water is not in equilibrium with the atmosphere, a fundamental
460 assumption for accurate radiocarbon dating. In these settings, known as hard-water lakes,
461 macrofossils of terrestrial origin are targeted for dating. In lakes without this hard-water
462 effect, either terrestrial or aquatic macrofossils may be targeted. Although macrofossil
463 dates have been shown to be more reliable than bulk-carbon dates in Arctic lakes, in
464 many instances terrestrial macrofossils washed into lake basins are derived from stored
465 reservoirs (older rocks or sediments) in the landscape and have radiocarbon ages
466 hundreds of years older than the deposition of the enclosing lake sediments.

467 For young sediment (20th century), the best dating methods are ^{210}Pb (age range
468 of about 100–150 years) and identification of the atmospheric nuclear testing spike of the
469 early 1960s, usually either with peak abundances of ^{137}Cs , $^{239,240}\text{Pu}$ or ^{241}Am . These
470 methods usually provide high-precision age control for sediments deposited within the
471 past century.

472 Some lakes preserve annual laminations, owing to strong seasonality in either
473 biological or physical parameters. If laminations can be shown to be annual, chronologies
474 can be derived by counting the number of annual laminations, or varves (Francus et al.,

475 2002; Hughen et al., 1996; Snowball et al., 2002).

476 For late Quaternary sediments beyond the range of radiocarbon dating, dating
477 methods include optically stimulated luminescence (OSL) dating, amino acid
478 racemization (AAR) dating, cosmogenic radionuclide (CRN) dating, uranium-series
479 disequilibrium (U-series) dating and, for volcanic sediment, potassium-argon or argon-
480 argon (K-Ar or $^{40/39}\text{Ar}$) dating (e.g., Bradley, 1999; Cronin, 1999). With the exception of
481 U-series dating, none of these methods has the precision to accurately date the timing of
482 rapid changes directly. But these methods are capable of defining the time range of a
483 sediment package and, if reasonable assumptions can be made about sedimentation rates,
484 then the rate at which measured proxies changed can be derived within reasonable
485 uncertainties. U-series dating has stringent depositional-system requirements that must be
486 met to be applicable. For the terrestrial realm, calcium carbonate accumulations
487 precipitated in a regular fashion in caves (flowstones, stalagmites, stalactites) offer the
488 optimal materials. In these instances, high-precision ages can be derived for the entire
489 Late Quaternary time period.

490 Stratigraphic markers: As noted in the previous subsection, the Arctic includes
491 major centers of volcanism in the North Atlantic (*Iceland*) and the North Pacific (*Alaska*
492 and *Kamchatka*) sectors. Explosive volcanism from both regions can produce large
493 volumes of source- and time-diagnostic tephra distributed extensively across the Arctic.
494 These tephra layers provide time-synchronous marker horizons that can be used to
495 constrain the geochronology of lacustrine sediment records. The tephra layers can also
496 serve to precisely synchronize records derived from lacustrine, marine, and ice-sheet
497 archives, thereby allowing a better assessment of leads and lags in the climate system and

498 the phasing of abrupt changes identified in different archives. Most tephras have
499 diagnostic geochemical signatures that allow them to be securely identified with a source
500 and, with modest age constraints, to a given eruptive event. If that event is well dated in
501 regions near the source, such tephras then become dating tools in a technique known as
502 tephrochronology.

503 As indicated in section 5.3.1, systematic centennial to millennial changes in
504 Earth's magnetic field (paleomagnetic secular variation) (Fig. 5.2) have been used to
505 correlate between several high-latitude lacustrine sedimentary archives and between
506 marine and lacustrine records in the same region (Snowball et al., 2007; Stoner et al.,
507 2007). Lacustrine records of paleomagnetic secular variation calibrated with varved
508 sediments have been used for dating in Scandinavia (Saarinen, 1999; Ojala and Tiljander,
509 2003; Snowball and Sandgren, 2004)]. Recent work on marine sediments suggests that
510 paleomagnetic secular variation can provide a useful means of correlating marine and
511 terrestrial records.

512 “Wiggle matching”: In some instances, very high resolution down-core analytical
513 profiles from sedimentary archives with only moderate age constraints can be
514 conclusively correlated with a well-dated high-resolution record at a distant locality, such
515 as *Greenland* ice core records, with little uncertainty. Although the best examples of such
516 correlations are not from the Arctic (e.g., Hughen et al., 2004a), this method remains a
517 potential tool for providing age control for Arctic lake sediment records.

518

519 **5.3.2b Potential for reconstructing rates of environmental change in the**
520 **terrestrial Arctic**

521 A goal of paleoclimate research is to understand rapid changes on human time
522 scales of decades to centuries. The major challenges in meeting this goal for the Arctic
523 include uncertainties in the time scales of terrestrial archives and in the interpretation of
524 various environmental proxies. Although uncertainties are widespread in both aspects,
525 neither presents a fundamental impediment to the primary goal, quantifying rates of
526 change.

527 Precision versus accuracy: Many Arctic lake archives are dated with high
528 precision, but with greater uncertainty in their accuracy. One can say, for example, that a
529 particular climate change recorded in a section of core occurred within a 500-year
530 interval with little uncertainty, but the exact age of the start and end of that 500-year
531 interval are much less certain. This uncertainty is due to systematic errors in the
532 proportion of old carbon incorporated into the humic acid fraction of the dissolved
533 organic carbon used to date the lake sediment. Although this fraction, or “reservoir age,”
534 varies through the Holocene, changes in the reservoir age occur relatively slowly.

535 Figure 5.3 shows a segment of a sediment core from the eastern *Canadian Arctic*,
536 for which six humic acid dates define an age-depth relation with an uncertainty of only
537 ± 65 years, but the humic acid ages are systematically 500–600 years too old. In this
538 situation, rates of change for decades to centuries can be calculated with confidence,
539 although determining whether a rapid change at this site correlated with a rapid change
540 elsewhere is much less certain owing to the large uncertainty in the accuracy of the humic
541 acid dates.

542

543

FIGURE 5.3 NEAR HERE

544

545 Figure 5.4 similarly provides an example of rapid change in an environmental
546 proxy in an Arctic lake sediment core, for which the rate of change can be estimated with
547 certainty, but the timing of the change is less certain.

548

549

FIGURE 5.4 NEAR HERE

550

551 **5.3.3 Measurement of Rates of Change in Ice-Core Records**

552 Ice-core records have figured especially prominently in the discussion of rates of
553 change during the time interval for which such records are available. One special
554 advantage of ice cores is that they collect climate indicators from many different regions.
555 In central *Greenland*, for example, the dust trapped in ice cores has been isotopically and
556 chemically fingerprinted: it comes from central Asia (Biscaye et al., 1997), the methane
557 has widespread sources in Arctic and in low latitudes (e.g., Harder et al., 2007), and the
558 snowfall rate and temperature are primarily local indicators (see review by Alley, 2000).
559 This aspect of ice-core records allows one to learn whether climate in widespread regions
560 changed at the same time or different times and to obtain much better time resolution
561 than is available by comparing individual records and accounting for the associated
562 uncertainties in their dating.

563 Ice cores also exhibit very high time resolution. In many *Greenland* cores,
564 individual years are recognized so that sub-annual dating is possible. Some care is needed
565 in the interpretation. For example, the template for the history of temperature change in
566 an ice core is typically the stable-isotope composition of the ice. (The calibration of this

567 template to actual temperature is achieved in various ways, as discussed in Chapter 6, but
568 the major changes in the isotopic ratios correlate with major changes in temperature with
569 very high confidence, as discussed there.) However, owing to post-depositional processes
570 such as diffusion in **firn** and ice (Johnsen, 1977; Whillans and Grootes, 1985; Cuffey and
571 Steig, 1998; Johnsen et al., 2000), the resolution of the isotope records does decrease with
572 increasing age and depth. Initially the decrease is due to processes in the porous firn, and
573 later it is due to more rapid diffusion in the warmer ice close to the bottom of the ice
574 sheet. The isotopic resolution may reveal individual storms shortly after deposition but be
575 smeared into several years in ice tens of thousands of years old. Normally in *Greenland*,
576 accumulation rates of less than about 0.2 m/yr of ice are insufficient to preserve annual
577 cycles for more than a few decades; higher accumulation rates allow the annual layers to
578 survive the transformation of low-density snow to high-density ice, and the cycles then
579 survive for millennia before being gradually smoothed.

580 Records of dust concentration appear to be almost unaffected by smoothing
581 processes, but some chemical constituents seem to be somewhat mobile and thus to have
582 their records smoothed over a few years in older samples (Steffensen et al., 1997;
583 Steffensen and Dahl-Jensen, 1997). Unfortunately, despite important recent progress
584 (Rempel and Wettlaufer, 2003), the processes of chemical diffusion are not as well
585 understood as are isotopic ratios, so confident modeling of the chemical diffusion is not
586 possible and the degree of smoothing is not as well quantified as one would like.
587 Persistence of relatively sharp steps in old ice that is still in normal stratigraphic order
588 demonstrates that the diffusion is not extensive. The high-resolution features of the dust
589 and chemistry records have been used to date the glacial part of the *GISP2* core by using

590 mainly annual cycles of dust (Meese et al., 1997) and the NGRIP core by using annual
591 layers in different ionic constituents together with the visible dust layers (cloudy bands;
592 Fig. 5.5) back to 42 ka (Andersen et al., 2006, Svensson et al., 2006). Figure 5.5 shows
593 the visible cloudy bands in a 72 ka section of the *NGRIP* core. The cloudy bands are
594 generally assumed to be due to tiny gas bubbles that form on dust particles as the core is
595 brought to surface. During storage of core in the laboratory, these bands fade somewhat.
596 However, the very sharp nature of the bands when the core is recovered suggests that
597 diffusive smoothing has not been important, and that high-time-resolution data are
598 preserved.

599

600

FIGURE 5.5 NEAR HERE

601

602 **5.4 Classes of Changes and Their Rates**

603

604 The day-to-night and summer-to-winter changes are typically larger—but have
605 less persistent effect on the climate—than long-lived features such as ice ages. This
606 observation suggests that it is wise to separate rates of change on the basis of persistence.
607 As discussed in section 3.2 on forcings, effects from the aging of the Sun can be
608 discounted on “short” time scales of 100 m.y. or less, but many other forcings must be
609 considered. Several are discussed below. For the last ice-age cycle, special reliance is
610 placed on *Greenland* ice-core records because of their high time resolution and confident
611 paleothermometry. But *Greenland* is only a small part of the whole Arctic, and this
612 limitation should be borne in mind.

613

614 **5.4.1 Tectonic Time Scales**

615 As discussed in section 3.2 on forcings, drifting continents and related slow shifts
616 in global biogeochemical cycling, together with evolving life forms, can have profound
617 local and global effects on climate during tens of millions of years. If a continent moves
618 from equator to pole, the climate of that continent will change greatly. In addition, by
619 affecting ocean currents, ability to grow ice sheets, cloud patterns, and more, the moving
620 continent may have an effect on global and regional climates as well, although this effect
621 will in general be much more subtle than the effect on the continent's own climate (e.g.,
622 Donnadieu et al., 2006).

623 Within the last tens of millions of years, the primary direct effect of drifting
624 continents on the Arctic probably has been to modify the degree to which the Arctic
625 Ocean connects with the lower latitudes, by altering the “gateways” between land masses.
626 The Arctic Ocean, primarily surrounded by land masses, has persisted throughout that
627 time (Moran et al., 2006). Much attention has been directed to the possibility that the
628 warmth of the Arctic during certain times, such as the Eocene (which began about 50
629 Ma), was linked to increased transport of ocean heat as compared with other, colder
630 times. However, both models and data indicate that this possibility appears unlikely (e.g.,
631 Bice et al., 2000). The late Eocene Arctic Ocean appears to have supported a dense
632 growth of pond weed (*Azolla*), which is understood to grow in brackish waters (those
633 notably fresher than full marine salinity) (Moran et al., 2006). A more-vigorous ocean
634 circulation then would have introduced fully marine waters and would have transported
635 the pond weed away. A great range of studies indicates that larger atmospheric carbon-

636 dioxide concentrations during that earlier time were important in causing the warmth
637 (Royer et al., 2007, Vandermark et al, 2007, and Tarduno et al, 1998.).

638 The Arctic of about 50 Ma appears to have been ice free, at least near sea level,
639 and thus minimum wintertime temperatures must have been above freezing. Section 6.3.1
640 includes some indications of temperatures in that time, with perhaps 20°C a useful
641 benchmark for Arctic-wide average annual temperature. Recent values are closer to
642 -15°C, which would indicate a cooling of roughly 35°C within about 50 m.y. The implied
643 rate is then in the neighborhood of 0.7°C/million years or 0.0000007°C/yr. One could
644 pick time intervals during which little or no change occurred, and intervals within the last
645 50 m.y. during which the rate of change was somewhat larger; a rough “tectonic” value
646 of about 1°C/million years or less may be useful.

647

648 **5.4.2 Orbital Time Scales**

649 As described in section 3.2 on forcings, features of Earth’s orbit cause very small
650 changes in globally averaged incoming solar radiation (insolation) but large changes
651 (more than 10%) in local sunshine. These orbital changes serve primarily to move
652 sunshine from north to south and back or from poles to equator and back, depending on
653 which of the orbital features is considered. The leading interpretation (e.g., Imbrie et al.,
654 1993) is that ice sheets grow and the world enters an ice age when reduced summer
655 sunshine at high northern latitudes allows survival of snow without melting; ice sheets
656 melt, and the world exits an ice age, when greater summer sunshine at high northern
657 latitudes melts snow there. Because the globally averaged forcing is nearly zero but the
658 globally averaged response is large (e.g., Jansen et al., 2007), the Earth system must have

659 strong amplifying processes (feedbacks). Changes in greenhouse-gas concentrations
660 (especially carbon dioxide), how much of the Sun's energy is reflected (ice-albedo
661 feedback, plus some changes in vegetation), and blocking of the Sun by dust are
662 prominent in interpretations, and all appear to be required to explain the size and pattern
663 of the reconstructed changes (Jansen et al., 2007).

664 The globally averaged change from ice-age to interglacial is typically estimated as
665 5° – 6° C (e.g., Jansen et al., 2007). Changes in the Arctic clearly were larger. In central
666 *Greenland*, typical glacial and interglacial temperatures differed by about 15° C, and the
667 maximum warming from the most-recent ice age was about 23° C (Cuffey et al., 1995).
668 Very large changes occurred where ice sheets grew during the ice age and melted during
669 the subsequent warming, related to the cooling effect of the higher elevation of the ice
670 sheets, but the elevation change is not the same as a climatic effect.

671 In central *Greenland*, the coldest time of the ice age was about 24 ka, although as
672 discussed in Chapter 6, some records place the extreme value of the most recent ice age
673 slightly more recently. Kaufman et al. (2004) analyzed the timing of the peak warmth of
674 the Holocene throughout broad regions of the Arctic; near the melting ice sheet on North
675 America, peak warmth was delayed until most of the ice was gone, whereas far from the
676 ice sheet peak warmth was reached before 8 ka, in some regions by a few millennia.

677 A useful order-of-magnitude estimate may be that the temperature change
678 associated with the end of the ice age was about 15° C in about 15 thousand years (k.y.) or
679 about 1° C/k.y.) or 0.001° C/yr, and peak rates were perhaps twice that. The ice-age cycle
680 of the last few hundred thousand years is often described as consisting of about 90 k.y. of
681 cooling followed by about 10 k.y. of warming, or something similar, implying faster

682 warming than cooling (see Fig. 6.9). Thus, rates notably slower than $1^{\circ}\text{--}2^{\circ}\text{C/ka}$ are
683 clearly observed at times.

684 Kaufman et al. (2004) indicated that the warmest times of the current or Holocene
685 interglacial (MIS 1) in the western-hemisphere part of the Arctic were, for average land,
686 $1.6 \pm 0.8^{\circ}\text{C}$ above mean 20th-century values. Warmth peaked before 12 ka in western
687 Alaska but after 3 ka in some places near Hudson Bay; a typical value is near 7–8 ka.
688 Thus, the orbital signal during the Holocene has been less than or equal to approximately
689 0.2°C/ka , or $0.0002^{\circ}\text{C/yr}$.

690

691 **5.4.3 Millennial or Abrupt Climate Changes**

692 Exceptional attention has been focused on the abrupt climate changes recorded in
693 *Greenland* ice-cores and in many other records from the most recent ice age and earlier
694 (see National Research Council, 2002; Alley et al., 2003; Alley, 2007).

695 The more recent of these changes has been well known for decades from many
696 studies primarily in Europe that worked with lake and bog sediments and the moraines
697 left by retreating ice sheets. However, most research focused on the slower ice-age
698 cycles, which were easier to study in paleoclimatic archives.

699 The first deep ice core through the *Greenland Ice Sheet*, at *Camp Century* in
700 1966, produced a $\delta^{18}\text{O}$ isotope profile that showed unexpectedly rapid and strong climatic
701 shifts through the entire last glacial period (Dansgaard et al., 1969; 1971; Johnsen et al.,
702 1972). The fastest observed sharp transitions from cold to warm seemed to have been on
703 the time scale of centuries, clearly much faster than **Milankovitch time scales**.

704 These results did not stimulate much additional research immediately; the record
705 lay close to the glacier bed, and it may be that many investigators suspected that the
706 records had been altered by ice-flow processes. There were, however, data from quite
707 different archives pointing to the same possibility of large and rapid climate change. For
708 example, the Grand Pile pollen profile (Woillard, 1978; Woillard, 1979) showed that the
709 last interglacial (MIS 5) ended rapidly during an interval estimated at 150 ± 75 yrs,
710 comparable to the Camp Century findings. The Grand Pile pollen data also pointed to
711 many sharp warming events during the last ice age.

712 The next deep core in *Greenland* at the *Dye-3* radar station was drilled by the
713 United States, Danish, and Swiss members of the Greenland Ice Sheet Program
714 (Dansgaard et al., 1982). The violent climatic changes, as Willi Dansgaard termed them,
715 matched the often-ignored *Camp Century* results. The cause for these strong climatic
716 oscillations had already been hinted at by Ruddiman and Glover (1975) and Ruddiman
717 and McIntyre (1981), who studied oceanic evidence for the large climatic oscillations
718 involving strong warming into the Bolling interval, cooling into the Younger Dryas, and
719 warming into the Preboreal. They assigned the cause for these strong climatic anomalies
720 to thermohaline circulation changes combined with strong zonal winds partly driving the
721 surface currents in the north Atlantic; these forces drove sharp north-south shifts of the
722 polar front. In light of the ice core data, the oscillations around the Younger Dryas were
723 part of a long row of similar events, which Dansgaard et al. (1984) and Oeschger et al.
724 (1984) likewise assigned to circulation changes in the north Atlantic. Broecker et al.
725 (1985) argued for bi-stable North Atlantic circulation as the cause for the *Greenland*
726 climatic jumps.

727 The results of the *Dye-3* core went a long way toward settling the issue of the
728 existence of abrupt climate change. Further results from year-by-year ice sampling during
729 the Younger Dryas warming from this same core pushed the definition of “abrupt” from
730 the century time scale to the decadal and nearly annual scale (Dansgaard et al., 1989).
731 Alley et al. (1993) suggested the possibility that much of an abrupt change was
732 completed in a single year for at least one climatic variable (snow accumulation at the
733 GISP2 site).

734 In addition to the *GISP2*, *GRIP*, and *DYE-3* cores, ice core evidence has been
735 strengthened by new deep ice cores at Siple Dome in West Antarctica and *North-GRIP* in
736 northern *Greenland*. New high-resolution measurement techniques have provided
737 subannual resolution for several parameters, and these data have been used for the *North-*
738 *GRIP* core to provide absolute dating, the GICC05 chronology, back to 60 ka (Svensson
739 et al., 2005; Rasmussen et al., 2006; Vinther et al., 2006). The *GISP2* and *GRIP* ice cores
740 have also been synchronized with the *North-GRIP* core through MIS 2 (Rasmussen et al.,
741 2006; in press).

742 The temperature shifts into the warm intervals in the millennial climate changes,
743 which are called interstadials (Johnsen et al., 1992; Dansgaard et al., 1993), have been
744 found to vary from 10° to 16°C on the basis of borehole thermometry (Cuffey et al.,
745 1995; Johnsen et al., 1995; Jouzel et al., 1997) and of studies of the isotopic effect of
746 thermal **firn** diffusion on gas isotopes (Severinghaus et al., 1998; Lang et al., 1999;
747 Leuenberger et al., 1999; Landais et al., 2004; Huber et al., 2006).

748 The *North-GRIP* core, the most recent of the *Greenland* deep cores and the one
749 on which the most effort was expended in counting annual layers, shows that typically

750 the rapid warmings into interstadials are recorded as increases in only 20 years in the 20-
751 year averages of isotopic values during MIS 2 and MIS 3; this information indicates
752 temperature changes of 0.5°C/yr or faster.

753 In the Holocene period, the approximately 160-year-long cold event about 8.2 ka,
754 which produced 4°–5°C cooling in *Greenland* (Leuenberger et al., 1999), began in less
755 than 20 years, and perhaps much less. The cooling is believed to have been caused by the
756 emptying of Lake Agassiz (reviewed by Alley and Agustsdottir, 2005), and the rapid
757 transitions found bear witness to the dynamic nature of the North Atlantic circulation in
758 jumping to a new mode.

759 The Younger Dryas and the 8.2 ka cold event (section 6.3.5a) are well known in
760 Europe and in Arctic regions, but they appear to have been much weaker or absent in
761 other Arctic regions (see reviews by Alley and Agustsdottir (2005) and Alley (2007);
762 note that strong signals of these events are found in some but not all lower-latitude
763 regions). The signal of the Younger Dryas did extend across the Arctic to *Alaska* (see
764 Peteet, 1995a,b; Hajdas et al., 1998). Lake sediment records from the eastern *Canadian*
765 *Arctic* contain evidence for both excursions (Miller et al., 2005).

766 The 8.2 ka event is recorded at two sites as a notable readvance of cirque glaciers
767 and outlet glaciers of local ice caps at $8,200 \pm 100$ years (Miller et al., 2005). In some
768 lakes not dominated by runoff of meltwater from glaciers, a reduction in primary
769 productivity is apparent at the same time. These records suggest that colder summers
770 during the event without a dramatic reduction in precipitation produced positive mass
771 balances and glacier re-advances. For most local glaciers, this readvance was the last
772 important one before they receded behind their Little Ice Age margins. Organic carbon

773 accumulation in a *West Greenland* lake sediment record suggests a decrease in biotic
774 productivity synchronous with the negative $\delta^{18}\text{O}$ excursion in the GRIP ice core
775 (Willemse and Törnqvist, 1999).

776 Few Arctic lakes contain records that extend through Younger Dryas time. And
777 despite the strong signal indicative of rapid, dramatic Younger Dryas cooling in
778 *Greenland* ice cores, no definitive records document or refute accompanying glacier
779 expansion or cold around the edge of the *Greenland Ice Sheet* (Funder and Hansen, 1996;
780 Björck et al., 2002) (discussed in Chapter 6), near *Svalbard* (Svendson and Mangerud,
781 1992), or in Arctic Canada (Miller et al., 2005). These observations are consistent with
782 the joint observations that the events primarily occurred in wintertime, whereas most
783 paleoclimatic indicators are more sensitive to summertime conditions. Moreover, the
784 events manifested primarily in the North Atlantic and surroundings, and their amplitude
785 was reduced away from the North Atlantic (Denton et al., 2005; Alley, 2007; also see
786 Björck et al., 2002). This means in turn that the rate of climate change associated with
787 these events, although truly spectacular in the north Atlantic, was much smaller
788 elsewhere (poorly constrained, but perhaps only one-tenth as large in many parts of the
789 Arctic, and a region of zero temperature change somewhere on the planet separated the
790 northern regions of cooling from the southern regions of weak warming). The globally
791 averaged signal in temperature change was weak, although in some regions rainfall seems
792 to have changed very markedly (e.g., Cai et al., 2008).

793

794 **5.4.4 Higher-Frequency Events Especially in the Holocene**

795 The Holocene record, although showing greatly muted fluctuations in temperature

796 as compared with earlier times, is not entirely without variations. As noted above, a slow
797 variation during the Holocene is linked with orbital forcing and decay of the great ice
798 sheets. Riding on the back of this variation are oscillations of roughly 1°C or less, at
799 various temporal spacings. Great effort has been expended in determining what is signal
800 versus noise in these records, because the signals are so small, and issues of whether
801 events are broadly synchronous or not become important.

802 A few rather straightforward conclusions can be stated with some confidence. Ice-
803 core records from *Greenland* show the forcing and response of individual volcanic
804 eruptions. A large explosive eruption caused a cooling of roughly 1°C in *Greenland*, and
805 the cooling and then warming each lasted roughly 1 year (Grootes and Stuiver, 1997;
806 Stuiver et al., 1997), although a cool “tail” lasted longer. Thus, the temperature changes
807 associated with volcanic eruptions are strong, 1°C/year, but not sustained. Because
808 volcanic eruptions are essentially random in time, accidental clustering in time can
809 influence longer term trends stochastically.

810 The possible role of solar variability in Holocene changes (and in older changes;
811 e.g., Braun et al., 2005) is of considerable interest. Ice-core records are prominent in
812 reconstruction of solar forcing (e.g., Bard et al., 2007; Muscheler et al., 2007).

813 Identification of climate variability correlated with solar variability then allows
814 assessment of the solar influence and the rates of change caused by the solar variability.

815 Much study has focused on the role of the Sun in the oscillations within the
816 interval from the so-called Medieval Climate Anomaly through the Little Ice Age and the
817 subsequent warming to recent conditions. The reader is especially referred to Hegerl et al.
818 (2007). In *Greenland*, the Little Ice Age–Medieval Climate Anomaly oscillation had an

819 amplitude of roughly 1°C. Attribution exercises show that much of this amplitude can be
820 explained by volcanic forcing in response to the changing frequency of large eruptions
821 (Hegerl et al., 2007). In addition, some of this temperature change might reflect oceanic
822 changes (Broecker, 2000; Renssen et al., 2006), but some fraction is probably attributable
823 to solar forcing (Hegerl et al., 2007). Human influences on the environment were
824 measurable at this time, and thus such as changes in land cover and small changes to
825 greenhouse gases such as methane, may have also played a role. Although the time from
826 Medieval Climate Anomaly to Little Ice Age to recent warmth is about 1 millennium,
827 there are warmings and coolings in that interval that suggest that the changes involved
828 are probably closer to 1°C/century; some fraction of that change is attributable to solar
829 forcing and some to volcanic and perhaps to oceanic processes. Because recent studies
830 tend to indicate greater importance for volcanic forcing than for solar forcing (Hegerl et
831 al., 2007), changes of 0.3°C/century may be a reasonable estimate of an upper limit for
832 the solar forcing observed (but with notable uncertainty). Weak variations of the ice-core
833 isotopic ratios that correlate with the sunspot cycles and other inferred solar periodicities
834 similarly indicate a weak solar influence (Stuiver et al., 1997; Grootes and Stuiver, 1997).
835 Whether a weak solar influence acting on millennial time scales is evident in poorly
836 quantified paleoclimatic indicators (Bond et al., 2001) remains a hotly debated topic. The
837 ability to explain the Medieval Climate Anomaly–Little Ice Age oscillation without
838 appeal to such a periodicity and the evidently very small role of any solar forcing in those
839 events largely exclude a major role for such millennial oscillations in the Holocene.

840 The warming from the Little Ice Age extends into the instrumental record,
841 generally consistent with the considerations above. In the instrumental data (Parker et al.,

842 1994; also see Delworth and Knutson, 2000), the Arctic sections, particularly the North
843 Atlantic sector, show warming of roughly 1°C in the first half of the 20th century (and
844 with peak warming rates of twice that average). The warming likely arose from some
845 combination of volcanic, solar, and human (McConnell et al., 2007) forcing, and perhaps
846 some oceanic forcing. The warming was followed by weak cooling and then a similar
847 warming in the latter 20th century (roughly 1°C per 30 years) primarily attributable to
848 human forcing with little and perhaps opposing natural forcing (Hegerl et al., 2007).

849 As noted in section 3.2 on forcings (see above; also see Bard and Delaguye,
850 2008), the lack of correlation between indicators of climate and indicators of past
851 magnetic-field strength, or between indicators of climate and indicators of in-fall rate of
852 extraterrestrial materials, means that any role of these possible forcings must be minor
853 and perhaps truly zero.

854

855 **5.5 Summary**

856

857 The discussion in the previous section produced estimates of peak rates of climate
858 change associated with different causes. These estimates are plotted in a summary
859 fashion in Figure 5.6. As one goes to longer times, the total size of changes increases,
860 but the rate of change decreases. Such behavior is unsurprising; a sprinter changes
861 position very rapidly but does not sustain the rate, so that in a few hours the marathon
862 runner covers more ground. To illustrate this concept, regression lines were added
863 through the tectonic, ice-age, volcano, volcanoes, and solar points; abrupt climate
864 changes and human-caused changes were omitted from this regression because of

865 difficulty in estimating an Arctic-wide value.

866

867

FIGURE 5.6 NEAR HERE

868

869 The local effects of the abrupt climate changes in the North Atlantic are clearly
870 anomalous compared with the general trend of the regression lines, and changes were
871 both large and rapid. These events have commanded much scientific attention for
872 precisely this reason. However, globally averaged, these events are unimpressive: they
873 fall well below the regression lines, thus demonstrating clearly the difference between
874 global and regional behavior. An Arctic-wide assessment of abrupt climate changes
875 would yield rates of change that would plot closer to the regression lines than do either
876 the local *Greenland* or global values.

877 Thus far, human influence does not stand out relative to other, natural causes of
878 climate change. However, the projected changes can easily rise above those trends,
879 especially if human influence continues for more than a hundred years and rises above
880 the IPCC “mid-range” A1B scenario. No generally accepted way exists to formally assess
881 the effects or importance of size versus rate of climate change, so no strong conclusions
882 should be drawn from the observations here.

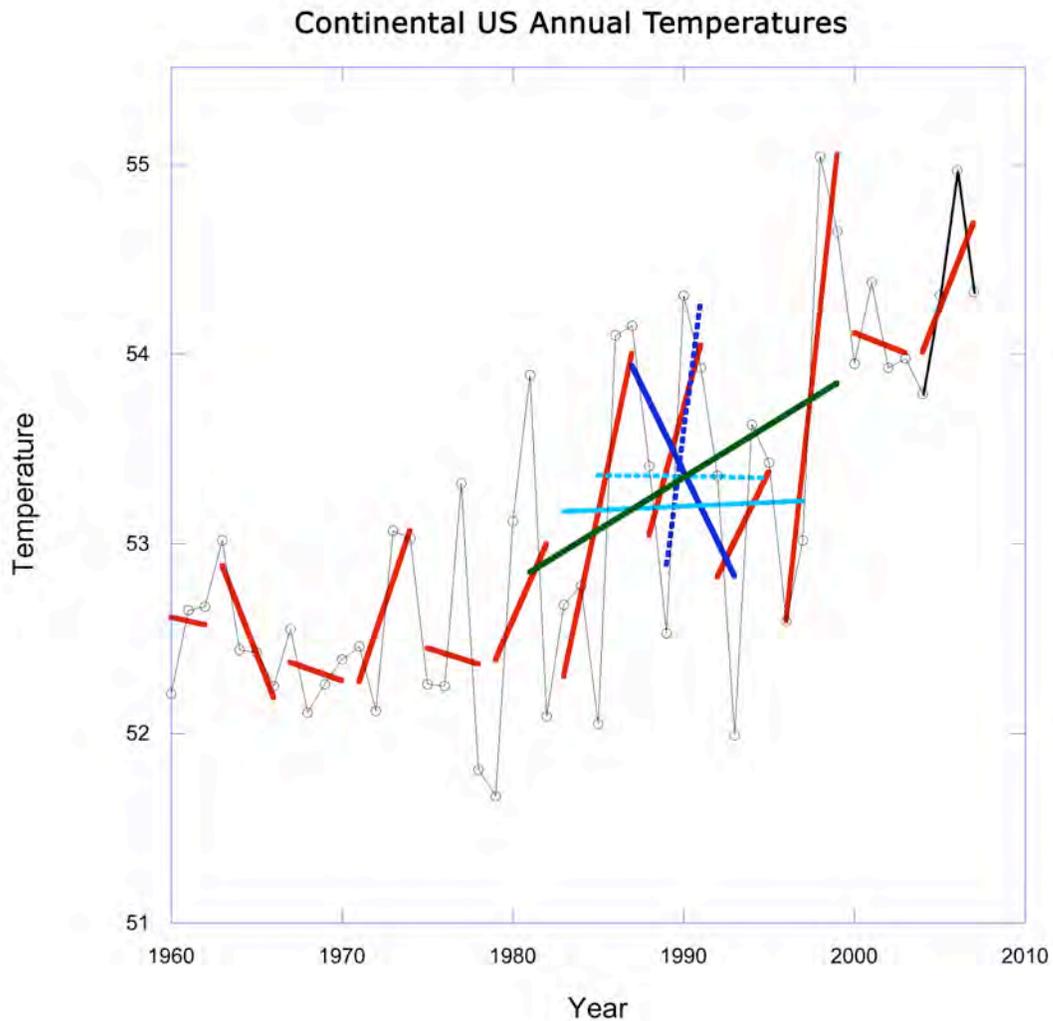
883 The data clearly show that strong natural variability has been characteristic of the
884 Arctic at all time scales considered. The data suggest the twin hypotheses that the human
885 influence on rate and size of climate change thus far does not stand out strongly from
886 other causes of climate change, but that projected human changes in the future may do so.

887 The report here relied much more heavily on ice-core data from *Greenland* than is

888 ideal in assessing Arctic-wide changes. Great opportunities exist for generation and
889 synthesis of other data sets to improve and extend the results here, using the techniques
890 described in this chapter. If widely applied, such research could remove the over-reliance
891 on *Greenland* data.
892

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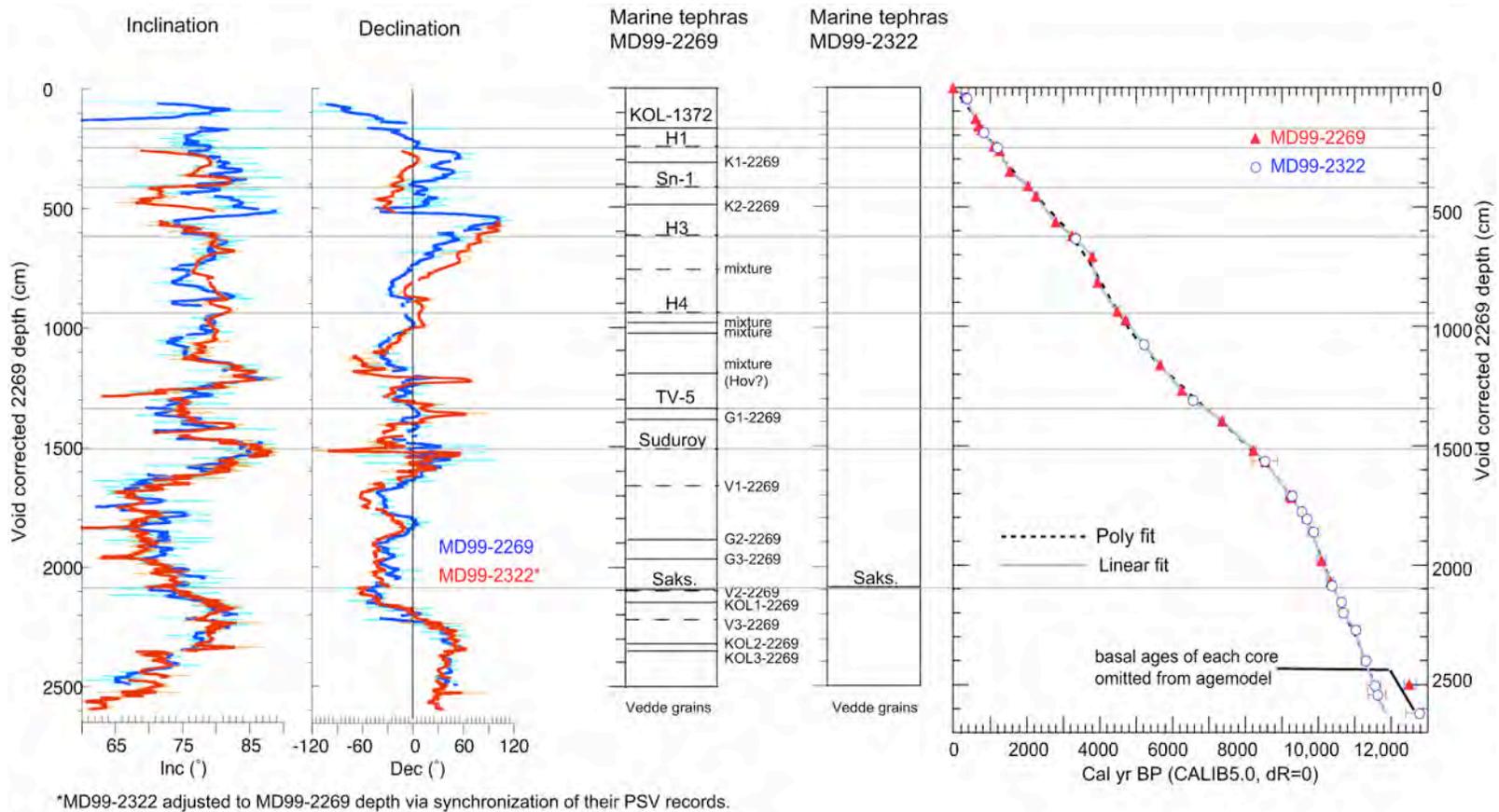
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894

895 **Figure 5.1.** A “Weather” versus “climate,” in annual temperatures for the
 896 continental United States, 1960–2007. Red lines, trends for 4-year
 897 segments that show how the time period affects whether the trend appears
 898 to depict warming, cooling, or no change. Various lines show averages of
 899 different number of years, all centered on 1990: Dark blue dash, 3 years;
 900 dark blue, 7 years; light blue dash, 11 years; light blue, 15 years; and
 901 green, 19 years. The perceived trend can be warming, cooling, or no
 902 change depending on the length of time considered. Climate is normally
 903 taken as a 30-year average; all 30-year-long intervals (1960–1989 through

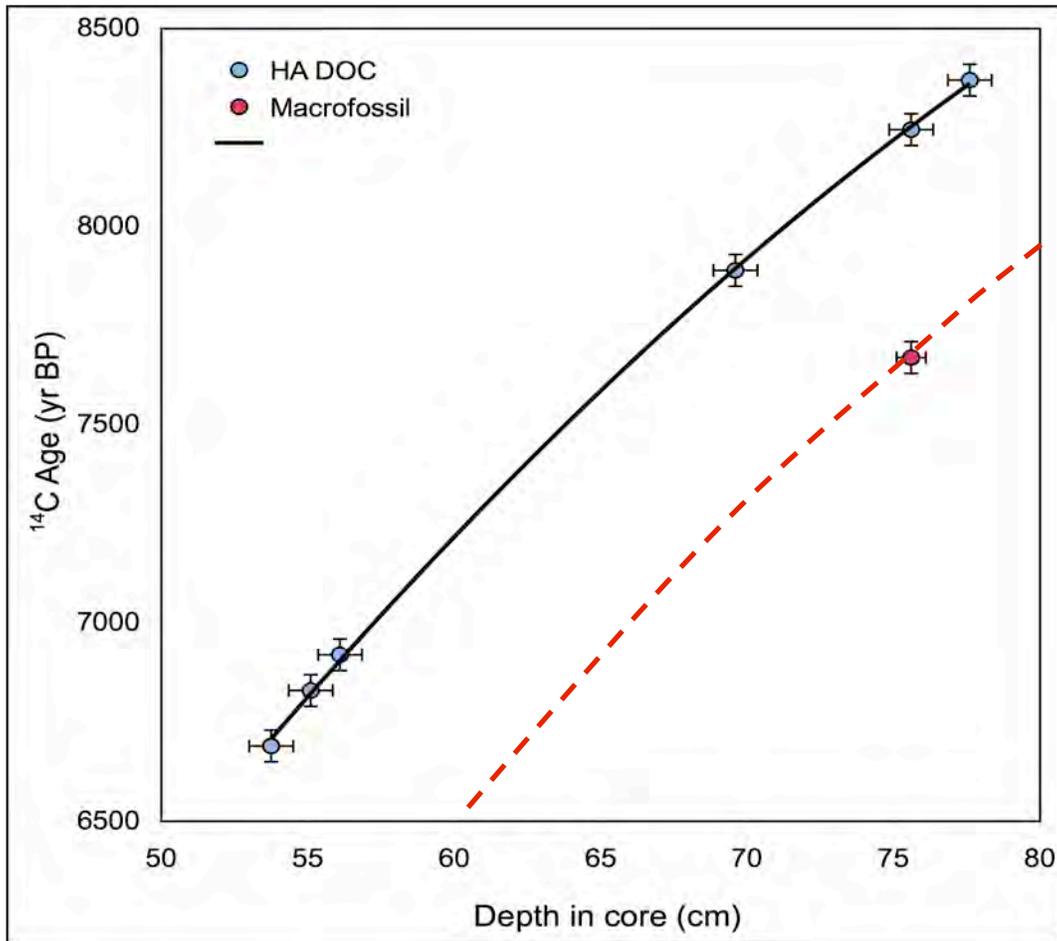
904 1978–2007) warmed significantly (greater than 95% confidence), whereas
905 only 1 of the 45 possible trend-lines (17 are shown) has a slope that is
906 markedly different from zero with more than 95% confidence. Thus, a
907 climate-scale interpretation of these data indicates warming, whereas
908 shorter-term (“weather”) interpretations lead to variable but insignificant
909 trends. Data from United States Historical Climatology Network,
910 <http://www.ncdc.noaa.gov/oa/climate/research/cag3/cag3.html> (Easterling
911 et al., 1996).
912



913

914 **Figure 5.2** Paleomagnetic secular variations records (left), tephrochronology records (right), and calibrated radiocarbon ages for
 915 cores MD99-2269 and -2322 (center) provide a template for Holocene stratigraphy of the Denmark Straits region (after Stoner et al.,
 916 2007, and Kirstjansdottir et al., 2007). Solid lines, tephra horizons in core 2269.

917



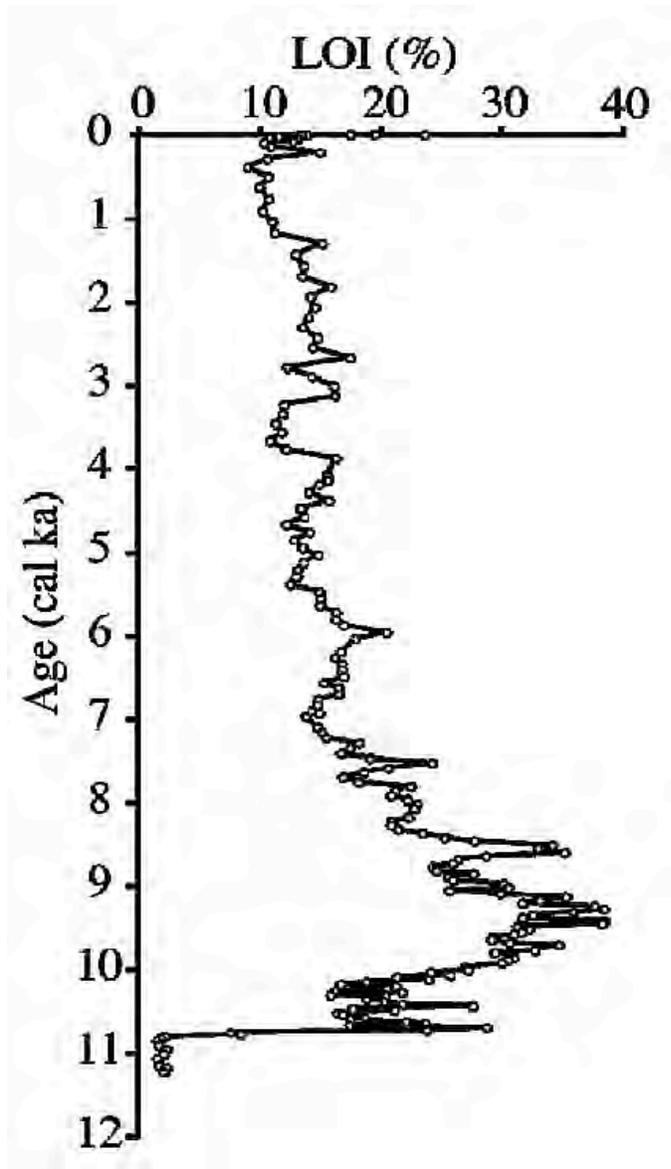
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920 **Figure 5.3** Precision versus accuracy in radiocarbon dates. Blue circle, accelerated mass
 921 spectrometry (AMS) ¹⁴C date on the humic acid (HA) fraction of the total dissolved
 922 organic carbon (DOC) extracted from a sediment core from the eastern Canadian Arctic.
 923 Red circle, AMS ¹⁴C date on macrofossil of aquatic moss from 75.6 cm, the same
 924 stratigraphic depth as a HA-DOC date. Dashed line is the best estimate of the age-depth
 925 model for the core. Samples taken 1–2 cm apart for HA-DOC dates show a systematic
 926 down-core trend suggesting that the precision is within the uncertainty of the
 927 measurements (± 40 to ± 80 years), whereas the discrepancy between macrofossil and HA-
 928 DOC dates from the same stratigraphic depth demonstrates an uncertainty in the accuracy
 929 of the HA-DOC ages of nearly 600 years. Data from Miller et al. (1999).

930

930



931

932

933 **Figure 5.4** Down-core changes in organic carbon (measured as loss-on-ignition (LOI))

934 in a lake sediment core from the eastern Canadian Arctic. At the base of the record,

935 organic carbon increased sharply from about 2% to greater than 20% in less than 100

936 years, but the age of the rapid change has an uncertainty of 500 years. Data are from

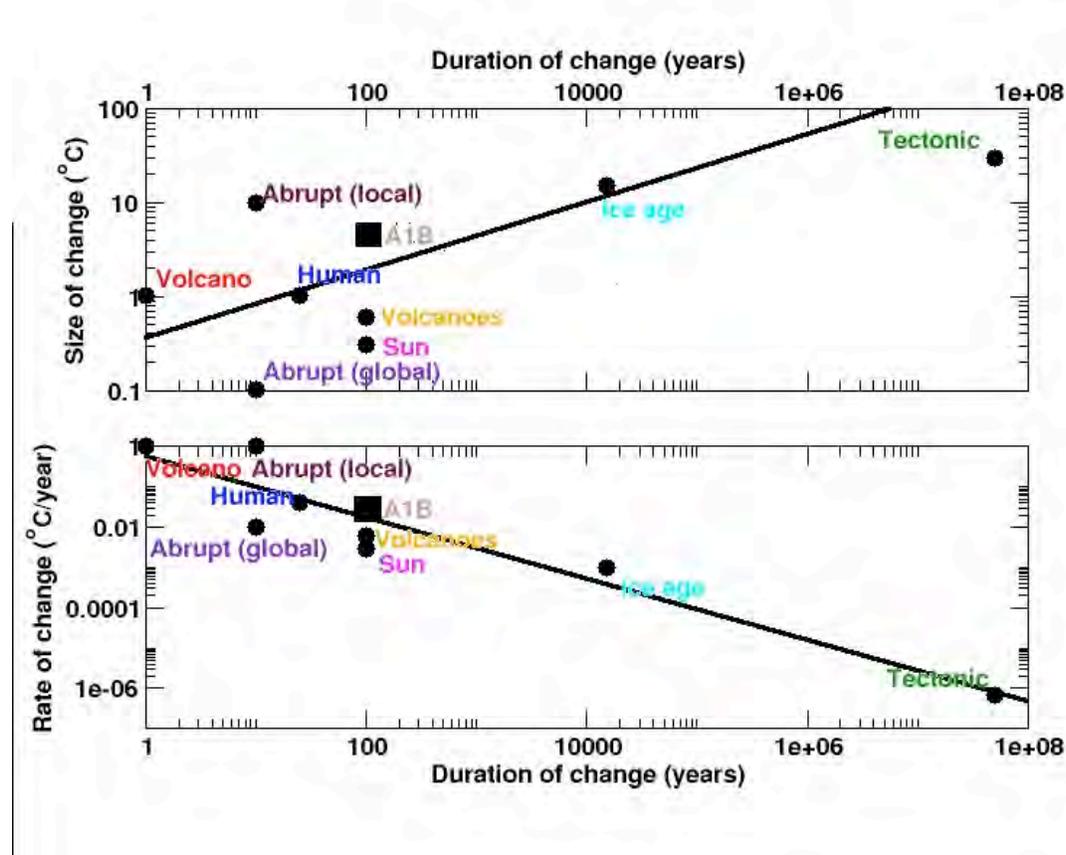
937 Briner et al. (2006).

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Figure 5.5. A linescan image of NGRIP ice core interval 2528.35–2530.0 m depth. Gray layers, annual cloudy bands; annual layers are about 1.5 cm thick. Age of this interval is about 72 ka, which corresponds with *Greenland* Interstadial 19. (Svensson et al., 2005)



949

950 **Figure 5.6.** Summary of estimated peak rates of change and sizes of changes associated with
 951 various classes of cause. Error bars are not provided because of difficulty of quantifying them,
 952 but high precision is not implied. Both panels have logarithmic scales on both axes (log-log
 953 plots) to allow the huge range of behavior to be shown in a single figure. The natural changes
 954 during the Little Ice Age–Medieval Climate Anomaly have been somewhat arbitrarily partitioned
 955 as 0.6°C for changes in volcanic-eruption frequency (labeled “volcanoes” to differentiate from
 956 the effects of a single eruption, labeled “volcano”), and 0.3°C for solar forcing to provide an
 957 upper limit on solar causes; a larger volcanic role and smaller solar role would be easy to defend
 958 (Hegerl et al., 2007), but a larger solar role is precluded by available data and interpretations.
 959 The abrupt climate changes are shown for local *Greenland* values and for a poorly constrained
 960 global estimate of 0.1°C. These numbers are intended to represent the Arctic as a whole, but
 961 much *Greenland* ice-core data have been used in determinations. The instrumental record has
 962 been used to assess human effects (see Delworth and Knutson, 2000 and Hegerl et al., 2007).
 963 The “human” contribution may have been overestimated and natural fluctuations may have

964 contributed to the late-20th-century change, but one also cannot exclude the possibility that the
965 “human” contribution was larger than shown here and that natural variability offset some of the
966 change. The ability of climate models to explain widespread changes in climate primarily on the
967 basis of human forcing, and the evidence that there is little natural forcing during the latter 20th
968 century (Hegerl et al., 2007), motivate the plot as shown. Also included for scaling is the
969 projection for the next century (from 1980–1999 to 2080–2099 means) for the IPCC SRES A1B
970 emissions scenario (one often termed “middle of the road”) scaled from Figure 10.7 of Meehl et
971 al. (2007); see also Chapman and Walsh (2007). This scenario is shown as the black square
972 labeled A1B; a different symbol shows the fundamental difference of this scenario-based
973 projection from data-based interpretations for the other results on the figure. Human changes
974 could be smaller or larger than shown as A1B, and they may continue to possibly much larger
975 values further into the future. There is no guarantee that human disturbance will end before the
976 end of the 21st century, as plotted here. The regression lines pass through tectonic, ice-age, solar,
977 volcano, and volcanoes; they are included solely to guide the eye and not to imply mechanisms.
978

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CCSP Synthesis and Assessment Product 1.2

Past Climate Variability and Change in the Arctic and at High Latitudes

Chapter 6 — Past Extent and Status of the Greenland Ice Sheet

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21 **ABSTRACT**

22 The *Greenland Ice Sheet* is expected to shrink or disappear with warming, a
23 conclusion based on a survey of paleoclimatic and related information. Recent
24 observations show that the *Greenland Ice Sheet* has melted more in years with warmer
25 summers. Mass loss by melting is therefore expected to increase with warming. But
26 whether the ice sheet shrinks or grows, and at what pace, depend also on snowfall and
27 iceberg production. The Arctic is a complicated system. Reconstructions of past climate
28 and ice sheet configuration (the “paleo-record”) are valuable sources of information that
29 complement process-based models. The paleo-record shows that the *Greenland Ice Sheet*
30 consistently lost mass when the climate warmed, and grew when the climate cooled.
31 Such changes have occurred even at times of slow or zero sea-level change, so changing
32 sea level cannot have been the cause of at least some of the ice sheet changes. In
33 contrast, there are no documented major ice-sheet changes that occurred independent of
34 temperature changes. Moreover, snowfall has increased when the climate warmed, but
35 the ice sheet lost mass nonetheless; increased accumulation in the ice sheet’s center has
36 not been sufficient to counteract increased melting and flow near the edges. Most
37 documented forcings of change, and the changes to the ice sheet themselves, spanned
38 periods of several thousand years, but limited data also show rapid response to rapid
39 forcings. In particular, regions near the ice margin have responded within decades.
40 However, major changes of central regions of the ice sheet are thought to require
41 centuries to millennia. The paleo-record does not yet strongly constrain how rapidly a
42 major shrinkage or nearly complete loss of the ice sheet could occur. The evidence
43 suggests nearly total loss may result from warming of more than a few degrees above

44 mean 20th century values, but this threshold is poorly defined (perhaps as little as 2°C or
45 more than 7°C). Paleoclimatic records are sufficiently sketchy that the ice sheet may have
46 grown temporarily in response to warming, or changes may have been induced by factors
47 other than temperature, without having been recorded.

48

49 **6.1 The *Greenland Ice Sheet***

50 **6.1.1. Overview**

51 The *Greenland Ice Sheet* (Figure 6.1) contains by far the largest volume of any
52 present-day Northern Hemisphere ice mass. The ice sheet is approximately 1.7 million
53 square kilometers (km²) in area, extending as much as 2200 km north to south. The
54 maximum ice thickness is 3367 m, its average thickness is 1600 m (Thomas et al., 2001),
55 and its volume is 2.9 million km³ (Bamber et al., 2001). Some of the bedrock beneath this
56 ice has been depressed below sea level by the weight of the ice, and a little of this
57 bedrock would remain below sea level following removal of the ice and rebound of the
58 bedrock (Bamber et al., 2001). However, most of the ice that rests on bedrock is above
59 sea level and so would contribute to sea-level rise if it were melted: if the entire ice sheet
60 melted, it is estimated that sea-level would rise about 7.3 m (Lemke et al., 2007).

61

62

FIGURE 6.1 NEAR HERE

63

64 The ice sheet consists primarily of old snow that has been squeezed to ice under
65 the weight of new snow that accumulates every year. Snow accumulation on the upper
66 surface tends to increase ice-sheet size. Ice sheets lose mass primarily by melting in low-

67 elevation regions, and by forming icebergs that break off the ice margins (calving) and
68 drift away to melt elsewhere. Sublimation, snowdrift (Box et al., 2006), and melting or
69 freezing at the bed beneath the ice are minor terms in the budget, although melting
70 beneath floating extensions called ice shelves before icebergs break off may be important
71 (see 6.1.2, below).

72 Estimates of net snow accumulation on the *Greenland Ice Sheet* have been
73 presented by Hanna et al. (2005) and Box et al. (2006), among others. Hanna et al. (2005)
74 found for 1961–1990 (an interval of moderately stable conditions before more-recent
75 warming) that surface snow accumulation (precipitation minus evaporation) was about
76 573 gigatons per year (Gt/yr) and that 280 Gt/yr of meltwater left the ice sheet. The
77 difference of 293 Gt/yr is similar to the estimated iceberg calving flux within broad
78 uncertainties (Reeh, 1985; Bigg, 1999; Reeh et al., 1999). (For reference, return of 360 Gt
79 of ice to the ocean would raise global sea level by 1 millimeter (mm); Lemke et al.,
80 2007.) More-recent trends are toward warming temperatures, increasing snowfall, and
81 more rapidly increasing meltwater runoff (Hanna et al., 2005; Box et al., 2006). Large
82 interannual variability causes the statistical significance of many of these trends to be
83 relatively low, but the independent trends exhibit internal consistency (e.g., warming is
84 expected to increase both melting and snowfall, on the basis of modeling experiments and
85 simple physical arguments, and both trends are observed in independent studies (Hanna
86 et al., 2005; Box et al., 2006)).

87

88 Increased iceberg calving has also been observed in response to faster flow of many
89 outlet glaciers and shrinkage or loss of ice shelves (see 6.1.2, below, for discussion of the

90 parts of an ice sheet) (e.g., Rignot and Kanagaratnam, 2006; Alley et al. 2005). The
91 Intergovernmental Panel on Climate Change (IPCC; Lemke et al., 2007) found that
92 “Assessment of the data and techniques suggests a mass balance of the *Greenland Ice*
93 *Sheet* of between +25 and -60 Gt (-0.07 to 0.17 mm) SLE [sea level equivalent] per year
94 from 1961-2003 and -50 to -100 Gt (0.14 to 0.28 mm SLE) per year from 1993-2003,
95 with even larger losses in 2005”. Updates are provided by Alley et al. (2007) (Figure
96 6.2) and by Cazenave (2006). Rapid changes have been occurring in the ice sheet, and in
97 the ability to observe the ice sheet, so additional updates are virtually certain to be
98 produced.

99

100 FIGURE 6.2 NEAR HERE

101

102 The long-term importance of these trends is uncertain—short-lived oscillation or
103 harbinger of further shrinkage? This uncertainty motivates some of the interest in the
104 history of the ice sheet.

105

106 **6.1.2 Ice-sheet behavior**

107 Where delivery of snow or ice (typically as snowfall) exceeds removal (typically by
108 meltwater runoff), a pile of ice develops. Such a pile that notably deforms and flows is
109 called a glacier, ice cap, or ice sheet. (For a more comprehensive overview, see Paterson,
110 1994; Hughes, 1998; Van der Veen, 1999; or Hooke, 2005, among well-known texts.)
111 Use of these terms is often ambiguous. “Glacier” most typically refers to a relatively
112 small mass in which flow is directed down one side of a mountain, whereas “ice cap”

113 refers to a small mass with flow diverging from a central dome or ridge, and “ice sheet”
114 to a very large ice cap of continental or subcontinental scale. A faster moving “jet” of ice
115 flanked by slower flowing parts of an ice sheet or ice cap may be referred to as an ice
116 stream, but also as an outlet glacier or simply glacier (especially if the configuration of
117 the underlying bedrock is important in delineating the faster moving parts), complicating
118 terminology. Thus, the prominent *Jakobshavn Glacier* (Jakobshavn Isbrae, or Jakobshavn
119 ice stream) is part of the ice sheet on *Greenland*, flowing in a deep bedrock trough but
120 with slower-moving ice flanking the faster-moving ice near the surface.

121 A glacier or ice sheet spreads under its own weight, deforming internally. The
122 deformation rate increases with the cube of the driving stress, which is proportional to the
123 ice thickness and to the surface slope of the ice. Ice may also move by sliding across the
124 interface between the bottom of the ice and what lies beneath it, i.e., its substrate. Ice
125 motion is typically slow or zero where the ice is frozen to the substrate, but is faster
126 where the ice-substrate interface is close to the melting point. Ice motion can also take
127 place through the deformation of subglacial sediments. This mechanism is important
128 only where subglacial sediments are present and thawed. The contribution of these basal
129 processes ranges from essentially zero to almost all of the total ice motion. Except for
130 floating ice shelves (see below in this section), *Greenland’s* ice generally does not exhibit
131 the gross dominance by basal processes seen in some West Antarctic ice streams.

132 Most glaciers and ice sheets tend toward a steady configuration. Snow
133 accumulation in higher, colder regions supplies mass, which flows to lower, warmer
134 regions where mass is lost by melting and runoff of the meltwater or by calving of
135 icebergs that drift away to melt elsewhere.

136 Some ice masses tend to an oscillating condition, marked by ice buildup during a
137 period of slow flow, and then a short-lived surge of rapid ice flow; however, under steady
138 climatic conditions, these oscillations repeat with some regularity and without huge
139 changes in the average size across cycles

140 Accelerations in ice flow, whether as part of a surging cycle, or in response to
141 long-term ice-sheet evolution or climatically forced change, may occur through several
142 mechanisms. These mechanisms include thawing of a formerly frozen bed, increase in
143 meltwater reaching the bed causing increased lubrication (Zwally et al., 2002; Joughin et
144 al., 1996; Parizek and Alley, 2004), and changes in meltwater drainage causing retention
145 of water at the base of the glacier, which increases lubrication (Kamb et al., 1985). Ice-
146 flow slowdown can similarly be induced by reversing these causes.

147 Recently, attention has been focused on changes in ice shelves. Where ice flows
148 into a bordering water body, icebergs may calve from grounded (non-floating) ice.
149 Alternatively, the flowing ice may remain attached to the glacier or ice sheet as it flows
150 into the ice-marginal body of water. The attached ice floats on the water and calves from
151 the end of the floating extension, which is called an ice shelf. Ice shelves frequently run
152 aground on local high spots in the bed of the water body on which they float. Ice shelves
153 that occupy embayments or fjords may rub against the rocky or icy sides, and friction
154 from this restrains, or “buttresses,” ice flow. Loss of this buttressing through shrinkage or
155 loss of an ice shelf then allows faster flow of the ice feeding the ice shelf (Payne et al.,
156 2004; Dupont and Alley, 2005; 2006).

157 Although numerous scientific papers have addressed the effects of changing
158 lubrication or loss of ice-shelf buttressing affecting ice flow, comprehensive ice-flow

159 models generally have not incorporated these processes. These comprehensive models
160 also failed to accurately project recent ice-flow accelerations in *Greenland* and in some
161 parts of the Antarctic ice sheet (Alley et al., 2005; Lemke et al., 2007; Bamber et al.,
162 2007). This issue was cited by IPCC (2007), which provided sea-level projections
163 “excluding future rapid dynamical changes in ice flow” (Table SPM3, WG1) and noted
164 that this exclusion prevented “a best estimate or an upper bound for sea level rise” (p.
165 SPM 15). A paleoclimatic perspective can help inform our understanding of these issues.

166 As noted above in this section, when subjected to a **step forcing** (e.g., a rapid
167 warming that moves temperatures from one sustained level to another), an ice sheet
168 typically responds by evolving to a new steady state (Paterson, 1994). For example, an
169 increase in accumulation rate thickens the ice sheet. The thicker ice sheet discharges mass
170 faster and, if the ice margin does not move as the ice sheet thickens, the ice sheet
171 becomes on average steeper, which also speeds ice discharge. These changes eventually
172 cause the ice sheet to approach a new configuration—a new steady state—that is in
173 balance with the new forcing. For central regions of cold ice sheets, the time required to
174 complete most of the response to a step change in rate of accumulation (i.e., the response
175 time) is proportional to the ice thickness divided by the accumulation rate. These
176 characteristic times are a few thousands of years (millennia) for the modern *Greenland*
177 *Ice Sheet* and a few times longer for the ice-age ice sheet (e.g., Alley and Whillans, 1984;
178 Cuffey and Clow, 1997).

179 A change in the position of the ice-margin will steepen or flatten the mean slope
180 of the ice sheet, speeding or slowing flow. The edge of the ice-sheet will respond first.
181 This response, in turn, will cause a wave of adjustment that propagates toward the ice-

182 sheet center. Fast-flowing marginal regions can be affected within years, whereas the full
183 response of the slow-flowing central regions to a step-change at the coast requires a few
184 millennia.

185 Warmer ice deforms more rapidly than colder ice. In inland regions, ice sheet
186 response to temperature change is somewhat similar to response to accumulation-rate
187 change, with cooling causing slower deformation, which favors thickening hence higher
188 ice flux through the increased thickness (and perhaps with increasing surface slope also
189 speeding flow), re-establishing equilibrium. However, because most of the deformation
190 occurs in deep ice, and a surface-temperature change requires many millennia to
191 penetrate to that deep ice to affect deformation, most of the response is delayed for a few
192 millennia or longer while the temperature change penetrates to the deep layers, and then
193 the response requires a few more millennia. The calculation is not simple, because the
194 motion of the ice carries its temperature along with it. If melting of the upper surface of
195 an ice sheet develops over a region in which the bottom of the ice is frozen to the
196 substrate, thawing of that basal interface may be caused by penetration of surface
197 meltwater to the bed if water-filled crevasses develop at the surface. The actual
198 penetration of the water-filled crevasse is likely to occur in much less than a single year,
199 perhaps in only a few minutes, rather than over centuries to millennia (Alley et al., 2005).

200 Numerous ice-sheet models (e.g., Huybrechts, 2002) demonstrate the relative
201 insensitivity of inland ice thickness to many environmental parameters. This insensitivity
202 has allowed reasonably accurate ice-sheet reconstructions using computational models
203 that assume perfectly plastic ice behavior and a fixed yield strength (Reeh 1984; the only
204 piece of information needed in these reconstructions of inland-ice configuration is the

205 footprint of the ice sheet; one need not specify accumulation rate hence mass flux, for
206 example). This insensitivity can be understood from basic physics.

207 As noted above in this section, the stress that drives ice deformation increases
208 linearly with ice thickness and with the surface slope, and the rate of ice deformation
209 increases with the cube of this stress. Velocity from deformation is obtained by
210 integrating the deformation rate through thickness, and ice flux is the depth-averaged
211 velocity multiplied by thickness. Therefore, for ice frozen to the bed, the ice flux
212 increases with the cube of the surface slope and the fifth power of the thickness. (Ice flux
213 in an ice sheet with a thawed bed would retain strong dependence on surface slope and
214 thickness, but with different numerical values.) If the ice-marginal position is fixed (say,
215 because the ice has advanced to the edge of the continental shelf and cannot advance
216 farther across the very deep water), then the typical surface slope of the ice sheet is also
217 proportional to the ice thickness (divided by the fixed half-width), giving an eighth-
218 power dependence of ice flux on inland thickness. Although an eighth-power dependence
219 is not truly perfectly plastic, it does serve to greatly limit inland-thickness changes—
220 doubling the inland thickness would increase ice flux 256-fold. Because of this
221 insensitivity of the inland thickness to many controlling parameters, changes in ice-sheet
222 volume are controlled more by changes in the areal extent of the ice sheet than by
223 changes in the thickness in central regions (Reeh, 1984; Paterson, 1994).

224 Such simple mechanistic scalings of ice sheet behaviors can be useful in a
225 pragmatic sense, and they have been used to interpret ice-sheet behavior in the past.
226 However, in modern usage, our physical understanding of ice sheet behaviors is
227 implemented in fully coupled three-dimensional (or reduced-dimensional) ice-dynamical

228 models (e.g., Huybrechts, 2002; Parizek and Alley, 2004; Clarke et al., 2005), which help
229 researchers assimilate and understand relevant data.

230

231 **6.2 Paleoclimatic Indicators Bearing on Ice-Sheet History**

232 The basis for paleoclimatic reconstruction is discussed in Cronin (1999) and
233 Bradley (1999), among other sources. Here, additional attention is focused on those
234 indicators that help in reconstruction of the history of the ice sheet. Marine indicators are
235 discussed first, followed by terrestrial archives.

236

237 **6.2.1 Marine Indicators**

238 As discussed in section 6.3 below, the *Greenland Ice Sheet* has at many times in
239 the past been more extensive than it is now, and much of that extension occupied regions
240 that now are below sea level. Furthermore, iceberg-rafted debris and meltwater from the
241 ice sheet can leave records in marine settings related to the extent of the ice sheet and its
242 flux of ice. Marine sediments also preserve important indicators of temperature and of
243 other conditions that may have affected the ice sheet.

244 Research cruises to the marine shelf and slope margins of west and east
245 *Greenland* dedicated to understanding changes over the times most relevant to the
246 *Greenland Ice Sheet's* history have been undertaken only in the last ten to twenty years.
247 Initially, attention was focused along the *east Greenland shelf* (Marienfeld, 1992b;
248 Mienert et al., 1992; Dowdeswell et al., 1994a), but in the last few years several cruises
249 have extended to the west *Greenland* margin as well (Lloyd, 2006; Moros et al., 2006).
250 Research on adjacent deep-sea basins, such as *Baffin Bay* or *Fram Basin* off North

251 *Greenland*, is more complicated because the late Quaternary (less than 450 thousand
252 years old (ka)) sediments contain inputs from several adjacent ice sheets (Dyke et al.,
253 2002; Aksu, 1985; Andrews et al., 1998a; Hiscott et al., 1989). (We use calendar years
254 rather than radiocarbon years unless indicated; conversions include those of Stuiver et al.,
255 1998 and Fairbanks et al., 2005; all ages specified as “ka” or “Ma” are in years before
256 present, where “present” is conventionally taken as the year 1950.) Regardless, only a
257 few geographic areas on the *Greenland* shelf have been investigated. In terms of time, the
258 majority of marine cores from the *Greenland* shelf span the retreat from the last ice age
259 (less than 15 ka). The use of datable volcanic ashes (tephras—a recognizable tephra or
260 ash layer from a single eruption is commonly found throughout broad regions and has the
261 same age in all cores) from Icelandic sources offers the possibility of linking records
262 from around *Greenland* from the time of the layer known as Ash Zone II (about 54 ka) to
263 the present (with appropriate cautions; Jennings et al., 2002a).

264 The sea-floor around *Greenland* is relatively shallow above “sills” formed during
265 the rifting that opened the modern oceans. Such sills connect *Greenland* to Iceland
266 through *Denmark Strait* and to *Baffin Island* through *Davis Strait*. These 500–600-m-
267 deep sills separate sedimentary records of ice sheet histories into “northern” and
268 “southern” components. Even farther north, sediments shed from north *Greenland* are
269 transported especially into the Fram Basin of the Arctic Ocean (Darby et al., 2002).

270 The circulation of the ocean around *Greenland* today transports debris-bearing
271 icebergs from the ice sheet. This circulation occurs largely in a clockwise pattern: cold,
272 fresh waters exit the Arctic Ocean through Fram Strait and flow southward along the East
273 *Greenland* margin as the East *Greenland* Current (Hopkins, 1991). These waters turn

274 north after rounding the southern tip of *Greenland*. In the vicinity of *Denmark Strait*,
275 warmer water from the Atlantic (modified Atlantic Water from the Irminger Current)
276 turns and flows parallel to the East Greenland Current. This surface current is called the
277 West Greenland Current once it has rounded the southern tip of *Greenland*. On the *East*
278 *Greenland shelf*, this modified Atlantic Water becomes an “intermediate-depth” water
279 mass (reaching to the deeper parts of the continental shelf, but not to the depths of the
280 ocean beyond the continental shelf), which moves along the deeper topographic troughs
281 on the continental shelf and penetrates into the margins of the calving *Kangerdlugssuaq*
282 ice stream (Jennings and Weiner, 1994; Syvitski et al., 1996). Baffin Bay contains three
283 water masses: Arctic Water in the upper 100–300 meters (m) in all areas, West Greenland
284 Intermediate Water (modified Atlantic Water) between 300–800 m, and Deep Baffin Bay
285 Water throughout the Bay at depths greater than 1200 m (Tang et al., 2004).

286 Some of the interest in the *Greenland Ice Sheet* is linked to the possibility that
287 meltwater could greatly influence the formation of deep water in the North Atlantic.
288 Furthermore, changes in deep-water formation in the past are linked to climate changes
289 that affected the ice sheet (e.g., Alley, 2007). The major deep-water flow is directed
290 southward through and south of *Denmark Strait* (McCave and Tucholke, 1986). The
291 sediment deposit known as the *Eirik Drift* off southwest *Greenland* is a product of this
292 flow (Stoner et al., 1995). Convection in the *Labrador Sea* forms an upper component of
293 this North Atlantic Deep Water.

294 Evidence from marine cores and seismic data has been used to reconstruct
295 variations in the *Greenland Ice Sheet* during the last glacial cycle (and, occasionally, into
296 older times). Four types of evidence apply: (1) ice-rafted debris and indications of

297 changes in sediment sources; (2) glacial deposition onto trough-mouth fans; (3) stable-
298 isotope and biotic data that indicate intervals when meltwater was released from the ice
299 sheet; and (4) geophysical data that indicate sea-floor erosion and deposition. Each is
300 discussed briefly in section 6.2.1, below.

301

302 **6.2.1a Ice-rafted debris and its provenance**

303 Coarse-grained rock material (such as sand and pebbles) cannot be carried far
304 from a continent by wind or current, so the presence of such material in marine cores is of
305 great interest. Small amounts might be delivered in tree roots or attached to uprooted kelp
306 holdfasts (Gilbert, 1990; Smith and Bayliss-Smith, 1998), and rarely a meteorite might be
307 identified, but large quantities of coarse rock material found far from land indicate
308 transport in ice, and so this material is called ice-rafted debris (IRD). Both sea ice and
309 icebergs can carry coarse material, complicating interpretations. However, iceberg-rafted
310 debris usually includes some number of grains larger than 2 mm in size and consistent
311 with the grain-size distribution of glacially transported materials, whereas the sediment
312 entrained in sea ice is typically finer (Lisitzin, 2002). In order to link the *Greenland Ice*
313 *Sheet* with ice-rafted debris described in marine cores, we must be able to link that debris
314 to specific bedrock sites (i.e., identify its provenance or site of origin). However, such
315 studies are only in their infancy. Proxies for sediment source include radiogenic isotopes
316 (such as ϵNd ; Grousset et al., 2001; Farmer et al., 2003), biomarkers that can be linked to
317 different outcrops of dolomite (Parnell et al., 2007), magnetic properties of sediment
318 (Stoner et al., 1995), and quantitative mineralogical assessment of sediment composition
319 (Andrews, 2008).

320

321 **6.2.1b Trough mouth fans**

322 The sediments in trough-mouth fans contain histories of sediment sources that
323 may include ice sheets. Sediment is commonly transferred across the continental shelf
324 along large troughs that form major depositional features called trough-mouth fans
325 (TMF) where the troughs widen and flatten at the continental rise (Vorren and Laberg,
326 1997; O'Cofaigh et al., 2003). Along the East Greenland margin, trough-mouth fans exist
327 off *Scoresby Sund* (Dowdeswell et al., 1997), the Kangerdlugssuaq Trough (Stein, 1996),
328 and the Angamassalik Trough (St. John and Krissek, 2002). Along the west *Greenland*
329 margin, the most conspicuous such fan is a massive body off *Disko Bay* associated with
330 erosion by *Jakobshavn Glacier* and other outlet glaciers in that region. During periods
331 when the ice sheet reached the shelf break, glacial sediments were shed downslope as
332 debris flows (producing coarse, poorly sorted deposits containing large grains in a fine-
333 grained matrix), whereas periods when the ice sheet was well back from the shelf break
334 are marked by sediments containing materials typical of open-marine environments, such
335 as shells of foraminifers, and typical terrestrial materials including ice-rafted debris.

336

337 **6.2.1c Foraminifers and stable-isotopic ratios of shells**

338 Foraminifers—mostly marine, single-celled planktonic animals, commonly with
339 chalky shells—are widely distributed in sediments, and shells of surface-dwelling
340 (planktic) and bottom-dwelling (benthic) species are commonly found. The particular
341 species present and the chemical and isotopic characteristics of the chalky shells reflect
342 environmental conditions. Variations in the ratios of the stable isotopes of oxygen, ^{18}O to

343 ^{16}O ($\delta^{18}\text{O}$) are especially widely used. These ratios respond to changes in the global ice
344 volume. Water containing the lighter isotope (^{16}O) evaporates from the ocean more
345 readily, and ice sheets are ultimately composed of that evaporated water, so during times
346 when the ice sheets are larger, the ocean is isotopically heavier. This effect is well
347 known, and it can be corrected for with considerable confidence if the age of a sample is
348 known. Temperature also affects $\delta^{18}\text{O}$; warmer air temperatures favor incorporation of
349 the lighter isotope into the shell. Near ice sheets, the abrupt appearance of light isotopes
350 is most commonly associated with meltwater that delivered isotopically light and fresh
351 water (Jones and Keigwin, 1988; Andrews et al., 1994). Around the *Greenland Ice Sheet*,
352 most such records are from near-surface planktic foraminifers of the species *N.*
353 *pachyderma* sinistral (Fillon and Duplessy, 1980; van Kreveld et al., 2000; Hagen and
354 Hald, 2002), although there are some data from benthic foraminifers (Andrews et al.,
355 1998a; Jennings et al., 2006).

356

357 **6.2.1d Seismic and geophysical data**

358 Several major shelf troughs and trough-mouth fans have been studied by seismic
359 investigations. Most are high-resolution studies of the sediments nearest the sea floor
360 (seismostratigraphy; O'Cofaigh et al., 2003), although some data on deeper strata are
361 available (airgun profiles; Stein, 1996; Wilken and Mienert, 2006). Sonar reveals the
362 shape of the upper surface of the sediment, and features such as the tracks left by drifting
363 icebergs that plowed through the sediment (Dowdeswell et al., 1994b; Dowdeswell et al.,
364 1996; Syvitski et al., 2001) and the streamlining of the sediment surface caused by
365 glaciation.

366

367 **6.2.2 Terrestrial Indicators**

368 Land-based records, like their marine equivalents, can reveal the history of
369 changes in areal extent of ice and of the climate conditions that existed around the ice
370 sheet. Terrestrial records are typically more discontinuous in space and time than are
371 marine records, because net erosion (which removes sediments containing climatic
372 records) is dominant on land whereas net deposition is dominant in most marine settings.
373 Nonetheless, useful records of many time intervals have been assembled from terrestrial
374 indicators. Here, common indicators are briefly described. This treatment is
375 representative rather than comprehensive. Furthermore, the great wealth of indicators,
376 and the interwoven nature of their interpretation, preclude any simple subdivision.

377

378 ***6.2.2a Geomorphic indicators***

379 The land surface itself records the action of ice and thus provides information on
380 ice-sheet history. Glacial deposits known as moraines are especially instructive, but
381 others are also important.

382 Moraines are composed of sediment deposited around glaciers from material
383 carried on, in, or under the moving ice (e.g., Sugden and John, 1976). A preserved
384 moraine may mark either the maximum extent reached by ice during some advance or a
385 still-stand during retreat. Normally, older moraines are destroyed by ice readvance,
386 although remnants of moraines overrun by a subsequent advance are occasionally
387 preserved and identifiable, especially if the ice that readvanced was frozen to its bed and
388 thus nearly or completely stationary where the ice met the moraine. Because most older

389 moraines are reworked by subsequent advances, most existing moraines record only the
390 time of the most recent glacial maximum and pauses or subsidiary readvances during
391 retreat.

392 The limiting ages of moraines can be estimated from radiocarbon (carbon-14)
393 dating of carbon-bearing materials incorporated into a moraine (the moraine must be
394 younger than those materials) or deposited in lakes that formed on or behind moraines
395 following ice retreat (the moraine must be older than those materials). Increasingly,
396 moraines are dated by measurement of beryllium-10 or other isotopes produced in
397 boulders by cosmic rays (e.g., Gosse and Phillips, 2001). Cosmic rays penetrate only
398 about 1 m in rock. Thus, boulders that are quarried from beneath the ice following
399 erosion of about 1 m or more of overlying material, or large boulders that fell onto the ice
400 and rolled over during transport, typically start with no cosmogenic nuclides in their
401 upper surfaces but accumulate those nuclides proportional to exposure time. Corrections
402 for loss of nuclides by boulder erosion, for inheritance of nuclides from before
403 deposition, and other factors may be nontrivial but potentially reveal further information.
404 Additional techniques of dating can sometimes be used, including historical records and
405 the increase with time of the size of lichen colonies (e.g., Locke et al., 1979; Geirsdottir
406 et al., 2000), soil development, and breakdown of rocks (clast weathering).

407 Related information on glacial behavior and ages is also available from the land
408 surface. For ages of events, a boulder need not be in a moraine to be dated using
409 cosmogenic isotopes, and surfaces striated and polished by glacial action can be dated
410 similarly. Glacial retreat often reveals wood or other organic material that died when it
411 was overrun during an advance and that can also be dated using radiocarbon techniques.

412 In moraines produced by small glaciers, the highest elevation to which a moraine
413 extends is commonly close to the equilibrium-line altitude at the time when the moraine
414 formed. (The equilibrium-line altitude is the altitude above which net snow accumulation
415 occurred and below which mass loss occurred—mass moved into the glacier above that
416 elevation and out below that elevation, controlling the deposition of rock material.)
417 Glaciation produces identifiable landforms, especially if the ice was thawed at the base
418 and thus slid freely across its substrate, so contrasts in the appearance of landforms can
419 be used to map the limits of glaciation (or of wet-based glaciation) where moraines are
420 not available.

421 Glaciers respond to many environmental factors, but for most glaciers the balance
422 between snow accumulation and melting is the major control on glacier size.
423 Furthermore, with notable exceptions, melting is usually affected more by temperature
424 than is accumulation. The equilibrium vapor pressure (the ability of warmer air to hold
425 more moisture) increases roughly 7% per °C. For a variety of glaciers that balance snow
426 accumulation by melting, the increase in melting is approximately 35% ($\pm 10\%$) per °C
427 (e.g., Oerlemans, 1994; 2001; Denton et al., 2005). Thus, glacier extent can usually be
428 used as a proxy for temperature (duration and warmth of the melt-season), primarily
429 summertime temperature.

430

431 ***6.2.2b Biological indicators and related features***

432 Living things are sensitive to climate. The species found in a tropical rain forest
433 differ from those found on the tundra. By comparing modern species from different
434 places that have different climates, or by looking at changes in species at one place for

435 the short interval of the instrumental record, the relation with climate can be estimated.
436 Assuming that this relation has not changed with time, longer records of climate then can
437 be estimated from occurrence of different species in older sediments (e.g., Schofield et
438 al., 2007). These climate records then can be tied, to some degree, to the state of the ice
439 sheet.

440 Lake sediments are especially valuable as sources of biotic indicators, because
441 sedimentation (and thus the record) is continuous and the ecosystems in and around lakes
442 tend to be rich (e.g., Bjorck et al., 2002; Ljung and Bjorck, 2004; Andresen et al., 2004).
443 Pollen (e.g., Ljung and Bjorck, 2004; Schofield et al., 2007), microfossils, and
444 macrofossils (such as chironomids, also called midge flies (Brodersen and Bennike,
445 2003)) are all used to great advantage in reconstructing past climates. The isotopic
446 composition of shells or of inorganic precipitates in lakes records some combination of
447 temperature and of the isotopic composition of the water. Physical aspects of lake
448 sediments, including those linked to biological processes (e.g., loss on ignition, which
449 primarily measures the relative abundance of organic matter in the sediment) are also
450 related to climate. In places where the weight of the ice previously depressed the land
451 below sea level and subsequent rebound raised the land back above sea level and formed
452 lakes (see 6.2.2c, below), the time of onset of lacustrine conditions and the modern height
453 of the lake together provide key information on ice-sheet history (e.g., Bennike et al.,
454 2002).

455 Raised marine deposits in *Greenland* and surroundings provide an additional and
456 important source of biological indicators of climate change. Many marine deposits now
457 reside above sea level, because of the interplay of changing sea level, geological

458 processes of uplift and subsidence, and isostatic response (ice-sheet growth depressing
459 the land and subsequent ice-sheet shrinkage allowing rebound, with a lagged response;
460 see 6.2.2c, below). Biological materials within those deposits, and especially shells, can
461 be dated by radiocarbon or uranium-thorium techniques (see 6.2.2d, below). Those dates
462 then help fill in the history of relative sea level that can be used to infer ice-sheet loading
463 histories and to reconstruct climates on the basis of the species present (e.g., Dyke et al.,
464 1996).

465

466 ***6.2.2c Glacial isostatic adjustment and relative sea-level indicators near the ice***
467 ***sheet***

468 Within the geological literature, sea level is generally defined as the elevation of
469 the sea surface relative to some adjacent geological feature. (This convention contrasts
470 with the concept of an absolute sea level whose position (the sea surface) is measured
471 relative to some absolute datum, such as the center of Earth.) This definition of sea level
472 is consistent with geological markers of past sea-level change (such as ancient shorelines,
473 shells, and driftwood), which reflect changes in the absolute height of either the sea
474 surface or the geological feature (i.e., an ancient shoreline can be exposed because the
475 surface of the ocean dropped, or land uplifted, or a net combination of land and ocean
476 height changes). During the time periods considered in this report, the dominant
477 processes responsible for such changes, at least on a global scale, have been the mass
478 transfer between ice reservoirs and oceans associated with the ice-age cycles, and the
479 deformational response of Earth to this transfer of mass. This deformational response is
480 formally termed **glacial isostatic adjustment**.

481 The growth and shrinkage of ice have generally been sufficiently slow that glacial
482 isostatic adjustment of the solid Earth is characterized by both immediate **elastic** and
483 slow viscous (i.e., flow) effects. As an example, if a large ice sheet were to form instantly
484 and then persist for more than a few thousand years, the land would respond by nearly
485 instantaneous elastic sinking, followed by slow subsidence toward isostatic equilibrium
486 as deep, hot rock moved outward from beneath the ice sheet. Roughly speaking, the final
487 depression would be about 30% of the thickness of the ice. Thus the ancient *Laurentide*
488 *Ice Sheet*, which covered most of Canada and the northeastern United States and whose
489 peak thickness was 3–4 km, produced a crustal depression of about 1 km. (For
490 comparison, that ice sheet contained enough water to make a layer about 70 m thick
491 across the world oceans, much less than the local deformation beneath the ice.) Outside
492 the depressed region covered by ice, land is gradually pushed upward to form a
493 peripheral bulge. As the ice subsequently melts, the central region of depression
494 rebounds, and relative sea level will fall for thousands of years beyond the end of the
495 melting phase. For example, at sites in Hudson Bay, sea-level continues to fall on the
496 order of 1 centimeter per year (cm/yr) despite the disappearance of most of the
497 *Laurentide Ice Sheet* some 8000 years ago. Moreover, the loss of ice cover allows the
498 peripheral bulge to subside, leading to a sea-level rise in such areas (e.g., along the east
499 coast of the United States) that also continues to the present (but involving slower rates of
500 change than for the regions that were beneath the central part of the former ice sheet). As
501 one considers sites farther away from the high-latitude ice cover, in the so-called “far
502 field,” the sea-level change is dominated during deglaciation by the addition of meltwater
503 into the global oceans. However, in periods of stable ice cover, for example during much

504 of the present interglacial, changes in sea level continue as a consequence of the ongoing
505 gravitational and deformational effects of glacial isostatic adjustment. As an example,
506 glacial isostatic adjustment is responsible for a fall in sea level in parts of the equatorial
507 Pacific of about 3 m during the last 5,000 years and for the associated exposure of corals
508 and ancient shoreline features of this age (Mitrovica and Peltier, 1991; Mitrovica and
509 Milne, 2002; Dickinson, 2001). We will return to this point in section 6.2.2d, below.

510 Nearby (near-field) relative sea-level changes, where the term “relative” denotes
511 the height of an ancient marker relative to the present-day level of the sea, have
512 commonly been used to constrain models of the geometry of ice complexes, particularly
513 since the Last Glacial Maximum (about 24 ka) (e.g., Lambeck et al., 1998; Peltier, 2004).
514 Fleming and Lambeck (2004) compared a set of about 600 relative sea-level data points
515 from sites in *Greenland*; all but the southeast coast and the west coast near *Melville Bugt*
516 (Bay) were represented. Numerical models of glacial isotatic adjustment constrained the
517 history of the *Greenland Ice Sheet* after the Last Glacial Maximum. The Fleming and
518 Lambeck (2004) data set comprised primarily fossil mollusk shells that lived at or below
519 the sea surface but that now are exposed above sea level; because of the unknown depth
520 at which the mollusks lived, they provide a limiting value on sea level. However,
521 Fleming and Lambeck (2004) also included observations on the transition of modern
522 lakes from formerly marine conditions, and constraints associated with the present (sub-
523 sea) location of initially terrestrial archaeological sites (see also Weidick, 1996; Kuijpers
524 et al., 1999). Tarasov and Peltier (2002, 2003) analyzed their own compilation of local
525 sea-level records by coupling glacial isostatic adjustment and climatological models;
526 from this information they inferred ice history into the last interglacial.

527 Like all glacial isostatic adjustment models, these studies are hampered by
528 uncertainty about the viscoelastic structure of Earth (Mitrovica, 1996), which is generally
529 prescribed by the thickness of the elastic plate and the radial profile of viscosity within
530 the underlying mantle, and this uncertainty has implications for the robustness of the
531 inferred ice history. In addition, the analysis of sea-level records in *Greenland* is
532 complicated by signals from at least two other distant sources: (1) the adjustment of the
533 peripheral bulge associated with the (de)glaciation of the larger North American
534 *Laurentide Ice Sheet*, because this bulge extends into *Greenland* (e.g., Fleming and
535 Lambeck, 2004); and (2) the net addition of meltwater from contemporaneous melting
536 (or, in times of glaciation, growth) of all other global ice reservoirs. Therefore, some
537 constraints on the volume and extent of the *Laurentide Ice Sheet*, and the volume of
538 more-distant ice sheets and glaciers, are required for the analysis of sea-level data from
539 *Greenland*.

540

541 ***6.2.2d Far-field indicators of relative sea-level high-stands***

542 Past changes in the volume of the *Greenland Ice Sheet* are recorded in far-field
543 sea level. All other sources of sea-level change, as well as the change due to glacial
544 isostatic adjustment, are also recorded in far-field sea-level records, so a single history of
545 sea level provides information related to ice-volume change (and to other factors such as
546 thermal expansion and contraction of ocean water) but no information on the relative
547 contribution of individual sources.

548 The record of past sea level can be reconstructed in many ways. An especially
549 powerful method of reconstruction uses the record of marine deposits or emergent coral

550 reefs that are now found above sea level on geologically relatively stable coasts and
551 islands (that is, in regions not markedly affected by processes linked to plate tectonics).
552 Such records are literally high-water marks (or “bathtub rings”) of past high sea levels.
553 Coastal landforms and deposits provide powerful and independent records of sea-level
554 history compared with the often-cited deep-sea oxygen-isotope record of glacial and
555 interglacial periods. For recording sea-level history, coastal landforms have two
556 advantages as compared with the deep-sea oxygen-isotope record: (1) if corals are
557 present, they can be dated directly; and (2) estimates of ancient sea level may—
558 depending on the geological setting—be possible.

559 Coastal landforms record high stands of the sea when coral-reefs grew as fast as
560 sea level rose (upper panel in Figure 6.3) or when a stable sea-level high stand eroded
561 marine terraces into bedrock (lower panel in Figure 6.3). Thus, emergent marine deposits,
562 either reefs or terraces, on geologically active, rising coastlines record interglacial periods
563 (Figure 6.4). On a geologically stable or slowly sinking coast, reefs will emerge only
564 from sea-level stands that were higher than at present (Figure 6.4). Past sea levels can
565 thus be determined from stable coastlines, or even rising coastlines if one can make
566 reasoned models of uplift rates. Geologic records of high sea-level stands on geologically
567 relatively stable coasts are especially useful. Although valuable geologic records are
568 found on rising coasts, estimates of past sea level derived from such coasts depend on
569 assumptions about the rate of tectonic uplift, and therefore they embody more
570 uncertainty.

571

572

FIGURE 6.3 NEAR HERE

573

FIGURE 6.4 NEAR HERE

574

575 The direct dating of emergent marine deposits is possible because uranium (U) is
576 dissolved in ocean water but thorium (Th) and protactinium (Pa) are not. Certain marine
577 organisms, particularly corals, co-precipitate U directly from seawater during growth. All
578 three of the naturally occurring isotopes of uranium— ^{238}U and ^{235}U (both primordial
579 parents) and ^{234}U (a decay product of ^{238}U)—are therefore incorporated into living corals.
580 ^{238}U decays to ^{234}U , which in turn decays to ^{230}Th . The parent isotope ^{235}U decays to
581 ^{231}Pa . Thus, activity ratios of $^{230}\text{Th}/^{234}\text{U}$, $^{238}\text{U}/^{234}\text{U}$, and $^{231}\text{Pa}/^{235}\text{U}$ can provide three
582 independent clocks for dating the same fossil coral (e.g., Edwards et al., 1997). Since the
583 1980s, most workers have employed thermal ionization mass spectrometry (TIMS) to
584 measure U-series nuclides; this method has increased precision, requires much smaller
585 samples, and can extend the useful time period for dating back to at least about 500,000
586 years.

587 The coastlines where the most reliable records of past high sea levels can be
588 found are in the tropics and subtropics, where ocean temperatures are warm enough that
589 coral-reefs grow. Within this broad equatorial region, the ideal coastlines for studies of
590 past high sea levels are those that are distant from boundaries of tectonic plates. Such
591 coastlines lie near geologically relatively quiescent continental margins or as islands well
592 within the interiors of large tectonic plates. Even in such locations, however, interpreting
593 past sea levels can include much uncertainty. We highlight two major reasons for this
594 uncertainty.

595 First, many islands well within the crustal tectonic plate that underlies the Pacific

596 Ocean, for example, are part of hot-spot volcanic chains. (A major source of internal heat,
597 called a hot spot, leads to a volcano on the overriding tectonic plate; as the plate drifts
598 laterally, the slower-moving hot spot becomes positioned below a different part of the
599 plate, and a new volcano is formed as the previously active volcano becomes extinct.
600 Eventually, a chain of volcanoes is produced, such as the Hawaiian-Emperor seamount
601 chain.) As a volcano grows in elevation, its weight isostatically depresses the land it sits
602 on in the same way that the weight of an ice sheet does, and the cold upper elastic layer
603 of the Earth flexes to form a broad ring-shaped ridge around the low caused by the
604 volcano. Oahu, in the Hawaiian Island chain, is a good example of an island that is
605 apparently experiencing slow uplift, and an associated local sea-level fall, due to volcanic
606 loading on the “Big Island” of Hawaii (Muhs and Szabo, 1994).

607 Second, the existence of a sea-level highstand of a given age in a stable geologic
608 setting does not necessarily imply that ice volumes were lower at that time relative to the
609 present day, even if the highstand is dated to a previous interglacial. As discussed above,
610 glacial isostatic adjustment, because it involves slow viscous flow of rock, produces
611 global-scale changes in sea-level even during periods when ice volumes are stable. As an
612 example, for the last 5,000 years (long after the end of the last glacial interval), ocean
613 water has moved away from the equatorial regions and toward the former Pleistocene ice
614 complexes to fill the voids left by the subsidence of the peripheral bulge regions
615 produced by the ice sheets. As a result, sea level has fallen (and continues to fall) about
616 0.5 mm/yr in those far-field equatorial regions (Mitrovica and Peltier, 1991; Mitrovica
617 and Milne, 2002). This process, known as equatorial ocean siphoning, has developed so-
618 called 3-meter beaches and exposed coral reefs that have been dated to the end of the last

619 deglaciation and that are endemic to the equatorial Pacific (e.g., Dickinson, 2001). Thus,
620 the interpretation of such apparent highstands requires correction for glacial isostatic
621 adjustments such that the residual record reflects true changes in ice volume.

622

623 ***6.2.2e Geodetic indicators***

624 Geodetic data are yielding both local and regional constraints on recent changes in
625 the mass of ice-sheets. As an example, land-based measurements of changes in gravity
626 and crustal motions, estimated by using the global positioning system (GPS), are being
627 used to monitor deformation (associated with changes in the distribution of mass) at the
628 periphery of the *Greenland Ice Sheet* (e.g., Kahn et al., 2007). A drawback of these
629 techniques is that few sites have been monitored because of the difficulty of establishing
630 high-quality GPS sites. In contrast, data from the Gravity Recovery and Climate
631 Experiment (GRACE) satellite mission are revealing trends in gravity across the polar ice
632 sheets (at a spatial resolution of about 400 km) from which estimates of both regional and
633 integrated mass flux are being obtained (e.g., Velicogna and Wahr, 2006). A general
634 problem in all attempts to infer recent ice sheet balance, whether from land-based or
635 satellite gravity, GPS, or even altimeter measurements of ice height (e.g., Johannessen et
636 al., 2005; Thomas et al., 2006), is that a measurements must be corrected for the
637 continuing influence of glacial isostatic adjustments. As discussed above (section 6.2.2c),
638 this correction involves uncertainty associated with both the ice sheet history and the
639 viscoelastic structure of Earth.

640 Accurate glacial isostatic adjustment corrections are also central to regional
641 estimates of ice-sheet mass balance. For the last century global sea-level change has been

642 inferred principally by analyzing records from widely distributed tide gauges (simple sea-
643 level monitoring devices). Most residual rates (those corrected for glacial isostatic
644 adjustment) of tide gauges yield an average 20th century sea-level rise in the range 1.5–
645 2.0 mm/yr (Douglas, 1997) (for additional information on recent trends in sea level, see
646 Solomon et al., 2007).

647 Furthermore, geographic trends in the residual rates may constrain the sources of
648 the meltwater. In particular, Mitrovica et al. (2001) and Plag and Juttner (2001) have
649 demonstrated that the rapid melting of different ice sheets will have substantially
650 different signatures, or fingerprints, in the spatial pattern of sea-level change. These
651 patterns are linked to the gravitational effects of the lost ice (sea level is raised near an ice
652 sheet because of the gravitational attraction of the ice mass for the adjacent ocean water)
653 and to the elastic (as opposed to viscoelastic) deformation of Earth driven by the rapid
654 unloading. Some ambiguity in determining the source of meltwater arises because of
655 uncertainty in both the original correction for glacial isostatic adjustment and in the
656 correction for the poorly known signature of ocean thermal expansion, as well as from
657 the non-uniform distribution of tide gauge sites.

658 Other geodetic indicators related to Earth’s rotational state also constrain
659 estimates of recent changes in the mass of ice-sheets (Munk, 2002; Mitrovica et al.,
660 2006). Earth’s rotation is affected by any redistribution of mass on or inside the planet.
661 Transfer of mass from the poles to the equator slows the planet’s rotation (like a spinning
662 ice skater extending her arms to slow her rotation). Moreover, any transfer of mass that is
663 not symmetric about the poles causes “wobble,” or true polar wander (TPW) (that is, the
664 position of the north rotation pole moves relative to the surface of the planet). True polar

665 wander for the last century has been estimated using both astronomical and satellite
666 geodetic data. In contrast, changes in the rotation rate (or, as geodesists say, length of
667 day), have been determined for the last few decades by using satellite measurements and
668 for the last few millennia by using observations of eclipses recorded by ancient cultures.
669 Specifically, the timing of ancient eclipses recorded by these cultures differs from the
670 timing one would expect by simply projecting the Earth-Moon-Sun system back in time
671 using the modern rotation rate of Earth. The discrepancy indicates a gradual slowing of
672 Earth's rate of rotation (Munk, 2002). The difference in the rotation-rate history during
673 the last few millennia (after correcting for slowing of Earth's rotation associated with the
674 "drag" of the tides) as compared with the rotation rate of last few decades provides a
675 measure of any anomalous recent melting of polar ice reservoirs. (This difference does
676 not uniquely constrain the individual sources of the meltwater because all sources will be
677 about equally efficient, for a given mass loss rate, at driving these changes in rotation.)
678 True polar wander, after correction for glacial isostatic adjustment, serves as an important
679 complement to this rotation-rate analysis because it does give some information about the
680 source of the meltwater. As an example, melting from the Antarctic, because it is located
681 at the pole, generates very little true polar wander, whereas melting from the *Greenland*
682 *Ice Sheet*, whose center of mass lies about 15 degrees off Earth's rotation axis, is capable
683 of driving substantial true polar wander (Munk, 2002; Mitrovica et al., 2006).

684

685 **6.2.2f Ice cores**

686 Ice cores preserve information about many climatic variables that affected the ice
687 sheet, and about how the ice sheet responded to changes in those variables.

688 Temperature histories derived from ice cores are especially accurate. Several
689 indicators are used, as described next, such as the isotopic ratios of accumulated snow,
690 ice-sheet temperature profiles (using borehole thermometry), and various techniques
691 based on use of gas-isotopic indicators. Agreement among these different indicators
692 increases confidence in the results.

693 Let us first consider isotopic ratios of the oxygen and hydrogen in accumulated
694 snow (e.g., Jouzel et al., 1997). The ocean contains both normal and “heavy” water:
695 roughly one molecule in 500 incorporates at least one extra neutron in the nucleus of an
696 oxygen or hydrogen atom. The lighter molecules evaporate more easily, and the heavier
697 molecules condense (and thus precipitates) more easily.. As water that evaporated from
698 the ocean is carried by an air mass inland over an ice sheet, the heavy molecules
699 preferentially rain or snow out. The colder the air mass, the more vapor is removed, the
700 more depleted of the heavy molecules is the remaining vapor, and the lighter the isotopic
701 ratios in the next rain or snow. Hence, the isotopic composition of precipitation is linked
702 to temperature of the air mass and, over polar ice sheets, the temperature of the air mass
703 is typically linked to the surface temperature. Oxygen- and hydrogen-isotope ratios are
704 both studied, and they help locate the source of precipitation, track the changing isotopic
705 composition of the moving air mass (“path effects”), and indicate the ice-sheet
706 temperature as well. Because site temperature is most important for this review, one
707 species is sufficient. Results will be discussed here as $\delta^{18}\text{O}$, the difference between the
708 $^{18}\text{O}:^{16}\text{O}$ ratio of a sample and of standard mean ocean water, normalized by the ratio of
709 the standard and expressed not as percent but as per mil (‰) (percent is parts per
710 hundred, and per mil is parts per thousand).

711 Although linked to site temperature, $\delta^{18}\text{O}$ can be affected by many factors (Jouzel
712 et al., 1997; Alley and Cuffey, 2001), such as change in the ratio of summertime to
713 wintertime precipitation. Hence, additional means of determining past temperatures are
714 required. One of the most reliable is based on the physical temperature of the ice. Just as
715 a frozen turkey takes a long time in a hot oven to warm in the middle, intermediate depths
716 of the central *Greenland Ice Sheet* are colder than ice above or below. Surface ice
717 temperatures equilibrate with air temperature, and basal ice receives some warmth from
718 Earth's heat flow, but the center of the ice sheet has not finished warming from the ice-
719 age cold. If ice flow is understood well at a site, the modern profile of the physical
720 temperature of the ice with increasing depth provides a low-time-resolution history of the
721 surface temperature with increasing time. Joint interpretation of the isotopic ratios and
722 temperatures measured in boreholes (Cuffey et al., 1995; Cuffey and Clow, 1997), or
723 independent interpretation of the borehole temperatures and then comparison with the
724 isotopic ratios (Dahl-Jensen et al., 1998), helps to outline the history of surface air
725 temperature. Furthermore, the relation between isotopic ratio and temperature (α ‰ per
726 °C) becomes a useful paleoclimatic indicator, and changes in this ratio α with time can
727 be used to test hypotheses about the overall changes in seasonality of snowfall and other
728 factors.

729 The isotopic composition of gases trapped in bubbles in the ice sheet provides an
730 additional indicator of temperature. New-fallen snow contains many interconnected air
731 spaces. Snow turns to ice without melting in central regions of cold ice sheets through
732 solid-state mechanisms that operate more rapidly under higher temperature or higher
733 pressure. Snow in an ice sheet usually transforms to ice within the top few tens of meters.

734 The intermediate material is called firn, and the transformation is complete when bubbles
735 are isolated so that the air spaces are no longer interconnected to the surface. Wind
736 moving over the ice sheet typically mixes gases in the pore spaces of the firn only in the
737 uppermost few meters or less. Diffusion mixes the gases deeper than this. Gases are
738 slightly separated by gravity (Sowers et al., 1992), with the air trapped in bubbles slightly
739 isotopically heavier than in the free atmosphere, proportional to the thickness of the air
740 column in which diffusion dominates.

741 If a sudden temperature change occurs at the surface, the resulting temperature
742 change of the firn beneath requires typically about 100 years to penetrate to the depth of
743 bubble trapping. However, when a temperature gradient is applied across gases in
744 diffusive equilibrium, the gases are separated by thermal fractionation as well as by
745 gravity, with the heavier gases moved thermally to the colder end (Severinghaus et al.,
746 1998). Equilibrium of gases is obtained in a few years, far faster than the time for heat
747 flow to remove the temperature gradient across the firn. Within a few years after an
748 abrupt temperature change at the surface, newly forming bubbles will begin to trap air
749 with very slight (but easily measured) anomalies in gas-isotope compositions, and this
750 trapping of slightly anomalous air will continue for a century or so. Because different
751 gases have different sensitivities to temperature gradients and to gravity, measuring
752 isotopic ratios of several gases (such as argon and nitrogen) allows researchers to
753 determine the temperature difference that existed vertically in the firn at the time of
754 bubble trapping and to determine the thickness of firn in which wind was not mixing the
755 gas (Severinghaus et al., 1998). If the surface temperature changed very quickly, the
756 magnitude of the temperature difference across the firn will peak at the magnitude of the

757 surface-temperature change; for a slower change, the temperature difference across the
758 firn will always be less than the total temperature change at the surface. If the climate
759 was relatively steady before an abrupt temperature change, such that the depth-density
760 profile of the firn came into balance with the temperature and the accumulation rate, and
761 if the accumulation rate is known independently (see below), then the number of years or
762 amount of ice between the gas-phase and ice-phase indications of abrupt change provides
763 information on the mean temperature before the abrupt change (Severinghaus et al.,
764 1998). With so many independent thermometers, highly confident paleothermometry is
765 possible.

766 Ice cores can provide information on climatic indicators other than temperature.
767 Past ice-accumulation rates are most readily obtained by measuring the thickness of
768 annual layers in ice cores corrected for ice-flow thinning (e.g., Alley et al., 1993). In
769 other methods, the thickness of firn can be approximated by measurements of gas-isotope
770 fractionation or of the number and density of bubbles (Spencer et al., 2006); these
771 measurements combined with temperature estimates constrain accumulation rates as well.
772 Aerosols (very small liquid and solid particles) of all types fall with snow and during
773 intervals when snow is not falling, and are incorporated into the ice sheet; with
774 knowledge of the accumulation rate (hence dilution of the aerosols), time histories of
775 atmospheric loading of those aerosols can be estimated (e.g., Alley et al., 1995a). Dust
776 and volcanic fallout (e.g., Zielinski et al., 1994) help constrain the cooling effects of
777 aerosols (particles) blocking the Sun. Cosmogenic isotopes (beryllium-10 is most
778 commonly measured) reflect cosmic-ray bombardment of the atmosphere, which is
779 modulated by the strength of Earth's magnetic field and by solar activity (e.g., Finkel and

780 Nizhiizumi, 1997). The observed correlation in paleoclimatic records between indicators
781 of climate and indicators of solar activity (Stuiver et al., 1997; Muscheler et al., 2005;
782 Bard and Frank, 2006)—and the lack of correlation with indicators of magnetic-field
783 strength (Finkel and Nishiizumi, 1997; Muscheler et al., 2005)—help researchers
784 understand climate changes.

785 Ages in ice cores are most commonly estimated by counting annual layers (e.g.,
786 Alley et al., 1993; Andersen et al., 2006) and by correlation with other records (Blunier
787 and Brook, 2001). Several indicators of atmospheric composition from *Greenland* ice
788 cores that were matched with similar (but longer) records from Antarctica (Suwa et al.,
789 2006) showed that old ice exists in central *Greenland* (Suwa et al., 2006; Chappellaz et
790 al., 1997) at depths where flow processes have mixed the layers (Alley et al., 1997). In
791 regions of continuous and unmixed layers, other features in ice cores, such as chemically
792 distinctive ash from particular volcanic eruptions, can be correlated with independently
793 dated records (e.g., Finkel and Nishiizumi, 1997; Zielinski et al. 1994). Flow models also
794 can be used to aid in dating.

795 The past elevation of ice-sheets is indicated by the total gas content of the ice
796 (Raynaud et al., 1997) at a given depth and age. As noted above in this section, bubbles
797 are pinched off (pore close-off) from interconnected air spaces in the firn a few tens of
798 meters down. The density of the ice at this pore close-off is nearly constant, with a small
799 and fairly well known correction for climatic conditions. Because air pressure varies with
800 elevation and elevation varies with ice thickness, the total number of trapped molecules
801 of gas per unit volume of ice is correlated with ice-sheet thickness. Small elevation
802 changes cannot be detected (because of additional fluctuations in total gas content that

803 are likely linked to changing layering in the firn that affects trapped bubbles), but
804 elevation changes of greater than 500 m are detectable with confidence (Raynaud et al.,
805 1997).

806 Additional information on ice-sheet changes comes from the current distribution
807 of isochronous surfaces (surfaces that have the same age throughout) in the ice sheet. An
808 explosive volcanic eruption will deposit an acidic ash layer of a single age on the surface
809 of the ice sheet, and that layer can be identified after burial by using radar (Whillans,
810 1976). Ages of reflectors can be determined at ice-core sites (e.g., Eisen et al., 2004), and
811 the layers can then be mapped throughout broad areas (Jacobel and Welch, 2005). A
812 model can be used to predict the current distribution of isochronous surfaces (as well as
813 some other properties, such as temperature) for any hypothesis that combines the history
814 of climatic forcing (primarily accumulation rate affecting burial and temperature) and
815 ice-sheet flow (primarily changes in surface elevation and extent) (e.g., Clarke et al.,
816 2005). Optimal histories can be estimated in this way.

817

818 **6.3 History of the *Greenland Ice Sheet***

819 **6.3.1 Ice-Sheet Onset and Early Fluctuations**

820 Prior to 65 million years ago (Ma), dinosaurs lived on a high-CO₂, warm world
821 that usually lacked permanent ice at sea level. The high latitudes were warm; Tarduno et
822 al. (1998) provided a minimum estimate of the mean-annual temperature during this time
823 of over 14°C at 71°N based on occurrence of crocodile-like champsosaurs (also see
824 Vandermark et al., 2007; Markwick, 1998). Sluijs et al. (2006) showed that the ocean
825 surface warmed near the North Pole from about 18°C to peak temperatures of 23°C

826 during the short-lived Paleocene-Eocene Thermal Maximum about 55 Ma. Such warm
827 temperatures preclude permanent ice near sea level and, indeed, no evidence of such ice
828 has been found (Moran et al., 2006).

829 Cooling following the Paleocene-Eocene Thermal Maximum may have allowed
830 ice to reach sea level fairly quickly; sand and coarser materials found in a core from the
831 Arctic Ocean sea floor and dated at about 46 Ma (Moran et al. 2006; St. John, 2008) are
832 most easily (but not with absolute certainty) interpreted as indicating ice rafting linked to
833 glaciers. Ice-rafted debris likely traceable at least in part to glaciers rather than to sea ice
834 is found in a core recovered from about 75°N latitude in the *Norwegian-Greenland Sea*
835 off East Greenland; the core is dated between about 38 and 30 Ma (late Eocene into
836 Oligocene time). Certain characteristics of this debris point to an East Greenland source
837 and exclude *Svalbard*, the next-nearest land mass (Eldrett et al., 2007). It is not known
838 whether this ice-rafted debris represents isolated mountain glaciers or more-extensive ice-
839 sheet cover.

840 The central Arctic Ocean sediment core of Moran et al. (2006) shows a highly
841 condensed record that suggests erosion or little deposition across this interval of ice
842 rafting off *Greenland* studied by Eldrett et al. (2007; see previous paragraph) and until
843 about 16 Ma. Ice-rafted debris, interpreted as representing iceberg as well as sea-ice
844 transport, was actively delivered to the open-ocean site studied by Moran et al. (2006) at
845 16 Ma, and volumes increased about 14 Ma and again about 3.2 Ma (also see Shackleton
846 et al., 1984; Thiede et al., 1998; Kleiven et al., 2002). St. John and Krissek (2002)
847 suggested onset of sea-level glaciation in southeastern *Greenland* at about 7.3 Ma, on the
848 basis of ice-rafted debris near *Greenland* in the *Irminger Basin*. Because of its

849 geographical pattern, the increase in ice-rafted debris about 3.2 Ma is thought to have had
850 sources in *Greenland*, Scandinavia, and the North American landmass (*Laurentide Ice*
851 *Sheet*). However, tying the debris to particular source rocks (e.g., Hemming et al., 2002)
852 has not been possible. Additionally, no direct evidence shows whether this debris was
853 supplied to the ocean by an extensive ice sheet or by vigorous glaciers that drained
854 coastal mountains in the absence of ice from *Greenland*'s central lowlands. Despite the
855 lack of conclusive evidence, *Greenland* seems to have supported at least some glaciation
856 since at least 38 Ma; glaciation left more records after about 14 Ma (middle Miocene).
857 Thus, as Earth cooled from the "hothouse" conditions extant during the time of dinosaurs,
858 ice sheets began to form on *Greenland*.

859 Following the establishment of ice in *Greenland*, a notable warm interval about
860 2.4 million years (m.y.) ago is recorded by the *Kap København* Formation of North
861 *Greenland* (Funder et al., 2001). This formation is a 100-m-thick unit of sand, silt, and
862 clay deposited primarily in shallow marine conditions. Fossil biota in the deposit switch
863 from Arctic to subarctic to boreal assemblages during the depositional interval. The unit
864 was deposited rapidly, perhaps in 20,000 years or less. Funder et al. (2001) postulated
865 complete deglaciation of *Greenland* at this time, primarily on the basis of the great
866 summertime warmth indicated at this far-northern site, although clearly there is no
867 comprehensive record of the whole ice sheet.

868

869 **6.3.2 The Most Recent Million Years**

870 Fragmented records on land combined with lack of unequivocal indicators in the
871 ocean complicate ice-sheet reconstructions. Nonetheless, many additional indications of

872 ice-sheet change are available between the time of the *Kap København* Formation and the
873 most recent 100,000 years. Locally, ice expanded during colder times and ice retreated
874 during warmer times, but data provide no comprehensive overviews of the ice sheet. This
875 section (6.3.2) summarizes data especially from marine isotope stage (MIS) 11 (about
876 440 ka; see chapter 3.5 on Chronology) to MIS 5 (about 130 ka), although dating
877 uncertainties allow the possibility that some of the samples are older than MIS 11, and
878 detailed consideration of MIS 5 is deferred to subsequent sections.

879 Glacial-interglacial cycles have been studied by examining the oxygen isotope
880 composition of foraminifers in deep-sea cores, and we now have a fairly detailed picture
881 of how glacial ice has expanded and retreated during the past 2 m.y. or so (the Quaternary
882 period). Figure 6.4 shows the four most recent glacial-interglacial cycles: peaks represent
883 interglacial periods (relatively high sea levels) and troughs represent glacial periods
884 (relatively low sea levels). Glacial periods in the oxygen isotope record are called
885 “stages” and are numbered back in time with even numbers; interglacial stages are
886 numbered back in time with odd numbers. Thus, the present interglacial is marine isotope
887 stage (MIS) 1 and the preceding glacial period is MIS 2.

888

889

FIGURE 6.4 NEAR HERE

890

891

892 ***6.3.2a Far-field sea-level indications***

893 In the absence of clear and well-dated records proximal to the *Greenland Ice*
894 *Sheet*, records of global sea level that may be related to changes on *Greenland* are of

895 interest. If we consider only the past few glacial cycles, it is most likely that sea level was
896 as high as or higher than present during previous interglacial times (MIS 5, 7, 9, and 11;
897 Figure 6.4). Under the assumption that any glacial-isostatic-adjustment contributions to
898 these relative highstands of sea level were small, and thus that highstands of sea level
899 were primarily related to changes in ice volume, the amplitudes of the various highstands
900 of sea level provide a measure of the long-term mass balance of the *Greenland Ice Sheet*
901 and other contemporaneous ice masses.

902 Far from the *Greenland Ice Sheet*, some fragmentary and poorly dated deposits
903 suggest a higher-than-present sea-level stand during MIS 11, about 400 ka. Sea-level
904 history of MIS 11 [about 362–420 ka] (as noted in section 3.5, Chronology, age
905 assignments to marine isotope stages may differ in different usages; both age ranges and
906 marine isotope stage names are given here for information, not as definitions) is of
907 particular interest to paleoclimatologists because the Earth-Sun orbital geometry during
908 that interglacial epoch is similar to the configuration during the current interglacial
909 (Berger and Loutre, 1991).

910 Hearty et al. (1999) proposed that marine deposits found in a cave on the
911 tectonically stable island of Bermuda date to the MIS 11 interglacial epoch. These marine
912 deposits are about 21 m above modern sea level, and they contain coral pebbles that have
913 been dated by U-series techniques. Hearty et al. (1999) interpreted the deposits to date to
914 about 400 ka, although the coral pebbles were dated older than 500 ka. The authors'
915 interpretation is based primarily on an overlying deposit that dates to about 400 ka.
916 Although the deposit appears to record an old sea stand markedly higher than present, the
917 chronology is still uncertain.

918 An Alaskan marine deposit is also found at altitudes of up to 22 m (Kaufman et
919 al., 1991), similar to altitudes of the cave deposit on Bermuda. The deposit, representing
920 what has been called the “Anvilian marine transgression,” extends along the Seward
921 Peninsula and Arctic Ocean coast of Alaska. This part of Alaska is tectonically stable. It
922 is landward of Pelukian (MIS 5 (about 74–130 ka)) marine deposits. Amino-acid ratios in
923 mollusks (Kaufman and Brigham-Grette, 1993) show that the Anvilian deposit is easily
924 distinguishable from last-interglacial (locally called Pelukian) deposits, but it is younger
925 than deposits thought to be of Pliocene age (about 1.8–5.3 Ma). Kaufman et al. (1991)
926 reported that basaltic lava overlies deposits of the Nome River glaciation, which in turn
927 overlie Anvilian marine deposits. An average of several analyses on the lava yields an
928 age of 470 ± 190 ka. Within the broad limits permitted by this age, and using reasonable
929 rates of changes in the amino-acid ratios of marine mollusks, Kaufman et al. (1991)
930 proposed that the Anvilian marine transgression dates to about 400 ka and correlates with
931 MIS 11.

932 Other far-field evidence supports the concept that during MIS 11 sea level was
933 higher than at present. Oxygen-isotope and faunal data from the Cariaco Basin off
934 Venezuela provide independent evidence of a higher-than-present sea level during MIS
935 11 (Poore and Dowsett, 2001). If the Bermudan cave deposits and the Anvilian marine
936 deposits of Alaska prove to be genuine manifestations of a ~400 ka-old high sea stand,
937 the implication for climate history is that all of the *Greenland Ice Sheet* (Willerslev et al.,
938 2007; see section 6.3.2b, below), all of the West Antarctic ice sheet, and part of the East
939 Antarctic ice sheet would have disappeared at this time (these being generally accepted as
940 the most vulnerable ice masses); preservation of the *Greenland Ice Sheet* would require

941 much more loss from the East Antarctic ice sheet, which is widely considered to be
942 relatively stable (e.g., Huybrechts and de Wolde, 1999).

943 Until recently, no reliably dated emergent marine deposits from MIS 9 [about
944 303–331 ka] had been found on tectonically stable coasts, although coral reefs of this age
945 have been recognized for some time on the tectonically rising island of Barbados (Bender
946 et al., 1979). Stirling et al. (2001) reported that well-preserved fringing reefs are found on
947 Henderson Island in the southeastern Pacific Ocean. Reef elevations on this tectonically
948 stable island are as high as about 29 m above sea level, and U-series dates between about
949 334 ± 4 and 293 ± 5 ka correlate with MIS 9. Despite the good preservation of the corals
950 and the reefs they are found in, and the reliable U-series ages, it is uncertain how high sea
951 level was at this time. Although Henderson Island is geologically stable, it is
952 experiencing slow uplift (less than 0.1 m/1,000 yr) due to volcanic loading by the
953 emplacement of nearby Pitcairn Island. A correction for maximum uplift rate, therefore,
954 could put the MIS 9 ancient level estimate below present sea level. Multer et al. (2002)
955 reported U-series ages of about 370 ka for a coral (*Montastrea annularis*) from a fossil
956 reef drilled at a locality called Pleasant Point in Florida Bay. This coral showed clear
957 evidence of open-system conditions (i.e., it was not completely chemically isolated from
958 its surroundings since formation, a requirement for the measured age to be accurate), and
959 the age is probably closer to 300–340 ka, if we use the correction scheme of Gallup et al.
960 (1994). If so, the age suggests that during MIS 9, sea level was close to but not much
961 above the present level.

962 As with MIS 9, several MIS 7 (about 190–241 ka) reef or terrace records have
963 been found on tectonically rising coasts (Bender et al., 1979; Gallup et al., 1994; Edwards

964 et al., 1997), but far fewer have been found on tectonically relatively stable coasts.
965 However, two recent reports show evidence of MIS 7 sea-level high stands on
966 tectonically stable islands. One is a pair of U-series ages of about 200 ka from coral-
967 bearing marine deposits about 2 m above sea level on Bermuda (Muhs et al., 2002). The
968 other is a single coral age from the Florida Keys (Muhs et al., 2004). They collected
969 samples of near-surface *Montastrea annularis* corals in quarry spoil piles on Long Key.
970 Analysis of a single sample shows an apparent age of 235 ± 4 ka. The higher-than-
971 modern initial $^{234}\text{U}/^{238}\text{U}$ value indicates a probable bias to an older age by about 7 ka;
972 thus, the true age may be closer to about 220–230 ka, if we again use the Gallup et al.
973 (1994) correction scheme. If valid, these data suggest that sea level may have stood close
974 to its present level during the interglacial period MIS 7. Much more study is needed to
975 confirm these preliminary ages, however.

976 Taken together, these data point to MIS 11 as a time in which sea level likely was
977 notably higher than at present, although the data are sufficiently sparse that stronger
978 conclusions are not warranted. If so, melting of *Greenland* ice seems likely, mostly on
979 the basis of elimination: *Greenland* meltwater is thought to be able to supply much of the
980 sea-level rise needed to explain the observations, and the alternative—extracting an
981 additional 7 m of sea-level rise through melting in East Antarctica—is not considered as
982 likely. Marine isotope stages 9 and 7 seem to have had sea levels similar to modern ones.

983

984 **6.3.2b Ice-sheet indications**

985 The cold MIS 6 ice age (about 130–188 ka) may have produced the most
986 extensive ice in *Greenland* (Wilken and Meinert, 2006). Recently described glacial

987 deposits in east Greenland support this view (Adrielsson and Alexanderson, 2005),
988 although more-extensive, older deposits are known locally (Funder et al., 2004). Funder
989 et al. (1998) reconstructed thick ice (greater than 1000 m) during MIS 6 in areas of
990 *Jameson Land* (east Greenland) that now are ice-free. However, no confident ice-sheet-
991 wide reconstructions based on paleoclimatic data are available for MIS 6 ice.

992 Both northwest and east Greenland preserve widespread marine deposits from
993 early in the MIS 5 interglacial (the interglacial previous to the present one) (about 74–130
994 ka), and particularly from the warmest subdivision of MIS 5, called MIS 5e (about 123
995 ka). Depression of the land from the weight of MIS 6 ice allowed incursion of seawater
996 as ice melted during the transition to MIS 5e. The resulting deposits were not reworked
997 by the subsequent incursion of seawater during the transition from the most recent
998 glaciation (MIS 2, which peaked about 24 ka or slightly more recently) to the modern
999 interglacial (MIS 1, less than 11 ka). Thus, seawater moved farther inland during the
1000 transition from MIS 6 (glacial) to MIS 5 (interglacial) than during the transition from
1001 MIS 2 (most recent glacial) to MIS 1 (current interglacial).

1002 Several hypotheses can explain this difference. Perhaps most simply, there may
1003 have been more ice on *Greenland* causing greater isostatic depression during MIS 6 than
1004 during MIS 2. However, if some or all of the older deposits survived being overridden by
1005 cold-based ice of MIS 2, additional possibilities exist. Because isostatic uplift occurs
1006 while ice is thinning but before the ice margin melts enough to allow incursion of
1007 seawater, perhaps the MIS 6 ice melted faster and allowed incursion of seawater over
1008 more-depressed land than was true for MIS 2 ice. Additionally, at the time during MIS 6
1009 that ice in *Greenland* receded and thus allowed incursion of seawater, global sea level

1010 might have been higher than during the corresponding part of MIS 2 (perhaps because of
1011 relatively earlier melting of MIS 6 ice on North America or elsewhere beyond
1012 *Greenland*). More-detailed modeling of glacial isostatic adjustment will be required to
1013 test these hypotheses. Nonetheless, the leading hypothesis seems to be that ice was more
1014 extensive in MIS 6 than in MIS 2.

1015 A particularly interesting new result comes from analysis of materials found in ice
1016 cores from the deepest part of the ice sheet. Willerslev et al. (2007) attempted to amplify
1017 DNA in three samples: (1) silty ice at the base of the *Greenland Ice Sheet* from the *Dye-3*
1018 drill site (on the southern dome of the ice sheet) and the *GRIP* drill site (at the crest of the
1019 main dome of the ice sheet), (2) “clean” ice just above the silty ice of these sites, and (3)
1020 the *Kap København* formation. The *Kap København*, clean-ice, and *GRIP* silty samples
1021 did not yield identifiable quantities of DNA (probably indicating post-depositional
1022 changes for *Kap København* perhaps during room-temperature storage following
1023 collection, and showing that long-distance transport is not important for supplying large
1024 quantities of DNA to the ice of the central part of the sheet). However, it was possible to
1025 prepare extensive materials from the *Dye 3* silty ice. These materials indicate a northern
1026 boreal forest, compared to the tundra environment that exists in coastal sites at the same
1027 latitude and lower elevation today. . The taxa indicate mean July temperatures then above
1028 10°C and minimum winter temperatures above –17°C at an elevation of about 1 km
1029 above sea level (allowing for isostatic rebound following ice melting). Dating of this
1030 warm, reduced-ice time is uncertain, but a tentative age of 450–800 ka is probably
1031 consistent with the indications of high sea level in MIS 11.

1032 Nishiizumi et al. (1996) reported on radioactive cosmogenic isotopes in rock core

1033 collected from beneath the ice at the *GISP2* site (central Greenland, 28 km west of the
1034 *GRIP* site at the *Greenland* summit). Joint analysis of beryllium-10 and aluminum-26
1035 indicated a few-millennia-long interval of exposure to cosmic rays (hence ice cover of
1036 thickness less than 1 m or so) about 500 ± 200 ka. This information is consistent with,
1037 and thus provides further support for, the DNA results of Willerslev et al. (2007). This
1038 work was presented at a scientific meeting and in an abstract but not in a refereed
1039 scientific journal, and thus it is subject to lower confidence than is other evidence
1040 discussed in this report.

1041 No long, continuous climate records from *Greenland* itself are available for the
1042 time interval occupied by the boreal forest at *Dye-3* reported by Willerslev et al. (2007).
1043 Marine-sediment records from around the North Atlantic point toward MIS 11, at about
1044 440 ka, as the most likely time of anomalous warmth. Owing to orbital forcing factors
1045 (reviewed in Droxler et al., 2003), this interglacial seems to have been anomalously long
1046 compared with those before and after. As discussed above, indications of sea level above
1047 modern level exist for this interval (Kindler and Hearty, 2000), but much uncertainty
1048 remains (see Rohling et al., 1998; Droxler et al., 2003). Records of sea-surface-
1049 temperature in the North Atlantic indicate that MIS 11 temperatures were similar to those
1050 from the current interglacial (Holocene) within 1° – 2° C; slightly cooler, similar, or
1051 slightly warmer conditions have all been reported (e.g., Bauch et al., 2000; de Abreu et
1052 al. 2005; Helmke et al., 2003; McManus et al., 1999, Kandiano and Bauch, 2003). The
1053 longer of these records show no other anomalously warm times within the age interval
1054 most consistent with the Willerslev et al. (2007) dates. (Notice, however, that during MIS
1055 5e locally higher temperatures are indicated in *Greenland* than are indicated in the far-

1056 field sea-surface temperatures. Thus, the absence of warm temperatures far from the ice
1057 sheet does not guarantee the absence of warm temperatures close to the ice sheet; see
1058 6.3.3, below.) The independent indications of high global sea level during MIS 11, as
1059 discussed above in section 6.3.2a, and of major *Greenland Ice Sheet* shrinkage or loss at
1060 that time, are mutually consistent.

1061 The *Greenland Ice Sheet* is thought to complete most of its response to a step
1062 forcing in climate within a few millennia (e.g., Alley and Whillans, 1984; Cuffey and
1063 Clow, 1997). Thus, any of the interglacials during the last 420,000 years was long enough
1064 for the ice sheet to have completed most of its response to the end-of-ice-age forcings
1065 (although smaller forcings during the interglacials may have precluded a completely
1066 steady state). Thus, it is not obvious how a longer-yet-not-warmer interglacial, as
1067 suggested by MIS 11 indicators in the North Atlantic away from *Greenland*, would have
1068 caused notable or even complete loss of the *Greenland Ice Sheet*, although this result
1069 cannot be ruled out completely. Many possible interpretations remain: greater *Greenland*
1070 warming in MIS 11 than indicated by marine records from well beyond the ice sheet,
1071 large age error in the Willerslev et al. (2007) estimates, great warmth at Dye-3 yet a
1072 reduced but persistent *Greenland Ice Sheet* nearby, and others. One possible
1073 interpretation is that the threshold for notable shrinkage or loss of *Greenland* ice is just
1074 1°–2°C above the temperature reached during MIS 5e, thus falling within the error
1075 bounds of the data.

1076 The data strongly indicate that *Greenland's* ice was notably reduced, or lost, sometime
1077 after ice coverage became extensive and large ice ages began, while temperatures
1078 surrounding *Greenland* were not grossly higher than they have been recently. The rate of

1079 mass loss within the warm period is unconstrained; the long interglacial at MIS 11 allows
1080 the possibility of very slow loss or much faster loss. If the cosmogenic isotopes in the
1081 *GISP2* rock core are interpreted at face value, then the time over which ice was absent
1082 was only a few millennia.

1083

1084 **6.3.3 Marine Isotope Stage 5e**

1085 ***6.3.3a Far-field sea-level indications***

1086 Investigators studying sea-level history have paid most attention to sea level
1087 during the last interglacial, MIS 5 (about 71–122 ka), and specifically to MIS 5e (about
1088 123 ka). The evidence of past sea level during MIS 5e along tectonically stable coasts is
1089 summarized here (Muhs, 2002). Sea-level high stand during MIS 5e is best estimated
1090 from coral reef and marine deposits now above sea level at sites in Australia, the
1091 Bahamas, Bermuda, and the Florida Keys.

1092 On the coast and islands of tectonically stable Western Australia, emergent coral
1093 reefs and marine deposits now 2–4 m above sea level are widespread and well-preserved.
1094 U-series ages of the fossil corals at mainland localities and Rottneest Island range from
1095 128 ± 1 to 116 ± 1 ka (Stirling et al., 1995, 1998). The main period of last-interglacial
1096 coral growth was a restricted interval from about 128–121 ka (Stirling et al., 1995, 1998).
1097 Because the highest corals are about 4 m above sea level at present but grew at some
1098 unknown depth below sea level, 4 m is a minimum for the amount of last-interglacial sea-
1099 level rise.

1100 The islands of the Bahamas are tectonically stable, although they may be slowly
1101 subsiding owing to carbonate loading on the Bahamian platform. Fossil reefs in the

1102 Bahamas are well preserved (Chen et al., 1991), reefs have elevations up to 5 m above
1103 sea level, and many corals are in growth position. On San Salvador Island, reef ages
1104 range from 130.3 ± 1.3 to 119.9 ± 1.4 ka. The sea level record of the Bahamas is
1105 particularly valuable because many reefs contain the coral *Acropora palmata*, a species
1106 that almost always lives within the upper 5 m of the water column (Goreau, 1959). Thus,
1107 fossil reefs containing this species place a fairly precise constraint on the former water
1108 depth.

1109 As discussed above (section 6.3.2a), Bermuda is tectonically stable. Bermuda
1110 does not host MIS 5e fossil reefs, but numerous coral-bearing marine deposits fringe the
1111 island. A number of U-series ages of corals from Bermuda range from about 119 ka to
1112 about 113 ka (Muhs et al., 2002). The deposits are found 2–3 m above present sea level,
1113 although overlying wind-blown sand prevents precise estimates of where the former
1114 shoreline lay.

1115 The Florida Keys, not far from the Bahamas, are also tectonically stable. Fruijtier
1116 et al. (2000) reported ages for corals from Windley Key, Upper Matecumbe Key, and
1117 Key Largo that, when corrected for high initial $^{234}\text{U}/^{238}\text{U}$ values (Gallup et al., 1994), are
1118 in the range of 130–121 ka. The last-interglacial MIS 5 reef on Windley Key is 3–5 m
1119 above present sea level, on Grassy Key it is 1–2 m above sea level, and on Key Largo it
1120 is 3–4 m above modern sea level.

1121 The collective evidence from Australia, Bermuda, the Bahamas, and the Florida
1122 Keys shows that sea level was above its present stand during MIS 5e. On the basis of
1123 measurements of the reefs themselves, sea level then was at least 4–5 m higher than sea
1124 level now. An additional correction should be applied for the water depth at which the

1125 various coral species grew. Most coral species found in Bermuda, the Bahamas, and the
1126 Florida Keys require water depths of at least a few meters for optimal growth, and many
1127 live tens of meters below the ocean surface. For example, *Montastrea annularis*, the most
1128 common coral found in MIS 5e reefs of the Florida Keys, has an optimum growth depth
1129 of 3–45 m and can live as deep as 80 m (Goreau, 1959). A minimum rise in sea level is
1130 calculated thusly: fossil reefs are 3 m above present sea level, and the most conservative
1131 estimate of the depth at which they grew is 3 m. Thus, the MIS 5e sea level was at least 6
1132 m higher than modern-day sea level (Figures 6.5, 6.6). A summary of additional sites led
1133 Overpeck et al. (2006) to indicate a sea-level rise of 4 m to more than 6 m during MIS 5e.

1134

1135

FIGURE 6.5 NEAR HERE

1136

FIGURE 6.6 NEAR HERE

1137

1138 Existing estimates generally presume that glacial isostatic adjustment have not
1139 notably affected the sites at the key times. The data set, and the accuracy of the dates
1140 (also see Thompson and Goldstein, 2005) are becoming sufficient to support, in future
1141 work, improved corrections for glacial isostatic adjustment.

1142 The implications of a 4 m to more than 6 m sea-level highstand during the last
1143 interglacial are as follows: (1) all or most of the *Greenland Ice Sheet* would have melted;
1144 or (2) all or most of the West Antarctic ice sheet would have melted; or (3) parts of both
1145 would have melted. Both ice sheets may indeed have melted in part, but greater melting
1146 is likely from *Greenland* (Overpeck et al., 2006), as described in section 6.3.3c, below.

1147

1148 **6.3.3b Conditions in Greenland**

1149 Paleoclimate data provide strong evidence for notable warmth on and around
1150 *Greenland* during MIS 5e, with peak temperatures occurring ~130 ka. As summarized
1151 by CAPE (2006), terrestrial data indicate peak summertime temperatures ~4°C above
1152 recent in NW *Greenland* and ~5°C above recent in east *Greenland* (and thus 2–4°C above
1153 the mid-Holocene warmth [~6 ka]; Funder et al., 1998, and see below), with near-shore
1154 marine conditions 2–3°C above recent in east *Greenland*. Climate-model simulations by
1155 Otto-Bliesner et al. (2006) show that the strong summertime increase of sunshine
1156 (insolation) in MIS 5e as compared to now caused strong warming, which was amplified
1157 by ice-albedo and other feedbacks. Simulated summertime warming around *Greenland*
1158 exhibited local maxima of 4–5°C in those northwestern and eastern coastal regions for
1159 which terrestrial and shallow-marine summertime data are available and show matching
1160 warmings; elsewhere over *Greenland* and surroundings, typical warmings of ~3°C were
1161 simulated.

1162 The sea-level record in East Greenland (*Scoresby Sund*) indicates a two-step
1163 inundation at the start of MIS 5e. Of the possible interpretations, Funder et al. (1998)
1164 favored one in which early deglaciation of the coastal region of *Greenland* preceded
1165 much of the melting of non-*Greenland* land ice, so that early coastal flooding after
1166 deglaciation of isostatically depressed land was followed by uplift and then by flooding
1167 attributable to sea-level rise as that far-field land ice melted. Additional testing of this
1168 idea would be very interesting, as it suggests that the *Greenland Ice Sheet* has responded
1169 rapidly to climate forcing in the past.

1170 Much of the evidence of climate change in *Greenland* comes from ice-core

1171 records. As discussed next, these changes cannot be estimated independent of a
1172 discussion of the ice sheet, because of the possibility of thickness change. Hence, the
1173 changes in the ice sheet are discussed before additional evidence bearing on forcing and
1174 response.

1175

1176 ***6.3.3c Ice-sheet changes***

1177 The *Greenland Ice Sheet* during MIS 5e covered a smaller area than it does now.
1178 How much smaller is not known with certainty. The most compelling evidence is the
1179 absence of pre-MIS 5e ice in the ice cores from south, northwest, and east Greenland (the
1180 locations *Dye-3*, *Camp Century*, and *Renland* drilling sites, respectively). In all of these
1181 cores, the climate record extends through the entire last glacial epoch and then terminates
1182 at the bed in a layer of ice deposited in a much warmer climate (Koerner, 1989; Koerner
1183 and Fisher, 2002). This basal ice is most likely MIS 5e ice. Moreover, the composition of
1184 this ice is not an average of glacial and interglacial values, as would be expected if it
1185 were a mixture of ices from earlier cold and warm climates. Instead, the ice composition
1186 exclusively indicates a climate considerably warmer than that of the Holocene. (One
1187 cannot entirely eliminate the possibility that each core independently bottomed on a rock
1188 that had been transported up from the bed, and that older ice lies beneath each rock, but
1189 this seems highly improbable.)

1190 At *Dye-3*, the oxygen isotope composition of this basal ice layer is reported as
1191 $\delta^{18}\text{O} = -23\text{‰}$, which means that it is 23‰ (or 2.3%) lighter than standard mean ocean
1192 water. Moreover, a value of $\delta^{18}\text{O} = -30\text{‰}$ is reported for modern snowfall in the source
1193 region (up-flow from the site of *Dye-3*). At *Camp Century*, a value of $\delta^{18}\text{O} = -25\text{‰}$ is

1194 reported for basal ice; a value of $\delta^{18}\text{O} = -31.5\text{‰}$ is reported in the source region (see
1195 Table 2 of Koerner, 1989). These changes of about 7‰ are much larger than the MIS 5e-
1196 to-MIS 1 climatic signal (about 3.3‰, according to the central Greenland cores; see
1197 below in this section). Thus, the MIS 5e ice at *Dye-3* and *Camp Century* not only
1198 indicates a warmer climate but also a much lower source elevation: the ice sheet was re-
1199 growing when these MIS 5e ices were deposited.

1200 In combination, these two observations (absence of pre-MIS 5e ice, and
1201 anomalously low-elevation sources of the basal ice) indicate that the Greenland margin
1202 had retreated considerably during MIS 5e. Of greatest importance is that retreat of the
1203 margin northward past *Dye-3* implies that the southern dome of the ice sheet was nearly
1204 or completely gone.

1205 In this context it is useful to understand the genesis of the basal ice layer, and the
1206 layer at *Dye-3* in particular. Unfortunately the picture is cloudy—not unlike the basal ice
1207 itself, which has a small amount of silt and sand dispersed through it, making it opaque.
1208 This silty basal layer is about 25 m thick (Souchez et al., 1998). Overlying it is “clean”
1209 (not notably silty) ice that appears to be typical of polar ice sheets. Its total gas content
1210 and gas composition indicate that the ice formed by normal densification of firn in a cold,
1211 dry environment. The oxygen isotope composition of this clean ice is -30.5‰ . The
1212 bottom 4 m of the silty ice is radically different; its oxygen isotope value is -23‰ , and its
1213 gas composition indicates substantial alteration by water. The total gas content of this
1214 basal silty ice is about half that of normal cold ice formed from solid-state transformation
1215 of firn, the carbon dioxide content is 100 times normal, and the oxygen/nitrogen ratio is
1216 less than 20% that of normal cold ice. This basal silty layer may be superimposed ice (ice

1217 formed by refreezing of meltwater in snow on a glacier or ice sheet, as Koerner (1989)
1218 suggested for the entire silty layer), or it may be non-glacial snowpack, or it may be a
1219 remnant of segregation ice in permafrost (permafrost commonly contains relatively
1220 “clean” although still impure lenses of ice, called segregation ice).

1221 In any case, the upper 21 m of the silty ice may be explained as a mixture of these
1222 two end members (Souchez et al. 1998). As they deform, ice sheets do mix ice layers by
1223 small-scale structural folding (e.g., Alley et al., 1995b), by interactions between rock
1224 particles, by grain-boundary diffusion, and possibly by other processes. Unfortunately,
1225 there is no way to distinguish rigorously how much this ice really is a mixture of these
1226 end-member components and how much of it is warm-climate (presumably MIS 5e)
1227 normal ice-sheet ice. The difficulty is that the bottom layer is not itself well mixed (its
1228 gas composition is highly variable), so a mixing model for the middle layer uses an
1229 essentially arbitrary composition for one end member. Souchez et al. (1998) used the
1230 composition at the top of the bottom layer for their mixing calculations, but it could just
1231 as well be argued that the composition here is determined by exchange with the overlying
1232 layer and is not a fixed quantity.

1233 As discussed in section 6.3.2b, above, in a recent study, Willerslev et al. (2007)
1234 examined biological molecules in the silty ice from *Dye-3*, including DNA and amino
1235 acids. They concluded that organic material contained in that *Dye-3* ice originated in a
1236 boreal forest (remnants of diagnostic plants and insects were identified). This
1237 environment implies a very much warmer climate than at the present margin in
1238 *Greenland* (e.g., July temperatures at 1 km elevation above 10°C), and hence it also
1239 suggests a great antiquity for this material; no evidence suggests that MIS 5e in

1240 *Greenland* was nearly this warm. Indeed, Willerslev et al. (2007) also inferred the age of
1241 the organic material and the age of exposure of the rock particles, using several methods.
1242 They concluded that a 450–800 ka age is most likely, although uncertainties in all four of
1243 their dating techniques prevented a definitive statement. This conclusion suggests that the
1244 bottom ice layer (the source of rock material in the overlying mixed layer) is much older
1245 than MIS 5e.

1246 This evidence admits of two principal interpretations. One is that this material
1247 survived the MIS 5e deglaciation by being contained in permafrost. The second is that the
1248 MIS 5e deglaciation did not extend as far north as the Dye-3 site, and that local
1249 topography allowed ice to persist, isolated from the large-scale flow. This latter
1250 hypothesis (apparently favored by Willerslev et al., 2007) does not explain the several-
1251 hundred-thousand-year hiatus within the ice, however, or the purely interglacial
1252 composition of the entire basal ice, both of which favor the permafrost interpretation.
1253 (Both hypotheses can be modified slightly to allow short-distance ice-flow transport to
1254 the Dye-3 site; e.g., Clarke et al., 2005.)

1255 Ice-sheets can also slide at their margins. Sliding near the modern margin of the
1256 *Greenland Ice Sheet* (e.g., Joughin et al., 2008a) provides a way to rapidly re-establish
1257 the ice sheet in deglaciated regions and to preserve soil or permafrost materials as the ice
1258 re-grows, as described next. Marginal regions of the *Greenland Ice Sheet* are thawed at
1259 the bottom and slide over the materials beneath (e.g., Joughin et al., 2008a)—on a thin
1260 film of water or possibly thicker water or soft sediments. During a time of cooling,
1261 sliding advances the ice margin more rapidly than would be possible if the ice were
1262 frozen to the bed. Furthermore, the sliding will bring to a given point ice that was

1263 deposited elsewhere and at higher elevation; subsequently, that ice may freeze to the bed.
1264 As discussed below (section 6.3.5b), widespread evidence shows a notable advance of the
1265 ice-sheet margin during the last few millennia. Regions near the ice-sheet margin, and
1266 icebergs calving from that margin, now contain ice that was deposited somewhere in the
1267 accumulation zone at higher elevation and that slid into position (e.g., Petrenko et al.,
1268 2006). Were sliding not present, one might expect that re-glaciation of a site such as *Dye-*
1269 *3* would have required cooling until the site became an accumulation zone, followed by
1270 slow buildup of the ice sheet.

1271 In contrast to all the preceding information from south-, northwest-, and east-
1272 *Greenland* ice cores, the ice cores from central *Greenland* (the *GISP2* and *GRIP* cores;
1273 Suwa et al., 2006) and north-central *Greenland* (the *NGRIP* core) do contain MIS 5e ice
1274 that is normal, cold-environment, ice-sheet ice. Unfortunately, none of these cores
1275 contains a complete or continuous MIS 5e chronology. Layering of the *GISP2* and *GRIP*
1276 cores is disrupted by ice flow (Alley et al., 1995b) and, in the *NGRIP* core, basal melting
1277 has removed the early part of MIS 5e and any older ice (Dahl-Jensen et al., 2003). The
1278 central *Greenland* cores do reveal two important facts: MIS 5e was warmer than MIS 1
1279 (oxygen isotope ratios were 3.3‰ higher than modern ones), and the elevation in the
1280 center of the ice sheet was similar to that of the modern ice sheet, although the ice sheet
1281 was probably slightly thinner in MIS 5e (within a few hundred meters of elevation, based
1282 on the total gas content). Thus, if we consider also evidence from the other cores, the ice
1283 sheet shrank substantially under a warm climate, but it persisted in a narrower, steeper
1284 form.

1285 What climate conditions were responsible for driving the ice sheet into this

1286 configuration? The answer is not clear. None of the paleoclimate proxy information is
1287 continuous over time, both precipitation and temperature changes are important, and
1288 some factors related to ice flow are poorly constrained. Cuffey and Marshall (2000; also
1289 see Marshall and Cuffey, 2000) were the first to address this question using the
1290 information from the central Greenland cores as constraints. In particular, Cuffey and
1291 Marshall (2000) noted that oxygen isotope ratios were at least 3.3‰ higher during MIS
1292 5e, and they used this value to constrain the climate forcing on an ice sheet model.
1293 Because the isotopic composition depends on the elevation of the ice-sheet surface as
1294 well as on temperature change at a constant elevation, these analyses generated both
1295 climate histories and ice-sheet histories. Results depended critically on the isotopic
1296 sensitivity parameter relating isotopic composition to temperature and on the way past
1297 accumulation rates are estimated, which have large uncertainties. Furthermore, there was
1298 no attempt to model increased flow in response to changes of calving margins, or
1299 increased flow in response to production of surface meltwater (see Lemke et al., 2007).
1300 Thus, the ice sheet model was conservative; a given climatic temperature change
1301 produced a smaller response in the modeled ice sheet than is expected in nature.

1302 In the reconstruction favored by Cuffey and Marshall (isotopic sensitivity $\alpha =$
1303 0.4‰ per °C), the southern dome of Greenland completely melted after a sustained (for at
1304 least 2,000 years) climate warming (mean annual, but with summer most important) of
1305 approximately 7°C higher than present. In a different scenario (sensitivity $\alpha = 0.67‰$ per
1306 °C), the southern ice sheet margin did not retreat past Dye-3 after a sustained warming of
1307 3.5°C. Thus an intermediate scenario (sustained warming of 5°–6°C) is required, in this
1308 view, to cause the margin to retreat just to Dye-3. Given the conservative representation

1309 of ice dynamics in the model, a smaller sustained warming would in fact be sufficient to
1310 accomplish such a retreat. How much smaller is not known, but it could be quite small.
1311 Outflow of ice can increase by a factor of two in response to modest changes in air and
1312 ocean temperatures at the calving margins (see Lemke et al., 2007).

1313 Mass balance depends on numerous variables that are not modeled, introducing
1314 much uncertainty. Examples of these variables are storm-scale weather controls on the
1315 warmest periods within summers, similar controls on annual snowfall, and increased
1316 warming due to exposure of dark ground as the ice sheet retreats. In contrast to the under-
1317 representation of ice dynamics, however, no major observations show that the models are
1318 fundamentally in error with respect to surface mass-balance forcings.

1319 A hint of a serious error is, however, provided by the record of accumulation rate
1320 from central *Greenland*. During the past about 11,000 years (MIS 1) variations in snow
1321 accumulation and in temperature show no consistent correlation (Cuffey and Clow, 1997;
1322 Kapsner et al., 1995), whereas most models assume that snowfall (and hence
1323 accumulation) will increase with temperature. This lack of correlation suggests that
1324 models are over-predicting the extent to which increased snowfall will partly balance
1325 increased melting in a warmer climate. If this MIS 1 situation in central Greenland
1326 applied to much of the ice sheet in MIS 5e, then models would require less warming to
1327 match the reconstructed ice-sheet footprint. Again, the real ice sheet appears to be more
1328 vulnerable than the model ones. We refer to this observation as only a “hint” of a
1329 problem, however, because snowfall on the center of *Greenland* may not represent
1330 snowfall over the whole ice sheet, for which other climatological influences come into
1331 play.

1332 The climate forcing for the Cuffey and Marshall (2000) ice dynamics model, like
1333 that of most recent models that explore Greenland’s glacial history, is driven by a single
1334 paleoclimate record, the isotope-based surface temperature at the Summit ice core sites.
1335 From this information, temperature and precipitation fields are derived and then
1336 combined to obtain a mass balance forcing over space and time, which is then applied to
1337 the entire ice sheet. This approach can be criticized for eliminating all local-scale climate
1338 variability, but few observations would allow such variability to be adequately specified.

1339 Recent efforts to estimate the minimum MIS 5e ice volume for *Greenland* have
1340 much in common with the Cuffey and Marshall (2000) approach, but they focus on
1341 adding observational constraints that optimize the model parameters. For example, the
1342 new ability to model the movement of materials passively entrained in ice sheets (Clarke
1343 and Marshall, 2002) now allows the predicted and observed isotope profiles at ice core
1344 sites to be compared. By using these capabilities, Tarasov and Peltier (2003) produced
1345 new estimates of MIS 5e ice volume that were constrained by the measured ice-
1346 temperature profiles at *GRIP* and *GISP2* and by the $\delta^{18}\text{O}$ profiles at *GRIP*, *GISP2*, and
1347 *NorthGRIP*. Their conservative estimate is that the *Greenland Ice Sheet* contributed
1348 enough meltwater to cause a 2.0–5.2 m rise in MIS 5e sea level; the more likely range is
1349 2.7–4.5 m—lower than the 4.0–5.5 m estimate of Cuffey and Marshall (2000).

1350 Ice-core sites closer to the ice sheet margins, such as *Camp Century* and *Dye-3*,
1351 better constrain ice extent than do the central Greenland sites (Lhomme et al., 2005).
1352 These authors added a tracer transport capability to the model used by Marshall and
1353 Cuffey (2000) and attempted to optimize the model fit to the isotope profiles at *GRIP*,
1354 *GISP2*, *Dye-3* and *Camp Century*. For now, their estimate of a 3.5–4.5 m maximum MIS

1355 5e sea-level rise attributable to meltwater from the *Greenland Ice Sheet* is the most
1356 comprehensive estimate based on this technique (Lhomme et al., 2005).

1357 The discussion just previous rested on interpretation of paleoclimatic data from
1358 the central Greenland ice cores to drive a model to match the inferred ice-sheet
1359 “footprint” (and sometimes other indicators) and thus learn volume changes in relation to
1360 temperature changes. An alternative approach is to use what we know about climate
1361 forcings to drive a coupled ocean-atmosphere climate model and then test the output of
1362 that model against paleoclimatic data from around the ice sheet. If the model is
1363 successful, then the modeled conditions can be used over the ice sheet to drive an ice-
1364 sheet model to match the reconstructed ice-sheet footprint. From response to forcing
1365 changes we then learn volume changes. This latter approach avoids the difficulty of
1366 inferring the “ α ” parameter relating isotopic composition of ice to temperature, and of
1367 assuming a relation between temperature and snow accumulation, although this latter
1368 approach obviously raises other issues. The latter approach was used by Otto-Bliesner et
1369 al. (2006; also see Overpeck et al., 2006).

1370 The primary forcings of Arctic warmth during MIS 5e are the seasonal and
1371 latitudinal changes in solar insolation at the top of the atmosphere associated with
1372 periodic, cyclical changes in Earth’s orbit (Berger, 1978). Earth’s orbit varies in its
1373 obliquity (the inclination of Earth’s spin axis to the orbital plane, which peaked at about
1374 130 ka), eccentricity (the out-of-roundness of Earth’s elliptical orbit around the Sun), and
1375 precession (the timing of closest approach to the Sun on the elliptical orbit relative to
1376 hemispheric seasons). The net effect of these factors was anomalously high summer
1377 insolation in the Northern Hemisphere during the first half of this interglacial (about 130–

1378 123 ka) (Otto-Bliesner et al., 2006; Overpeck et al., 2006). Atmosphere-Ocean General
1379 Circulation Models of the climate (AOGCMs) have used the MIS 5e seasonal and
1380 latitudinal insolation changes to calculate both the seasonal temperatures and
1381 precipitation of the atmosphere, as well as changes to sea ice and ocean temperatures.
1382 These models simulate approximately correct sensitivity to the MIS 5e orbital forcing.
1383 They reproduce the proxy-derived summer warmth for the Arctic of up to 5°C, and they
1384 place the largest warming over northern Greenland, northeast Canada, and Siberia
1385 (CAPE, 2006; Jansen et al., 2007).

1386 In one of the models that has been extensively analyzed, the NCAR CCSM
1387 (National Center for Atmospheric Research Community Climate System Model), the
1388 orbitally induced warmth of MIS 5e caused loss of snow and sea ice, which in turn
1389 caused positive albedo feedbacks that reduced reflection of sunlight (Otto-Bliesner et al.,
1390 2006). The insolation anomalies increased sea-ice melting early in the northern spring
1391 and summer seasons, and reduced the extent of Arctic sea ice from April into November.
1392 The simulated reduced summer sea ice allowed the North Atlantic to warm, particularly
1393 along coastal regions of the Arctic and the surrounding waters of *Greenland*. Feedbacks
1394 associated with the reduced sea ice around *Greenland* and decreased snow depths on
1395 *Greenland* further warmed *Greenland* during the summer months. In combination with
1396 simulated precipitation rates, which overall were not substantially different from present
1397 rates, the simulated mass balance of the *Greenland Ice Sheet* resulting from the model
1398 was negative. Then, as now, the surface of the ice sheet melted primarily in the summer.

1399 The NCAR CCSM model has a mid-range climate sensitivity among
1400 comprehensive atmosphere-ocean models; that is, this model generates mid-range

1401 warming in response to doubling of CO₂ or other specified forcing (Kiehl and Gent,
1402 2004). Temperatures and precipitation produced by the NCAR CCSM model for 130 ka
1403 were then used to drive an ice-flow model. (The model used an updated version of that
1404 used by Cuffey and Marshall (2000), and thus it also lacked representations of some
1405 physical processes that would accelerate ice-sheet response and increase sensitivity to
1406 climate change.) The ice-flow model simulated the likely configuration of the MIS 5e
1407 *Greenland Ice Sheet*, for comparison with paleoclimatic data on ice-sheet configuration.
1408 In this model, the *Greenland Ice Sheet* proved sensitive to the warmer summer
1409 temperatures when melting was taking place. Increased melting outweighed the increase
1410 in snowfall. For all but the summit of *Greenland* and isolated coastal sites, increased rates
1411 of melting and the extended ablation season led to a negative mass balance in response to
1412 the orbitally induced changes in temperature and snowfall. As the simulated ice sheet
1413 retreated for several millennia, the loss of ice mass lowered the surface of the *Greenland*
1414 *Ice Sheet*, which amplified the negative mass-balance and accelerated retreat. The
1415 *Greenland Ice Sheet* responded to the seasonal orbital forcings because it is particularly
1416 sensitive to warming in summer and autumn, rather than in winter when temperatures are
1417 too cold for melting. The modeled *Greenland Ice Sheet* melted in response to both direct
1418 effects (warmer atmospheric temperatures) and indirect effects (reduction of its altitude
1419 and size).

1420 The simulated MIS 5e *Greenland Ice Sheet* was a steep-sided ice sheet in central
1421 and northern *Greenland* (Otto-Bliesner et al., 2006) (Figure 6.7). The model did not
1422 incorporate feedbacks associated with the exposure of bedrock as the ice sheet retreated,
1423 potential meltwater-driven or ice-shelf-driven ice-dynamical processes, or time-evolving

1424 orbital forcing, so the model was probably less sensitive and more slowly responsive to
1425 warming than the real ice sheet, as noted just above. The lateral extent of the modeled
1426 minimal *Greenland Ice Sheet* was constrained by ice core data (see above). If the
1427 *Greenland Ice Sheet*'s southern dome did not survive the peak interglacial warmth, as
1428 suggested by those data (Koerner and Fisher, 2002; Lhomme et al., 2005), then the model
1429 suggests that the *Greenland Ice Sheet* contributed enough meltwater to account for 1.9–
1430 3.0 m of sea-level rise (another 0.3–0.4 m rise was produced by meltwater from ice on
1431 Arctic Canada and Iceland) for several millennia during the last interglacial. The
1432 evolution through time of the *Greenland Ice Sheet*'s retreat and the linked rate at which
1433 sea level rose cannot be constrained by paleoclimatic observational data or current ice-
1434 sheet models. Furthermore, because the ice-sheet model was forced by conditions
1435 appropriate for 130 ka rather than being forced by more realistic, slowly time-varying
1436 conditions, the details of the modeled time-evolution of the *Greenland Ice Sheet* are not
1437 expected to exactly match reality. Sensitivity studies that set melting of the *Greenland Ice*
1438 *Sheet* at a more rapid rate than suggested by the ice-sheet model indicate that the
1439 meltwater added to the North Atlantic was not sufficient to induce oceanic and other
1440 climate changes that would have inhibited melting of the *Greenland Ice Sheet* (Otto-
1441 Bliesner et al., 2006).

1442

1443

FIGURE 6.7 NEAR HERE

1444

1445

The atmosphere-ocean modeling driven by known forcings produces

1446

reconstructions that match many data from around *Greenland* and the Arctic. The earlier

1447 work of Cuffey and Marshall (2000) had found that a very warm and snowy MIS 5e, or a
1448 more modest warming with less increase in snowfall, could be consistent with the data,
1449 and the atmosphere-ocean model favors the more modest temperature change. (The
1450 results of the different approaches, although broadly compatible, do not agree in detail,
1451 however.) The Otto-Bliesner et al. (2006) modeling leads to a somewhat smaller sea-level
1452 rise from melting of the *Greenland Ice Sheet* than does the earlier work of Cuffey and
1453 Marshall (2000). A temperature rise of 3°–4°C and a sea-level rise of 3–4 m may be
1454 consistent with the data, with notable uncertainties.

1455 Considering all of the efforts summarized above, as little as 1–2 m or as much as
1456 4–5 m of ice may have been removed from the *Greenland Ice Sheet* during MIS 5e, in
1457 response to climatic temperature changes of perhaps 2°–7°C. At least the higher numbers
1458 for the warming are based on estimates that include the feedbacks from melting of the ice
1459 sheet. Central values in the 3–4 m and 3°–4°C range may be appropriate.

1460

1461 **6.3.4 Post-MIS 5e Cooling to the Last Glacial Maximum (LGM, or MIS 2)**

1462 **6.3.4a Climate forcing**

1463 Both climate and ice-sheet reconstructions become more confident for times
1464 younger than MIS 5e. The climatic records derived from ice cores are especially good.
1465 The *Greenland* ice cores, primarily from the *GRIP*, *NGRIP*, and *GISP2* cores but also
1466 from *Camp Century*, *Dye-3*, and *Renland* cores, provide what are probably the most
1467 reliable paleoclimatic records of any sites on Earth (e.g., Cuffey et al., 1995; Dahl-Jensen
1468 et al., 1998; Johnsen et al., 2001; Jouzel et al., 1997; Severinghaus et al., 1998).

1469 The paleoclimate information derived from near-field marine records is less

1470 robust. Because sediment accumulated rapidly in depositional centers adjacent to
1471 glaciated margins, relatively few cores span all of the last 130,000 years. In core HU90-
1472 013 (Figure 6.8) from the Eirik Drift (Stoner et al., 1995), rapid sedimentation buried the
1473 sediments from MIS 5e to about 13 m depth. At that site, the $\delta^{18}\text{O}$ of planktonic
1474 foraminiferal shells changes markedly from MIS 5e to 5d. The change, of close to 1.5‰,
1475 is consistent with cooling as well as ice growth on land, and it is associated with a rapid
1476 increase in magnetic susceptibility that indicates delivery of glacially derived sediments.

1477

1478 **FIGURE 6.8 NEAR HERE**

1479

1480 The broad picture, which is based on ice-core, far-field and near-field marine
1481 records, and more, indicates the following for climatic conditions most relevant to the
1482 *Greenland Ice Sheet*:

- 1483 • a general cooling from MIS 5e (about 123 ka) to MIS 2 (coldest temperatures were at
1484 about 24 ka; Alley et al., 2002),
1485 • warming to the mid-Holocene/MIS 1 a few millennia ago,
1486 • cooling into the Little Ice Age of one to a few centuries ago,
1487 • and then a bumpy warming (see section 6.3.5b, below).

1488 The cooling trend from MIS 5e involved temperature minima in MIS 5d, 5b, and 4 before
1489 reaching the coldest of these minima in MIS 2, with maxima in MIS 5c, 5a, and 3.

1490 Throughout the cooling from MIS 5e to MIS 2, and the subsequent warming into
1491 MIS 1 (the Holocene), shorter-lived “millennial” events occurred. During these events,
1492 central *Greenland* warmed abruptly—roughly 10°C in a few years to decades—cooled

1493 gradually, then cooled more abruptly, gradually warmed slightly, and then repeated the
1494 sequence (Figure 6.9) (also see Alley, 1998). The abrupt coolings were usually spaced
1495 about 1500 years apart, although longer intervals are often observed (e.g., Alley et al.,
1496 2001; Braun et al., 2005).

1497

1498

FIGURE 6.9 NEAR HERE

1499

1500 Marine sediment cores from around the North Atlantic and beyond show
1501 temperature histories closely tied to those recorded in *Greenland* (Bond et al., 1993).
1502 Indeed, the *Greenland* ice cores appear to have recorded quite clearly the template for
1503 millennial climate oscillations around much of the planet (although that template requires
1504 a modified seesaw in far-southern regions (Figure 6.9) (Stocker and Johnsen, 2003)).

1505 Closer to the ice sheet, marine cores display strong oscillations that correlate in
1506 time with that template, but with more complexity in the response (Andrews, 2008).
1507 Figure 6.10, panel A shows data from a transect of cores (Andrews, 2008) and compares
1508 the marine near-surface isotopic variations with $\delta^{18}\text{O}$ data from the *Renland* ice core, just
1509 inland from *Scoresby Sund* (Johnsen et al., 1992a; 2001) (Figure 6.8). The complexity
1510 observed in this comparison likely arises because of the rich nature of the marine
1511 indicators. As noted in section 6.2.1c, above, the oxygen isotope composition of surface-
1512 dwelling foraminiferal shells becomes lighter when the temperature increases and also
1513 when meltwater supply is increased to the system (or meltwater removal is reduced). If
1514 cooling is caused by freshwater-induced reduction in the formation of deep water, then
1515 one may observe either heavier or lighter isotopic ratios, depending on whether the core

1516 primarily reflects the temperature change or the freshwater change. Some of the signals in
1517 Figure 6.10, panel A likely involve delivery of additional meltwater (which could have
1518 had various sources, such as melting of icebergs) to the vicinity of the core during colder
1519 times.

1520

1521 **FIGURE 6.10 NEAR HERE**

1522

1523 The slower tens-of-millennia cycling of the climate records is well explained by
1524 features of Earth's orbit and by associated influences of Earth-system response to the
1525 orbital features (especially changes in atmospheric CO₂ and other greenhouse gases, ice-
1526 albedo feedbacks, and effects of changing dust loading), and strongly modulated by the
1527 response of the large ice sheets (e.g., Broecker, 1995). The faster changes are rather
1528 clearly linked to switches in the behavior of the North Atlantic (e.g., Alley, 2007): colder
1529 intervals mark times of more-extensive wintertime sea ice, and warmer intervals mark
1530 times of lesser sea ice (Denton et al., 2005). These links are in turn coupled to changes in
1531 deep-water formation in the North Atlantic and thus to "conveyor-belt" circulation (e.g.,
1532 Broecker, 1995; Alley, 2007). (Note that a fully quantitative mechanistic understanding
1533 of forcing and response of these faster changes is still being developed; e.g., Stastna and
1534 Peltier, 2007.)

1535 Of particular interest relative to the ice sheets is the observation that iceberg-
1536 rafted debris is much more abundant throughout the North Atlantic during some cold
1537 intervals, called Heinrich events (Figure 6.9). The material in this debris is largely tied to
1538 sources in Hudson Bay and Hudson Strait at the mouth of Hudson Bay, and thus to the

1539 North American *Laurentide Ice Sheet*, but it also contains other materials from almost
1540 everywhere around the North Atlantic (Hemming, 2004).

1541

1542 ***6.3.4b Ice-sheet changes***

1543 With certain qualifications, the behavior of the *Greenland Ice Sheet* during this
1544 interval was closely tied to the climate: the ice sheet expanded with cooling and retreated
1545 with warming. Records are generally inadequate to assess response to millennial changes,
1546 and dating is typically sufficiently uncertain that lead-or-lag relations cannot be
1547 determined with high confidence, but colder temperatures were accompanied by more-
1548 extensive ice.

1549 Furthermore, with some uncertainty, the larger footprint of the *Greenland Ice*
1550 *Sheet* during colder times corresponded with a larger ice volume. This conclusion
1551 emerges both from limited data on total gas content of ice cores (Raynaud et al., 1997)
1552 indicating small changes in thickness, and from physical understanding of the ice-flow
1553 response to changing temperature, accumulation rate, ice-sheet extent, and other changes
1554 in the ice. As described in section 6.1.2, above, the retreat of ice-sheet margins tends to
1555 thin central regions, whereas the advance of margins tends to thicken central regions.
1556 Moreover, because ice thickness in central regions is relatively insensitive to changes in
1557 accumulation rate (or other factors), marginal changes largely dominate the ice-volume
1558 changes.

1559 The best records of ice-sheet response during the cooling into MIS 2 are probably
1560 those from the *Scoresby Sund* region of east *Greenland* (Funder et al., 1998). These
1561 records indicate

- 1562 • ice advances during the coolings of MIS 5d and 5b that did not fully fill the *Scoresby*
1563 *Sund* fjord,
- 1564 • retreats during the relatively warmer MIS 5c and 5a (although 5c and 5a were colder
1565 than MIS 5e or MIS 1; e.g., Bennike and Bocher, 1994),
- 1566 • advance to the mouth of *Scoresby Sund*, probably during MIS 4,
- 1567 • and remaining there into MIS 2, building the extensive moraine at the mouth of the
1568 *Sund*.

1569 Whether ice advanced beyond the mouth of the *Sund* during this interval remains
1570 unclear. Most reconstructions place the ice edge very close to the mouth (e.g.,
1571 Dowdeswell et al., 1994a; Mangerud and Funder, 1994). However, the recent work of
1572 Hakansson et al. (2007) indicates wet-based ice on the south side of the mouth of the
1573 *Sund* at a site that is 250 m above modern sea level at the Last Glacial Maximum (MIS
1574 2). Such a position almost certainly requires ice advance past the mouth. Seismic studies
1575 and cores on the *Scoresby Sund* trough-mouth fan offshore indicate that, on the southern
1576 portion of the fan, debris flows have been deposited fairly recently, whereas on the
1577 northern portion this activity pre-dates MIS 5 (O'Cofaigh et al., 2003). It is not clear how
1578 such debris flow activity occurred unless the ice had advanced well onto the shelf
1579 (O'Cofaigh et al., 2003).

1580 To the south of *Scoresby Sund*, at *Kangerdlugssuaq*, ice extended to the edge of
1581 the continental shelf during about 31–19 ka (Andrews et al., 1997, 1998a; Jennings et al.,
1582 2002a). These data, combined with widespread geomorphic evidence that ice reached the
1583 shelf break around south *Greenland*, are then the primary evidence for extensive ice
1584 cover of this age in southern *Greenland* (Funder et al., 2004; Weidick et al., 2004).

1585 In the Thule region of northwestern *Greenland*, the data are consistent both with
1586 the broad climate picture (the MIS 5e to MIS 2 sequence) and with ice-sheet response as
1587 in *Scoresby Sund* (advances in colder MIS 5d, 5b, 4 (about 59–73 ka) and especially MIS
1588 2, retreats in warmer 5c and 5a, possibly in MIS 3 (about 24–59 ka), and surely in MIS 1;
1589 see Figure 6.6 for general chronology) (Kelly et al., 1999). However, the dating is not
1590 secure enough to insist on much beyond the warmth of MIS 5e (marked by retreated ice),
1591 the cold of MIS 2 (marked by notably expanded ice), and the ice’s subsequent retreat.

1592 The extent of ice at the glacial maximum also remains in doubt in the
1593 northwestern part of the *Greenland Ice Sheet*. The submarine moraines at the edge of the
1594 continental shelf are poorly dated. Ice from *Greenland* did merge with that from
1595 *Ellesmere Island*, thus joining the great *Greenland Ice Sheet* with the Inuitian sector of
1596 the North American *Laurentide Ice Sheet* (England, 1999; Dyke et al., 2002). However,
1597 whether ice advanced to the edge of the continental shelf in widespread regions to the
1598 north and south of the merger zone is poorly understood (Blake et al., 1996; Kelly et al.,
1599 1999). A recent reconstruction (Funder et al., 2004) favors advance of grounded ice to the
1600 shelf edge in the northwest, merging with North American ice, and with the merged ice
1601 spreading to the northeast and southwest along what is now *Nares Strait* to feed ice
1602 shelves extending toward the Arctic Ocean and *Baffin Bay*. The lack of a high marine
1603 limit just south of *Smith Sund* (Sound) in the northwest is prominent in that
1604 interpretation—more-extensive ice would have pushed the land down more and allowed
1605 the ocean to advance farther inland following deglaciation, and then subsequent isostatic
1606 uplift would have raised the marine deposits higher. But, a trade-off does exist between
1607 slow retreat and small retreat in controlling the marine limit. This trade-off has been

1608 explored by some workers (e.g., Huybrechts, 2002; Tarasov and Peltier, 2002), but the
1609 relative sea-level data are not as sensitive to the earlier part (about 24 ka) as to the later,
1610 and so strong conclusions are not available.

1611 Thus, the broad picture of ice advance in cooling conditions and ice retreat in
1612 warming conditions is quite clear. Remaining issues include the extent of advance onto
1613 the continental shelf (and if it was limited, why), and the rates and times of response.

1614 We will look first at ice extent. The generally accepted picture has been one of
1615 expansion to the edge of the continental shelf in the south, much more limited expansion
1616 in the north, and a transition somewhere between *Kangerdlugssuaq* and *Scoresby Sund*
1617 on the east coast (Dowdeswell et al., 1996). On the west coast, the moraines that typically
1618 lie 30–50 km beyond the modern coastline (and even farther along troughs) are usually
1619 identified with MIS 2. The shelf-edge moraines (usually called Hellefisk moraines and
1620 usually roughly twice as far from the modern coastline as the presumably MIS 2
1621 moraines) are usually identified with MIS 6, although few solid dates are available
1622 (Funder and Larsen, 1989). On the east coast, the evidence from the mouth of *Scoresby*
1623 *Sund* and the trough-mouth fan, noted above in this section, opens the possibility of
1624 more-extensive ice there than is indicated by the generally accepted picture; ice may have
1625 extended to the mid-shelf or the shelf edge. Similarly, the work of Blake et al. (1996) in
1626 *Greenland's* far northwest may indicate that ice reached the shelf edge. The indications
1627 of Blake et al. (1996) are geomorphically consistent with wet-based ice. The increasing
1628 realization that cold-based ice is sometimes extensive yet geomorphically inactive (e.g.,
1629 England, 1999) further complicates interpretations. No evidence overturns the
1630 conventional view of expansion to the shelf-edge in the south, expansion to merge with

1631 North American ice in the northwest, and expansion onto the continental shelf but not to
1632 the shelf-edge elsewhere. Thus, this interpretation is probably favored, but additional data
1633 would clearly be of interest.

1634 Glaciological understanding indicates that ice sheets almost always respond to
1635 climatic or other environmental forcings (such as sufficiently large sea-level change). The
1636 most prominent exception may be advance to the edge of the continental shelf under
1637 conditions that would allow further advance if a huge topographic step in the sea floor
1638 were not present. (Similarly, ice may not respond to relatively small climate changes,
1639 such as during the advance stage of the tidewater-glacier cycle (Meier and Post, 1987)). If
1640 this assessment is accurate, and if the *Greenland Ice Sheet* at the time of the Last Glacial
1641 Maximum terminated somewhere on the continental shelf rather than at the shelf edge
1642 around part of the coastline, then glaciological understanding indicates that the ice sheet
1643 should have responded to short-lived climate changes.

1644 The near-field marine record is consistent with such fluctuations, as discussed
1645 next. However, owing to the complexity of the controls on the paleoclimatic indicators,
1646 unambiguous interpretations are not possible.

1647 Several marine sediment cores extend back through MIS 3 and even into MIS 4
1648 (the cores were obtained from *Baffin Bay*, the *Eirik Drift* off southwestern *Greenland*, the
1649 *Irminger* and *Blosseville Basins* (e.g., cores SU90-24 & PS2264, Figure 6.8), and from
1650 the *Denmark Strait*) (Figure 6.8). In many of those cores, the $\delta^{18}\text{O}$ of near-surface
1651 planktic foraminifers varies widely during MIS 3. These variations were initially
1652 documented by Fillon and Duplessy (1980) in cores HU75-041 and -042 from south of
1653 *Davis Strait* (Figures 6.8 and 6.10, panel B), and this documentation preceded the

1654 recognition of large millennial oscillations (Dansgaard-Oeschger or D-O events; Johnsen
1655 at al., 1992b, Dansgaard et al., 1993) in the *Greenland* ice core records. In addition,
1656 Fillon and Duplessy (1980) also contributed information on the down-core numbers of
1657 volcanic-ash (tephra) shards in these two cores. These authors identified “Ash Zone B” in
1658 core HU75-042, which is correlated with the North Atlantic Ash Zone II, for which the
1659 current best-estimate age is about 54 ka (Figure 6.10B; it is associated with the end of
1660 interstadial 15 as identified by Dansgaard et al., 1993). Subsequent work, especially north
1661 and south of *Denmark Strait*, has also shown large oscillations in planktonic
1662 foraminiferal $\delta^{18}\text{O}$ (Elliott et al., 1998; Hagen, 1999; van Kreveld et al., 2000; Hagen and
1663 Hald, 2002). As noted in section 6.3.4a, above, and shown in Figure 6.10A, the transect
1664 of cores appears to show both climate forcing and ice-sheet response in the millennial
1665 oscillations, although strong conclusions are not possible.

1666 Cores from the *Scoresby Sund* and *Kangerdlugssuaq* trough mouth fans, two of
1667 the major outlets of the eastern *Greenland Ice Sheet*, also have distinct layers that are rich
1668 in ice-rafted debris (Stein et al., 1996; Andrews et al., 1998a; Nam and Stein, 1999).
1669 Cores HU93030-007 and MD99-2260 from the *Kangerdlugssuaq* trough-mouth fan
1670 (Dunhill, 2005) (Figure 6.8) consist of alternating layers with more and less ice-rafted
1671 debris that overlie a massive debris flow. Material above the debris flow is dated about 35
1672 ka. The debris-rich layers have radiocarbon dates that are approximately coeval with
1673 Heinrich events 3 and 2 (Figure 6.9). On the *Scoresby Sund* trough-mouth fan, Stein et al
1674 (1996) also recorded intervals rich in ice-rafted debris that they quantified by counting
1675 the number of clasts greater than 2 mm as observed on X-rays. Although these cores are
1676 not as well dated as many from sites south of the Scotland-Greenland Ridge, they do

1677 indicate that such debris was delivered to the fan in pulses that may be approximately
1678 coeval with the North Atlantic Heinrich events.

1679 Although several reports have invoked the Iceland Ice Sheet as a major
1680 contributor to North Atlantic sediment (Bond and Lotti, 1995; Elliot et al., 1998;
1681 Grousset et al., 2001), Farmer et al. (2003) and Andrews (2008) have questioned this
1682 assertion. They argue that the eastern *Greenland Ice Sheet* has been an ignored source of
1683 ice-rafted debris in the eastern North Atlantic south of the Scotland-Greenland Ridge. In
1684 particular, Andrews (2008) argued that the data from *Iceland* and *Denmark Strait*
1685 precluded any Icelandic contribution for Heinrich event 3. As noted by Huddard et al
1686 (2006), the area of the Iceland Ice Sheet during the Last Glacial Maximum was only
1687 200,000 km² with an annual loss of ~600 km³, and only ~150 km³ of this loss was
1688 associated with calving. This is less than one-half the estimated calving rate of the
1689 present day *Greenland Ice Sheet* (Reeh, 1985).

1690 The marine evidence from the western margin of the *Greenland Ice Sheet* for
1691 fluctuations of the ice sheet during MIS 3 is confounded by two facts: there are no
1692 published chronologies from the trough-mouth fan off *Disko Island*, and the stratigraphic
1693 record from *Baffin Bay* consists of glacially derived sediments from the *Greenland Ice*
1694 *Sheet* and from the *Laurentide Ice Sheet* including its Inuitian section (Dyke et al.,
1695 2002). Evidence for major ice-sheet events during MIS 3 is abundant, as is seen
1696 throughout *Baffin Bay* in layers rich in carbonate clasts transported from adjacent
1697 continental rocks (Aksu, 1985; Andrews et al., 1998b; Parnell et al., 2007) (Figure 6.11).

1698

1699

FIGURE 6.11 NEAR HERE

1700

1701 Core PS1230 from Fram Strait, which records the export of sediments from ice
1702 sheets around the Arctic Ocean (Darby et al., 2002), shows ice-rafted debris intervals
1703 associated with major contributions from north *Greenland* about 32, 23, and 17 ka. These
1704 debris intervals correspond closely in timing with ice-rafted debris events from the Arctic
1705 margins of the *Laurentide Ice Sheet*.

1706 The fact that ice-rafted debris does not directly indicate ice-sheet behavior
1707 presents a continuing difficulty. Iceberg rafting of debris at an offshore site may increase
1708 owing to several possible factors: faster flow of ice from an adjacent ice sheet; flow of ice
1709 containing more clasts; loss of an ice shelf (most ice shelves experience basal melting,
1710 tending to remove debris in the ice, so ice-shelf loss would allow calving of bergs bearing
1711 more debris); cooling of ocean waters that allows icebergs—and their debris—to reach a
1712 site, loss of extensive coastal sea ice that allows icebergs to reach sites more rapidly
1713 (Reeh, 2004), alterations in currents or winds that control iceberg drift tracks, or other
1714 changes. The very large changes in volume of incoming sediment from the North
1715 American *Laurentide Ice Sheet* during Heinrich events (Hemming, 2004) are generally
1716 interpreted to be true indicators of ice-dynamical changes (e.g., Alley and MacAyeal,
1717 1994), but even that is debated (e.g., Hulbe et al., 2004). Thus, the marine-sediment
1718 record is consistent with *Greenland* fluctuations in concert with millennial variability
1719 during the cooling into MIS 2. Moreover, trained observers have interpreted the records
1720 as indicating millennial oscillations of the *Greenland Ice Sheet* in concert with climate,
1721 but those fluctuations cannot be demonstrated uniquely.

1722

1723 **6.3.5 Ice-Sheet Retreat from the Last Glacial Maximum (MIS 2)**1724 **6.3.5a Climatic history and forcing**

1725 As shown in Figure 6.9 (also see Alley et al., 2002), the coldest conditions recorded in
1726 *Greenland* ice cores since MIS 6 were reached about 24 ka, which corresponds closely in
1727 time with the minimum in local midsummer sunshine and with Heinrich Event H2. The
1728 suite of sediment cores from *Denmark Strait* (Figures 6.8 and 6.10A) plus data from other
1729 sediment cores (VM28-14 and HU93030-007) indicate that the most extreme values
1730 indicating Last Glacial Maximum in $\delta^{18}\text{O}$ of marine foraminifera occurred ~18–20 ka
1731 (slightly younger than the Last Glacial Maximum values in the ice cores) with values of
1732 4.6‰ indicating cold, salty waters.

1733 The “orbital” warming signal in ice-core records and other climate records is
1734 fairly weak until perhaps 19 ka or so (Alley et al., 2002). The very rapid onset of warmth
1735 about 14.7 ka (the Bølling interstadial) is quite prominent. However, more than a third of
1736 the total deglacial warming was achieved before that abrupt step, and that pre-14.7 ka
1737 orbital warming was interrupted by Heinrich event H1. Bølling warmth was followed by
1738 general cooling (punctuated by two prominent but short-lived cold events, usually called
1739 the Older Dryas and the Inter-Allerød cold period), before faster cooling led into the
1740 Younger Dryas about 12.8 ka. Gradual warming then occurred through the Younger
1741 Dryas, followed by a step warming at the end of the Younger Dryas about 11.5 ka. This
1742 abrupt warming was followed by ramp warming to above recent values by 9 ka or so,
1743 punctuated by the short-lived cold event of the Preboreal Oscillation about 11.2–11.4 ka
1744 (Bjorck et al., 1997; Geirsdottir et al., 1997; Hald and Hagen, 1998; Fisher et al., 2002;
1745 Andrews and Dunhill, 2004; van der Plicht et al., 2004; Kobashi et al., in press), and

1746 followed by the short-lived cold event about 8.3–8.2 ka (the “8k event”; e.g., Alley and
1747 Agustsdottir, 2005).

1748 The cold times of Heinrich events H2, H1, the Younger Dryas, the 8k event, and
1749 probably other short-lived cold events including the Preboreal Oscillation are linked to
1750 greatly expanded wintertime sea ice in response to decreases in near-surface salinity and
1751 to the strength of the overturning circulation in the North Atlantic (see review by Alley,
1752 2007). The cooling associated with these oceanic changes probably affected summers in
1753 and around *Greenland* (but see Bjorck et al., 2002 and Jennings et al., 2002a), but the
1754 changes were largest in wintertime (Denton et al., 2005).

1755 Peak MIS 1/Holocene summertime warmth before and after the 8.2-ka event was,
1756 for roughly millennial averages, $\sim 1.3^{\circ}\text{C}$ above late Holocene values in central *Greenland*,
1757 based on frequency of occurrence of melt layers in the *GISP2* ice core (Alley and
1758 Anandakrishnan, 1995), with mean-annual changes slightly larger although still smaller
1759 than $\sim 2^{\circ}\text{C}$ (and with correspondingly larger wintertime changes); other indicators are
1760 consistent with this interpretation (Alley et al., 1999). Indicators from around *Greenland*
1761 similarly show mid-Holocene warmth, although with different sites often showing peak
1762 warmth at slightly different times (Funder and Fredskild, 1989). Peak Holocene warmth
1763 was followed by cooling (with oscillations) into the Little Ice Age. The ice-core data
1764 indicate that the century- to few-century-long anomalous cold of the Little Ice Age was
1765 $\sim 1^{\circ}\text{C}$ or slightly more (Johnsen, 1977; Alley and Koci, 1990; Cuffey et al., 1994).

1766

1767 **6.3.5b Ice-sheet changes**

1768 The *Greenland Ice Sheet* lost about 40% of its area (Funder et al., 2004) and a

1769 notable fraction of its volume (see below; also Elverhoi et al., 1998) after the peak of the
1770 last glaciation about 24–19 ka. These losses are much less than those of the warmer
1771 Laurentide and Fennoscandian Ice Sheets (essentially complete loss) and much more than
1772 those in the colder Antarctic.

1773 The time of onset of retreat from the Last Glacial Maximum is poorly defined
1774 because most of the evidence is now below sea level. Funder et al. (1998) suggested that
1775 the ice was most extended in the *Scoresby Sund* area from about 24,000 to about 19,000
1776 ka, on the basis of a comparison of marine and terrestrial data. This interval started at the
1777 coldest time in *Greenland* ice cores (which corresponds with the millennial Heinrich
1778 event H2) and extends to roughly the time when sea-level rise became notable because
1779 many ice masses around the world retreated (e.g., Peltier and Fairbanks, 2006).

1780 Extensive deglaciation that left clear records is typically more recent. For
1781 example, a core from Hall Basin (core 79, Figure 6.8), the northernmost of a series of
1782 basins that lie between northwest *Greenland* and Ellesmere Island, has a date on hand-
1783 picked foraminifers of about 16.2 ka. This date implies that the land ice flowing to the
1784 Arctic Ocean had retreated by this time (Mudie et al., 2006). At *Sermilik Fjord* in
1785 southwest *Greenland*, retreat from the shelf preceded about 16 ka (Funder, 1989c). The
1786 ice was at the modern coastline or back into the fjords along much of the coast by
1787 approximately Younger Dryas time (13–11.5 ka, but with no implication that this position
1788 is directly linked to the climatic anomaly of the Younger Dryas) (Funder, 1989c;
1789 Marienfeld, 1992b; Andrews et al., 1996; Jennings et al., 2002b; Lloyd et al., 2005;
1790 Jennings et al., 2006). In the Holocene, the marine evidence of ice-rafted debris from the
1791 east-central *Greenland* margin (Marienfeld, 1992a; Andrews et al., 1997; Jennings et al.,

1792 2002a; Jennings et al., 2006) shows a tripartite record with early debris inputs, a middle-
1793 Holocene interval with very little such debris, and a late Holocene (neoglacial) period
1794 that spans the last 5–6 ka of steady delivery of such debris (Figure 6.12).

1795

1796

FIGURE 6.12 NEAR HERE

1797

1798 Along most of the *Greenland* coast, radiocarbon dates much older than the end of
1799 Younger Dryas time are rare, likely because of persistent cover by the *Greenland Ice*
1800 *Sheet*. Radiocarbon dates become common near the end of the Younger Dryas and
1801 especially during the Preboreal interval, and they remain common for all younger ages,
1802 indicating deglaciation (Funder, 1989a,b,c). The term “Preboreal” typically refers to the
1803 millennium-long interval following the Younger Dryas; the Preboreal Oscillation is a
1804 shorter-lived cold event within this interval, but the terminology has sometimes been
1805 used loosely in the literature. Owing to uncertainty about the radiocarbon “reservoir” age
1806 of the waters in which mollusks lived and other issues, it typically is not possible to
1807 assess whether a given date traces to the Preboreal Oscillation or the longer Preboreal.
1808 These uncertainties typically preclude linking a particular date with Preboreal or with
1809 Younger Dryas.

1810 Given the prominence of the end of the Younger Dryas cold event in ice-core
1811 records (it was marked by a temperature increase of about 10°C in about 10 years;
1812 Severinghaus et al., 1998), it may seem surprising at first that widespread moraines
1813 abandoned in response to that warming have not been identified with confidence. Part of
1814 the difficulty is solved by the hypothesis of Denton et al. (2005), who argued that most of

1815 the warming occurred in winter. Bjorck et al. (2002) and Jennings et al. (2002a) argued
1816 for notable summertime warmth in *Greenland* during the Younger Dryas, but from
1817 Denton et al. (2005) and Lie and Paasche (2006), at least some warming or lengthening
1818 of the melt season probably occurred at the end of the Younger Dryas. The terminal
1819 Younger Dryas warming then would be expected to have affected glacier and ice-sheet
1820 behavior.

1821 All ice-core records from *Greenland* show clearly that the temperature drop into
1822 the Younger Dryas was followed by a millennium of slow warming before the rapid
1823 warming at the end (Johnsen et al., 2001; North Greenland Ice Core Project Members,
1824 2004). The slow warming perhaps reflected rising mid-summer insolation (a function of
1825 Earth's orbit) during that time. The Younger Dryas was certainly long enough for coastal
1826 mountain glaciers to reflect both the cooling into the event and the warming during the
1827 event before the terminal step. The ice-sheet margin probably would have been
1828 influenced by these changes as well (as discussed in section 6.3.4b, above, and in this
1829 section below). If the ice margin did advance with the cooling into the Younger Dryas,
1830 and did retreat during the Younger Dryas and its termination, then moraine sets would be
1831 expected from near the start of the Younger Dryas and from the cooling of the Preboreal
1832 Oscillation after the Younger Dryas (perhaps with minor moraines marking small events
1833 during the latter-Younger Dryas retreat). Because so much of the ice-sheet margin was
1834 marine at the start of the Younger Dryas, events of that age would not be recorded well.

1835 Much study has focused on the spectacular late-glacial moraines of the *Scoresby*
1836 *Sund* region of east Greenland (Funder et al., 1998; Denton et al., 2005). Funder et al.
1837 (1998) suggested that the last resurgence of glaciers in the region, known as the Milne

1838 Land Stade, was correlated with the Preboreal Oscillation, although a Younger Dryas age
1839 for at least some of the moraines, perhaps with both Preboreal Oscillation and Younger
1840 Dryas present, cannot be excluded (Funder et al., 1998; Denton et al., 2005). Data and
1841 modeling remain sufficiently sketchy that strong conclusions do not seem warranted, but
1842 the available results are consistent with rapid response of the ice to forcing, with warming
1843 causing retreat.

1844 Retreat of the ice sheet from the coastline passed the position of the modern ice
1845 margin about 8 ka and continued well inland, perhaps more than 10 km in west
1846 *Greenland* (Funder, 1989c), up to 20 km in north *Greenland* (Funder, 1989b), and
1847 perhaps as much as 60 km in parts of south *Greenland* (Tarasov and Peltier, 2002).
1848 Reworked marine shells and other organic matter of ages 7–3 ka found on the ice surface
1849 and in younger moraines document this retreat (Weidick et al., 1990; Weidick, 1993). In
1850 west *Greenland*, the general retreat from the coast was interrupted by intervals during
1851 which moraines formed, especially about 9.5–9 ka and 8.3 ka (Funder, 1989c). These
1852 moraines are not all of the same age and are not, in general, directly traceable to the
1853 short-lived 8k cold event about 8.3–8.2 ka (Long et al., 2006). Timing of the onset of late
1854 Holocene readvance is not tightly constrained. Funder (1989c) suggested about 3 ka for
1855 west *Greenland*, the approximate time when relative a sea-level fall (from isostatic
1856 rebound of the land) switched to begin a relative sea-level rise of about 5 m (perhaps in
1857 part a response to depression of the land by the advancing ice load). Similar
1858 considerations place the onset of readvance somewhat earlier in the south, where relative
1859 sea-level fall switched to relative rise of about 10 m beginning about 8–6 ka (Sparrenbom
1860 et al., 2006a; 2006b).

1861 The late Holocene advance culminated in different areas at different times,
1862 especially in the mid-1700s, 1850–1890, and near 1920 (Weidick et al., 2004). Since
1863 then, ice has retreated from this maximum.

1864 Evidence of relative sea-level changes is consistent with this history (Funder,
1865 1989d; Tarasov and Peltier, 2002; 2003; Fleming and Lambeck, 2004). Flights of raised
1866 beaches or other marine indicators are observed on many coasts of *Greenland*, and they
1867 lie as much as 160 m above modern sea level in west Greenland.

1868 Fleming and Lambeck (2004) used an iterative technique to reconstruct the ice-
1869 sheet volume over time to match relative sea-level curves. They obtained an ice-sheet
1870 volume at the time of the Last Glacial Maximum about 42% larger than modern (3.1 m of
1871 additional sea-level equivalent in the ice sheet, compared with the modern value of 7.3 m
1872 of sea-level equivalent; interestingly, Huybrechts (2002) obtained a model-based estimate
1873 of 3.1 m of excess ice at the Last Glacial Maximum). Fleming and Lambeck (2004)
1874 estimated that 1.9 m of the 3.1 m of excess ice during the Last Glacial Maximum
1875 persisted at the end of the Younger Dryas. In their reconstruction, ice of the Last Glacial
1876 Maximum terminated on the continental shelf in most places, but it extended to or near
1877 the shelf edge in parts of southern Greenland, northeast Greenland, and in the far
1878 northwest where the *Greenland Ice Sheet* coalesced with the Inuitian ice from North
1879 America. Ice along much of the modern coastline was more than 500 m thick, and it was
1880 more than 1500 m thick in some places. Mid-Holocene retreat of about 40 km behind the
1881 present margin before late Holocene advance was also indicated. Rigorous error limits
1882 are not available, and modeling of the Last Glacial Maximum did not include the effects
1883 of the Holocene retreat behind the modern margin, so additional uncertainty is

1884 introduced.

1885 In the ICE5G model, Peltier (2004) (with a Greenland Ice Sheet history based on
1886 Tarasov and Peltier, 2002) found that the relative sea-level data were inadequate to
1887 constrain Greenland ice-sheet volume accurately. In particular, these constraints provide
1888 only a partial history of the ice-sheet footprint and no information on the small—but
1889 nonzero—changes inland. Thus, Tarasov and Peltier (2002; 2003) and Peltier (2004)
1890 chose to combine ice-sheet and glacial isostatic adjustment modeling with relative-sea-
1891 level observations to derive a model of the ice-sheet geometry extending back to the
1892 Eemian (MIS 5e, about 125–130 ka). The previous ICE4G reconstruction had been
1893 characterized by an excess ice volume during the Last Glacial Maximum, relative to the
1894 present, of 6 m; this volume is reduced to 2.8 m in ICE5G. Later shrinkage of the
1895 *Greenland Ice Sheet* largely occurred in the last 10 ka in the ICE5G reconstruction, and
1896 proceeded to a mid-Holocene (7-6 ka) volume about 0.5 m less than at present, before
1897 regrowth to the modern volume.

1898 The 20th century warmed from the Little Ice Age to about 1930, sustained
1899 warmth into the 1960s, cooled, and then warmed again since about 1990 (e.g., Box et al.,
1900 2006). The earlier warming caused marked ice retreat in many places (e.g., Funder,
1901 1989a; 1989b; 1989c), and retreat and mass loss are now widespread (e.g., Alley et al.,
1902 2005). Study of declassified satellite images shows that at least for *Helheim Glacier* in
1903 the southeast of Greenland, the ice was in a retreated position in 1965, advanced after that
1904 during a short-lived cooling, and has again switched to retreat (Joughin et al., 2008b).
1905 This latest phase of retreat is consistent with global positioning system–based inferences
1906 of rapid melting in the southeastern sector of the *Greenland Ice Sheet* (Khan et al., 2007).

1907 It is also consistent with GRACE satellite gravity observations, which indicate a mean
1908 mass loss in the period April 2002–April 2006 equivalent to 0.5 mm/yr of globally
1909 uniform sea-level rise (Velicogna and Wahr, 2006).

1910 As discussed in section 6.2.2e, above, geodetic measurements of perturbations in
1911 Earth’s rotational state can also help constrain the recent ice-mass balance. Munk (2002)
1912 suggested that length-of-day and true-polar-wander data were well fit by a model of
1913 ongoing glacial isostatic adjustment, and that this fit precluded a contribution from the
1914 *Greenland Ice Sheet* to recent sea-level rise. Mitrovica et al. (2006) reanalyzed the
1915 rotation data and applied a new theory of true polar wander induced by glacial isostatic
1916 adjustment. They found that an anomalous 20th-century contribution of as much as about
1917 1 mm/yr of sea-level rise is consistent with the data; the partitioning of this value into
1918 signals from melting of mountain glaciers, Antarctic ice, and the *Greenland Ice Sheet* is
1919 non-unique. Interestingly, Mitrovica et al. (2001) analyzed a set of robust tide-gauge
1920 records and found that the geographic trends in the glacial isostatic adjustment–corrected
1921 rates suggested a mean 20th century melting of the *Greenland Ice Sheet* equivalent to
1922 about 0.4 mm/yr of sea-level rise.

1923

1924 **6.4 Discussion**

1925 Glaciers and ice sheets are highly complex, and they are controlled by numerous
1926 climatic factors and by internal dynamics. Textbooks have been written on the controls,
1927 and no complete list is possible. The attribution of a given ice-sheet change to a particular
1928 cause is generally difficult, and it requires appropriate modeling and related studies.

1929 It remains, however, that in the suite of observations as a whole, the behavior of

1930 the *Greenland Ice Sheet* has been more closely tied to temperature than to anything else.
1931 The *Greenland Ice Sheet* shrank with warming and grew with cooling. Because of the
1932 generally positive relation between temperature and precipitation (e.g., Alley et al.,
1933 1993), the ice sheet has tended to grow with reduced precipitation (snowfall) and to
1934 shrink when the atmospheric mass supply increased, so precipitation changes cannot have
1935 controlled ice-sheet behavior. However, local or regional events may at times have been
1936 controlled by precipitation.

1937 The hothouse world of the dinosaurs and into the Eocene occurred with no
1938 evidence of ice reaching sea level in *Greenland*. The long-term cooling that followed is
1939 correlated in time with appearance of ice in *Greenland*.

1940 Once ice appeared, paleoclimatic archives record fluctuations that closely match
1941 not only local but also widespread records of temperature, because local temperatures
1942 correlate closely with more-widespread temperatures. Because any ice-albedo feedback
1943 or other feedbacks from the *Greenland Ice Sheet* itself are too weak to have controlled
1944 temperatures far beyond *Greenland*, the arrow of causation cannot have run primarily
1945 from the ice sheet to the widespread climate.

1946 One must consider whether something controlled both the temperature and the ice
1947 sheet, but this possibility appears unlikely. The only physically reasonable control would
1948 be sea level, in which warming caused melting of ice beyond *Greenland*, and the
1949 resultant sea-level rise forced retreat of the *Greenland Ice Sheet* by floating marginal
1950 regions and speeding iceberg calving and ice-flow spreading. However, data point to
1951 times when this explanation is not sufficient. There at least is a suggestion at MIS 6 that
1952 *Greenland* deglaciation led strong global sea-level rise, as described in section 6.3.2b,

1953 above. Ice expanded from MIS 5e to MIS 5d from a reduced ice sheet, which would have
1954 had little contact with the sea. Much of the retreat from the MIS 2 maximum took place
1955 on land, although fjord glaciers did contact the sea. Ice re-expanded after the mid-
1956 Holocene warmth against a baseline of very little change in sea level but in general with
1957 slight sea-level rise—opposite to expectations if sea-level controls the ice sheet.
1958 Similarly, the advance of Helheim Glacier after the 1960s occurred with a slightly rising
1959 global sea level and probably a slightly rising local sea level.

1960 At many other times the ice-sheet size changed in the direction expected from
1961 sea-level control as well as from temperature control, because trends in temperature and
1962 sea level were broadly correlated. Strictly on the basis of the paleoclimatic record, it is
1963 not possible to disentangle the relative effects of sea-level rise and temperature on the ice
1964 sheet. However, it is notable that terminal positions of the ice are marked by sedimentary
1965 deposits; although erosion in *Greenland* is not nearly as fast as in some mountain belts
1966 such as coastal *Alaska*, notable sediment supply to grounding lines continues. And, as
1967 shown by Alley et al. (2007), such sedimentation tends to stabilize an ice sheet against
1968 the effects of relative rise in sea level. Although a sea-level rise of tens of meters could
1969 overcome this stabilizing effect, the ice would need to be nearly unaffected for many
1970 millennia by other environmental forcings, such as changing temperature, to allow that
1971 much sea-level rise to occur and control the response (Alley et al., 2007). Strong
1972 temperature control on the ice sheet is observed for recent events (e.g., Zwally et al.,
1973 2002; Thomas et al., 2003; Hanna et al., 2005; Box et al., 2006) and has been modeled
1974 (e.g., Huybrechts and de Wolde, 1999; Huybrechts, 2002; Toniazzo et al., 2004; Ridley et
1975 al., 2005; Gregory and Huybrechts, 2006).

1976 Thus, it is clear that many of the changes in the ice sheet were forced by
1977 temperature. In general, the ice sheet responded oppositely to that expected from changes
1978 in precipitation, retreating with increasing precipitation. Events explainable by sea-level
1979 forcing but not by temperature change have not been identified. Sea-level forcing might
1980 yet prove to have been important during cold times of extensively advanced ice; however,
1981 the warm-time evidence of Holocene and MIS 5e changes that cannot be explained by
1982 sea-level forcing indicates that temperature control was dominant.

1983 Temperature change may affect ice sheets in many ways, as discussed in section
1984 6.1.2. Warming of summertime conditions increases meltwater production and runoff
1985 from the ice-sheet surface, and may increase basal lubrication to speed mass loss by
1986 iceberg calving into adjacent seas. Warmer ocean waters (or more-vigorous circulation of
1987 those waters) can melt the undersides of ice shelves, which reduces friction at the ice-
1988 water interface and so increases flow speed and mass loss by iceberg calving. In general,
1989 the paleoclimatic record is not yet able to separate these influences, which leads to the
1990 broad use of “temperature” in discussing ice-sheet forcing. In detail, ocean temperature
1991 will not exactly correlate with atmospheric temperature, so the possibility may exist that
1992 additional studies could quantify the relative importance of changes in ocean and in air
1993 temperatures.

1994 Most of the forcings of past ice-sheet behavior considered here have been applied
1995 slowly. Orbital changes in sunshine, greenhouse-gas forcing, and sea level have all varied
1996 on 10,000-year timescales. Purely on the basis of paleoclimatic evidence, it is generally
1997 not possible to separate the ice-volume response to incremental forcing from the
1998 continuing response to earlier forcing. In a few cases, sufficiently high time resolution

1999 and sufficiently accurate dating are available to attempt this separation for ice-sheet area.
2000 At least for the most recent events during the last decades of the 20th century and into the
2001 21st century, ice-marginal changes have tracked forcing, with very little lag. The data on
2002 ice-sheet response to earlier rapid forcing, including the Younger Dryas and Preboreal
2003 Oscillation, remain sketchy and preclude strong conclusions, but results are consistent
2004 with rapid temperature-driven response.

2005 A summary of many of the observations is given in Figure 6.13, which shows
2006 changes in ice-sheet volume in response to temperature forcing from an assumed
2007 “modern” equilibrium (before the warming of the last decade or two). Error bars cannot
2008 be placed with confidence. A discussion of the plotted values and error bars is given in
2009 the caption to Figure 6.13. Some of the ice-sheet change may have been caused directly
2010 by temperature and some by sea-level effects correlated with temperature; the techniques
2011 used cannot separate them (nor do modern models allow complete separation; Alley et
2012 al., 2007). However, as discussed above in this section, temperature likely dominated,
2013 especially during warmer times when contact with the sea was reduced because of ice-
2014 sheet retreat. Again, no rates of change are implied. The large error bars on Figure 6.13
2015 remain disturbing, but general covariation of temperature forcing and sea-level change
2016 from *Greenland* is indicated. The decrease in sensitivity to temperature with decreasing
2017 temperature also is physically reasonable; if the ice sheet were everywhere cooled to well
2018 below the freezing point, then a small warming would not cause melting and the ice sheet
2019 would not shrink.

2020

2021

FIGURE 6.13 NEAR HERE

2022

2023 **6.5 Synopsis**

2024 Paleoclimatic data show that the *Greenland Ice Sheet* has changed greatly with
2025 time. Physical understanding indicates that many environmental factors can force
2026 changes in the size of an ice-sheet. Comparison of the histories of important forcings and
2027 of ice-sheet size implicates cooling as causing ice-sheet growth, warming as causing
2028 shrinkage, and sufficiently large warming as causing loss. The evidence for temperature
2029 control is clearest for temperatures similar to or warmer than recent temperatures (the last
2030 few millennia). Snow accumulation rate is inversely related to ice-sheet volume (less ice
2031 when snowfall is higher), and thus the snow-accumulation rate in general is not the
2032 leading control on ice-sheet change. Rising sea level tends to float marginal regions of ice
2033 sheets and force retreat, so the generally positive relation between sea level and
2034 temperature means that typically both reduce the volume of the ice sheet. However, for
2035 some small changes during the most recent millennia, marginal fluctuations in the ice
2036 sheet have been opposed to those expected from local relative sea-level forcing but in the
2037 direction expected from temperature forcing. These fluctuations, plus the tendency of ice-
2038 sheet margins to retreat from the ocean during intervals of shrinkage, indicate that sea-
2039 level change is not the dominant forcing at least for temperatures similar to or above
2040 those of the last few millennia. High-time-resolution histories of ice-sheet volume are not
2041 available, but the limited paleoclimatic data consistently show that short-term and long-
2042 term responses to temperature change are in the same direction. The best estimate from
2043 paleoclimatic data is thus that warming will shrink the *Greenland Ice Sheet*, and that
2044 warming of a few degrees is sufficient to cause ice-sheet loss. Tightly constrained

2045 numerical estimates of the threshold warming required for ice-sheet loss are not
2046 available, nor are rigorous error bounds, and rate of loss is very poorly constrained.
2047 Numerous opportunities exist for additional data collection and analyses that would
2048 reduce these uncertainties.
2049

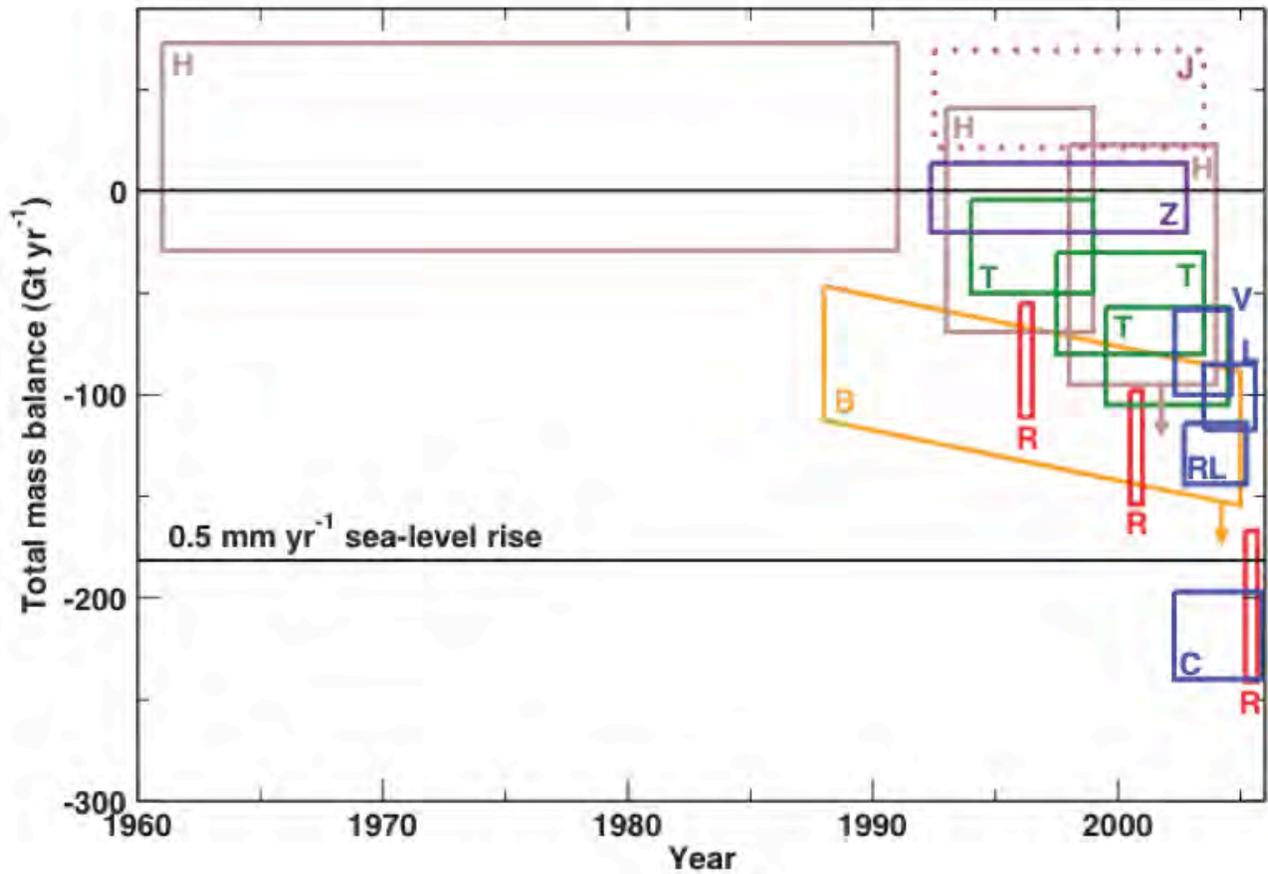
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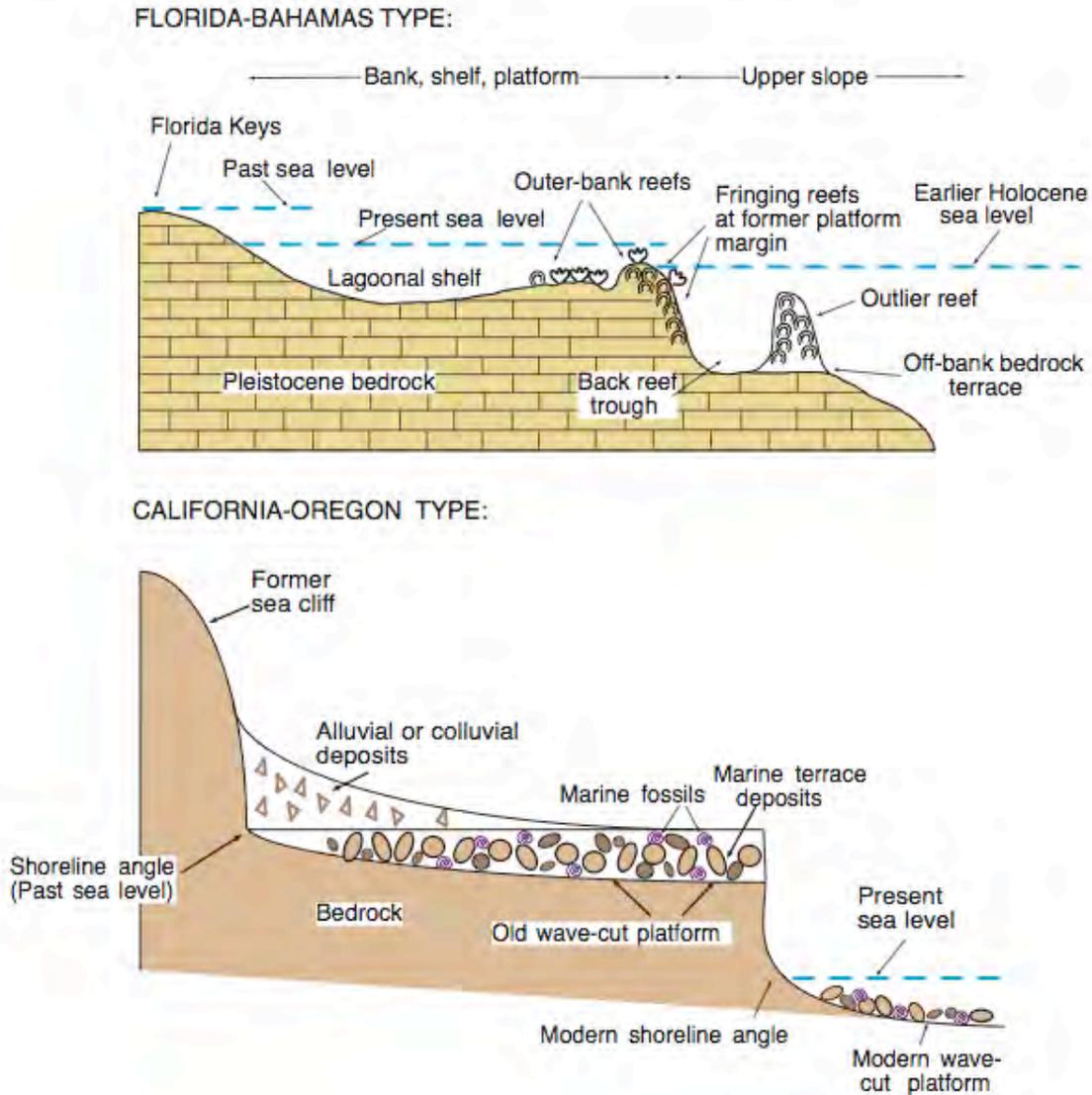
2051 **Figure 6.1** Satellite image (SeaWiFS) of the Greenland Ice Sheet and surroundings,
2052 from July 15, 2000 (<http://www.gsfc.nasa.gov/gsf/earth/pictures/earthpic.htm>).

2053

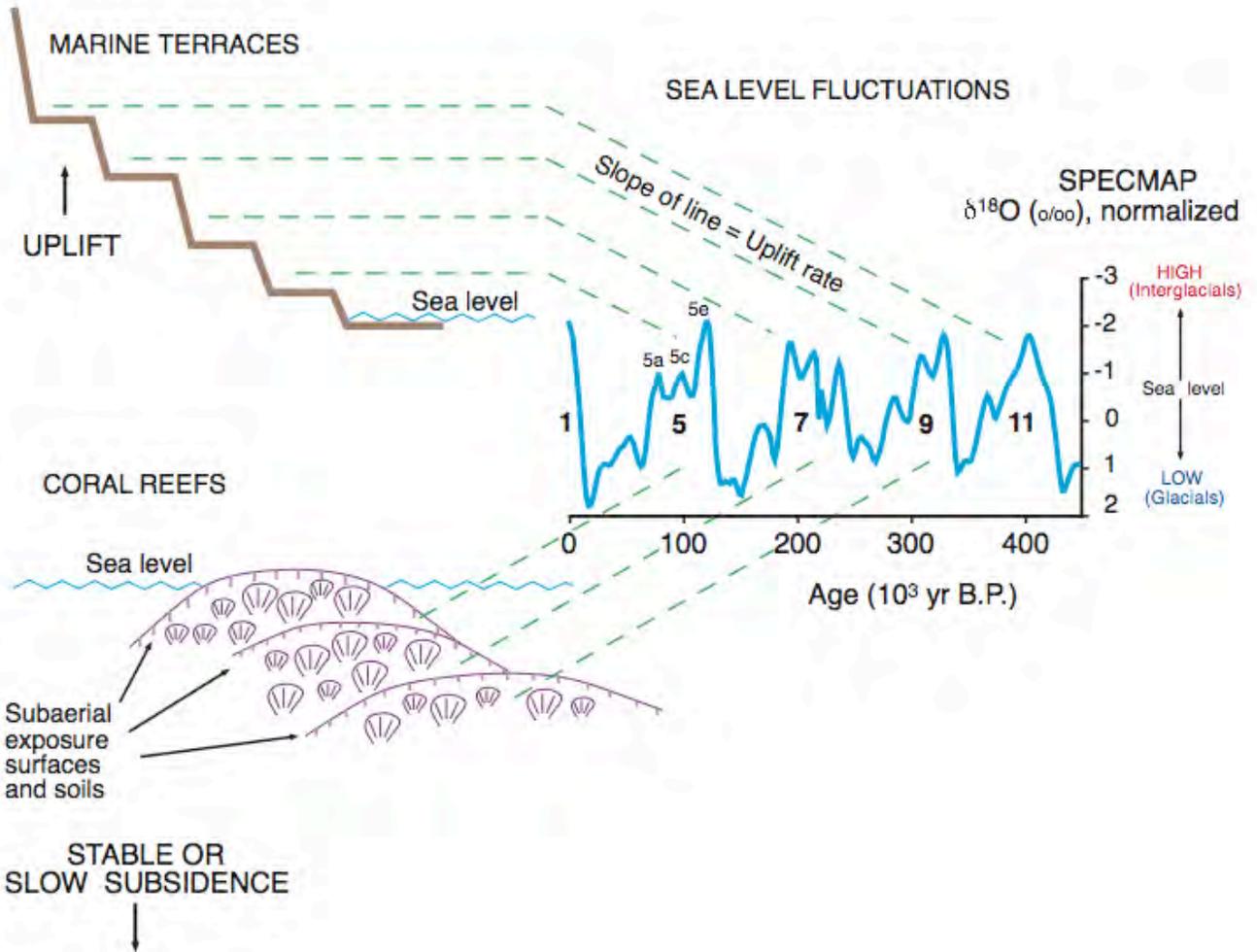


2053

2054 **Figure 6.2** Recently published estimates of the mass balance of the Greenland Ice Sheet
 2055 through time (modified from Alley et al., 2007). A Total Mass Balance of 0 indicates
 2056 neither growth nor shrinkage, and -180 Gt yr⁻¹ indicates ice-sheet shrinkage contributing
 2057 to sea-level rise of 0.5 mm/yr, as indicated. Each box extends from the beginning to the
 2058 end of the time interval covered by the estimate, with the upper and lower lines indicating
 2059 the uncertainties in the estimates. A given color is associated with a particular technique,
 2060 and the different letters identify different studies. Two estimates have arrows attached,
 2061 because those authors indicated that the change is probably larger than shown. The dotted
 2062 box in the upper right is a frequently-cited study that applies only to the central part of
 2063 the ice sheet, which is thickening, and misses the faster thinning in the margins.



2064 **Figure 6.3** Cross-sections showing idealized geomorphic and stratigraphic expression of
 2065 coastal landforms and deposits found on low-wave-energy carbonate coasts of Florida
 2066 and the Bahamas (upper) and high-wave-energy rocky coasts of Oregon and California
 2067 (lower). (Vertical elevations are greatly exaggerated.)
 2068



2068

2069 **Figure 6.4** Relations of oxygen isotope records in foraminifers of deep-sea sediments to
 2070 emergent reef or wave-cut terraces on an uplifting coastline (upper) and a tectonically
 2071 stable or slowly subsiding coastline (lower). Emergent marine deposits record
 2072 interglacial periods. Oxygen isotope data shown are from the SPECMAP record (Imbrie
 2073 et al., 1984). Redrawn from Muhs et al. (2004).

2074

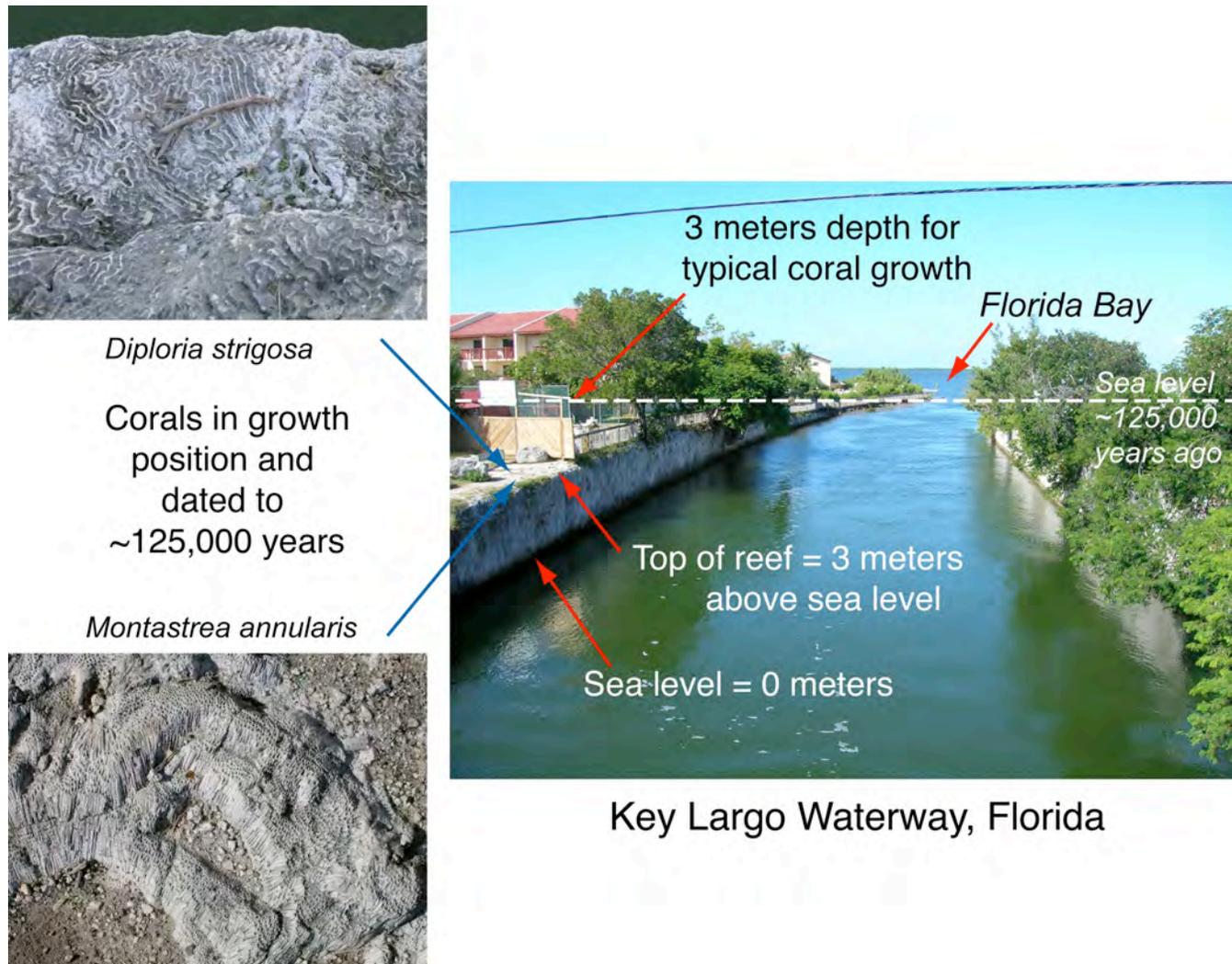


Figure 6.5 Photographs of last-interglacial (MIS 5e) reef and corals on Key Largo, Florida, their elevations, probable water depths, and estimated paleo-sea level. Photographs by D.R. Muhs.

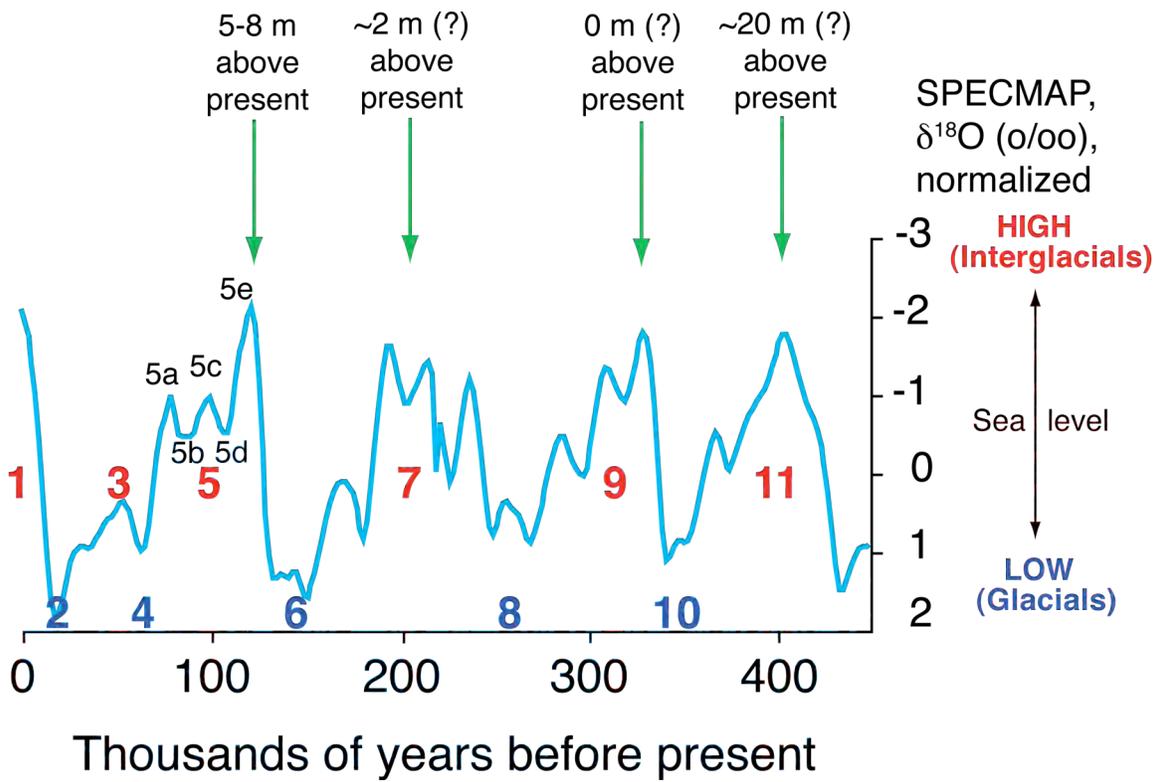


Figure 6.6 Oxygen isotope data from the SPECMAP record (Imbrie et al., 1984), with indications of sea-level stands for different interglacials, assuming minimal glacial isostatic adjustments to the observed reef elevations. Numbers identify Marine Isotope Stages (MIS) 1 through 11.

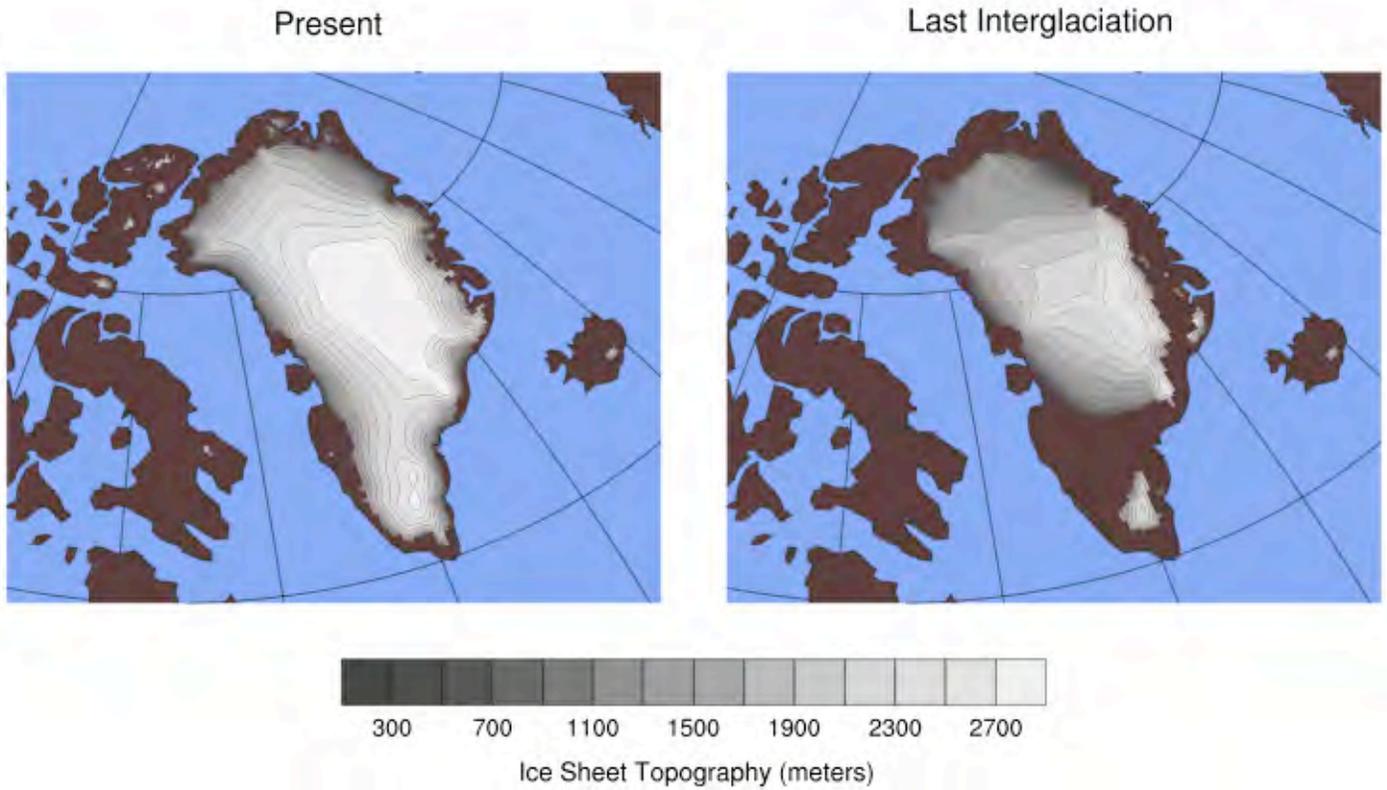


Figure 6.7 Modeled configuration of the Greenland Ice Sheet today (left) and in MIS 5e (right), from Otto-Bliesner et al. (2006).

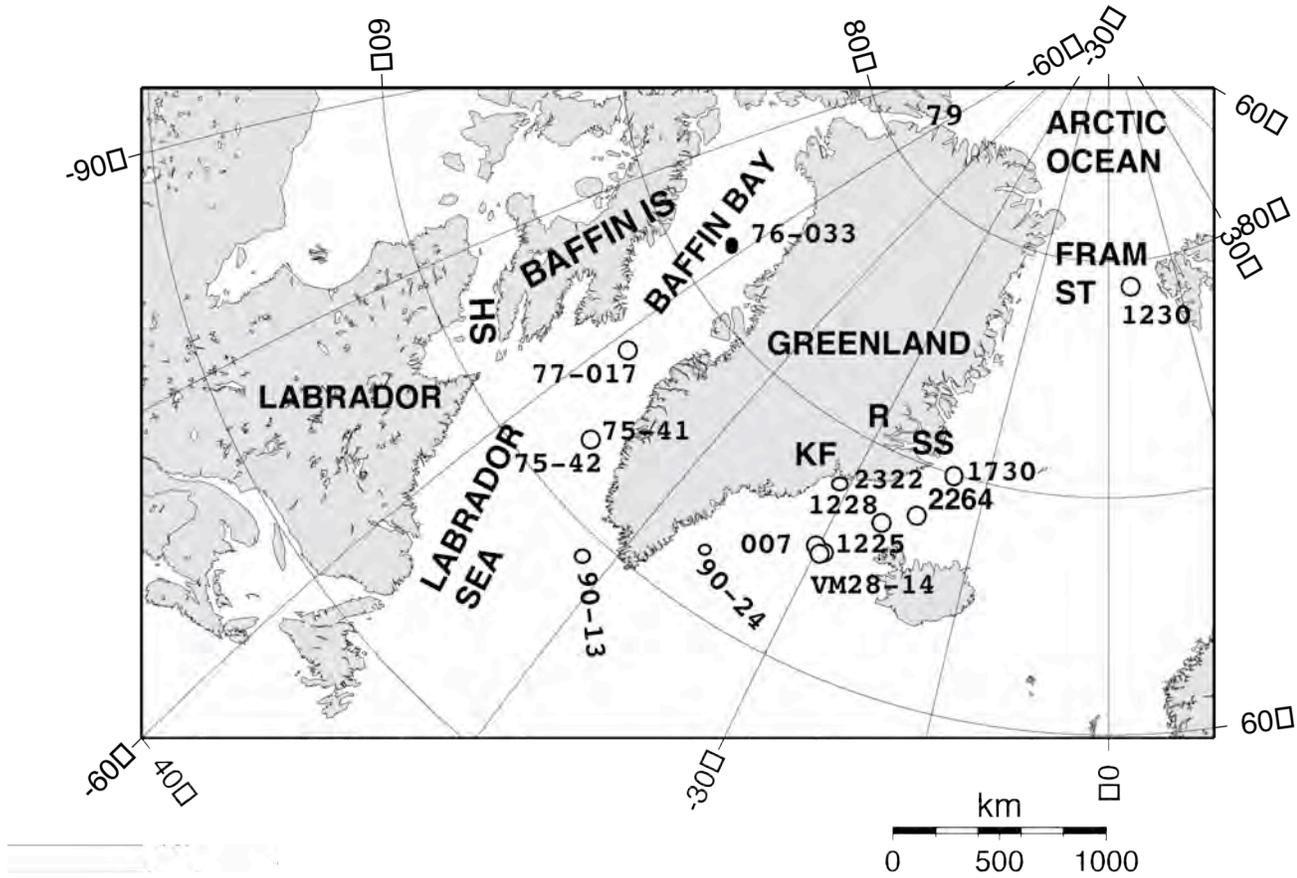


Figure 6.8 Location map with core locations discussed in the text. Full core identities are as follows: 79=LSSL2001-079; 75-41 and -42=HU75-4,-42; 77-017=HU77-017; 76-033=HU76-033; 90-013=HU90-013; 1230=PS1230; 2264=PS2264; 1225 and 1228=JM96-1225,-1228; 007=HU93-007; 2322=MD99-2322; 90-24=SU90-24. HS=Hudson Strait, source for major Heinrich events; R = location of the Renland Ice Cap.

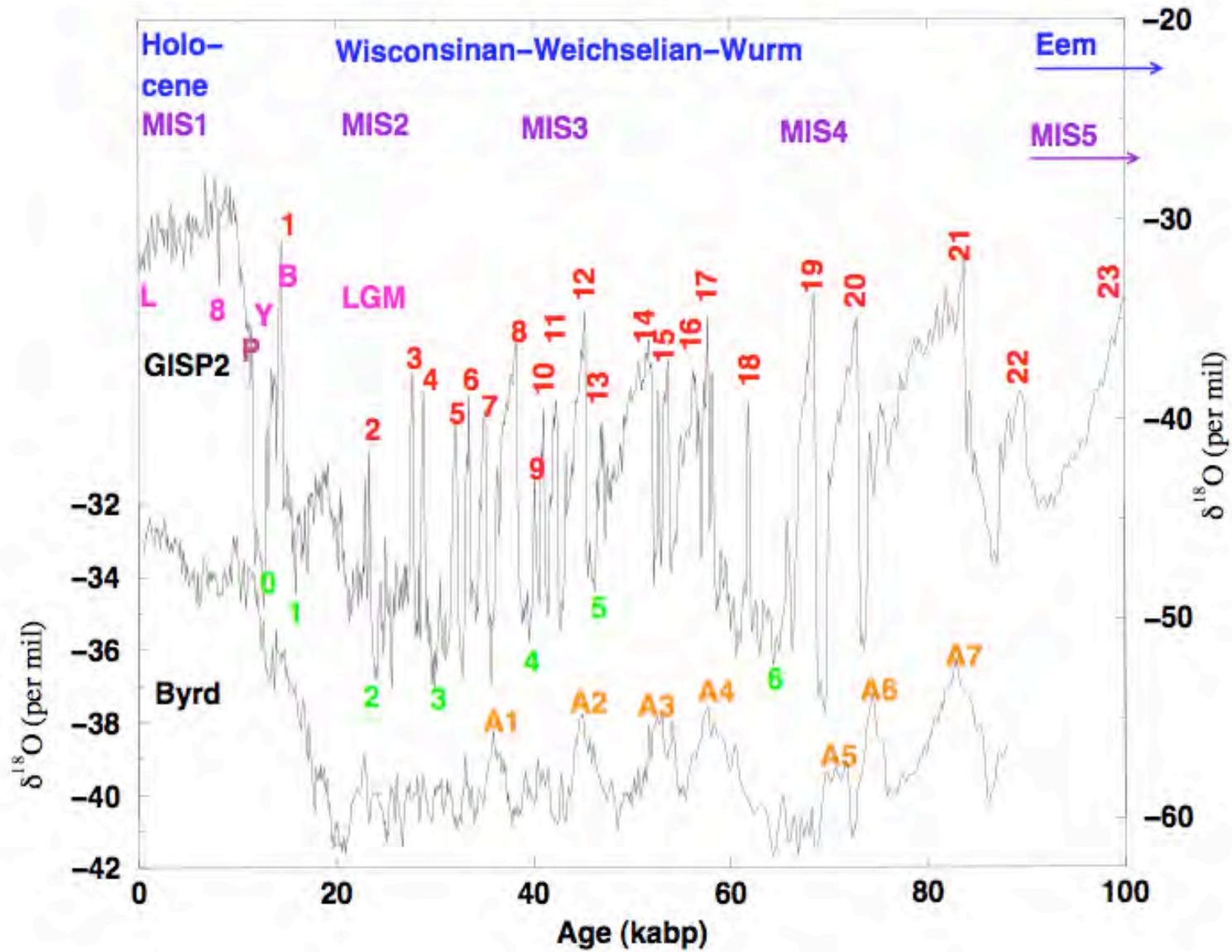


Figure 6.9 Ice-isotopic records ($\delta^{18}\text{O}$, a proxy for temperature, with less-negative values indicating warmer conditions) from GISP2, *Greenland* (Grootes and Stuiver, 1997) (scale on right) and Byrd Station, Antarctica (scale on left), as synchronized by Blunier and Brook (2001), with various climate-event terminology indicated. Ice age terms are shown in blue (top); the classical Eemian/Sangamonian is slightly older than shown here, as is the peak of marine isotope stage (MIS, shown in purple) 5, known as 5e. Referring specifically to the GISP2 curve, the warm Dansgaard-Oeschger events or stadial events, as numbered by Dansgaard et al. (1993), are indicated in red; Dansgaard-Oeschger event 24 is older than shown here. Occasional terms (L = Little Ice Age, 8 = 8k event, P=Preboreal Oscillation (PBO), Y = Younger Dryas, B = Bølling-Allerød, and LGM = Last Glacial Maximum) are shown in pink. Heinrich events are numbered in green just below the GISP2 isotopic curve, as placed by Bond et al. (1993). The Antarctic warm events A1–A7, as identified by Blunier and Brook (2001), are indicated for the Byrd record. Modified from Alley (2007).

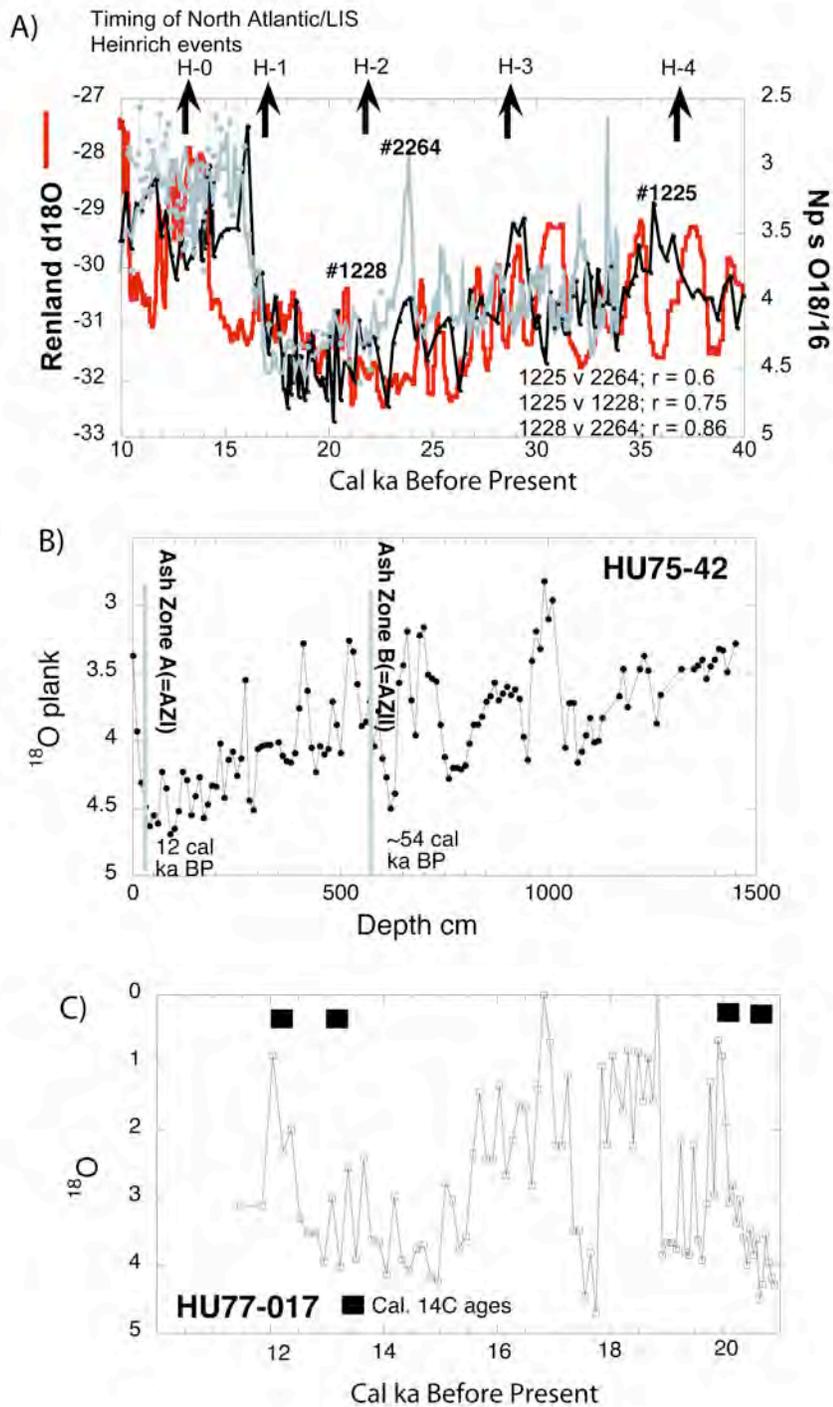


Figure 6.10 A) Variations in $\delta^{18}O$ from a series of cores north to south of Denmark Strait (see Fig. 6.8), namely: PS2264, JM96-1225 and 1228 plotted against the $\delta^{18}O$ from the Renland Ice Cap. B) $\delta^{18}O$ variations in cores HU75-42 (NW Labrador Sea). C) Stable oxygen variations in cores HU77-017 from north of the Davis Strait.

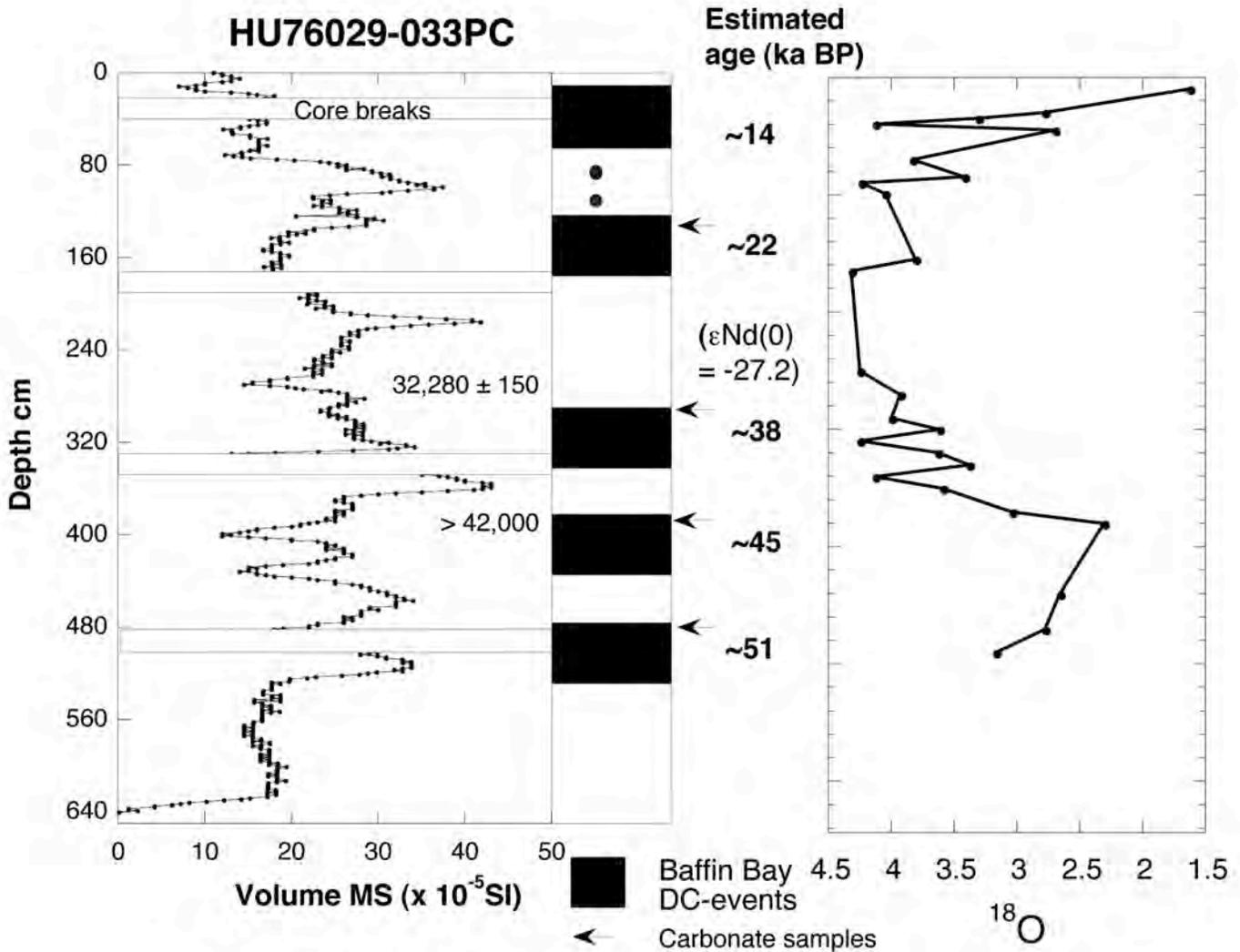


Figure 6.11 Variations in detrital carbonate (pieces of old rock) in core HU76-033 from Baffin Bay (Figure 6.8) showing down-core variations in magnetic susceptibility and $\delta^{18}O$.

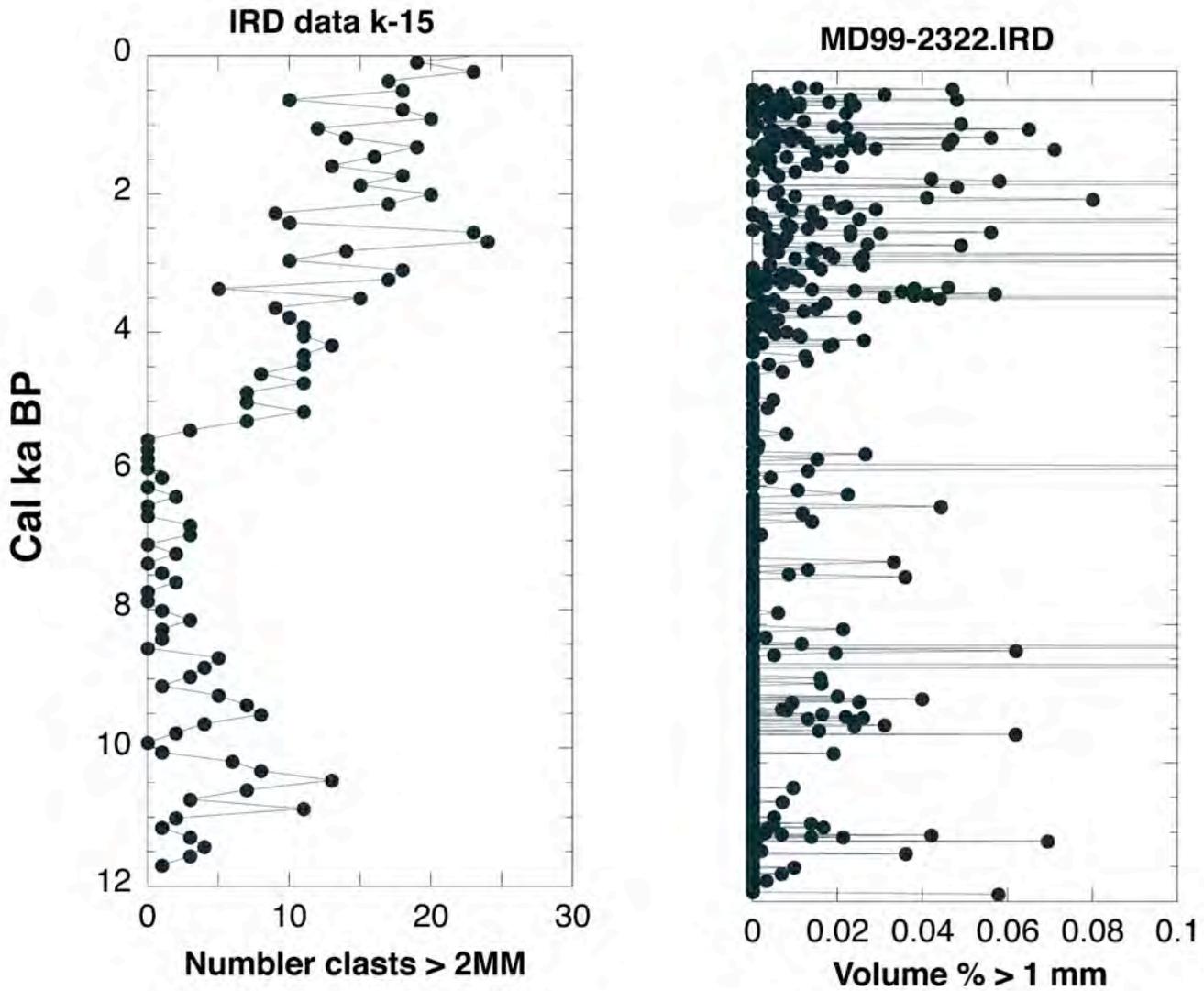
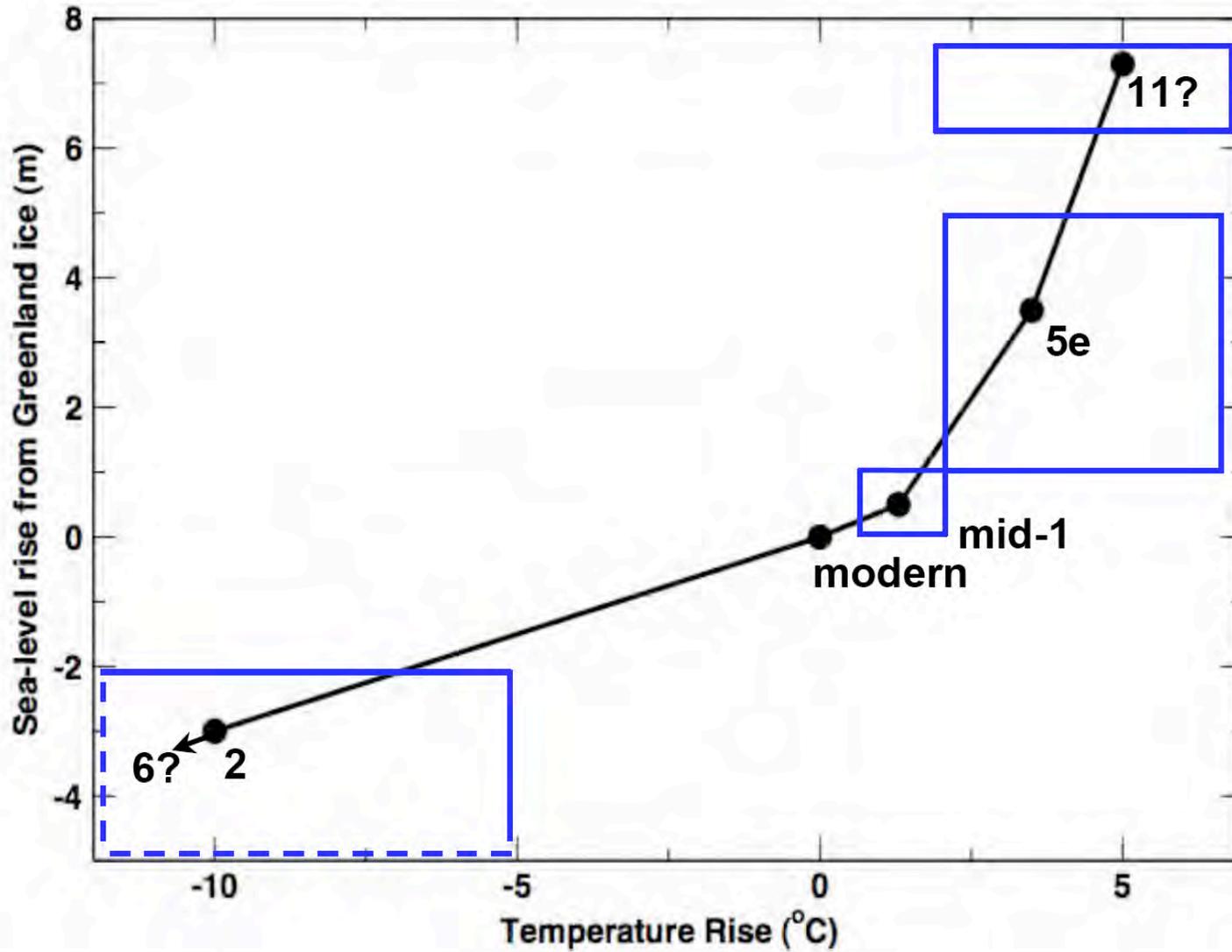


Figure 6.12 Holocene ice-rafted debris concentrations from MD99-2322 off Kangerdlugssuaq Fjord, east *Greenland* (Figure 6.8) showing log values of the percent of sediment > 1 mm and the weight % of quartz in the < 2mm sediment fraction.

1



2 **Figure 6.13** A best-guess representation of the dependence of the volume of the Greenland Ice Sheet on temperature. Large
3 uncertainties should be understood, and any ice-volume changes in response to sea-level changes correlated with temperature changes
4 are included (although, as discussed in the text, temperature changes probably dominated forcing, especially at warmer temperatures
5 when the reduced ice sheet had less contact with the sea). Recent values of temperature and ice volume (perhaps appropriate for 1960
6 or so) are assigned 0,0. The Last Glacial Maximum was probably $\sim 6^{\circ}\text{C}$ colder than modern for global average (e.g., Cuffey and Brook,
7 2000; data and results summarized in Jansen et al., 2007). Cooling in central *Greenland* was $\sim 15^{\circ}\text{C}$ (with peak cooling somewhat
8 more; Cuffey et al., 1995). Some of the central-*Greenland* cooling was probably linked to strengthening of the temperature inversion
9 that lowers near-surface temperatures relative to the free troposphere (Cuffey et al., 1995). A cooling of $\sim 10^{\circ}\text{C}$ is thus plotted. The
10 ice-volume-change estimates of Peltier (2004; ICE5G) and Fleming and Lambeck (2004) are used, with the upper end of the
11 uncertainty taken to be the ICE4G estimate (see Peltier, 2004), and somewhat arbitrarily set as 1 m on the lower side. The arrow
12 indicates that the ice sheet in MIS 6 was more likely than not slightly larger than in MIS 2, and that some (although inconsistent)
13 evidence of slightly colder temperatures is available (e.g., Bauch et al., 2000). The mid-Holocene result from ICE5G (Peltier, 2004)
14 of an ice sheet smaller than modern by ~ 0.5 m of sea-level equivalent is plotted; the error bars reflect the high confidence that the mid-
15 Holocene ice sheet was smaller than modern, with similar uncertainty assumed for the other side. Mid-Holocene temperature is taken
16 from the Alley and Anandakrishnan (1995) summertime melt-layer history of central *Greenland*, with their 0.5°C uncertainty on the
17 lower side, and a wider uncertainty on the upper side to include larger changes from other indicators (which are probably weighted by
18 wintertime changes that have less effect on ice-sheet mass balance, and so are not used for the best estimate; Alley et al., 1999). As
19 discussed in 6.3.3b and c, MIS 5e (the Eemian) is plotted with a warming of 3.5°C and a sea-level rise of 3.5 m. The uncertainties on
20 sea-level change come from the range of data-constrained models discussed in 6.3.3c. The temperature uncertainties reflect the results
21 of Cuffey and Marshall (2000) on the high side, and the lower values simulated over *Greenland* by Otto-Bliesner et al. (2006). Loss
22 of the full ice sheet is also plotted, to reflect the warmer conditions that may date to MIS 11 if not earlier, and perhaps also to the

23 Pliocene times of the Kap København Formation. Very large warming is indicated by the paleoclimatic data from *Greenland*, but
24 much of that warming probably was a feedback from loss of the ice sheet itself (Otto-Bliesner et al., 2006). Data from around the
25 North Atlantic for MIS 11 and other interglacials do not show significantly higher temperatures than during MIS 5e, allowing the
26 possibility that sustaining MIS 5e levels for a longer time led to loss of the ice sheet. Slight additional warming is indicated here,
27 within the error bounds of the other records, based on assessment that MIS 5e was sufficiently long for much of the ice-sheet response
28 to have been completed, so that additional warmth was required to cause additional retreat. The volume of ice possibly persisting in
29 highlands even after loss of central regions of the ice sheet is poorly quantified; 1 m is indicated.

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CCSP Synthesis and Assessment Product 1.2

Past Climate Variability and Change in the Arctic and at High Latitudes

Chapter 7 — History of Sea Ice in the Arctic

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22 **ABSTRACT**

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The volume and areal extent of Arctic sea ice is rapidly declining, and to put that decline into perspective we need to know the history of Arctic sea ice in the geologic past. Sedimentary proxy records from the Arctic Ocean floor and from the surrounding coasts can provide clues.

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Although incomplete, existing data outline the development of Arctic sea ice during the last several million years. Some data indicate that sea ice consistently covered at least part of the

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Arctic Ocean for no less than 13–14 million years, and that ice was most widespread during the last approximately 2 million years in relationship with Earth’s overall cooler climate.

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Nevertheless, episodes of considerably reduced ice cover or even a seasonally ice-free Arctic Ocean probably punctuated even this latter period. Ice diminished episodically during warmer

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climate events associated with changes in Earth’s orbit on the time scale of tens of thousands of years. Ice cover in the Arctic began to diminish in the late 19th century and this shrinkage has

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accelerated during the last several decades. Shrinkages that were both similarly large and rapid have not been documented over at least the last few thousand years, although the paleoclimatic

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record is sufficiently sparse that similar events might have been missed. Orbital changes have made ice melting less likely than during the previous millennia since the end of the last ice age,

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making the recent changes especially anomalous. Improved reconstructions of sea-ice history would help clarify just how anomalous these recent changes are.

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41 **7.1 Introduction**

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The most defining feature of the surface of the Arctic Ocean and adjacent seas is its sea ice cover, which waxes and wanes with the seasons, and which also changes in extent and thickness on interannual and longer time scales. These changes in ice cover are related to climate, notably temperature changes (e.g., Smith et al., 2003), and themselves affect atmospheric and hydrographic conditions in high latitudes (Kinnard et al., 2008; Steele et al., 2008). Observations during the past several decades document substantial retreat and thinning of the Arctic sea ice cover: retreat is accelerating, and it is expected to continue. The Arctic Ocean may become seasonally ice free as early as 2040 (Holland et al., 2006a; Comiso et al., 2008; Stroeve et al., 2008). A reduction in sea ice will promote Arctic warming through a feedback mechanism between ice and its reflectivity (the ice-albedo feedback mechanism), and this reduction will thus influence weather systems in the northern high and perhaps middle latitudes. Changes in ice cover and freshwater flux out of the Arctic Ocean will also affect oceanic circulation of the North Atlantic, which has profound influence on climate in Europe and North America (Seager et al., 2002; Holland et al., 2006b). Furthermore, continued retreat of sea ice will accelerate coastal erosion owing to increased wave action. Ice loss will modify the Arctic Ocean food web and its large predators, such as polar bears and seals, that depend on the ice cover. These changes, in turn, will affect indigenous human populations that harvest such species. All of these possibilities make it important to know how fast Arctic ice will diminish and the consequences of that reduction, a task that requires thorough understanding of the natural variability of ice cover in the recent and longer term past.

65 7.2 Background on Arctic Sea-Ice Cover

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67 7.2.1 Ice Extent, Thickness, Drift and Duration

68 Arctic sea ice cover grows to its maximum extent by the end of winter and shrinks to a
69 minimum in September. For the period of reliable satellite observations (1979–2007), extremes
70 in Northern Hemisphere ice extent are 16.44×10^6 square kilometers (km^2) for March 1979 and
71 4.28×10^6 km^2 for September 2007 (http://nsidc.org/data/seaice_index/; Stroeve et al., 2008). Ice
72 extent is defined as the region of the ocean of which at least 15% is covered by ice. The ice cover
73 can be broadly divided into a perennial ice zone where ice is present throughout the year and a
74 seasonal ice zone where ice is present only seasonally. A considerable fraction of Arctic sea ice
75 is perennial, which differs strongly from Antarctic sea ice which is nearly all seasonal. Ice
76 concentrations in the perennial ice zone typically exceed 97% in winter but fall to 85–95% in
77 summer. Sea ice concentrations in the seasonal ice zone are highly variable, and in general (but
78 not always) they decrease toward the southern sea ice margin.

79 The thickness of sea ice, which varies markedly in both space and time, can be described
80 by a probability distribution. For the Arctic Ocean as a whole, the peak of this distribution (as
81 thick as the ice ever gets) is typically cited at about 3 meters (m) (Serreze et al., 2007b), but
82 growing evidence (discussed below) suggests that during recent decades not only is the area of
83 sea ice shrinking, but that it is also thinning substantially. Although many different types of sea
84 ice can be defined, the two basic categories are first-year ice, which represents a single year's
85 growth, and multiyear ice, which has survived one or more melt seasons. Undeformed first-year
86 ice typically is as much as 2 m thick. Although in general multiyear ice is thicker (greater than 2
87 m), first-year ice that is locally pushed into ridges can be as thick as 20–30 m.

88 Under the influence of winds and ocean currents, the Arctic sea ice cover is in nearly
89 constant motion. The large-scale circulation principally consists of the Beaufort Gyre, a mean
90 annual clockwise motion in the western Arctic Ocean with a drift speed of 1–3 centimeters per
91 second, and the Transpolar Drift, the movement of ice from the coast of *Siberia* east across the
92 pole and into the North Atlantic by way of *Fram Strait*, which lies between northern *Greenland*
93 and *Svalbard*. Ice velocities in the Transpolar Drift increase toward Fram Strait, where the mean
94 drift speed is 5–20 centimeters per second (Figure 7.1) (Thorndike, 1986; Gow and Tucker,
95 1987). About 20% of the total ice area of the Arctic Ocean is discharged each year through *Fram*
96 *Strait*, the majority of which is multiyear ice. This ice subsequently melts in the northern North
97 Atlantic, and since the ice is relatively fresh compared with sea water, this melting adds
98 freshwater to the ocean in those regions.

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FIGURE 7.1 NEAR HERE

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102 **7.2.2 Influences on the Climate System**

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Seasonal changes in the amount of heat at the surface (net surface heat flux) associated with sea ice modulate the exchange and transport of energy in the atmosphere. Ice, as sheets or as sea ice, reflects a certain percentage of incoming solar radiation back into the atmosphere. The albedo (reflectivity) of ice cover ranges from 80% when it is freshly snow covered to around 50% during the summer melt season (but lower in areas of ponded ice). This high reflectivity contrasts with the dark ocean surface, which has an albedo of less than 10%. Ice’s high albedo and its large surface area, coupled with the solar energy used to melt ice and to increase the sensible heat content of the ocean, keep the Arctic atmosphere cool during summer. This cooler

111 polar atmosphere helps to maintain a steady poleward transport of atmospheric energy (heat)
112 from lower latitudes into the Arctic. During autumn and winter, energy derived from incoming
113 solar radiation is small or nonexistent in Polar areas. However, heat loss from the surface adds
114 heat to the atmosphere, and it reduces the requirements for atmospheric heat to be transported
115 poleward into the Arctic (Serreze et al., 2007a).

116 Model experiments have addressed potential changes in the regional and large-scale
117 aspects of atmospheric circulation that are associated with loss of sea ice. The models commonly
118 use ice conditions that have been projected through the 21st century (see following section).
119 Magnusdottir et al. (2004) found that a reduced area of winter sea ice in the North Atlantic
120 modified the modeled circulation in the same way as the North Atlantic Oscillation; declining ice
121 promotes a negative North Atlantic Oscillation response: storm tracks are weaker and shifted to
122 the south. Many observations show that sea ice in this region affects the development of mid-
123 and high-latitude cyclones because of the strong horizontal temperature gradients along the ice
124 margin (e.g., Tsukernik et al., 2007). Singarayer et al. (2006) forced a model by combining the
125 area of sea ice in 1980–2000 and projected reductions in sea ice until 2100. In one simulation,
126 mid-latitude storm tracks were intensified and they increased winter precipitation throughout
127 western and southern Europe. Sewall and Sloan (2004) found that reduced ice cover led to less
128 rainfall in the American west. In summary, although these and other simulations point to the
129 importance of sea ice on climate outside of the Arctic, different models may produce very
130 different results. Coordinated experiments that use a suite of models is needed to help to reduce
131 uncertainty.

132 Climate models also indicate that changes in the melting of and export of sea ice to the
133 North Atlantic can modify large-scale ocean circulation (e.g., Delworth et al., 1997; Mauritzen

134 and Hakkinen, 1997; Holland et al., 2001). In particular, exporting more freshwater from the
135 Arctic increases the stability of the upper ocean in the northern North Atlantic. This may
136 suppresses convection, leading to reduced formation of North Atlantic Deepwater and
137 weakening of the Atlantic meridional overturning cell (MOC). This suppression may have far-
138 reaching climate consequences. The considerable freshening of the North Atlantic since the
139 1960s has an Arctic source (Peterson et al., 2006). Total Arctic freshwater output to the North
140 Atlantic is projected to increase through the 21st century, and decreases in the export of sea ice
141 will be more than balanced by the export of liquid freshwater (derived from the melting of Arctic
142 ice and increased net precipitation). However, less ice may melt in the *Greenland-Iceland-*
143 *Norwegian (GIN) seas* because less ice is moved through *Fram Strait* into those seas. These
144 changes may increase vertical instability in the ocean regions where deep water forms and
145 counteract the tendency of a warmer climate to increase ocean stability (Holland et al., 2006b).
146 However, this possible instability may be mitigated somewhat if less sea ice accumulates in the
147 *Greenland-Iceland-Norwegian seas*. Additionally, as discussed by Levermann et al. (2007), the
148 reduction in sea ice may help to stabilize the Atlantic meridional overturning circulation by
149 removing the insulating ice cover which, perhaps counterintuitively, limits the amount of heat
150 lost by the ocean to the atmosphere. Thus, sea ice may help to maintain the formation of deep
151 water in the *Greenland-Iceland-Norwegian seas*. Overall, a smaller area of sea ice influences the
152 Atlantic meridional overturning circulation in sometimes competing ways. How they will
153 ultimately affect future climate is not yet certain.

154

155 **7.2.3 Recent Changes and Projections for the Future**

156 On the basis of satellite records, the extent of sea ice has diminished in every month and

157 most obviously in September, for which the trend for the period 1979–2007 is 10% per decade
158 (Figure 7.2). (Satellite records originated in the National Snow and Ice Data Center
159 (http://nsidc.org/data/seaice_index/) and combine information from the Nimbus-7 Scanning
160 Multichannel Microwave Radiometer (October 1978–1987) and the Defense Meteorological
161 Satellite Program Special Sensor Microwave/Imager (1987–present.) Conditions in 2007 serve
162 as an exclamation point on this ice loss (Comiso et al., 2008; Stroeve et al., 2008). The average
163 September ice extent in 2007 of 4.28 million km² was not only the least ever recorded but also
164 23% lower than the previous September record low of 5.56 million km² set in 2005. The
165 difference in areas corresponds with an area roughly the size of Texas and California combined.
166 On the basis of an extended sea ice record, it appears that area of ice in September 2007 is only
167 half of its area in 1950–70 (estimated by use of the Hadley Centre sea ice and sea surface
168 temperature data set (HadISST) (Rayner et al., 2003)..

169

170

FIGURE 7.2 NEAR HERE

171

172 Many factors may have contributed to this ice loss (as reviewed by Serreze et al., 2007b),
173 such as general Arctic warming (Rothrock and Zhang, 2005), extended summer melt (Stroeve et
174 al., 2006), effects of the changing phase of the Northern Annular Mode and the North Atlantic
175 Oscillation. These and other atmospheric patterns have flushed some older, thicker ice out of the
176 Arctic and left thinner ice that is more easily melted out in summer (e.g., Rigor and Wallace,
177 2004; Rothrock and Zhang, 2005; Maslanik et al., 2007a), changed ocean heat transport
178 (Polyakov et al., 2005; Shimada et al., 2006), and increased recent spring cloud cover that
179 augments the longwave radiation flux to the surface (Francis and Hunter, 2006). Strong evidence

180 for a thinning ice cover comes from an ice-tracking algorithm applied to satellite and buoy data,
181 which suggests that the area of the Arctic Ocean covered by predominantly older (and hence
182 generally thicker) ice (ice 5 years old or older) decreased by 56% between 1982 and 2007.
183 Within the central Arctic Ocean, the coverage of old ice has declined by 88%, and ice that is at
184 least 9 years old (ice that tends to be sequestered in the Beaufort Gyre) has essentially
185 disappeared. Examination of the distribution of ice of various thickness suggests that this loss of
186 older ice translates to a decrease in mean thickness for the Arctic from 2.6 m in March 1987 to
187 2.0 m in 2007 (Maslanik et al., 2007b).

188 The role of greenhouse gas forcing on the observed ice loss finds strong support from the
189 study of Zhang and Walsh (2006). These authors show that for the period 1979–1999, the multi-
190 model mean trend projected by models discussed in the Intergovernmental Panel on Climate
191 Change Fourth Assessment Report (IPCC-AR4) is downward, as are trends from most individual
192 models. However, Stroeve et al. (2007) find that few or none (depending on the time period of
193 analysis) of the September trends from the IPCC-AR4 runs are as large as observed. If the multi-
194 model mean trend is assumed to be a reasonable representation of change forced by increased
195 concentrations of greenhouse gases, then 33–38% of the observed September trend from 1953 to
196 2006 is externally forced and that percentage increases to 47–57% from 1979 to 2006, when
197 both the model mean and observed trend are larger. Although this trend argues that natural
198 variability has strongly contributed to the observed trend, Stroeve et al. (2006) concluded that, as
199 a group, the models underestimate the sensitivity of sea ice cover to forcing by greenhouse gases.
200 Overly thick ice assumed by many of the models appears to provide at least a partial explanation.

201 The Intergovernmental Panel on Climate Change Fourth Assessment Report (IPCC-AR4)
202 models driven with the SRES A1B emissions scenario (in which CO₂ reaches 720 parts per

203 million (ppm), in comparison to the current value of 380 ppm, by the year 2100), point to
204 complete or nearly complete loss (less than 1×10^6 km²) of September sea ice anywhere from
205 year 2040 to well beyond the year 2100, depending on the model and particular run (ensemble
206 member) for that model. Even by the late 21st century, most models project a thin ice cover in
207 March (Serreze et al., 2007b). However, given the findings just discussed, the models as a group
208 may be too conservative—predict a later rather than earlier date—when the Arctic Ocean will be
209 ice-free in summer.

210 Abrupt change in future Arctic ice conditions is difficult to model. For instance, the
211 extent of end-of-summer ice is sensitive to ice thickness in spring (simulations based on the
212 Community Climate System Model, version 3 (Holland et al., 2006a)). If the ice is already thin
213 in the spring, then a “kick” associated with natural climate variability might make it melt rapidly
214 in the summer owing to ice-albedo feedback. In the Community Climate System Model, version
215 3 events, anomalous ocean heat transport acts as this trigger. In one ensemble member, the area
216 of September ice decreases from about 6×10^6 km² to 2×10^6 km² in 10 years, resulting in an
217 essentially ice-free September by 2040. This result is not just an artifact of Community Climate
218 System Model, version 3: a number of other climate models show similar rapid ice loss.

219 These recent reductions in the extent and thickness of ice cover and the projections for its
220 further shrinkage necessitate a comprehensive investigation of the longer term history of Arctic
221 sea ice. To interpret present changes we need to understand the Arctic’s natural variability. A
222 special emphasis should be placed on the times of change such as the initiation of seasonal and
223 then perennial ice and the periods of its later reductions.

224

225 **7.3 Types of Paleoclimate Archives and Proxies for the Sea-Ice Record**

226

227 The past distribution of sea ice is recorded in sediments preserved on the sea floor and in
228 deposits along many Arctic coasts. Indirect information on sea-ice extent can be derived from
229 cores drilled in glaciers and ice sheets such as the *Greenland Ice Sheet*. Ice cores record
230 atmospheric precipitation, which is linked with air-sea exchanges in surrounding oceanic areas.
231 Such paleoclimate information provides a context within which the patterns and effects of the
232 current and future ice-reduced state of the Arctic can be evaluated.

233

234 **7.3.1 Marine Sedimentary Records**

235 The most complete and spatially extensive records of past sea ice are provided by sea-
236 floor sediments from areas that are or have been covered by floating ice. Sea ice affects
237 deposition of such sediments directly or indirectly through physical, chemical, and biological
238 processes. These processes and, thus, ice characteristics can be reconstructed from a number of
239 sediment proxies outlined below.

240 Sediment cores that represent the long-term history of sea ice embracing several million
241 years are most likely to be found in the deep, central part of the Arctic Ocean where the sea floor
242 was not eroded during periods of lower sea-level (and larger ice sheets). On the other hand, rates
243 of sediment deposition in the central Arctic Ocean are generally low, on the order of centimeters
244 or even millimeters per thousand years (Backman et al., 2004; Darby et al., 2006), so that
245 sedimentary records from these areas may not capture short-term variations in
246 paleoenvironments. In contrast, cores from Arctic continental margins usually represent a much
247 shorter time interval, less than 20 thousand years (k.y.) since the last glacial maximum, but they
248 sometimes provide high-resolution records that capture events on century or even decadal time
249 scales. Therefore, investigators need sediment cores from both the central basin and continental

250 margins of the Arctic Ocean to fully characterize sea-ice history and its relation to climate
251 change.

252 Until recently, and for logistical reasons, most cores relevant to the history of sea ice
253 cover were collected from low-Arctic marginal seas, such as the *Barents Sea* and the *Norwegian-*
254 *Greenland Sea*. There, modern ice conditions allow for easier ship operation, whereas sampling
255 in the central Arctic Ocean requires the use of heavy icebreakers. Recent advances in drilling the
256 floor of the Arctic Ocean—notably the first deep-sea drilling in the central Arctic Ocean (ACEX:
257 Backman et al., 2006) and the 2005 Trans-Arctic Expedition (HOTRAX: Darby et al., 2005)—
258 provide new, high-quality material from the Arctic Ocean proper with which to characterize
259 variations in ice cover during the late Cenozoic (the last few million years).

260 A number of sediment proxies have been used to predict the presence or absence of sea
261 ice in down-core studies. The most direct proxies are derived from sediment that melts out or
262 drops from ice owing to the following sequence of processes: (1) sediment is entrained in sea ice,
263 (2) this ice is transported by wind and surface currents to the sites of interest, and (3) sediment is
264 released and deposited. The size of sediment grains is commonly analyzed to identify ice-rafted
265 debris. The entrainment of sediments in sea ice mostly occurs along the shallow continental
266 margins during periods of ice freeze-up and is largely restricted to silt and clay-size sediments
267 and rarely contains grains larger than 0.1 millimeters (mm) (Lisitzin, 2002; Darby, 2003).
268 Coarser ice-rafted debris is mostly transported by floating icebergs rather than by regular sea ice
269 (Dowdeswell et al., 1994; Andrews, 2000). A small volume of coarse grains are shed from steep
270 coastal cliffs onto land-fast ice. To link sediment with sea ice may require investigations other
271 than measurement of grain size: for example, examination of shapes and surface textures of
272 quartz grains will help distinguish sea-ice-rafted and iceberg-rafted material (Helland and

273 Holmes, 1997; Dunhill et al., 1998). Detailed grain-size distributions say something about ice
274 conditions. For example, massive accumulation of silt-size grains (mostly larger than 0.01 mm)
275 may indicate the position of an ice margin where melting ice is the source of most sediment
276 (Hebbeln, 2000).

277 Some indicators (sediment provenance indicators) help to establish the source of
278 sediment and thus help to track ice drift. Especially telling is sediment carrying some diagnostic
279 peculiarity that is foreign to the site of deposition and that can be explained only by ice
280 transport—such as the particular composition of iron-oxide sand grains, which can be matched
281 with an extensive data base of source areas around the Arctic Ocean (Darby, 2003). Bulk
282 sediment analyzed by quantitative methods such as X-ray diffraction can also be used in those
283 instances where minerals that are “exotic” relative to the composition of the nearest terrestrial
284 sources are deposited. Quartz in *Iceland* marine cores (Moros et al., 2006; Andrews and Eberl,
285 2007) and dolomite (limestone rich in magnesium), in sediments deposited along eastern *Baffin*
286 *Island* and Labrador are two examples (Andrews et al., 2006).

287 Sediment cores commonly contain skeletons of microscopic organisms (for example
288 foraminifers, diatoms, and dinocysts). These findings are widely used for deciphering the past
289 environments in which these organisms lived. Some marine planktonic organisms live in or on
290 sea ice or are otherwise associated with ice. Their skeletons in bottom sediments indicate the
291 condition of ice cover above the study site. Other organisms that live in open water can be used
292 to identify intervals of diminished ice. Remnants of ice-related algae such as diatoms and
293 dinocysts have been used to infer changes in the length of the ice-cover season (Koç and Jansen,
294 1994; de Vernal and Hillaire-Marcel, 2000; Mudie et al., 2006; Solignac et al., 2006). To
295 quantify the relationship between these organisms and paleoenvironment, three major research

296 steps are required. The first is to develop a database of the percent compositions in a certain
297 group of organisms from water-column or surficial sea-floor samples that span a wide
298 environmental range. Second, various statistical methods must be used to express the relationship
299 (usually called “transfer functions”) between these compositions and key environmental
300 parameters, such as sea-ice duration and summer surface temperatures. Finally, after sediment
301 cores are analyzed and transfer functions are developed on the modern data sets, they are then
302 applied to the temporal (i.e., down-core) data. The usefulness of the transfer functions, however,
303 depends upon the accuracy of the environmental data, which is commonly quite limited in Arctic
304 areas.

305 Bottom dwelling (benthic) organisms in polar seas are also affected by ice cover because
306 it controls what food can reach the sea floor. The particular suite of benthic organisms preserved
307 in sediments can help to identify ice-covered sites. For instance, environments within the pack
308 ice produce very little organic matter, whereas environments on the margin of the ice produce a
309 great deal. Accordingly, species of bottom-dwelling organisms that prefer relatively high fluxes
310 of fresh organic matter can indicate, for the Arctic shelves, the location of the ice margin (Polyak
311 et al., 2002; Jennings et al., 2004). In the central Arctic Ocean, benthic foraminifers and
312 ostracodes also offer a good potential for identifying ice conditions (Cronin et al., 1995;
313 Wollenburg and Kuhnt, 2000; Polyak et al., 2004).

314 The composition of organic matter in sediment, including specific organic compounds
315 (biomarkers), can also be used to reconstruct the environment in which it formed. For instance, a
316 specific biomarker, IP25, can be associated with diatoms living in sea ice (Belt et al., 2007). The
317 method has been tested by the analysis of sea-floor samples from the *Canadian Arctic* and is
318 being further applied to down-core samples for characterization of past ice conditions.

319 It is important to understand that although all of the above proxies have a potential for
320 identifying the former presence of or the seasonal duration of sea-ice cover, each of them has
321 limitations that complicate interpretations based on a single proxy. For instance, by use of a
322 dinocyst transfer function from East Greenland, it was estimated that the sea-ice duration is
323 about 2–3 months (Solignac et al., 2006) when in reality it is closer to 9 months (Hastings,
324 1960). Agreement among many proxies is required for a confident inference about variations in
325 sea-ice conditions. A thorough understanding of sea-ice history depends on the refining of sea-
326 ice proxies in sediment taken from strategically selected sites in the Arctic Ocean and along its
327 continental margins.

328

329 **7.3.2 Coastal Records**

330 In many places along the Arctic and subarctic coasts, evidence of the extent of past sea
331 ice is recorded in coastal-plain sediments, marine terraces, ancient barrier island sequences, and
332 beaches. Deposits in all of these formerly marine environments are now above water owing to
333 relative changes in sea level caused by eustatic, glacioisostatic, or tectonic factors. Although
334 these coastal deposits represent a limited time span and geographic distribution, they provide
335 critical information that can be compared with marine sediment records. The primary difference
336 between coastal and sea-floor records is in the type of fossils recovered. Notably, the spacious
337 coastal exposures (as compared with sediment cores) enable large paleontological material such
338 as plant remains, driftwood, whalebone, and relatively large mollusks to be recovered. These
339 items contribute valuable information about past sea-surface and air temperatures, the northward
340 expansions of subarctic and more temperate species, and the seasonality of past sea-ice cover.
341 For example, fossils preserved in these sequences document the dispersals of coastal marine

342 biota between the Pacific, Arctic, and North Atlantic regions, and they commonly carry telling
343 evidence of ice conditions. Plant remains in their turn provide a much-needed link to
344 documented information about past vegetation on land throughout Arctic and subarctic regions.
345 The location of the northern tree line that is presently controlled by the July 7°C mean isotherm
346 is a critical paleobotanic indicator for understanding ice conditions in the Arctic. Nowhere in the
347 Arctic do trees exist near shores lined with perennial sea ice; they thrive only in southerly
348 reaches of regions of seasonal ice. The combination of spatial relationships between marine and
349 terrestrial data allows a comprehensive reconstruction of past climate.

350

351 **7.3.3 Coastal Plains and Raised Marine Sequences**

352 A number of coastal plains around the Arctic are blanketed by marine sediment
353 sequences laid down during high sea levels. Although these sequences lie inland of coastlines
354 that today are bordered by perennial or by seasonal sea ice, they commonly contain packages of
355 fossil-rich sediments that provide an exceptional record of earlier warm periods. The most well-
356 documented sections are those preserved along the eastern and northern coasts of *Greenland*
357 (Funder et al., 1985, 2001), the eastern *Canadian Arctic* (Miller et al., 1985), *Ellesmere Island*
358 (Fyles et al., 1998), *Meighen Island* (Matthews, 1987; Matthews and Overden, 1990; Fyles et al.,
359 1991), *Banks Island* (Vincent, 1990; Fyles et al., 1994), the *North Slope of Alaska* (Carter et al.,
360 1986; Brigham-Grette and Carter, 1992); the *Bering Strait* (Kaufman and Brigham-Grette, 1993;
361 Brigham-Grette and Hopkins, 1995), and in the western *Eurasian Arctic* (Funder et al., 2002)
362 (Figure 7.3). In nearly all cases the primary evidence used to estimate the extent of past sea ice is
363 *in situ* molluscan and microfossil assemblages. These assemblages, from many sites, coupled
364 with evidence for the northward expansion of tree line during interglacial intervals (e.g., Funder

365 et al., 1985; Repenning et al., 1987; Bennike and Böcher, 1990; CAPE, 2006), provide an
366 essential view of past sea-ice conditions with direct implications for sea surface temperatures,
367 sea ice extent, and seasonality.

368

369 **FIGURE 7.3 NEAR HERE**

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371 **7.3.4 Driftwood**

372 The presence or absence of sea ice may be inferred from the distribution of tree logs,
373 mostly spruce and larch found in raised beaches along the coasts of *Arctic Canada* (Dyke et al.,
374 1997), *Greenland* (Bennike, 2004), *Svalbard* (Haggbloom, 1982), and *Iceland* (Eggertsson, 1993).
375 Coasts with the highest numbers of driftwood probably were once near a sea-ice margin, whereas
376 coasts hosting more modest amounts were near either too much ice or too open water—neither of
377 which deliver much driftwood. Most of the logs found are attributed to a northern Russian
378 source, although some can be traced to northwest Canada and Alaska. Logs can drift only about
379 1 year before they become waterlogged and sink (Haggbloom, 1982). The logs are probably
380 derived from rivers flooded by spring snowmelt, which bring sediment and trees onto **landfast**
381 **ice** around the margin of the Arctic Basin. In areas other than Iceland, the glacial isostatic uplift
382 of the land has led to a staircase of raised beaches hosting various numbers of logs with time. An
383 extensive database catalogs these variations in the beaching of logs during the present
384 interglacial (Holocene). These variations have been associated with the growth and
385 disappearance of landfast sea ice (which restricts the beaching of driftwood) and changes in
386 atmospheric circulation with resulting changes in ocean surface circulation (Dyke et al., 1997).
387

388 **7.3.5 Whalebone**

389 Reconstructions of sea-ice conditions in the Canadian Arctic Archipelago have to date
390 been derived mainly from the distribution in space and time of marine mammal bones in raised
391 marine deposits (Dyke et al., 1996, 1999; Fisher et al., 2006). Several large marine mammals
392 have strong affinities for sea ice: polar bear, several species of seal, walrus, narwhal, beluga
393 (white) whale, and bowhead (Greenland right) whale. Of these, the bowhead has left the most
394 abundant, hence most useful, fossil record, followed by the walrus and the narwhal. Radiocarbon
395 dating of these remains has yielded a large set of results, largely available through Harington
396 (2003) and Kaufman et al. (2004).

397 Former sea-ice conditions can be reconstructed from bowhead whale remains because
398 seasonal migrations of the whale are dictated by the oscillations of the sea-ice pack. The species
399 is thought to have had a strong preference for ice-edge environments since the Pliocene (2.6–5.3
400 million years ago (Ma)), perhaps because that environment allows it to escape from its only
401 natural predator, the killer whale. The Pacific population of bowheads spends winter and early
402 spring along the ice edge in the *Bering Sea* and advances northward in the summer ice into the
403 Canadian *Beaufort Sea* region along the western edge of the *Canadian Arctic Archipelago*. The
404 Atlantic population spends winter and early spring in the northern *Labrador Sea* between
405 southwest Greenland and northern Labrador and advances northward in summer into the eastern
406 channels of the *Canadian Arctic Archipelago*. In normal summers, the Pacific and Atlantic
407 bowheads are prevented from meeting by a large, persistent, plug of sea-ice that occupies the
408 central region of the *Canadian Arctic Archipelago*; i.e., the central part of the Northwest Passage
409 (Figure 7.4). Both populations retreat southward upon autumn freeze-up.

410

411 FIGURE 7.4 NEAR HERE

412

413 However, the ice-edge environment is hazardous, especially during freeze-up, and
414 individuals or pods may become entrapped (as has been observed today). Detailed measurements
415 of fossil bowhead skulls (a proxy of age) now found in raised marine deposits allow a
416 reconstruction of their lengths (Dyke et al., 1996; Savelle et al., 2000). The distribution of
417 lengths compares very closely with the length distribution of the modern *Beaufort Sea* bowhead
418 population (Figure 7.5), indicating that the cause of death of many bowheads in the past was a
419 catastrophic process that affected all ages indiscriminately. This process can be best interpreted
420 as ice entrapment.

421

422 FIGURE 7.5 NEAR HERE

423

424 **7.3.6 Ice Cores**

425 Among paleoenvironmental archives, ice cores from glaciers and ice sheets have a
426 particular strength as a direct recorder of atmospheric composition, especially in the polar
427 regions, at a fine time resolution. The main issue is whether ice cores contain any information
428 about the past extent of sea ice. Such information may be inferred indirectly: for example, one
429 can imagine that higher temperatures recorded in an ice core are associated with reduced sea ice.
430 However, the real goal is to find a chemical indicator whose concentration is mainly controlled
431 by past sea-ice extent (or by a combination of ice extent and other climate characteristics that can
432 be deduced independently). Any such indicator must be transported for relatively long distances,
433 as by wind, from the sea ice or the ocean beyond. Such an indicator frozen into ice cores would

434 then allow ice cores to give an integrated view throughout a region for some time average, but
435 the disadvantage is that atmospheric transport can then determine what is delivered to the ice.

436 The ice-core proxy that has most commonly been considered as a possible sea ice
437 indicator is sea salt, usually estimated by measuring a major ion in sea salt, sodium (Na). In most
438 of the world's oceans, salt in sea water becomes an aerosol in the atmosphere by means of a
439 bubble bursting at the ocean surface, and formation of the aerosol is related to wind speed at the
440 ocean surface (Guelle et al., 2001). Expanding sea ice moves the source region (open ocean)
441 further from ice core sites, so that a first assumption is that a more extensive sea ice cover should
442 lead to less sea salt in an ice core.

443 A statistically significant inverse relationship between annual average sea salt in the
444 *Penny Ice Cap* ice core (*Baffin Island*) and the spring sea ice coverage in *Baffin Bay* (Grumet et
445 al., 2001) was found for the 20th century, and it has been suggested that the extended record
446 could be used to assess the extent of past sea ice in this region. However, the correlation
447 coefficient in this study was low, indicating that only about 7% of the variability in the
448 abundance of sea salt was directly linked to variability in position of sea ice. The inverse
449 relationship between sea salt and sea-ice cover in *Baffin Bay* was also reported for a short core
450 from *Devon Island* (Kinnard et al., 2006). However, more geographically extensive work is
451 needed to show whether these records can reliably reconstruct past sea ice extent.

452 For *Greenland*, the use of sea salt in this way seems even more problematic. Sea salt in
453 aerosol and snow throughout the Greenland plateau tends to peak in concentration in the winter
454 months (Mosher et al., 1993; Whitlow et al., 1992), when sea ice extent is largest, which already
455 suggests that other factors are more important than the proximity of open ocean. Most authors
456 carrying out statistical analyses on sea salt in Greenland ice cores in recent years have found

457 relationships with aspects of atmospheric circulation patterns rather than with sea ice extent
458 (Fischer, 2001; Fischer and Mieding, 2005; Hutterli et al., 2007). Sea-salt records from
459 Greenland ice cores have therefore been used as general indicators of storminess (inducing
460 production of sea salt aerosol) and transport strength (Mayewski et al., 1994; O'Brien et al.,
461 1995), rather than as sea ice proxies.

462 An alternative interpretation has arisen from study of Antarctic aerosol and ice cores,
463 where the sea ice surface itself can be a source of large amounts of sea-salt aerosol in coastal
464 Antarctica (Rankin et al., 2002). It has then been argued that, although sea salt concentrations
465 and fluxes may be dominated by transport effects on a year-to-year basis, they could be used as
466 an indicator of regional sea ice extent for Antarctica over longer time periods (Fischer *et al.*,
467 2007a; Wolff *et al.*, 2003). An Antarctic sea ice record covering 740 ka has been presented on
468 this basis, showing extended sea ice at times of low temperature (Wolff *et al.*, 2006). The
469 obvious question arises as to whether this inverted model of the relationship between sea salt and
470 sea ice might also be applicable in the Arctic (Rankin *et al.*, 2005). Current ideas about the
471 source of sea-ice relate it to the production of new, thin ice. In the regions around *Greenland* and
472 the nearby islands, much of the sea ice is old ice that has been advected, rather than new ice. It
473 therefore seems unlikely that the method can easily be applied under present conditions (Fischer
474 et al., 2007). The complicated geometry of the oceans around *Greenland* compared with the
475 radial symmetry of Antarctica also poses problems in any interpretation. It is possible that under
476 the colder conditions of the last glacial period, new ice produced around *Greenland* may have led
477 to a more dominant sea-ice source, opening up the possibility that there may be a sea ice record
478 available within this period. However, there is no published basis on which to rely at the moment
479 (2008), and the balance of importance between salt production and salt transport in the Arctic

480 needs further investigation.

481 One other chemical (methanesulfonic acid, MSA) has been used as a sea-ice proxy in the
482 Antarctic (e.g., Curran et al., 2003). However, studies of MSA in the Arctic do not yet support
483 any simple statistical relationship with sea ice there (Isaksson et al., 2005).

484 In summary, sea salt in ice cores has the potential to add a well-resolved and regionally
485 integrated picture of the past extent of sea ice extent. At one site weak statistical evidence
486 supports a relationship between sea ice extent and sea salt. However, the complexities of aerosol
487 production and transport mean that no firm basis yet exists for using sea salt in ice cores to
488 estimate past sea-ice extent in the Arctic. Further investigation is warranted to establish whether
489 such proxies might be usable: investigators need a better understanding of the sources of proxies
490 in the Arctic region, further statistical study of the modern controls on their distribution, and
491 modeling studies to assess proxies' sensitivity to major changes in sea-ice extent.

492

493 **7.3.7 Historical Records**

494 Historical records may describe recent paleoclimatic processes such as weather and ice
495 conditions. The longest historical records of ice cover exist from ice-marginal areas that are more
496 accessible for shipping, as exemplified by a compilation for the *Barents Sea* covering four
497 centuries in variable detail (Vinje, 1999, 2001). Systematic records of the position of sea-ice
498 margin around the Arctic Ocean have been compiled for the period since 1870 (Walsh, 1978;
499 Walsh and Chapman, 2001). These sources vary in quality and availability with time. More
500 reliable observational data on ice concentrations for the entire Arctic are available since 1953,
501 and the most accurate data from satellite imagery is available since 1972 (Cavalieri et al., 2003).

502 Seas around *Iceland* provide a rare opportunity to investigate the ice record in a more

503 distant past because Iceland has for 1200 years recorded observations of drift ice (i.e., sea ice and
504 icebergs) following the settlement of the island in approximately 870 CE (Koch, 1945;
505 Bergthorsson, 1969; Ogilvie, 1984; Ogilvie et al., 2000). This long record has facilitated efforts
506 to quantify the changes in the extent and duration of drift ice around the Iceland coasts during the
507 last 1200 years (Koch, 1945; Bergthorsson, 1969). During times of extreme drift-ice incursions,
508 ice wraps around *Iceland* in a clockwise motion. Ice commonly develops off the northwest and
509 north coasts and only occasionally extends into southwest Iceland waters (Ogilvie, 1996).
510 Historical sources have been used to construct a sea-ice index that compares well with
511 springtime temperatures at a climate station in northwest Iceland (Figure 7.6).

512

FIGURE 7.6 NEAR HERE

514

515 **7.4 History of Arctic Sea-Ice Extent and Circulation Patterns**

516

517 **7.4.1 Pre-Quaternary History (Prior to ~2.6 Ma ago)**

518 The shrinkage of the perennial ice cover in the Arctic and predictions that it may
519 completely disappear within the next 50 years or even sooner (Holland et al., 2006a; Stroeve et
520 al., 2008) are especially disturbing in light of recent discoveries that sea ice in the Arctic has
521 persisted for the past 2 million years and may have originated several million years earlier
522 (Darby, 2008; Krylov et al., 2008). Until recently, evidence of long-term (million-year scale)
523 climatic history of the north polar areas was limited to fragmentary records from the Arctic
524 periphery. The *ACEX deep-sea drilling borehole* in the central Arctic Ocean (Backman et al.,
525 2006) provides new information about its Cenozoic history for comparison with circum-Arctic

526 records. Drilling results confirmed that about 50 Ma, during the Eocene Optimum (Figure 3.8 in
527 Chapter 3), the Arctic Ocean was considerably warmer than it is today, as much as 24°C at least
528 in the summers, and fresh-water subtropical aquatic ferns grew in abundance (Moran et al.,
529 2006). This environment is consistent with forests of enormous *Metasequoia* that stood at the
530 same time on shores of the Arctic Ocean—such as on *Ellesmere Island* across lowlying delta
531 floodplains riddled with lakes and swamps (Francis, 1988; McKenna, 1980) Coarse grains
532 occurring in ACEX sediment as old as about 46 Ma indicate the possible onset of drifting ice and
533 perhaps even some glaciers in the Arctic during the cooling that followed the thermal optimum
534 (Moran et al., 2006; St. John, 2008). This cooling matches the timing of a large-scale
535 reorganization of the continents, notably the oceanic separation of Antarctica and of a sharp
536 decrease in atmospheric CO₂ concentration of more than 1,000 parts per million (ppm) (Pearson
537 and Palmer, 2000; Lowenstein and Demicco, 2006; also see Figure 4.24). However, in the Eocene
538 the *ACEX site* was at the margin of rather than in the center of the Arctic Ocean (O'Regan et al.,
539 2008) and therefore coarse grains may have been delivered to this site by rivers rather than by
540 drifting ice. The circum-Arctic coasts at this time were still occupied by rich, high-biomass
541 forests of redwood and by wetlands characteristic of temperate conditions (LePage et al., 2005;
542 Williams et al., 2003). Continued cooling, punctuated by an abrupt temperature decrease at the
543 Eocene-Oligocene boundary about 34 Ma, triggered massive Antarctic glaciation. It may have
544 also led to the increase in winter ice in the Arctic. This inference cannot yet be verified in the
545 central Arctic Ocean because the ACEX record contains no sediment deposited between about
546 44 to 18 Ma. Mean annual temperatures at the Eocene-Oligocene transition (about 33.9 Ma)
547 dropped from nearly 11°C to 4°C in southern Alaska (Wolfe, 1980, 1997) at this time, whereas
548 fossil assemblages and isotopic data in marine sediments along the coasts of the *Beaufort Sea*

549 suggest waters with a seasonal range between 1°C and 9°C (Oleinik et al., 2007). The first
550 glaciers may have developed in *Greenland* about the same time, on the basis of coarse grains
551 interpreted as iceberg-rafted debris in the North Atlantic (Eldrett et al., 2007). Sustained,
552 relatively warm conditions lingered during the early Miocene (about 23–16 Ma) when cool-
553 temperate *Metasequoia* dominated the forests of northeast *Alaska* and the *Yukon* (White and
554 Ager, 1994; White et al., 1997), and the central Canadian Arctic Islands were covered in mixed
555 conifer-hardwood forests similar to those of southern Maritime Canada and New England today.
556 Such forests and associated wildlife would have easily tolerated seasonal sea ice, but they would
557 not have survived the harshness of perennial ice cover on the adjacent ocean (Whitlock and
558 Dawson, 1990).

559 A large unconformity (a surface in a sequence of sediments that represents missing
560 deposits, and thus missing time) in the ACEX record prevents us from characterizing sea-ice
561 conditions between about 44–18 Ma (Backman et al., 2008). Sediments overlying the
562 unconformity contain little ice-rafted debris, and they indicate a smaller volume of sea ice in the
563 Arctic Ocean at that time (St. John, 2008). Marked changes in Arctic climate in the middle
564 Miocene were concurrent with global cooling and the onset of Antarctic reglaciation (Figure 3.8
565 in Chapter 3). These changes may have been promoted by the opening of the *Fram Strait*
566 between the Eurasian and Greenland margins about 17 Ma, which allowed the modern circulation
567 system in the Arctic Ocean to develop (Jakobsson et al., 2007). Resultant cooling led to a change
568 from pine-redwood-dominated to larch-spruce-dominated floodplains and swamps at the Arctic
569 periphery at about 16 Ma as recorded, for example, on *Banks Island* by extensive peats with
570 stumps in growth position (Fyles et al., 1994; Williams, 2006). A combination of cooling and
571 increased moisture from the North Atlantic caused ice masses on and around *Svalbard* to grow

572 and icebergs to discharge into the eastern Arctic Ocean and the *Greenland Sea* at about 15 Ma
573 (Knies and Gaina, 2008). The source of sediment in the central Arctic Ocean changed between
574 13–14 Ma and indicates the likelihood that sea ice was now perennial (Krylov et al., 2008),
575 although the ice’s geographic distribution and persistence is not yet understood. Evidence of
576 perennial ice can be found in even older sediments, starting from at least 14 Ma (Darby, 2008).
577 Several pulses of more-abundant-than-normal ice-rafted debris in the late Miocene ACEX record
578 indicate further growth of sea ice (St. John, 2008). This interpretation is consistent a cooling
579 climate indicated by the spread of pine-dominated forests in northern *Alaska* (White et al., 1997).
580 On the other hand, paleobotanical evidence also suggests that throughout the late Miocene and
581 most of the Pliocene in at least some intervals perennial ice was severely restricted or absent.
582 Thus, extensive braided-river deposits of the Beaufort Formation (early to middle Pliocene,
583 about 5.3–3 Ma) that blanket much of the western Canadian Arctic Islands enclose abundant logs
584 and other woody detritus representing more than 100 vascular plants such as pine (2 and 5
585 needles) and birch, and dominated at some locations by spruce and larch (Fyles, 1990; Devaney,
586 1991). Although these floral remains indicate overall boreal conditions cooler than in the
587 Miocene, extensive perennial sea ice is not likely to have existed in the adjacent *Beaufort Sea*
588 during this time. This inference is consistent with the presence of the bivalve Icelandic Cyprine
589 (*Arctica islandica*) in marine sediments capping the Beaufort Formation on *Meighen Island* at
590 80°N and dated to the peak of Pliocene warming, about 3.2 Ma (Fyles et al., 1991). Foraminifers
591 in Pliocene deposits in the Beaufort-Mackenzie area are also characteristic of boreal but not yet
592 high-Arctic waters (McNeil, 1990), whereas the only known pre-Quaternary foraminiferal
593 evidence from the central Arctic Ocean indicates seasonally ice-free conditions in the early
594 Pliocene about 700 km north of the Alaskan coast (Mullen and McNeil, 1995).

595 Cooling in the late Pliocene profoundly reorganized the Arctic system: tree line retreated
596 from the Arctic coasts (White et al., 1997; Matthews and Telka, 1997), permafrost formed (Sher
597 et al., 1979; Brigham-Grette and Carter, 1992), and continental ice masses grew around the
598 Arctic Ocean—for example, the *Svalbard* ice sheet advanced onto the outer shelf (Knies et al.,
599 2002) and between 2.9–2.6 Ma ice sheets began to grow in North America (Duk-Rodkin et al.,
600 2004). The ACEX cores record especially large volumes of high ice-rafted debris in the Arctic
601 Ocean around 2 Ma (St. John, 2008). Despite the overall cooling, extensive warm intervals
602 during the late Pliocene and the initial stages of the Quaternary (about 2.4–3 Ma) are repeatedly
603 documented at the Arctic periphery from northwest Alaska to northeastern Greenland (Feyling-
604 Hanssen et al., 1983; Funder et al., 1985, 2001; Carter et al., 1986; Bennike and Böcher, 1990;
605 Kaufman, 1991; Brigham-Grette and Carter, 1992). For example, beetle and plant macrofossils
606 in the nearshore high-energy sediments of the upper *Kap København* Formation on northeast
607 Greenland, dated about 2.4 Ma, mimic paleoenvironmental conditions similar to those of
608 southern Labrador today (Funder et al., 1985; 2001; Bennike and Böcher, 1990). At the same
609 time, marine conditions were distinctly Arctic but, analogous with present-day faunas along the
610 Russian coast, open water must have existed for 2 or 3 months in the summer. These results
611 imply that summer sea ice in the entire Arctic Ocean was probably much reduced.

612 A more complete history of perennial versus seasonal sea ice and ice-free intervals during
613 the past several million years requires additional sedimentary records distributed throughout the
614 Arctic Ocean and a synthesis of sediment and paleobiological evidence from both land and sea.
615 This history will provide new clues about the stability of the Arctic sea ice and about the
616 sensitivity of the Arctic Ocean to changing temperatures and other climatic features such as snow
617 and vegetation cover.

618

619 **7.4.2 Quaternary Variations (the past 2.6 Ma)**

620 The Quaternary period of Earth's history during the past 2.6 million years (m.y.) or so is
621 characterized by overall low temperatures and especially large swings in climate regime (Figure
622 3.9 in Chapter 3). These swings are related to changes in insolation (incoming solar radiation)
623 modulated by Earth's orbital parameters with periodicities of tens to hundreds of thousand years
624 (see Chapter 3 for more detail). During cold periods when large ice masses are formed, such as
625 during the Quaternary, these variations are amplified by powerful feedbacks due to changes in
626 the albedo (reflectivity) of Earth's surface and concentration of greenhouse gases in the
627 atmosphere. Quaternary climate history is composed of cold intervals (glacials) when very large
628 ice sheets formed in northern Eurasia and North America and of interspersed warm intervals
629 (interglacials), such as the present one, referred to as the Holocene (which began about 11.5
630 thousand years ago (ka). Temperatures at Earth's surface during some interglacials were similar
631 to or even somewhat warmer than those of today; therefore, climatic conditions during those
632 times can be used as approximate analogs for the conditions predicted by climate models for the
633 21st century (Otto-Bliesner et al., 2006; Goosse et al., 2007). One of the biggest questions in this
634 respect is to what degree sea-ice cover was reduced in the Arctic during those warm intervals.
635 This issue is insufficiently understood because interglacial deposits at the Arctic margins are
636 exposed only in fragments (CAPE, 2006) and because sedimentary records from the Arctic
637 Ocean generally have only low resolution. Even the age assigned to sediments that appear to be
638 interglacial is commonly problematic because of the poor preservation of fossils and various
639 stratigraphic complications (e.g., Backman et al., 2004). A better understanding has begun to
640 emerge from recent collections of sediment cores from strategic sites drilled in the Arctic Ocean

641 such as ACEX (Backman et al., 2006) and HOTRAX (Darby et al., 2005). The severity of ice
642 conditions (widespread, thick, perennial ice) during glacial stages is indicated by of the extreme
643 rarity of biological remains in cool-climate sediment layers and possible non-deposition intervals
644 due to especially solid ice (Polyak et al., 2004; Darby et al., 2006; Cronin et al., 2008). In
645 contrast, interglacials are characterized by higher marine productivity that indicates reduced ice
646 cover. In particular, planktonic foraminifers typical of subpolar, seasonally open water lived in
647 the area north of Greenland during the last interglacial (marine isotope stage 5e), 120–130 ka
648 (Figure 7.7, Nørgaard-Pedersen et al., 2007a,b). Given that this area is presently characterized by
649 especially thick and widespread ice, most of the Arctic Ocean may have been free of summer ice
650 cover in the interval between 120–130 ka. Investigators need to carefully examine correlative
651 sediments throughout the Arctic Ocean to determine how widespread were these low-ice or
652 possibly ice-free conditions. Some intervals in sediment cores from various sites in the central
653 Arctic have been reported to contain subpolar microfauna (e.g., Herman, 1974; Clark et al.,
654 1990), but their age was not well constrained. New sediment core studies are needed to place
655 these intervals in the coherent stratigraphic context and to reconstruct corresponding ancient ice
656 conditions. This task is especially important as only those records from the central Arctic Ocean
657 can provide direct evidence for ocean-wide ice-free water.

658

FIGURE 7.7 NEAR HERE

660

661 Some coastal exposures of interglacial deposits such as marine isotope stage 11 (about
662 400 ka) and 5e (about 120–130 ka) also indicate water temperatures warmer than present and,
663 thus, reduced ice. For example, deposits of the last interglacial on the Alaskan coast of the

664 *Chukchi* Sea (the so-called Pelukian transgression) contain some fossils of species that are
665 limited today to the northwest Pacific, whereas inter-tidal snails found near *Nome*, just slightly
666 south of the *Bering Strait*, suggest that the coast here may have been annually ice free (Brigham-
667 Grette and Hopkins, 1995; Brigham-Grette et al., 2001). On the Russian side of the *Bering Strait*,
668 foraminifer assemblages suggest that coastal waters were fairly warm, like those in the Sea of
669 Okhotsk and Sea of Japan (Brigham-Grette et al., 2001). Deposits of the same age along the
670 northern Arctic coastal plain show that at least eight mollusk species extended their distribution
671 ranges well into the *Beaufort Sea* (Brigham-Grette and Hopkins, 1995). Deposits near *Barrow*
672 include at least one mollusk and several ostracode species known now only from the North
673 Atlantic. Taken together, these findings suggest that during the peak of the last interglacial, about
674 120–130 ka, the winter limit of sea ice did not extend south of the Bering Strait and was
675 probably located at least 800 km north of historical limits (such as on Figure 7.1), whereas
676 summer sea-surface temperatures were warmer than present through the *Bering Strait* and into
677 the *Beaufort Sea*.

678

679 **7.4.3 The Holocene (the most recent 11.5 ka)**

680 The present interglacial that has lasted approximately 11.5 k.y. is characterized by much
681 more paleoceanographic data than earlier warm periods, because Holocene deposits are
682 ubiquitous on continental shelves and along many coastlines. Owing to relatively high
683 sedimentation rates at continental margins, ice drift patterns can be constructed on sub-millennial
684 scales from some sedimentary records. Thus, the periodic influx of large numbers of iron oxide
685 grains from specific sources, as into the Siberian margin-to-sea-floor area north of Alaska, has
686 been linked to a certain mode of the atmospheric circulation pattern (Darby and Bischof, 2004).

687 If this link is proven, it will signify the existence of longer term atmospheric cycles in the Arctic
688 than the decadal Arctic Oscillation observed during the last century (Thompson and Wallace,
689 1998).

690 Many proxy records indicate that early Holocene temperatures were warmer than today
691 and that the Arctic contained less ice. This climate is consistent with a higher intensity of
692 insolation that peaked about 11 ka owing to Earth's orbital variations. Evidence of warmer
693 temperatures appears in many paleoclimatic records from the high Arctic—*Svalbard* and
694 northern *Greenland*, northwestern North America, and eastern *Siberia* (Kaufman et al., 2004;
695 Blake, 2006; Fisher et al., 2006; Funder and Kjær, 2007). Decreased sea-ice cover in the western
696 Arctic during the early Holocene has also been inferred from high sodium concentrations in the
697 *Penny Ice Cap* of *Baffin Island* (Fisher et al., 1998) and the *Greenland Ice Sheet* (Mayewski et
698 al., 1994), although the implications of salt concentration is yet to be defined. Areas that were
699 affected by the extended melting of the *Laurentide Ice Sheet*, especially the northeastern sites in
700 North America and the adjacent North Atlantic, show more complex patterns of temperature and
701 ice distribution (Kaufman et al., 2004).

702 An extensive record has been compiled from bowhead whale findings along the coasts of
703 the *Canadian Arctic Archipelago* straits (Dyke et al., 1996, 1999; Fisher et al., 2006).
704 Understanding the dynamics of ice conditions in this region is especially important for modern-
705 day considerations because ice-free, navigable straits through the *Canadian Arctic Archipelago*
706 will provide new opportunities for shipping lanes. The current set of radiocarbon dates on
707 bowheads from the *Canadian Arctic Archipelago* coasts is grouped into three regions: western,
708 central, and eastern (Figure 7.8). The central region today is the area of normally persistent
709 summer sea ice; the western region is within the summer range of the Pacific bowhead; the

710 eastern region is within the summer range of the Atlantic bowhead. These three graphs allow us
711 to draw the following conclusions:

- 712 1. Bowhead bones have been most commonly found in all three regions in early Holocene
713 (10–8 ka) deposits. At that time Pacific and Atlantic bowheads were able to intermingle
714 freely along the length of the Northwest Passage indicating at least periodically ice-free
715 summers.
- 716 2. Following an interval (8–5 ka) containing fewer bones, abundant bowhead bones have
717 been found in deposits in the eastern channels during the middle Holocene (5–3 ka). At
718 times, the Atlantic bowheads penetrated the central region, particularly 4.5–4.2 ka. The
719 Pacific bowhead apparently did not extend its range at this time.
- 720 3. A final peak of bowhead bones has been found about 1.5–0.75 ka in all three regions,
721 suggesting an open Northwest Passage during at least some summers. During this interval
722 the bowhead-hunting Thule Inuit (Eskimo) expanded eastward out of the *Bering Sea*
723 region and ultimately spread to *Greenland* and Labrador.
- 724 4. The decline of bowhead abundances during the last few centuries is evident in all three
725 graphs. Thule bowhead hunters abandoned the high Arctic of *Canada* and *Greenland*
726 during the Little Ice Age cooling (around 13th to 19th centuries) and *Thule* living in
727 more southern Arctic regions increasingly focused on alternate resources.

728

729

FIGURE 7.8 NEAR HERE

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732

On the basis of the summer ice melt record of the *Agassiz Ice Cap* (Fisher et al., 2006),
summer temperatures that accompanied the early Holocene bowhead maximum are estimated at

756 northern *Baffin Bay* (southern reach of *Nares Strait* between *Ellesmere Island* and northwest
757 *Greenland*) based on transfer functions of dinocyst assemblages. The present-day duration of the
758 ice cover in this area is about 8 months, whereas the predicted duration for the Holocene ranges
759 between 7 and 10–12 months. An interval of minimal sea-ice cover existed until about 4.5 ka,
760 whereas afterwards the sea-ice cover was considerably more extensive (Figure 7.10).

761

762 **FIGURE 7.10 NEAR HERE**

763

764 Along the North Greenland coasts, isostatically raised staircases of wave-generated beach
765 ridges (Figure 7.11) document seasonally open water (Funder and Kjær, 2007). Large numbers
766 of striated boulders in and on the marine sediments also indicate that the ocean was open enough
767 for icebergs to drift along the shore and drop their loads. Presently the North Greenland coastline
768 is permanently surrounded by pack ice, and rare icebergs are locked up in sea ice. Radiocarbon-
769 dated mollusk shells from beach ridges show that the beach ridges were formed in the early
770 Holocene, within the interval from about 8.5–6 ka, which is progressively shorter from south to
771 north. These wave-generated shores and abundant iceberg-deposited boulders indicate the
772 possibility that the adjacent Arctic Ocean was free of sea ice in summer at this time.

773 A somewhat different history of ice extent in the Holocene emerges from the northern
774 North Atlantic and *Nordic seas*, exemplified by the Iceland margin. A 12,000 year record of
775 quartz content in shelf sediment, which is used in this area as a proxy for the presence of drift ice
776 (Eiriksson et al., 2000), has been produced for a core (MD99-2269) from the northern Iceland
777 shelf. The record has a resolution of 30 years per sample (Moros et al., 2006); these results are
778 consistent with data obtained from 16 cores across the northwestern Iceland shelf (Andrews,

779 2007). These data show a minimum in quartz and, thus, ice cover at the end of deglaciation,
780 whereas the early Holocene area of ice increased and then reached another minimum around 6
781 ka, after which the content of quartz steadily rose (Figure 7.12). The lagged Holocene optimum
782 in the North Atlantic in comparison with high Arctic records can be explained by the nature of
783 oceanic controls on ice distribution. In particular, the discharge of glacial meltwater from the
784 remains of the Laurentide Ice Sheet slowed the warming in the North Atlantic region in the early
785 Holocene (Kaufman et al., 2004). Additionally, oceanic circulation seesawed between the eastern
786 and western regions of the *Nordic seas* throughout much of the Holocene. For example, in the
787 Norwegian Sea the Holocene ice-rafting peaked in the mid-Holocene, 6.5–3.7 ka (Risebrobakken
788 et al., 2003), and changes in Earth’s orbit forced decreasing summer temperatures and decreased
789 seasonality (Moros et al., 2004). By contrast, the middle Holocene is a relatively warm period off
790 East Greenland, and it received a strong subsurface current of Atlantic Water around 6.5–4 ka,
791 while ice-rafted debris was low (Jennings et al., 2002). These patterns are consistent with
792 modern marine and atmospheric temperatures that commonly change in opposite directions on
793 the eastern and western side of the North Atlantic (“seesaw effect” of van Loon and Rogers,
794 1978).

795

796 FIGURE 7.12 NEAR HERE

797

798 The Neoglacial cooling of the last few thousand years is considered overall to be related
799 to decreasing summer insolation (Koç and Jansen, 1994). However, high-resolution climate
800 records reveal greater complexity in the system—changes in seasonality and links with
801 conditions in low latitudes and southern high latitudes (e.g., Moros et al., 2004). Variations in the

802 volumes of ice-rafted debris indicate several cooling and warming intervals during Neoglacial
803 time, similar to the so-called “Little Ice Age” and “Medieval Climate Anomaly” cycles of greater
804 and lesser areas of sea ice (Jennings and Weiner, 1996; Jennings et al., 2002; Moros et al., 2006;
805 Bond et al., 1997). Polar Water excursions have been reconstructed as multi-century to decadal-
806 scale variations superimposed on the Neoglacial cooling at several sites in the subarctic North
807 Atlantic (Andersen et al., 2004; Giraudeau et al., 2004; Jennings et al., 2002). In contrast, a
808 decrease in drift ice during the Neoglacial is documented for areas influenced by the North
809 Atlantic Current, possibly indicating a warming in the eastern *Nordic Seas* (Moros et al., 2006).
810 A seesaw climate pattern has been evident between seas adjacent to West Greenland and Europe.
811 For instance, warm periods in Europe around 800–100 BC and 800–1300 AD (Roman and the
812 Medieval Climate Anomalies) were cold periods on West Greenland because little warm Atlantic
813 Water fed into the West Greenland Current. Moreover, a cooling interval in western Europe
814 (during the Dark Ages) correlated with increased meltwater —and thus warming—on West
815 Greenland (Seidenkrantz et al., 2007).

816 Bond et al. (1997, 2001) suggested that cool periods manifested as past expansions of
817 drift ice and ice-rafted debris (most notably, hematite-stained quartz grains) in the North Atlantic
818 punctuated deglacial and Holocene records at intervals of about 1500 years and that these drift
819 ice events were a result of climates that cycled independently of glacial influence. Bond et al.
820 (2001) concluded that peak volumes of Holocene drift ice resulted from southward expansions of
821 polar waters that correlated with times of reduced solar output. This conclusion suggests that
822 variations in the Sun’s output is linked to centennial- to millennial-scale variations in Holocene
823 climate through effects on production of North Atlantic Deep Water. However, continued
824 investigation of the drift ice signal indicates that although the variations reported by Bond et al.

825 (2001) may record a solar influence on climate, they likely do not pertain to a simple index of
826 drift ice (Andrews et al., 2006). In addition, those cooling events prior to the Neoglacial interval
827 may stem from deglacial meltwater forcing rather than from southward drift of Arctic ice
828 (Giraudeau et al., 2004; Jennings et al., 2002). In an effort to test the idea of solar forcing of
829 1500 year cycles in Holocene climate change, Turney et al. (2005) compared Irish tree-ring-
830 derived chronologies and radiocarbon activity, a proxy for solar activity, with the Holocene drift-
831 ice sequence of Bond et al. (2001). They found a dominant 800-year cycle in moisture, reflecting
832 atmospheric circulation changes during the Holocene but no link with solar activity.

833 Despite many records from the Arctic margins indicating considerably reduced ice
834 covering the early Holocene, no evidence of the decline of perennial ice cover has been found in
835 sediment cores from the central Arctic Ocean. Arctic Ocean sediments contain some ice-rafted
836 debris interpreted to arrive from distant shelves requiring more than 1 year of ice drift (Darby
837 and Bischof, 2004). One explanation is that the true record of low-ice conditions has not yet been
838 found because of low sedimentation rates and stratigraphic uncertainties. Additional
839 investigation of cores by use of many proxies with highest possible resolution is needed to verify
840 the distribution of ice in the Arctic during the warmest phase of the current interglacial.

841

842 **7.4.4 Historical Period**

843 Arctic paleoclimate records that contain proxies such as lake and marine sediments, trees,
844 and ice cores indicate that from the mid-19th to late 20th century the Arctic warmed to the
845 highest temperatures in at least four centuries (Overpeck et al., 1997). Subglacial material
846 exposed by retreating glaciers in the *Canadian Arctic* indicates that modern temperatures are
847 warmer than any time in at least the past 1600 years (Anderson et al., 2008). Paleoclimatic proxy

848 records of the last two centuries agree well with hemispheric and global data (including
849 instrumental measurements) (Mann et al., 1999; Jones et al., 2001). The composite record of ice
850 conditions for Arctic ice margins since 1870 shows a steady retreat of seasonal ice since 1900; in
851 addition, the retreat of both seasonal and annual ice has accelerated during the last 50 years
852 (Figure 7.13) (Kinnard et al., 2008). The latter observations are the most reliable for the entire
853 data set and are based on satellite imagery since 1972. The rate of ice-margin retreat over the
854 most recent decades is spatially variable, but the overall trend in ice is down. The current
855 decline of the Arctic sea-ice cover is much larger than expected from decadal-scale climatic and
856 hydrographic variations (e.g., Polyakov et al., 2005; Steele et al., 2008). The recent warming and
857 associated ice shrinkage are especially anomalous because orbitally driven insolation has been
858 decreasing steadily since its maximum at 11 ka, and it is now near its minimum in the 21 k.y.
859 precession cycle (e.g., Berger and Loutre, 2004), which should lead to cool summers and
860 extensive sea ice.

861

862 FIGURE 7.13 NEAR HERE

863

864 **7.5 Synopsis**

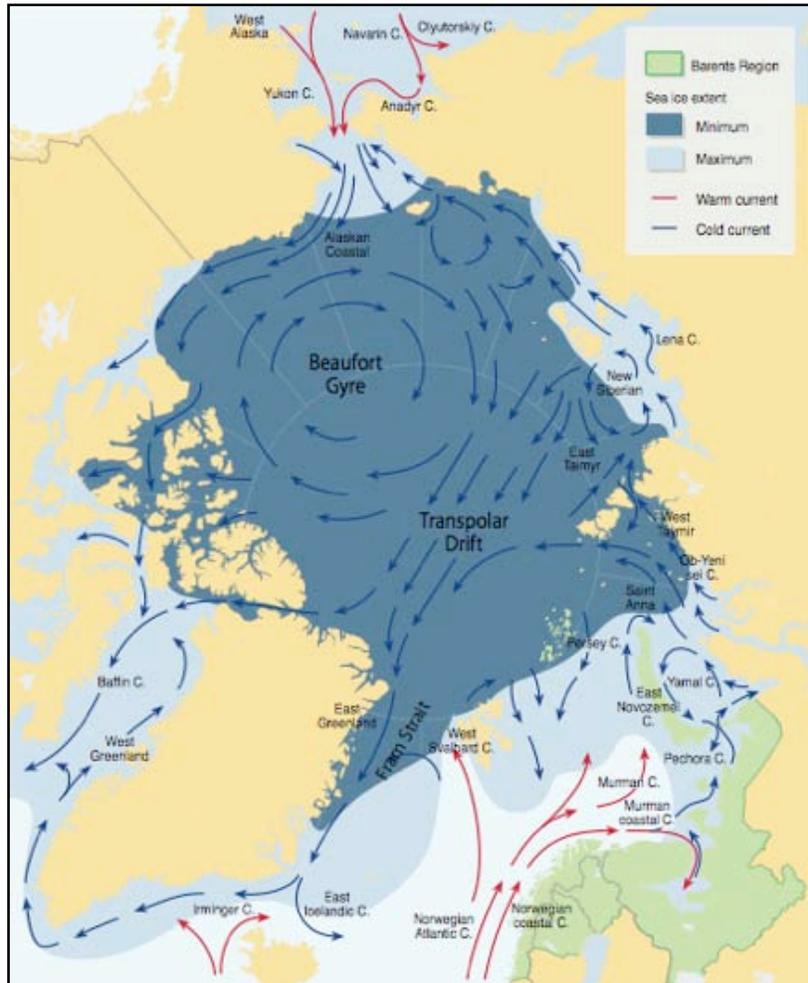
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866 Geological data indicate that the history of Arctic sea ice is closely linked with
867 temperature changes. Sea ice in the Arctic Ocean may have appeared as early as 46 Ma, after the
868 onset of a long-term climatic cooling related to a reorganization of the continents and subsequent
869 formation of large ice sheets in polar areas. Year-round ice in the Arctic possibly developed as
870 early as 13–14 Ma, in relation to a further overall cooling in climate and the establishment of the

871 modern hydrographic circulation in the Arctic Ocean. Nevertheless, extended seasonally ice-free
872 periods were likely until the onset of large-scale Quaternary glaciations in the Northern
873 Hemisphere approximately 2.5 Ma. These glaciations were likely to have been accompanied by a
874 fundamental increase in the extent and duration of sea ice. Ice may have been less prevalent
875 during Quaternary interglacials, and the Arctic Ocean even may have been seasonally ice free
876 during the warmest interglacials (owing to changes in insolation modulated by variations in
877 Earth's orbit that operate on time scales of tens to hundred thousand years). Reduced-ice
878 conditions are inferred, for example, for the previous interglacial and the onset of the current
879 interglacial, about 130 and 10 ka. These low-ice periods can be used as ancient analogs for future
880 conditions expected from the marked ongoing loss of Arctic ice cover. On time scales of
881 thousands and hundreds of years, patterns of ice circulation vary somewhat; this feature is not yet
882 well understood, but large periodic reductions in ice cover at these time scales are unlikely.
883 Recent historical observations suggest that ice cover has consistently shrunk since the late 19th
884 century, and that shrinkage has accelerated during the last several decades. Shrinkage that was
885 both similarly large and rapid has not been documented over at least the last few thousand years,
886 although the paleoclimatic record is sufficiently sparse that similar events might have been
887 missed. The recent ice loss does not seem to be explainable by natural climatic and
888 hydrographic variability on decadal time scales, and is remarkable for occurring when reduction
889 in summer sunshine from orbital changes has caused sea-ice melting to be less likely than in the
890 previous millennia since the end of the last ice age. The recent changes thus appear notably
891 anomalous; improved reconstructions of sea-ice history would help clarify just how anomalous
892 these changes are.
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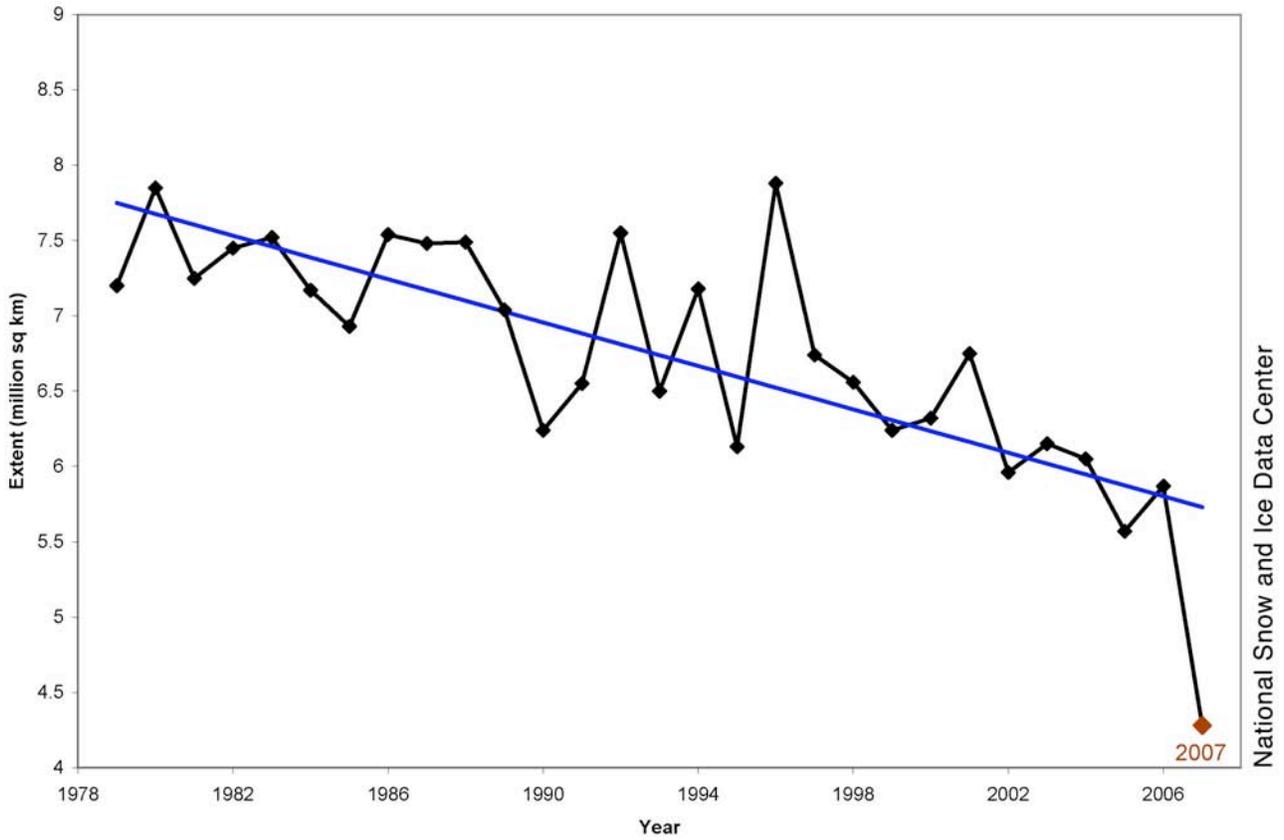
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896 **Figure 7.1.** Northern ocean currents and extent of sea ice extent. UNEP/GRID-Arendal Maps
897 and Graphics Library. Dec 97. UNEP/GRID-Arendal. 19 Feb 2008. Philippe Rekacewicz,
898 UNEP/GRID-Arendal) http://maps.grida.no/go/graphic/ocean_currents_and_sea_ice_extent.

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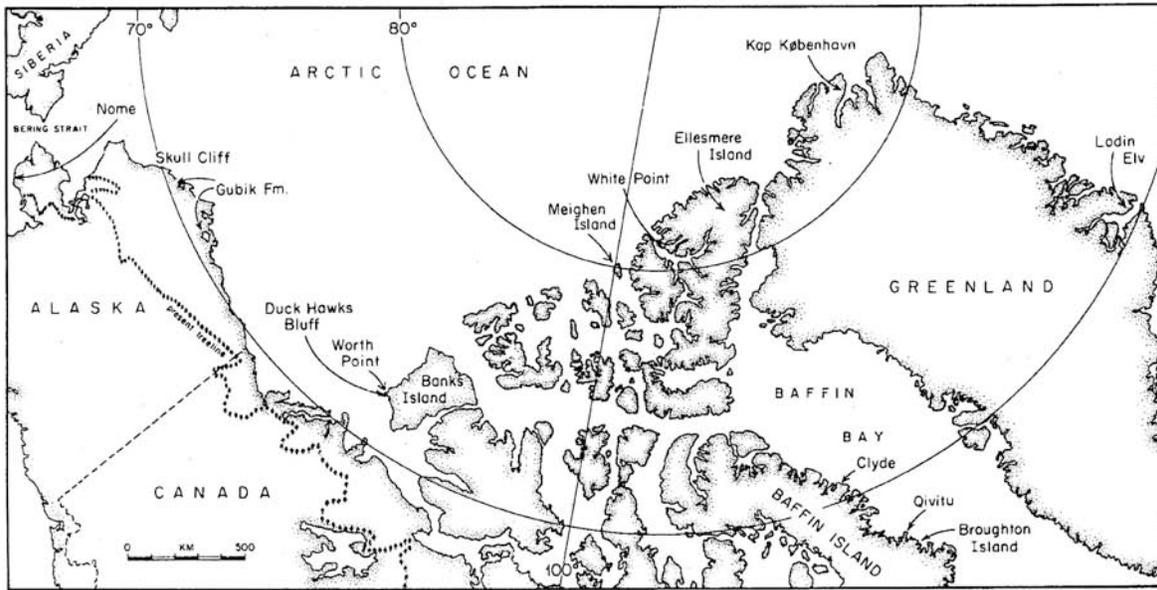


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901 **Figure 7.2.** Extent of Arctic sea ice in September, 1979–2007. The linear trend (trend line shown
 902 in blue) including 2007 shows a decline of 10% per decade (courtesy National Snow and Ice
 903 Data Center, Boulder, Colorado).

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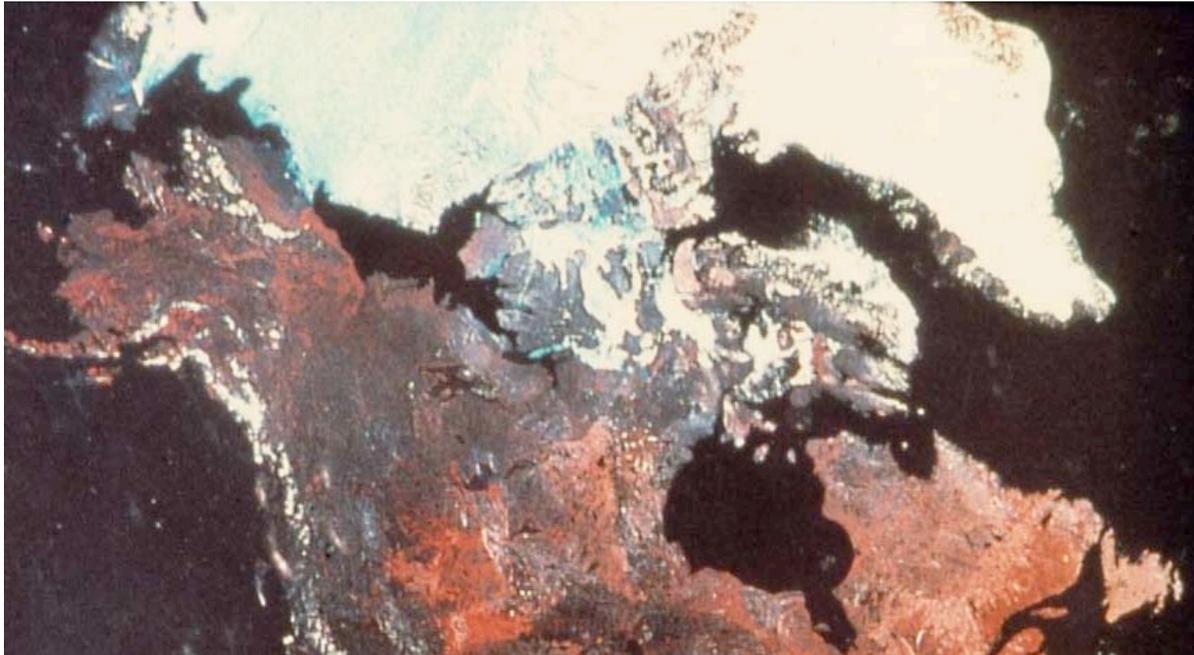
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906 **Figure 7.3.** Key marine sedimentary sequences exposed at the coasts of Arctic North America
907 and Greenland.

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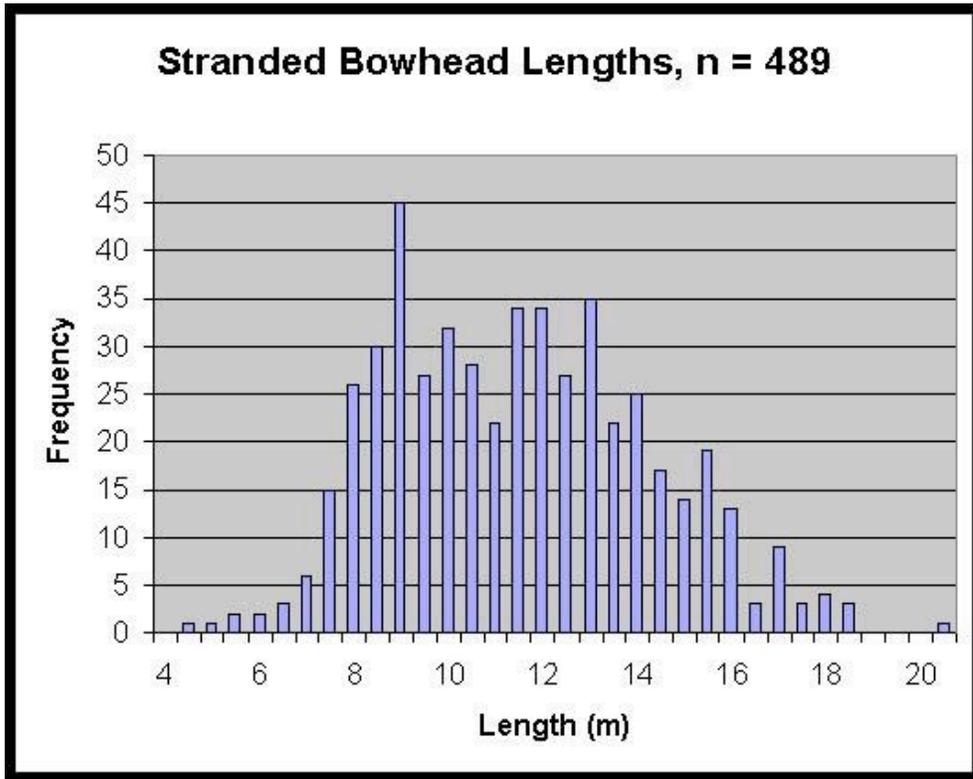


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910 **Figure 7.4.** Typical late 20th century summer ice conditions in the Canadian Arctic Archipelago.
911 (Dyke et al., 1996)

912

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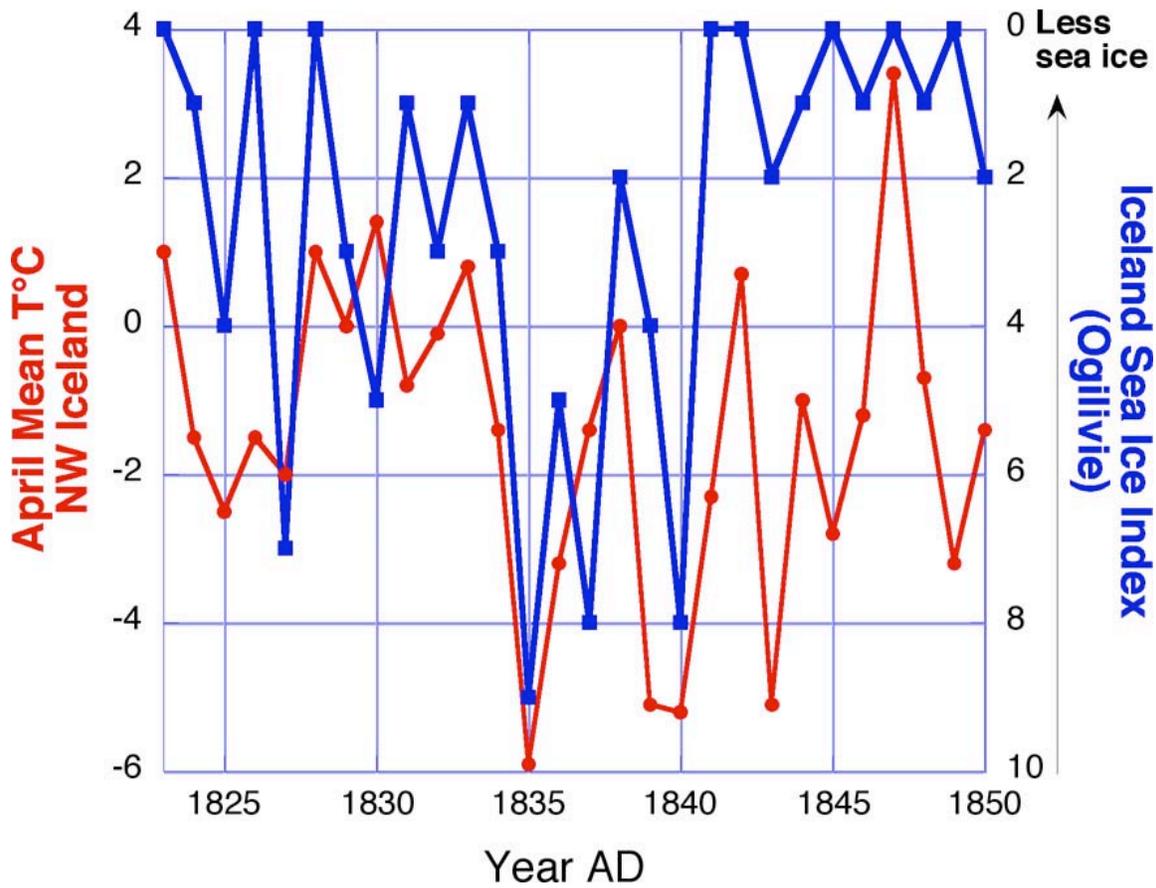


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914 **Figure 7.5.** The reconstructed lengths of Holocene bowhead whales based on skull
 915 measurements (485 animals) and mandible measurements (an additional 4 animals) (Savelle, et
 916 al., 2000). This distribution is very similar to the lengths of living Pacific bowheads, indicating
 917 that past strandings affected all age classes.

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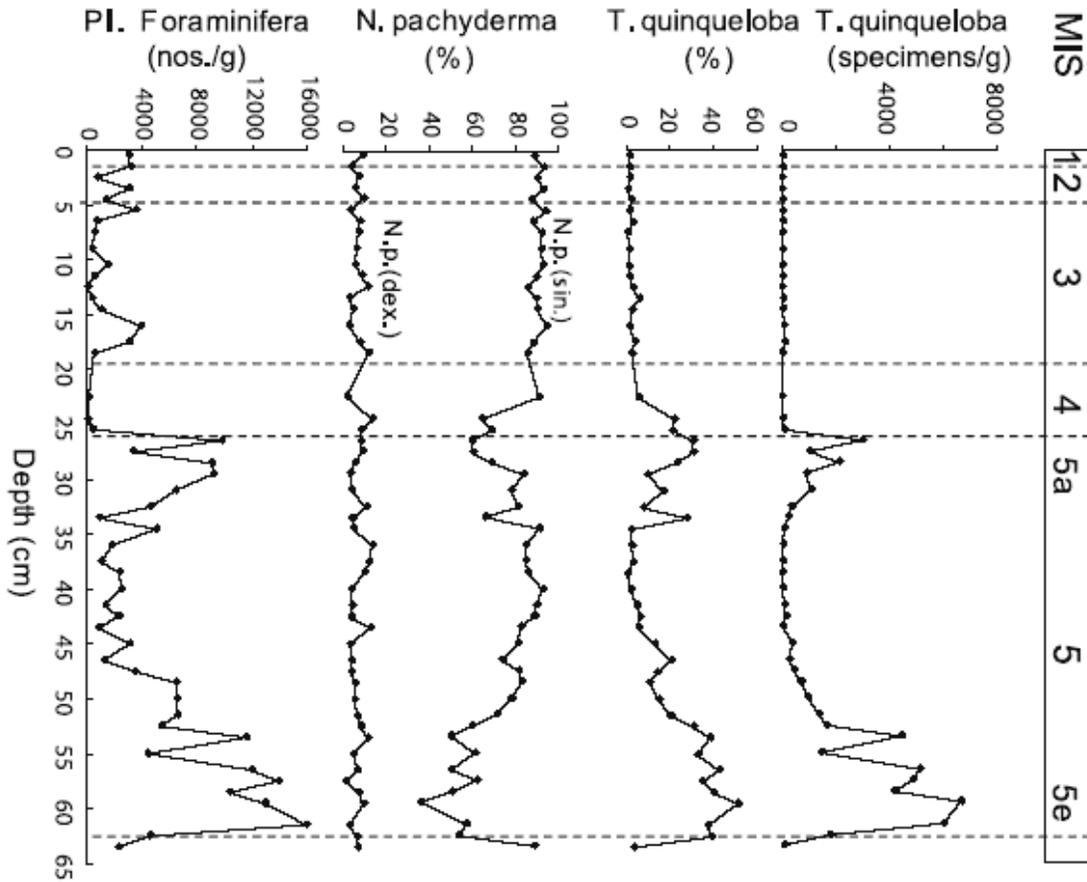
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920 **Figure 7.6.** The sea-ice index on the Iceland shelf plotted against springtime air temperatures in
 921 northwest Iceland that are affected by the distribution of ice in this region (from Ogilvie, 1996).

922 The two correlate well.

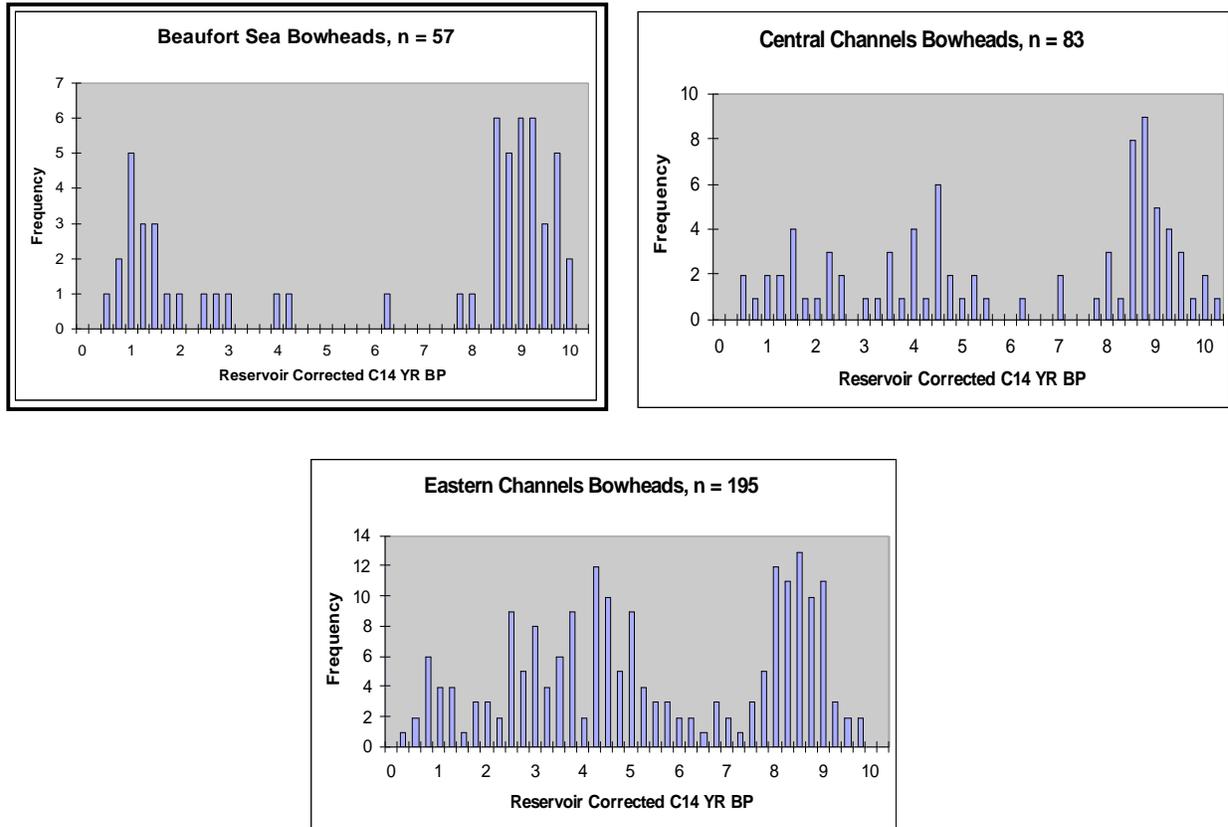
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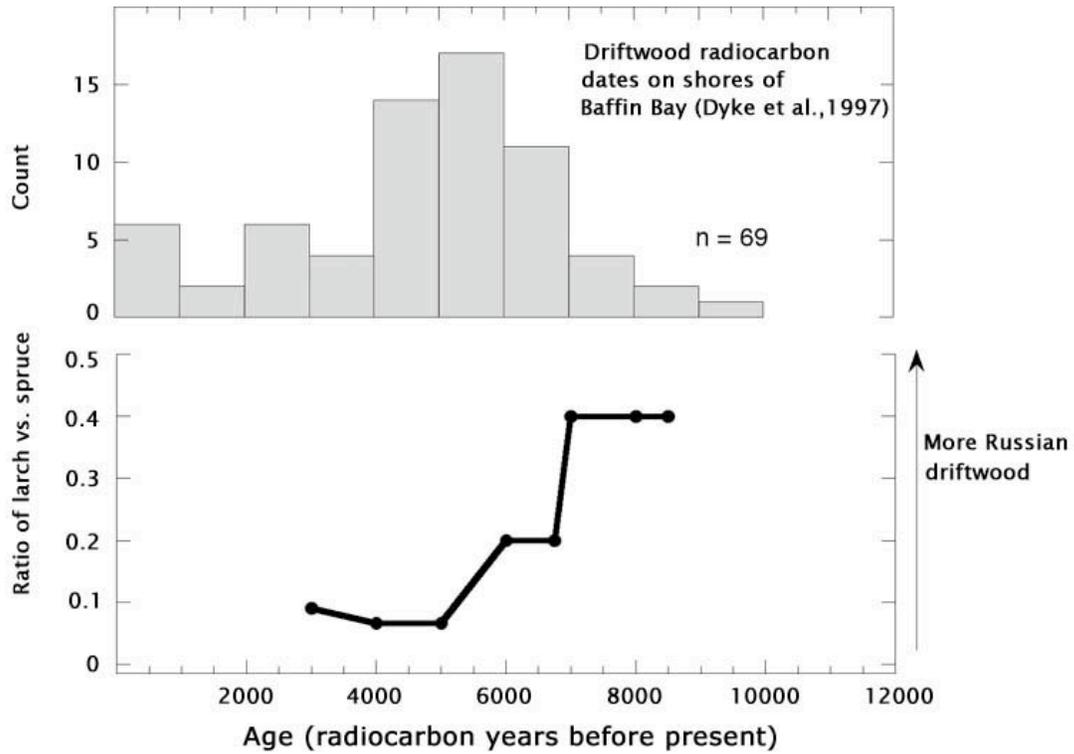
925 **Figure 7.7.** Planktonic foraminiferal record, core GreenICE-11, north of Greenland (from
 926 Nørgaard-Pedersen et al., 2007b). Note high numbers of a subpolar planktonic foraminifer *T.*
 927 *quinqueloba* during the last interglacial, marine isotopic stage (MIS) 5e; they indicate warm
 928 temperatures or reduced-ice conditions (or both) north of Greenland at that time.



929

930

931 **Figure 7.8.** Distribution of radiocarbon ages (in thousands of years) of bowhead whales in three
932 regions of the Canadian Arctic Archipelago (data from Dyke et al., 1996; Savelle et al., 2000).

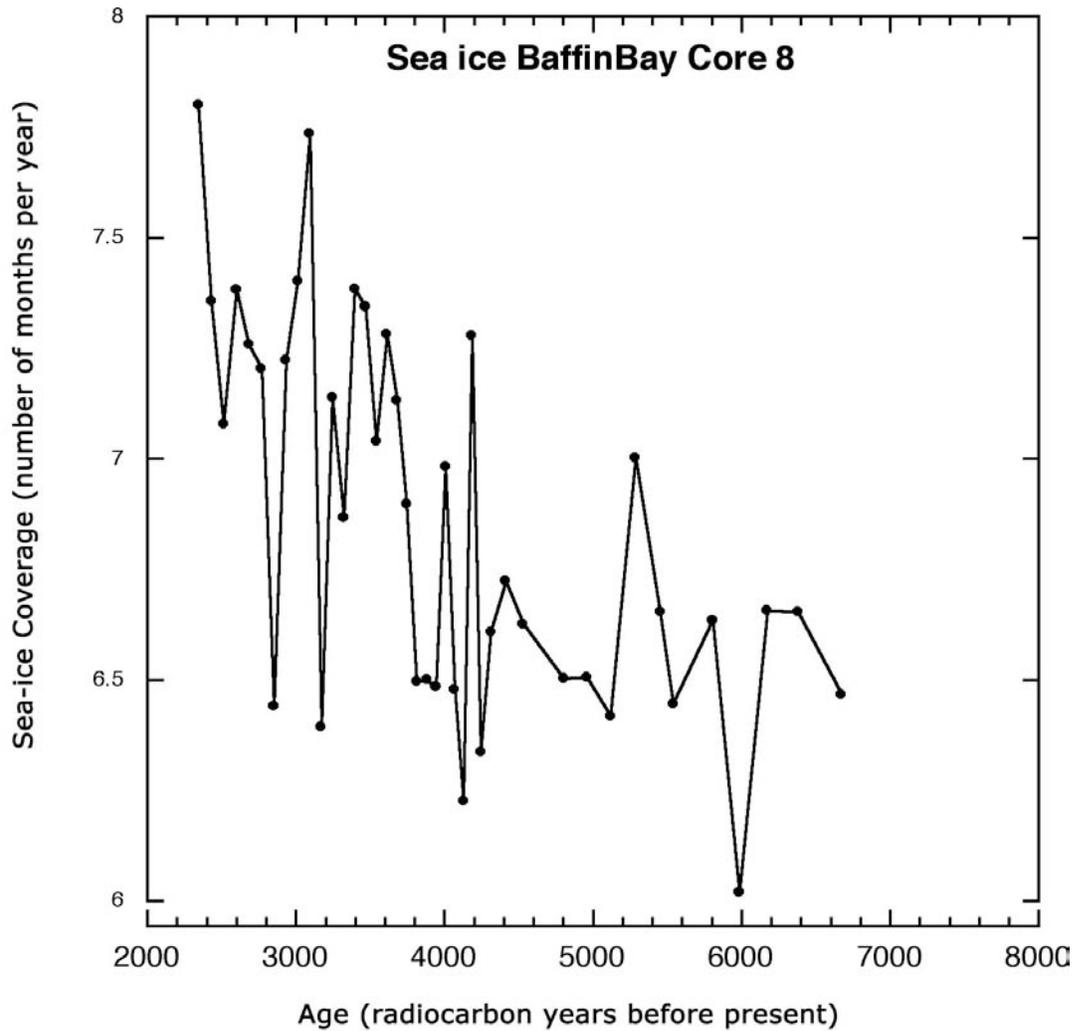


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935 **Figure 7.9.** Distribution of radiocarbon ages of Holocene driftwood on the shores of Baffin Bay
936 (from Dyke et al., 1997).

937



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939 **Figure 7.10.** Reconstruction of the duration of ice cover (months per year) in northern Baffin
940 Bay during the Holocene based on dinocyst assemblages (modified from Levac et al., 2001).

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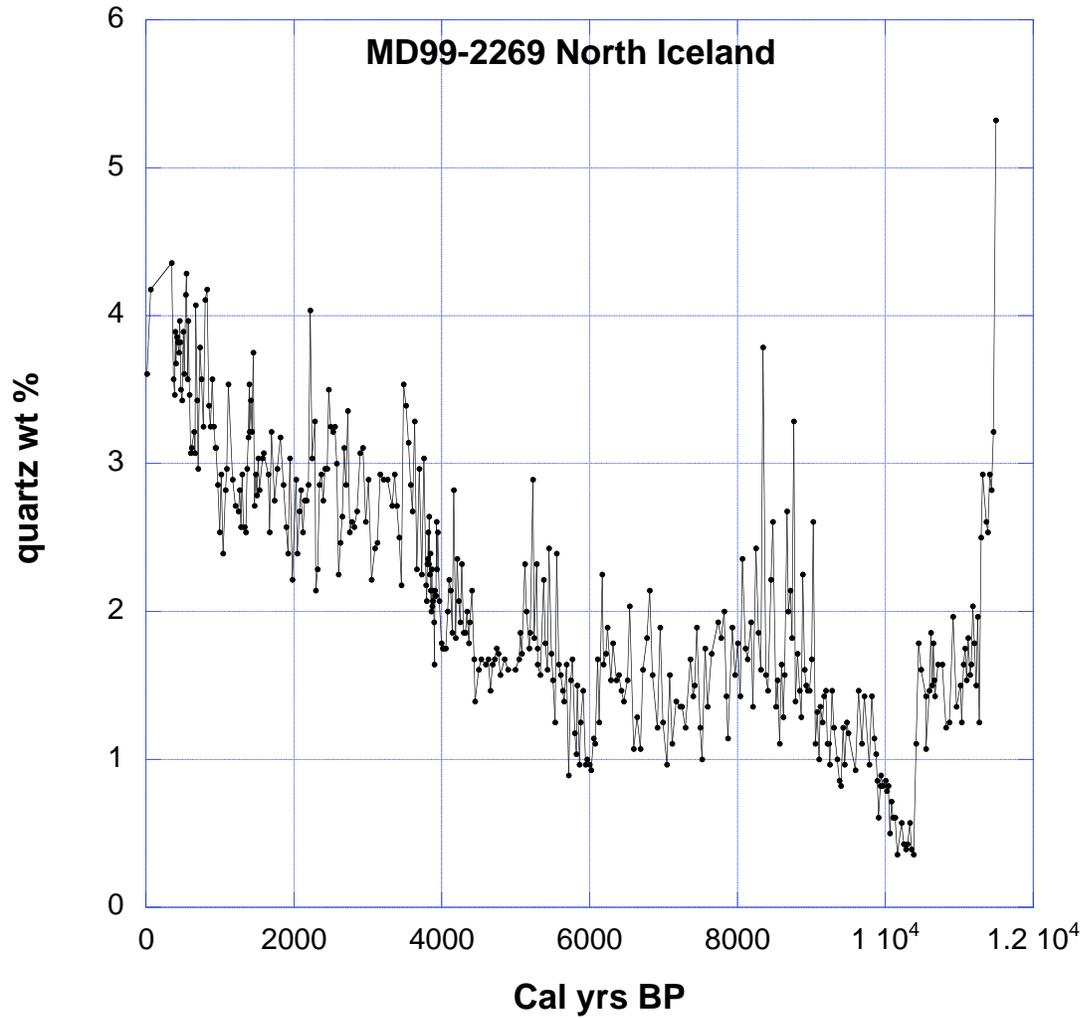
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943 **Figure 7.11.** Aerial photo (left) of wave-generated beach ridges (BR) at *Kap Ole Chiewitz*,
944 83°25'N, northeast Greenland. D1-D4 are raised deltas. The oldest, D1, is dated to ~10 ka while
945 D4 is the modern delta. Only D3 is associated with wave activity. The period of beach ridge
946 formation is dated to ca. 8.5–6 ka. The photo on the right shows the upper beach ridge. (Funder,
947 S. and K. Kjær, 2007)

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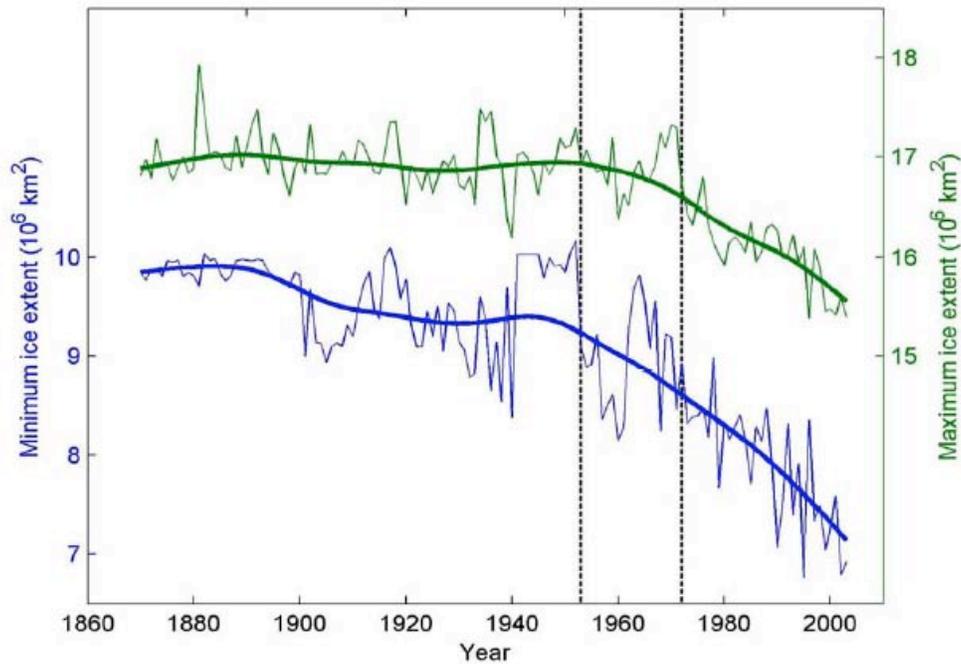


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950 **Figure 7.12. Variations in the percentage of quartz (a proxy for drift ice) in Holocene**
951 **sediments from the northern Iceland shelf (from Moros et al., 2006). BP, before present.**

952



953

954 **Figure 7.13.** Total sea-ice extent time series, 1870–2003 (from Kinnard et al., 2008). Green
 955 lines: maximal extent. Blue lines: minimal extent. Thick lines are robust spline functions that
 956 highlight low-frequency changes. Vertical dotted lines separate the three periods for which data
 957 sources changed fundamentally: earliest, 1870–1952, observations of differing accuracy and
 958 availability; intermediate, 1953–1971, generally accurate hemispheric observations; most recent,
 959 1972–2003, satellite period, best accuracy and coverage.

960

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CCSP Synthesis and Assessment Product 1.2

Past Climate Variability and Change in the Arctic and at High Latitudes

Chapter 8 — Key Findings and Recommendations

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12 **8.1 INTRODUCTION**

13 Paleoclimatic data provide a highly informative if incomplete history of Arctic climate.
14 Temperature history is especially well recorded, and it commonly allows researchers to
15 accurately reconstruct changes and rates of changes for particular seasons. Precipitation (rain or
16 snow) and the extent of ice on land and sea are some of the many other climate variables that
17 have also been reconstructed. The data also provide insight to the histories of many possible
18 causes of the climate changes and feedback processes that amplify or reduce the resulting
19 changes. Comparing climate with possible causes allows scientists to generate and test
20 hypotheses, and those hypotheses then become the basis for projections of future changes.

21 Arctic data show changes on numerous time scales and indicate many causes and
22 important feedback processes. Changes in greenhouse gases appear to have been especially
23 important in causing climate changes [sections 3.4; 4.4.1; 4.4.4, 5.4.1; 5.4.2]. Global climate
24 changes have been notably amplified in the Arctic [section 4.5.2], and warmer times have
25 melted ice on land and sea [Chapter 7].¹

26

27 **8.2 SUMMARY OF KEY FINDINGS**

28 **Chapter 4 Temperature and Precipitation**

¹ Statistically valid confidence levels often can be attached to scientific findings, but commonly require many independent samples from a large population. Such a standard can be applied to paleoclimatic data in only some cases, whereas in other cases the necessary archives or interpretative tools are not available. However, expert judgment can also be used to assess confidence. The key findings here cannot all be evaluated rigorously using parametric statistics, but on the basis of assessment by the authors, all of the key findings are at least “likely” as used by the Intergovernmental Panel on Climate Change (more than 66% chance of being correct); the authors believe that the most of the findings are “very likely” (more than a 90% chance of being correct).

29 The Arctic of 65 million years ago (Ma) was much warmer than in recent decades;
30 forests grew in all land regions and neither perennial sea ice nor the Greenland Ice Sheet were
31 present. Gradual but bumpy cooling has dominated since, with the falling atmospheric CO₂
32 concentration apparently the most important contributor to the cooling, although with possible
33 additional contributions from changing continental positions and their effects on atmospheric or
34 oceanic circulation. Warm “bumps” during the general cooling trend include the relatively
35 abrupt Paleocene-Eocene Thermal Maximum about 55 Ma, apparently caused by an increase in
36 greenhouse gas concentrations, and a more gradual warming in the middle Pliocene (about 3
37 Ma) of uncertain cause.

38 Around 2.7 Ma cooling reached the threshold for extensive development of continental
39 ice sheets throughout the North American and Eurasian Arctic. Periodic growth and shrinkage
40 of the ice over hundreds of thousands of years indicate strong control by periodic changes in
41 Northern Hemisphere sunshine caused by cyclic variations in Earth’s orbit. Recent work
42 suggests that, in the absence of human influence, the current interglacial would continue for a
43 few tens of thousands of years before the start of a new ice age. The large temperature
44 differences between glacial and interglacial periods, although driven by Earth’s orbital cycles
45 and the globally synchronous response, reflect the effects of strong positive feedbacks, such as
46 changes in atmospheric concentrations of CO₂ and other greenhouse gases and in the extent of
47 reflective snow and ice.

48 Interactions among the various orbital cycles have caused small differences between
49 successive interglacials. During the interglacial about 130–120 thousand years ago (ka), the
50 Arctic received more summer sunshine than in the current interglacial, and summer
51 temperatures in many places were consequently 4° to 6°C warmer than recently, which reduced

52 ice on Greenland (Chapter 6), raised sea level, and melted virtually all small glaciers and ice
53 caps.

54 The cooling into and warming out of the most recent glacial which peaked 20 ka were
55 punctuated by numerous abrupt climate changes, with millennial persistence of conditions
56 between jumps requiring years to decades. These events were very large around the North
57 Atlantic but much smaller elsewhere in the Arctic and beyond. Large changes in the extent of
58 sea ice in the North Atlantic were probably responsible, linked to changes in regional and
59 global patterns of ocean circulation. Freshening of the North Atlantic also favored formation of
60 sea ice.

61 Such abrupt changes also occurred in the current interglacial (the Holocene), but they
62 ended as the *Laurentide Ice Sheet* on Canada melted away. Arctic temperatures in the
63 Holocene broadly responded to orbital changes with warmer temperatures during the early to
64 middle Holocene when there was more summer sunshine. Warming generally led to northward
65 migration of vegetation and to shrinkage of ice on land and sea. Small oscillations in climate
66 during the Holocene, such as the Medieval Climate Anomaly and the Little Ice Age, were
67 linked to variations in the sun-blocking effect of particles from explosive volcanoes and
68 perhaps to small variations in solar output or in ocean circulation or other factors. The warming
69 from the Little Ice Age appears to have begun for largely natural reasons, but there is now high
70 scientific confidence that human contributions, and especially increasing concentrations of
71 CO₂, have come to dominate the warming (Jansen et al., 2007).

72 Comparison of summertime temperature anomalies for the Arctic and for lower
73 latitudes, averaged over at least millennia for key climatic intervals of the past, shows that
74 Arctic changes were threefold to fourfold larger than those in lower latitudes. This more

75 pronounced response applies to intervals that were both warmer and colder than in recent
76 decades. Arctic amplification of temperature changes thus appears to be a consistent feature of
77 the Earth system.

78

79 **Chapter 5 Rates of Change**

80 Changes in climate have many causes, occur at different rates, and are sustained for
81 different intervals. Changes in atmospheric composition, along with changes in atmospheric and
82 oceanic circulations linked to tectonic processes over tens of millions of years, have led to large
83 climate changes, including conditions so warm that the Arctic was ice-free in winter and so cold
84 that large Arctic regions remained ice-covered year-round. Features of Earth's orbit acting for
85 tens of thousands of years have rearranged sunshine on the planet and paced the growth and
86 shrinkage of great ice-age ice sheets. Anomalously cold single years have resulted from the
87 influence of large, explosive volcanoes, with slightly anomalous decades in response to the
88 random variations in the frequency of occurrence of such explosive volcanoes.

89 As observed in Greenland or more generally around the Arctic, the more-persistent of
90 these causes of climate change have produced larger climate changes, but at lower average
91 rates. When compared to this general trend, the regional effects around the North Atlantic of
92 abrupt climate changes linked to shifts in ocean circulation have been anomalously rapid;
93 however, the globally averaged temperature effects of those abrupt climate changes were not
94 anomalously large. And, relative to this general trend of larger climate changes occurring more
95 slowly, human-linked Arctic perturbations of the most recent decades do not appear
96 anomalously rapid or large, but model-projected changes summarized by the IPCC may become
97 anomalously large and rapid.

98 Interpretation of these observations is complicated by lack of a generally accepted way
99 of formally assessing the effects or importance of size versus rate versus persistence of climate
100 change. The report here relied much more heavily on ice-core data from *Greenland* than would
101 be ideal in assessing Arctic-wide changes. Existing techniques described in this report offer
102 substantial opportunities for generation and synthesis of additional data that could extend the
103 available results. If widely applied, such research could remove the over-reliance on Greenland
104 data.

105

106 **Chapter 6 The Greenland Ice Sheet**

107 Paleoclimate data show that the volume of the Greenland Ice Sheet has changed greatly
108 in the past, affecting global sea level. Physical understanding indicates that many environmental
109 factors can force changes in ice-sheet size. Comparing histories of important forcings with ice-
110 sheet size implicates cooling as causing ice-sheet growth, warming as causing shrinkage, and
111 sufficiently large warming as causing complete or almost complete loss. The evidence for
112 temperature control is clearest for temperatures similar to or warmer than those occurring in the
113 last few millennia. The available evidence shows that Greenland had less ice when snowfall was
114 higher, indicating that snowfall rate is not the leading control on ice-sheet size. Rising sea level
115 tends to float marginal regions of ice sheets and force their retreat, so the generally positive
116 relation between sea level and temperature means that, typically, both have pushed the ice sheet
117 in the same direction. However, for some small changes during the most recent millennia,
118 marginal fluctuations in the ice sheet have been opposed to those expected from local relative
119 sea-level forcing but in the direction expected from temperature forcing. This, plus the tendency
120 for shrinkage to pull ice-sheet margins out of the ocean, indicate that sea-level change has not

121 been the dominant forcing at least for temperatures similar to or greater than those of the last
122 few millennia.

123 Histories of ice-sheet volume in fine time detail are not available, but the limited
124 paleoclimatic data at least agree that short-term and long-term responses to temperature change
125 have been in the same direction. The best estimate from paleoclimatic data is thus that warming
126 shrinks the *Greenland Ice Sheet*, and warming of a few degrees is sufficient to cause ice-sheet
127 loss. Figure 6.13 shows a threshold for ice-sheet removal from sustained summertime warming
128 of 5°C, with a range of uncertainties from 2° to 7°C, but tightly constrained numerical estimates
129 are not available, nor are rigorous error bounds, and the available data poorly constrain the rate
130 of loss. Numerous opportunities exist for additional data collection and analyses that would
131 reduce the uncertainties.

132

133 **Chapter 7 Arctic Sea Ice**

134 Geological data indicate that the history of Arctic sea ice is closely linked with
135 temperature changes. Sea ice in the Arctic Ocean may have appeared in response to long-term
136 cooling as early as 46 Ma. Year-round sea ice in the Arctic possibly developed as early as 13–
137 14 Ma, before the opening of the Bering Strait at 5.5 Ma. Nevertheless, extended seasonally ice-
138 free periods probably occurred until about 2.5 Ma. They ended with a large increase in the
139 extent and duration of sea-ice cover that more or less coincided with the onset of extensive
140 glaciation on land (within the considerable dating uncertainties). Some data suggest that ice
141 reductions marked subsequent interglacials and that the Arctic Ocean may have been seasonally
142 ice-free during the warmest events. For example, reduced-ice conditions are inferred for the last
143 interglacial and the onset of the current interglacial, about 130 and 10 ka .

144 Limited data suggest poorly understood variability in ice circulation for centuries to
145 millennia, but without strong periodic behavior on these time scales. Historical observations
146 indicate that ice cover in the Arctic began to diminish in the late 19th century, and that this
147 shrinkage has accelerated during the last several decades. Shrinkages that were both similarly
148 large and rapid have not been documented over at least the last few thousand years, although the
149 paleoclimatic record is sufficiently sparse that similar events might have been missed. Orbital
150 changes have made ice melting less likely than during the previous millennia since the end of
151 the last ice age, making the recent changes especially anomalous. Improved reconstructions of
152 sea-ice history would help clarify just how anomalous these recent changes are.

153

154 **8.3 RECOMMENDATIONS**

155 Paleoclimatic data on the Arctic are generated by numerous international investigators
156 who study a great range of archives throughout the vast reaches of the Arctic. The value of this
157 diversity is evident in this report. Many of the key results of this report rest especially on the
158 outcomes of community-based syntheses, such as the CAPE Project, and on multiply replicated
159 and heavily sampled archives, such as the central Greenland deep ice cores. Results from the
160 ACEX deep coring in Arctic Ocean sediments were appearing as this report was being written;
161 these results were quite valuable and will become more so with synthesis and replication,
162 including comparison with land-based as well as marine records. The number of questions
163 answered, and raised, by this one new data set shows how sparse the data are on many aspects
164 of Arctic paleoclimate change. *Future research should maintain and expand the diversity of*
165 *investigators, techniques, archives, and geographic locations, while promoting development*
166 *of community-based syntheses and multiply-replicated, heavily-sampled archives; only*

167 *through breadth and depth can the remaining uncertainties be reduced while confidence in*
168 *the results is improved.*

169

170 The questions asked of this study by the CCSP are relevant to public policy and require
171 answers. The answers provided here are, we hope, useful and informative. However, we
172 recognize that despite the contributions of numerous community members to this report, in
173 many cases a basis was not available in the refereed scientific literature to provide answers with
174 the accuracy and precision desired by policymakers. *Future research activities in Arctic*
175 *paleoclimate should address in greater detail the policy-relevant questions that motivated this*
176 *report.*

177

178 Paleoclimatic data provide very clear evidence of past changes in important aspects of
179 the Arctic climate system. The ice of the Greenland Ice Sheet, smaller glaciers and ice caps, the
180 Arctic Ocean, and soils are shown to be vulnerable to warming, and Arctic ecosystems are
181 strongly affected by changing ice and climate. National and international studies generally
182 project rapid warming in the future. If this warming occurs, the paleoclimatic data indicate that
183 melting of ice and associated effects will follow, with implications for ecosystems and
184 economies. *The results presented here should be utilized in the design of monitoring, process,*
185 *and model-projection studies of Arctic change and linked global responses.*

186

187 **Highlights of Key Findings**

- 188 • Arctic temperature changes have been larger than correlative globally
189 averaged changes, by approximately threefold in both warmer and colder times, in
190 response to processes still active in the Arctic.
- 191 • Arctic temperatures have changed greatly but slowly in response to long-
192 lasting causes and by lesser amounts but more rapidly in response to other causes.
193 Human-forced changes of the most recent decades do not appear notably anomalous in
194 rate or size for their duration when they are compared with the fastest of these natural
195 changes, but projections for future human-caused changes include the possibility of
196 anomalously large and rapid changes.
- 197 • The *Greenland Ice Sheet* has consistently grown with cooling and shrunk
198 with warming, and a warming of a few degrees (about 5°C, with uncertainties between
199 about 2° and 7°C) or more has been sufficient to completely or almost completely
200 remove the ice sheet if maintained long enough; the rate of that removal is poorly
201 known. Reduction in the size of the *Greenland Ice Sheet* in the past has resulted in a
202 corresponding rise in sea level.
- 203 • Warming has decreased sea ice, which in turn strongly magnifies
204 warming, and seasonally ice-free conditions and even year-round ice-free conditions
205 have occurred in response to sufficiently large but poorly quantified forcing.
- 206 • Although major climate changes have typically affected the whole Arctic,
207 important regional differences have been common; a full understanding of Arctic
208 climatology and paleoclimatology requires regionally-resolved studies.
209

209

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218 York, pp. 434-497.

SAP 1.2 GLOSSARY OF TERMS

Italicized terms within a definition refer to other entries in this glossary. Terms appearing in this glossary appear in bold type in the body of this SAP. All definitions supplied in this glossary refer to the use of these terms within the context of paleoclimate science.

¹³⁷Cs – a radioactive isotope of the element Cesium utilized in dating modern sediments. It has a half-life of approximately 30 years. ¹³⁷Cs is a by-product of nuclear weapons testing (in conjunction with ^{239, 240}Pu and ²⁴¹Am). Its concentration in the environment peaked between the post-WWII years and 1980 when atmospheric nuclear weapons testing ceased. Therefore its detection in peak amounts (especially in conjunction with ²⁴¹Am and ^{239, 240}Pu) indicates that the sample being analyzed dates from that time period.

²¹⁰Pb – a radioactive isotope of the element Lead used in dating modern sediments. It is one of the last elements in the decay chain of Uranium 238 and it has a half-life of approximately 22 years. ²¹⁰Pb accumulates naturally in sediments and rocks that contain Uranium 238 and also forms in the atmosphere as a by-product of Radon decay.

^{239, 240}Pu – radioactive isotopes of the element Plutonium utilized in dating modern sediments. ²³⁹Pu has a half-life of 24,110 years and prior to the production of nuclear weapons was virtually nonexistent in nature. It is one of the two fissile materials used in nuclear weapons and some nuclear reactors. ²⁴⁰Pu has a half-life of approximately 6,600 years and is a by-product of the manufacture of ²³⁹Pu and is produced in nuclear reactors as part of the fuel cycle. About 10,000 kg of Plutonium were released into the atmosphere during atmospheric nuclear weapons testing during the post-WWII years through the 1970's and became part of the stratigraphic record as fallout from these tests. Detection of peak Plutonium concentrations in a sample therefore indicates that the sample being analyzed dates from that time.

²⁴¹Am – a radioactive isotope of the synthetic element Americium utilized in dating modern sediments. It has a half-life of approximately 432 years and is a byproduct of plutonium production as well as a component in fallout from nuclear weapons. It is also currently used in tiny quantities in smoke detectors. Its concentration in the environment peaked in during the years of nuclear weapons testing (post-WWII to 1980), therefore its detection in peak amounts (especially in conjunction with ¹³⁷Cs and ^{239, 240}Pu) indicates that the sample being analyzed dates from that time period.

“α” parameter – the relation between a change in stable isotope composition of oxygen or hydrogen in precipitation or in accumulated snow, and the associated change in temperature, usually expressed as a per-mil per degree. The isotopic composition in the comparison is the difference between the heavy:light ratio of the specified species and the corresponding ratio in a specified standard, divided by the ratio in the standard.

$\delta^{18}\text{O}$ – a measure of the ratio of the stable isotopes of oxygen, ^{18}O : ^{16}O in water or a biomineral. The definition is $\delta^{18}\text{O} (\text{‰}) = 10^3[(R_{\text{sample}}/R_{\text{standard}})-1]$, where $R_x = (^{18}\text{O})/(^{16}\text{O})$ is the ratio of isotopic composition of a sample compared to that of an established standard, such as ocean water. It is commonly used as a measure of the temperature of precipitation, the temperature of ocean surface waters, or the volume of freshwater sequestered as ice on the continents, and as an indicator of processes that show isotopic fractionation.

δD – a measure of the ratio of the stable isotopes of hydrogen, ^2H : ^1H in water. The definition is $\delta\text{D}(\text{‰}) = 10^3[(R_{\text{sample}}/R_{\text{standard}})-1]$, where $R_x = (^2\text{H})/(^1\text{H})$ is the ratio of isotopic composition of a sample compared to that of an established standard, such as ocean water. It is commonly used as a measure of the temperature of precipitation, and when compared to the $\delta^{18}\text{O}$ in the same water sample provides information on sources of water vapor or the extent of evaporation during transport or after precipitation. “D” is the chemical abbreviation for deuterium, the name given to hydrogen that contains one extra neutron.

accelerator mass spectrometer (AMS) – an analytical tool that permits the detection of isotopes of the elements to very low concentrations by accelerating the ions of the substance being analyzed to very high kinetic energies (energy of motion) prior to mass analysis.

ACEX – Arctic Coring Expedition. A multi-national scientific research effort to better understand both the climate history of the Arctic region and the role that the Arctic has played and continues to play in the Earth’s ongoing climatic variations; work is based on recovery and analysis of sediment cores from the Arctic Ocean.

alkenone – long-chain organic compound produced by certain *phytoplankton*, which biosynthetically control the number of carbon-carbon double bonds in response to the water temperature. The survival of this temperature signal in marine sediment sequences provides a time-resolved record of sea surface temperatures that reflect past climates.

amplification (with respect to climate) – phenomenon by which an observed change in a climate parameter in a particular area of the Earth is larger in magnitude than the global average. Climate amplification is typically connected to a *climate feedback mechanism*.

anthropogenic – effects, processes, objects, or materials that are derived primarily from human activities, as opposed to those occurring in natural environments without human influence.

archives – sources of information about the past.

Arctic amplification – the result of interactive feedback mechanisms in the Arctic. Owing to interactive feedback primarily from sea ice and snow cover, greenhouse-gas-induced warming is expected to be accelerated in the Arctic region in comparison with

that for the Northern Hemisphere or entire globe. This effect is referred to as *Arctic amplification*.

bed – the materials on which a glacier or ice sheet rests. These materials may be solid rock, or unconsolidated sediment. The term is sometimes applied to water between the ice and rock materials, but usually it is reserved for the rock materials.

benthic foraminifera – see *foraminifer*

biomarkers – residual organic molecules indicating the existence, past or present, of living organisms with specific climate or environmental constraints.

biome– an ecological community of organisms adapted to a particular climate or environment; that community dominates the large geographic area in which it occurs.

Bølling – a term used primarily in Europe for a warm interval (*interstadial*) of late-glacial time centered at about 12,500 years ago when climate warmed sufficiently to permit northward extension of vegetation on land and sea level rose approximately 20 meters relative to the colder period immediately preceding it.

boreal – pertaining to the northern regions of the Northern Hemisphere (from Boreas, god of the North Wind in Greek mythology).

boundary condition – in climate science this term refers to a prescribed state of Earth's surface at a particular point in time, often at the start of a climate model experiment. Examples include the topography of Earth, or the extent of sea ice.

boundary current – ocean currents whose dynamics are determined by a coastline. For example, the Gulf Stream is a warm, fast moving, and strong western boundary current along the east coast of North America.

calving – the breaking off of ice from the front of a glacier that, typically, extends into a lake or sea; in the sea, calved ice forms icebergs.

calving flux – the rate at which ice breaks off the front of a glacier. Most typically, *calving flux* will be expressed as either the rate of mass loss per unit width of the glacier per unit time (e.g., kilogram per meter per second (kg/m/s)) or the rate of volume loss per unit width per unit time (e.g., cubic meter per meter per second (m³/m/s), which is also square meter per second (m²/s)).

CAPE Project – Circum-Arctic PaleoEnvironments Project. A research program within the International Geosphere-Biosphere Program (IGBP)–Past Global Changes (PAGES) the focus of which is integration of paleoenvironmental research on terrestrial environments and adjacent margins covering the last 250,000 years of Earth history.

carbon dioxide – CO₂. An atmospheric greenhouse gas with many natural and

anthropogenic sources, it is the second most abundant greenhouse gas in the atmosphere after water vapor. Natural sources of carbon dioxide include animal and plant respiration, release at the sea surface, and volcanic eruptions. Anthropogenic sources include the combustion of fossil fuels, biomass burning, and specialized industrial production processes. It is the principal anthropogenic greenhouse gas that affects Earth's radiative balance.

carbon ketones – functional chemical groups characterized by a carbonyl group (O=C) linked to two other carbon atoms.

CCSP – United States Climate Change Science Program; a consortium of federal agencies carrying out scientific research in the field of climate change. The primary objective of the CCSP is to provide the best science-based knowledge possible to support public discussion and government- and private-sector decisions about the risks and opportunities associated with changes in climate and in related environmental systems. See also *U.S. Climate Change Science Program*.

Cenozoic – the period of Earth's history encompassing the past 65 million years. The Cenozoic is subdivided into seven series or epochs: (oldest to most recent) *Paleocene*, *Eocene*, *Oligocene*, *Miocene*, *Pliocene*, *Pleistocene*, and *Holocene* (the current epoch).

CFCs – chlorinated fluorocarbon compounds, a family of man-made chemical compounds composed of carbon, hydrogen, chlorine, and fluorine. With respect to climate change, this term usually refers to manufactured CFCs used as refrigerants, aerosol propellants, and solvents and in insulation. When released into the lower atmosphere, these compounds act as greenhouse gases. However, because they are not destroyed in the lower atmosphere, CFCs drift into the upper atmosphere where, given suitable conditions, they break down ozone. Prior to industrialization these gases did not exist in the atmosphere; they now exist in concentrations of several hundred parts per trillion.

CH₄ – see *methane*

chironomids – the informal taxonomic name for non-biting members of the Diptera (true flies) family of insects commonly known as midges.

climate – the average weather over a particular region of the Earth. Climate originates in recurring meteorological phenomenon that result from specific modes of atmospheric circulation. The averaging period is conventionally a 30-year interval as promulgated by the World Meteorological Organization (WMO). Typical characteristics include mean seasonal temperature and precipitation, storm frequency, and wind velocity.

climate analogue – generally used to describe a climate state that is reasonably well known and that is similar to or has the same characteristics as the climate of a particular ancient time period under study.

climate change – a statistically significant variation in either the mean state of the climate or the mean variability of the climate that persists for an extended period (typically 10 years or more). Climate change may result from such factors as changes in solar activity, long-period changes in the Earth's orbital elements (*eccentricity*, *obliquity*, *precession of equinoxes*), natural internal processes of the climate system, or *anthropogenic* forcing (for example, increasing atmospheric concentrations of carbon dioxide and other greenhouse gases).

climate feedback mechanisms – processes that amplify the effects of a change in the controls on global temperature. Feedbacks are said to be positive when they increase the size of the original response or negative when they cause it to decrease.

CO₂ – see *carbon dioxide*

coccolithophorid algae – tiny single-celled marine algae, protists and phytoplankton, that are distinguished by special calcium carbonate plates called coccoliths. Coccoliths serve as important marine paleoclimate proxies relevant to past characteristics of the ocean's surface layer.

continental drift – the slow motion of the continents on the surface of the Earth. Continents ride on underlying segments of the Earth's crust which fit together like pieces of a jigsaw puzzle and are in constant motion, sliding over, under, past or away from each other at their boundaries. The underlying physics of plate motions is referred to as *plate tectonics* and encompasses an understanding of the deep internal structure and motions of the Earth.

continentality – characteristic of regions near the centers of large continents, where daily and seasonal variations of temperature and precipitation are relatively large compared with lands closer to the oceans (maritime lands) where such variations are moderated by the adjacent oceans. Continentality increases inland, away from ocean coastlines.

conveyor belt circulation – colloquial term for that part of the modern ocean currents (circulation) in which near-surface waters of the Atlantic flow northward, sink into the deep ocean, then flow southward, circulate around Antarctica, flow northward again but now in the deep parts of the Pacific and Indian Oceans, mix up to near the surface, and return to the surface flow of the Atlantic. The term is especially applied to that part of this globe-girdling circulation in the Atlantic.

Crenarcheota (taxonomy) –microscopic water-living organisms belonging to the kingdom of Archaea originally thought to thrive only under extreme conditions of heat, acidity, and high sulfur concentrations. However, recent studies indicate a much broader environmental distribution and pelagic (surface dwelling) crenarchaeota are now understood to be probably the most abundant group of archaea on Earth.

Dansgaard-Oeschger events – see *D-O events*

deep -water formation – the sinking of water from near the surface into the depths of the ocean, followed by lateral movement of that water. In the modern world, this process occurs only in restricted regions in the North Atlantic Ocean and around Antarctica.

dendroclimatology – the science of determining past climates from trees (primarily tree rings).

diachronous – “cutting across time”; said of a single geologic unit whose age differs depending on the location in which it is found. Such deposits are formed when the location of active deposition migrates, such as during the gradual melting of an ice sheet or the inland advance of seawater. Synonymous with *time-transgressive*.

diffusion – general name for the motion of mass or energy from regions of higher concentration to regions of lower concentration through a large number of small events that do not depend directly on each other. For instance, in a room with absolutely no wind, a new type of gas released in one corner will eventually spread throughout the room by the random motions of the individual molecules, and this spreading is called diffusion.

D-O events – widespread climate events seen as anomalously warm times in the northern hemisphere and especially around the north Atlantic Ocean, during most recent ice-age (from about 110,000 to 11,500 years ago), with large and rapid terminations and very large and rapid onsets, often persisting for a few centuries and spaced about 1500 years apart.

driving stress – as used in glaciology, the gravitational impetus for the flow of ice as it spreads under its own weight. The *driving stress* is calculated as the product of the ice density, ice thickness, ice surface slope, and the acceleration of gravity. Glaciers that are thicker or have a steeper surface thus have a greater tendency to spread or flow.

eccentricity – out of roundness (ellipticality) of the Earth’s orbit around the sun. The magnitude of Earth’s orbital eccentricity completes a full cycle about every 100,000 years and varies between a minimum departure from circularity of 0.0034 to a maximum departure of 0.058.

elastic – characterized by experiencing changes in shape or size in response to applied stress, but returning to the original shape or size when the stress is removed.

elastic deformation – changes in shape or size experienced by a material or body in response to applied stress that will be reversed when the stress is removed. See *elastic*.

Eocene – the geological epoch spanning 55.8 Ma to 22.9 Ma.

equilibrium line – an imaginary line on the upper surface of a glacier, separating the accumulation zone (the region in which mass supply to that surface exceeds mass loss)

from the ablation zone (the region in which mass supply is less than mass loss). (Mass supply is typically dominated by snowfall and mass loss by runoff of meltwater, although drifting snow, *sublimation* and other processes may contribute.) Almost always, the accumulation zone is higher in elevation than the ablation zone.

equilibrium line altitude – The elevation above sea level of the *equilibrium line*.

far field – the region at a sufficiently great distance from the source of a disturbance that some physical processes known to be important near the disturbance are no longer important because their influence has dropped greatly with increasing distance. For example, the initial growth of the ice sheet on Greenland lowered sea level globally (because water that evaporated from the ocean was stored in the ice sheet), but the weight of the ice pushed Greenland down farther than the globally averaged lowering of the sea surface; thus, sea level rose in the *near field* just beyond the growing ice sheet where sinking under the ice weight was important, whereas sea level fell in the *far field* where the influence of the weight of the growing ice sheet was small.

firn – old snow during transformation to glacier ice. The name *firn* is often applied to any snow on a glacier that is more than one year old. *Firn* becomes glacier ice when the interconnected pore spaces of the *firn* become isolated from the atmosphere above to form bubbles.

foraminifer (benthic, deep-sea) – a microscopic single-celled organism that lives on the sea floor and secretes calcium-carbonate shells in equilibrium with the sea water. The analysis of the stable isotopes contained in foraminifer shells found in sea floor sediment cores is the most commonly used method for determining ocean paleotemperatures.

forcing – with respect to climate, processes and factors external to the climate system which, when changed, generate a compensatory change in the climate system. Examples of climate forcings include variability in solar output, in the amount of sunshine received by a region of the Earth due to orbital changes, volcanic eruptions that inject particles and gases into the atmosphere, and changes in the positions of continents.

gigatons – in the International System of Measurement (Système International d'unités, or SI), a gigaton is 1,000,000,000 tons (10^9 tons, or 1 billion tons in U.S. usage); a ton is 1,000 kilograms, and 1 kilogram is the mass equivalent of 2.2 pounds.

GISP2 – acronym for the Greenland Ice Sheet Project 2 location and ice core in central Greenland (see map for location). Deep drilling at this site began in 1989 and was completed to bedrock at a depth of 3053 meters in 1993.

glacial (interval) – an interval of time during the past 2.6 million years in the Earth's history when the average global temperature was colder than it is currently and during which ice sheets expanded substantially in the northern hemisphere.

glacial isostatic adjustment – changes in the shape and elevation of Earth’s surface in response to growth and shrinkage of glaciers and ice sheets. For example, just as the surface of a water bed sinks beneath someone who sits on it but bulges up around that person, adding the load of an ice sheet causes sinking of the Earth’s surface beneath and near the ice sheet but bulging up beyond (*peripheral bulge*). Changes in global sea level associated with loss of that water stored in an ice sheet or gain of water as an ice sheet melts also cause rising or sinking of the seabed beneath. Taken together, these changes are *glacial isostatic adjustment*.

glacier – a mass of ice that persists for many years and notably deforms and flows under the influence of gravity. The term is especially applied to relatively small ice masses that flow down the sides of mountains, but it may also be applied to a fast-moving region of a larger ice mass or even to the larger ice mass itself.

greenhouse gas – gaseous constituents of the atmosphere that absorb and emit radiation at specific wavelengths within the spectrum of infrared radiation emitted by Earth’s surface, the atmosphere, and clouds. The primary greenhouse gases in the atmosphere are water vapor (H₂O), carbon dioxide (CO₂), nitrous oxide (N₂O), methane (CH₄), and ozone (O₃), all of which have many natural and *anthropogenic* sources.

grounded ice – ice that remains on land and is not floating. The term is especially applied to nonfloating portions of *glaciers*, *ice caps*, or *ice sheets* that flow into lakes or seas and could have floating portions.

Heinrich events – intervals of anomalously rapid deposition of sand-sized and coarser materials in the open North Atlantic Ocean, formed by an anomalously rapid supply of icebergs carrying debris. Six or seven events are identified during the most recent ice-age cycle (from about 110,000 to 11,500 years ago), and older events have occurred as well. Characteristics of the debris in most of the events indicate that ice in Hudson Bay was a dominant source. Large and widespread climate anomalies were associated with the Heinrich events, such as cool conditions in the north and especially around the North Atlantic, and warmth in the far south.

Holocene – the current geologic epoch that began about 11,500 years ago when the climate warmed at the end of the most recent glacial period. The most recent epoch (subdivision) of the *Quaternary* period.

hot-spot volcanic chains – linear arrays of volcanoes produced by a single source, especially seen as lines of islands in the ocean. A ‘hot spot’ is a rising column of hot rock that rises from relatively deep in the Earth. The upper, cold layer of the Earth involved in continental drift typically moves horizontally much faster than a hot-spot does. The hot spot will poke through the overlying layer and form a volcano, then that volcano ceases to erupt as it is carried away by the drifting layer, while the hot spot pokes through to make a new volcano. The Hawaiian Islands are the younger part of such a *hot-spot volcanic chain*, which also includes the generally-undersea Emperor Seamounts to the northwest of Hawaii.

ice cap – a flowing mass of ice (*glacier*), moving away from a central dome or ridge, and notably smaller than an otherwise – similar *ice sheet*, which normally is of continental or subcontinental scale.

ice dynamical model – as used here, a representation of the physical behavior of a glacier, ice cap or ice sheet, developed with the use of a computer to solve mathematical equations approximating the important physical processes.

ice sheet – a flowing mass of ice (*glacier*), moving away from a central dome or ridge, normally of continental or subcontinental scale and notably larger than an otherwise similar *ice cap*.

ice shelf – a floating extension of a *glacier*, *ice cap* or *ice sheet*, nourished in part by flow from nonfloating (*grounded*) ice. An ice shelf may gain or lose mass on its upper surface (usually by snowfall or melting) or lower surface (usually by freezing or melting). Normally, an ice shelf loses mass into the adjacent water body by iceberg *calving*. The term ‘ice shelf’ is sometimes applied to relatively small ice masses that largely or completely lack flow from adjacent grounded ice and that thus are nourished by snowfall above or freezing beneath; these “ice shelves” typically are thicker and more persistent than features called *sea ice*, but they could be classified as *sea ice*.

ice stream – a faster moving ‘jet’ of ice flanked by slower flowing parts of an *ice sheet* or *ice cap*.

Innuitian sector – the ice sheet that covered the Queen Elizabeth Islands of northern and northeastern Canada. The term was originally proposed as the Innuitian Ice Sheet (Blake, 1970; Blake Jr., W., 1970. Studies of glacial history in Arctic Canada. Canadian Journal of Earth Sciences 7, 634–664), and was applied to the ice mass that formed during the most recent glaciation. The Innuitian Ice Sheet was joined to the *Laurentide Ice Sheet* to the south and to the Greenland Ice Sheet to the east when the ice sheets were largest; the term “*Innuitian Sector* of the Laurentide Ice Sheet” is often used. The term is also often applied to relict ice in the indicated region from earlier glaciations.

insolation – the amount of sunshine, measured in watts per square meter (W/m^2), on one unit of horizontal surface. With respect to climate studies, insolation is typically evaluated at the Earth’s surface. The intrinsic latitudinal differences in the amount of sunshine that reaches the Earth’s surface (e.g at the equator and at the poles) depend on the seasons, but the total global value does not.

interannual variability – changes in a measured value from year to year. As an example, during the last 30 years, globally averaged surface temperatures have increased, with high statistical confidence. However, events such as an El Nino cause the average temperature for a year to plot off of the line that best represents the whole 30-year history. The difference between the annual average temperature and the best-fit line changes from year to year in response to this *interannual variability*.

interglacial (interval) – an interval of time during the past 2.6 million years in Earth’s history when the average global temperature was as warm or warmer than it is currently and during which ice sheets contracted substantially in the northern hemisphere.

IPCC – Intergovernmental Panel on Climate Change. A multinational group of experts in the field of climate change established (by the World Meteorological Organization and the United Nations Environmental Program) to provide decision-makers and other interested persons with an objective source of information about climate change. The IPCC does not conduct any research nor does it monitor climate-related data or parameters. Its role is to assess on a comprehensive, objective, open and transparent basis the latest scientific, technical and socio-economic literature produced worldwide relevant to the understanding of the risk of human-induced climate change, its observed and projected effects and options for adaptation and mitigation.

interstadial(s) – a warmer period of time within an ice age marked by a temporary retreat of ice.

irradiance (solar) – the amount of intrinsic radiant energy emitted by the sun over all wavelengths that falls each second on 1square meter ($W/m^2/s$) outside the Earth’s atmosphere. The current average value of solar irradiance is approximately 1,367 Watts per square meter. Small variations in irradiance attributable to a variety of internal solar process have been observed and have had small but detectable effects on global temperature over the past 65 million years.

isochrone – a line on a map or a chart connecting all points at which an event or phenomenon occurred simultaneously or which represent the same time value or time difference. In sediment or sediment core analysis, a point of known age that can be identified in mutiple locations that ties the datasets derived from the analyses to a common point in time.

ka – kiloannum; thousands of years ago (a point in time)

k.y. – thousands of years (a time interval)

Laurentide Ice Sheet – name proposed by Flint (1943; Flint, R.F., 1943, Growth of the North American ice sheet during the Wisconsin age. Geological Society of America Bulletin, v. 54, p. 325-362) for the great *ice sheet* that covered much of northern North America east of the Rocky Mountains during the most recent ice age (from about 110,000 to 11,500 years ago). Use of the term is widely extended to include older ice sheets that occupied the same general area.

Little Ice Age – a period of time during the last millennium (approximately 1500 to 1850 C.E.) during which summers globally, but particularly in the higher latitudes of the Northern Hemisphere, were colder than during the preceding millennium or the 20th

Century. The Little Ice Age is widely manifested by the advance of mountain glaciers and ice caps, as well as by periodic crop failures, especially in NW Europe.

Ma – mega-annum; millions of years ago (a point in time)

marine isotope stage – see *MIS below*

mass flux – rate at which material passes an observational site. The mass flux added to the surface of a glacier by snowfall may be reported as the ice added to an area during a time interval and thus measured in kilograms per square meter per second ($\text{kg}/\text{m}^2/\text{s}$) or equivalent units; the mass flux per unit width for flow of a glacier may be reported as kilograms per meter per second ($\text{kg}/\text{m}/\text{s}$).

meristematic – the tissue in all plants consisting of undifferentiated cells (meristematic cells) and found in zones of the plant where growth can take place.

methane – CH_4 ; an atmospheric greenhouse gas with many natural and anthropogenic sources chief of which are decomposition of organic matter in the absence of oxygen (e.g., in wetlands and landfills), animal digestion and animal waste, and the production and distribution of natural gas, oil, and coal. It is the third most abundant atmospheric greenhouse gas after water vapor and *carbon dioxide*. The current lower-atmospheric concentration of methane at middle latitudes in the northern hemisphere is approximately 1,847 parts per billion and is stable. This concentration is substantially above the pre-industrial level of about 730 parts per billion.

Milankovitch cycles, time scales – The Milankovitch or astronomical theory of climate change is an explanation for cyclical changes in the seasons which result from cyclical changes in the earth's orbit around the sun. The theory is named for Serbian astronomer Milutin Milankovitch, who calculated the slow changes in the earth's orbit by careful measurements of the position of the stars, and through equations using the gravitational pull of other planets and stars. He determined that the earth "wobbles" in its orbit. The earth's "tilt" is what causes seasons, and changes in the tilt of the earth change the strength of the seasons. The seasons can also be accentuated or modified by the eccentricity (degree of roundness) of the orbital path around the sun, and the precession effect, the position of the solstices in the annual orbit. Together, the periods of these orbital motions [40,000 years for tilt, 90,000 – 100,000 years for eccentricity, and approximately 26,000 years for precession) have become known as Milankovitch cycles and their associated periodicities as Milankovitch time scales.

millennial – occurring or repeating every thousand years.

m.y. – millions of years (a time interval).

Miocene – the geological epoch spanning 23 Ma to 5.3 Ma.

MIS – commonly used acronym for *Marine Isotope Stage(s)*. A subdivision of recent geologic time, identified by number (e.g., marine isotope stage 1, or marine isotope stage 8); marine isotope stage 1 includes today, and numbers increase with increasing age. The marine isotope stages were defined from the oxygen–isotopic ratios of shells that accumulated on the ocean floor and were collected in sediment cores; shells that grew in cooler water, or at times when more water was stored on land in ice sheets, are isotopically heavier. Intervals of warmer water or smaller ice are labeled with odd numbers (marine isotope stage 1, or 5), and times of colder water or larger ice have even numbers. Marine isotope stages average a few tens of thousands of years long, but different stages have different durations.

model – with respect to climate studies, a computer program designed to mimic a natural process or system of processes with the aim of aiding in understanding how the process or system behaves. The representation of the climate system is based on mathematical equations governing the behavior of the various components of the climate system and includes treatment of key physical processes and interactions.

moraine – landforms (typically ridges) composed of sediment deposited at or near the edge of a glacier; a *moraine* provides an outline of all or part of a glacier at some time. (Please note that the term “ground moraine” is sometimes used for a blanket of sediment deposited beneath a glacier, and the term “medial moraine” can be used for a band of debris on the surface of a glacier marking the junction of confluent flows; however, *moraine* normally is used as given in the main definition here.)

N₂O – See *nitrous oxide* below.

near field – the region sufficiently close to the source of a disturbance that some physical processes must be considered that are unimportant at greater distance from the disturbance in the *far field*. For example, the initial growth of the ice sheet on Greenland lowered the sea level globally (because water evaporated from the ocean was stored in the ice sheet), but the weight of the ice depressed Greenland more than the globally averaged lowering of the sea surface; thus, sea level rose in the *near field* just beyond the growing ice sheet where sinking under the ice weight was important, whereas sea level fell in the *far field* where the influence of the weight of the growing ice sheet was small.

negative feedback – in climate studies, a process that acts to decrease the magnitude of the climate’s response to an initial *forcing*.

NGRIP – acronym for the North Greenland Ice Sheet Project location and ice core (see map for location). Deep drilling at the NGRIP site began in 1999 and was completed to bedrock at 3094 meters in 2003.

N₂O – See *nitrous oxide* below.

nitrous oxide – *N₂O*; an atmospheric greenhouse gas. It is the fourth most abundant greenhouse gas after water vapor, *carbon dioxide*, and *methane*. Natural sources include

many biological sources in soil and water, primarily through bacterial breakdown of nitrogen in soils and in the earth's oceans. Primary human-related sources of N_2O are agricultural soil management, animal manure management, sewage treatment, mobile and stationary combustion of fossil fuel, and the manufacture of adipic and nitric acid. The pre-industrial value of N_2O in the atmosphere was approximately 265 parts per billion; it has increased monotonically since that time. The current atmospheric concentration is approximately 319 parts per billion.

NAO – North Atlantic Oscillation (atmospheric phenomenon), a large-scale see-saw in barometric pressure between the vicinity of Iceland and the Azores. It corresponds to fluctuations in the strength of the main westerly winds across the north Atlantic Ocean and is the primary wintertime weather-maker for the North Atlantic region of the eastern United States and Canada, Greenland, and Europe. When this pressure difference is large the NAO is said to be in positive phase, when it is small the NAO is said to be in negative phase.

North Atlantic Oscillation – see *NAO* above.

obliquity – the angle between the rotational axis of the Earth and a line perpendicular to the plane containing Earth's orbit about the sun. Earth's obliquity varies predictably from 22.1° to 24.5° in a 41,000 year cycle. The fact that Earth's axis of rotation is not perpendicular to the plane of its orbit around the sun (i.e. Earth's *obliquity* is not zero) is the origin of the seasons.

Oligocene – the geological epoch spanning 33.9 Ma to 23 Ma.

orbitally paced – phenomena that are synchronous with cyclical features of the Earth's orbit are described as 'orbitally paced'.

oscillation (climate) – a cyclical change in value between two different states. The *North Atlantic Oscillation* is a particularly important cyclic variation in atmospheric pressure over the North Atlantic region that is the primary wintertime weather-maker in the North Atlantic region.

outlet glacier – a jet of ice flowing from an *ice sheet* or *ice cap*. Usage may be imprecise, but in general *outlet glacier* is the preferred term when the sides of fast-flowing ice are controlled prominently by bedrock (which usually is visible above the ice surface but also includes cases in which the fast-flowing ice occupies a deep bedrock trough but is flanked by a thin layer of slower-flowing ice); *ice stream* is usually applied when bedrock control is weak and the faster-flowing ice is flanked by a considerable thickness of slower-flowing ice.

paleoceanographic archives – sources of information about the past climate originating in records from the deep ocean, typically derived from an analysis of the stable isotopes of oxygen contained in the shells of marine microorganisms.

Paleocene – the earliest geological epoch of the *Cenozoic* spanning 65.5 Ma to 55.8 Ma.

paleoclimate reconstruction – the determination of past states of Earth’s climate (prior to historical or instrumental records) created by interpreting the climate signals contained in natural recorders such as tree rings, ice cores, deep sea and lake sediments, and cave deposits. Also, a reconstruction of past climates based on a *model* that uses paleoclimate data.

paleoclimatology – the science of reconstructing the past climate of Earth.

paleorecord, paleoclimate record – a data set constructed from a direct or indirect (*proxy*) recorder of climate. At their most useful, these records contain climate information that is unambiguous, continuous, and capable of being dated at a level of resolution sufficient to reveal climate changes at the scale of interest of the study.

paleothermometers – a climate proxy (physical, biological or chemical) preserved in geological archives that provides either qualitative or quantitative estimates of past temperatures.

perfectly plastic behavior – a model for material behavior in which no permanent deformation occurs in response to small applied stress but, when stress is raised to the strength of the material, arbitrarily large and rapid deformation results, such that the stress cannot be raised above that strength. Perfect plasticity provides a useful approximation of real material behavior in some cases.

peripheral bulge – a raised region encircling the region pushed down by the weight of an ice sheet or other large mass placed on the surface of the Earth. See *glacial isostatic adjustment* above.

permafrost – ground that is permanently frozen below its uppermost layer, which thaws in summer.

perturbation – a change or deviation from the predicted, average or otherwise anticipated stable state; typically caused by a force or process outside the perturbed system.

phytoplankton – microscopic algae which inhabit the illuminated surface waters of both marine and freshwater bodies.

plate tectonics – the theory of the Earth that describes the outermost layer of Earth as comprising of a series of rigid pieces or ‘plates’ on which the continents ride that are in constant motion relative to each other and that interact with each other at their boundaries. Plate boundaries are typically the site of substantial seismic and volcanic activity.

Pleistocene – the geological epoch spanning 2.6 Ma to 11, 477 years ago. The Pleistocene was characterized by multiple cyclical episodes of cold and warm times during which ice sheets and glaciers grew and shrank in response to global temperature changes initiated by climate *forcings* originating from cyclical changes in Earth’s orbit around the sun.

Pliocene – the geological epoch spanning 5.3 Ma to 2.6 Ma.

positive feedback – in climate studies, a process that acts to increase the magnitude of the climate’s response to an initial forcing.

Preboreal – originally, the term applied to the approximately millennium-long interval occurring just after the end of the *Younger Dryas*, which is now known to have ended about 11,500 years before present. During the *Preboreal* interval, a short-lived cold event occurred between about 11,400 and 11,200 years before present. This event is often referred to as the *Preboreal Oscillation*.

precession (of the equinoxes) – the wobble of the Earth’s rotational axis expressed in degrees of arc. The Earth executes a complete precessional cycle once every 19,000 to 23,000 years.

provenance – the geological term for the site of origin of rock material that has since been transported elsewhere. The *provenance* of much of the material deposited in the North Atlantic during *HeinricheEvents* is the Hudson Bay region of Canada.

proxy – in paleoclimate studies, an indirect indicator of climate from which a record of change can be reconstructed once the relationship between the proxy and the desired parameter (e.g. temperature, precipitation) is understood. Many paleoclimate reconstructions are based on proxy records.

Quaternary – the geologic subdivision of the Cenozoic encompassing the past approximately 2.6 million years.

radiocarbon reservoir age – the number of years old (the age) of carbon-14 (radiocarbon) incorporated by a sample when it formed. In radiocarbon dating, the simplest approach is to use the carefully reconstructed history of radiocarbon abundance in the atmosphere, together with the known half-life of radiocarbon and the measured abundance of radiocarbon in a sample today, to estimate how long it has been since the sample formed. However, the radiocarbon in some environments contains less radiocarbon than would be expected based on equilibrium with the atmosphere, causing the simplest possible approach to overestimate the time since a sample formed, and motivating the use of a correction for the *radiocarbon reservoir age*. For example, water near the surface of the oceans exchanges radiocarbon with the atmosphere, and then sinks into the deep ocean, remaining there for roughly one millennium before returning to the surface to exchange radiocarbon again. While the water is deep in the ocean and out of contact with the atmosphere, some of the radiocarbon in the water decays. A creature

living in the deep ocean will thus incorporate less radiocarbon than an equivalent creature living at the same time near the ocean surface. This difference in initial radiocarbon abundance in the samples would lead to an error in estimating the age of the deep dweller if not corrected for; the correction is the *radiocarbon reservoir age*.

radiogenic isotopes – atomic species produced by radioactive decay.

rifting – as used here, the geological process associated with plate *tectonics* (which is the science of drifting continents; see *tectonics*) by which continents are split apart to make ocean basins.

SAP – Synthesis and Assessment Product; one of the 21 technical reports sponsored by the U.S. Climate Change Science Program that discuss aspects of climate change.

sea ice – any form of ice found at sea that has originated from the freezing of sea water (in contrast to floating ice at sea that has originated from glaciers on land).

sea level equivalent – see *SLE* below.

SLE – sea level equivalent; as used here, a measure of a mass of ice, calculated as the rise in global sea level that would result if the ice were melted and the resulting water spread uniformly over the world's oceans.

shelf break – the continental shelf, the undersea extension of a continent, ends at the *shelf break*, where the continental slope begins its steep drop into the deep ocean.

sill – as used here, a narrow, shallow sea-floor region connecting continents or islands and separating two deeper basins.

speleothem – mineral deposits (most commonly calcium carbonate) found in caves where water seeping through cracks in a cave's surrounding bedrock carries dissolved compounds that precipitate when the solution reaches an air-filled cave. Speleothems accumulate slowly, often spanning decades to millennia.

stage – in paleoclimate studies, a time term for a major subdivision of a glacial epoch. See MIS above.

step forcing – a rapid jump from one sustained level to another in some environmental feature that controls a system. For an ice sheet after a long interval at one temperature, a rapid warming to a new and sustained level would constitute a *step forcing* on the ice sheet system.

stochastic – randomly determined; involving or containing a random variable. A *stochastic* process is one in which the current state does not fully determine the next.

striated – scratched. Glaciers typically entrain loose rocks at their base; the moving ice then drags those rocks over bedrock, which may be scratched (*striated*) by the entrained rocks; striations may occur in sets of parallel marks.

striated boulders – boulders scratched by being dragged across other rocks by the passage of overlying, moving glacier ice.

sublimation – evaporation of water molecules directly from ice without first melting the ice to make water and then evaporating the water. Water molecules also can condense on ice directly (forming frost or hoarfrost, for example), and this process is often referred to as a negative rate of *sublimation*.

tectonic – related to the large features and movements of geology. The outer, colder layer of Earth is broken into a few large plates, which drift around carrying the continents (hence the term *continental drift*). The interactions of these plates give rise to most of Earth's mountain ranges, volcanoes and earthquakes, and these motions and interactions are called *tectonic*.

tectonic forces – forces internal to the Earth that cause segments of its crust to move in ways that build mountains and open or close oceans.

tephra – anything thrown by eruption of a volcano.

tetraether lipids – biomarkers produced by *Crenarcheota* that preserve well in marine and lacustrine sediments and that have been used to reconstruct past temperature changes in surface waters.

TEX₈₆ index – a proxy related to surface water temperatures for marine and lacustrine (lake) systems, the index is based on membrane *tetraether* lipids of *crenarchaeota*, with 86 carbon atoms.

thermohaline; thermohaline circulation – deep circulation of the global ocean that is driven by density gradients established by differences in the temperature ('thermo') and salinity ('haline') of the water masses. Salty surface waters that lose heat in the polar regions become denser than underlying waters and sink, establishing a global network of deep ocean currents.

tidewater glacier – a mountain glacier that terminates in the ocean

tidewater glacier cycle – the typically centuries-long behavior of tidewater glaciers that consists of recurring periods of advance alternating with rapid retreat and punctuated by periods of stability.

till – a mixed deposit of unconsolidated clay, silt, sand, gravel and boulders deposited directly by and underneath a glacier. Till deposits that remain behind after the glacier has melted or retreated are characterized by a lack of stratification or layering.

time-transgressive – said of a single geologic unit whose age differs depending on location in which it is found. This nature is characteristic of geologic units created by processes that require a substantial time during which the location of active deposition migrates, such as the melting of an ice sheet or the recession of a shoreline. Synonymous with *diachronous*.

troposphere – the lowest layer of the atmosphere closest to Earth’s surface. It extends from the surface up to approximately 7 kilometers at the poles and about 17 kilometers in the equatorial regions. The troposphere is characterized by decreasing temperature with increasing height, significant vertical air movement and appreciable water vapor content.

trough-mouth fans – undersea deposits of sediment on a slope, narrow at the top and wider at the bottom (hence fan-shaped) that develop near the downslope ends (or mouths) of submarine canyons (or troughs) that cross the *continental shelf* and descend the continental slope. Rapid rates of sedimentation in trough-mouth fans makes them good sources of sediment cores for paleoclimatic analyses.

tundra – a treeless landscape on *permafrost* (ground that is permanently frozen below the uppermost layer, which thaws in summer), today restricted to high-latitude and high-altitude areas. The dominant vegetation is low-growing lichens, mosses, and stunted shrubs.

U^k₃₇ index – the relative abundances of long-chain C₃₇ *alkenones* in marine sediment that serve as a proxy for past sea-surface temperatures.

U.S. Climate Change Science Program (CCSP) – a consortium of Federal agencies that investigate climate. The primary objective of the CCSP is to provide the best science-based knowledge possible to support public discussion and government- and private-sector decisions about the risks and opportunities associated with changes in climate and in related environmental systems.

viscoelastic deformation – the general term for change in shape or volume (deformation) of materials in response to applied stress. It involves changes that will be reversed (returning the material to its original configuration) if the stress is removed (*elastic deformation*), and also as changes that are permanent and thus will not be reversed if the stress is removed (viscous deformation, broadly defined).

viscoelastic structure – distribution of the material properties of the planet that controls how it deforms in response to applied stress (*viscoelastic deformation*), especially referring to how these material properties vary with depth.

yedoma – a frozen, organic-rich, wind-blown accumulation, dominantly of silt-sized particles (loess), with ice content of 50–90% by volume. *Yedoma* is a frozen reservoir of carbon that will, if melted, release a substantial volume of carbon to the atmosphere and

contribute substantively to Earth's greenhouse effect. *Yedoma* covers more than one million square kilometers of Russia.

yield strength – the stress required to cause permanent deformation of a material. In many materials, if the applied stress falls below some level (the *yield strength*) then *elastic deformation* occurs but no permanent or viscous deformation, whereas for higher stresses permanent deformation occurs.

Younger Dryas – a climate event, that occurred between about 11,500 and 12,800 years before present (with uncertainties of a couple of centuries). The *Younger Dryas* was characterized by cool conditions in the northern hemisphere, warm conditions in the far south, a southward shift of the tropical circulation, reduction in monsoonal rainfall in Africa and Asia, extended sea ice and reduced sinking of surface ocean waters in the North Atlantic, and with a fast start (decades) and a very fast end (perhaps less than a decade) to the anomalous conditions.

Arctic Paleoclimate Report— Named Locations

U.S. Climate Change Science Program, Synthesis and Assessment Product 1.2

